



# 1 Web Services Reliable Messaging 2 (WS-ReliableMessaging)

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## 8 Editors:

9 Doug Davis, IBM <[dug@us.ibm.com](mailto:dug@us.ibm.com)>  
10 Anish Karmarkar, Oracle <[Anish.Karmarkar@oracle.com](mailto:Anish.Karmarkar@oracle.com)>  
11 Gilbert Pilz, BEA <[gpilz@bea.com](mailto:gpilz@bea.com)>  
12 Steve Winkler, SAP <[steve.winkler@sap.com](mailto:steve.winkler@sap.com)>  
13 Ümit Yalçınalp, SAP <[umit.yalcinalp@sap.com](mailto:umit.yalcinalp@sap.com)>

## 14 Contributors:

15 See the Acknowledgments (Appendix E).

## 16 Abstract:

17 This specification (WS-ReliableMessaging) describes a protocol that allows messages to be transferred  
18 reliably between nodes implementing this protocol in the presence of software component, system, or  
19 network failures. The protocol is described in this specification in a transport-independent manner  
20 allowing it to be implemented using different network technologies. To support interoperable Web  
21 services, a SOAP binding is defined within this specification.

22 The protocol defined in this specification depends upon other Web services specifications for the  
23 identification of service endpoint addresses and policies. How these are identified and retrieved are  
24 detailed within those specifications and are out of scope for this document.

25 By using the XML [XML], SOAP [SOAP 1.1], [SOAP 1.2] and WSDL [WSDL 1.1] extensibility model,  
26 SOAP-based and WSDL-based specifications are designed to be composed with each other to define a  
27 rich Web services environment. As such, WS-ReliableMessaging by itself does not define all the features  
28 required for a complete messaging solution. WS-ReliableMessaging is a building block that is used in  
29 conjunction with other specifications and application-specific protocols to accommodate a wide variety of  
30 requirements and scenarios related to the operation of distributed Web services.

## 31 Status:

32 This document was last revised or approved by the WS-RX on the above date. The level of approval is  
33 also listed above. Check the current location noted above for possible later revisions of this document.  
34 This document is updated periodically on no particular schedule. Technical Committee members should  
35 send comments on this specification to the Technical Committee's email list. Others should send  
36 comments to the Technical Committee by using the "Send A Comment" button on the Technical  
37 Committee's web page at <http://www.oasis-open.org/committees/ws-rx>. For information on whether any  
38 patents have been disclosed that may be essential to implementing this specification, and any offers of  
39 patent licensing terms, please refer to the Intellectual Property Rights section of the Technical  
40 Committee web page (<http://www.oasis-open.org/committees/ws-rx/ipr.php>). The non-normative errata  
41 page for this specification is located at <http://www.oasis-open.org/committees/ws-rx>.

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# 105 **1 Introduction**

106 It is often a requirement for two Web services that wish to communicate to do so reliably in the presence  
107 of software component, system, or network failures. The primary goal of this specification is to create a  
108 modular mechanism for reliable transfer of messages. It defines a messaging protocol to identify, track,  
109 and manage the reliable transfer of messages between a source and a destination. It also defines a  
110 SOAP binding that is required for interoperability. Additional bindings can be defined.

111 This mechanism is extensible allowing additional functionality, such as security, to be tightly integrated.  
112 This specification integrates with and complements the WS-Security [[WS-Security](#)], WS-Policy [[WS-](#)  
113 [Policy](#)], and other Web services specifications. Combined, these allow for a broad range of reliable,  
114 secure messaging options.

## 115 **1.1 Notational Conventions**

116 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD  
117 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described  
118 in RFC 2119 [[KEYWORDS](#)].

119 This specification uses the following syntax to define normative outlines for messages:

- 120 • The syntax appears as an XML instance, but values in italics indicate data types instead of values.
- 121 • Characters are appended to elements and attributes to indicate cardinality:
  - 122 ○ "?" (0 or 1)
  - 123 ○ "\*" (0 or more)
  - 124 ○ "+" (1 or more)
- 125 • The character "|" is used to indicate a choice between alternatives.
- 126 • The characters "[" and "]" are used to indicate that contained items are to be treated as a group  
127 with respect to cardinality or choice.
- 128 • An ellipsis (i.e. "...") indicates a point of extensibility that allows other child or attribute content  
129 specified in this document. Additional children elements and/or attributes MAY be added at the  
130 indicated extension points but they MUST NOT contradict the semantics of the parent and/or  
131 owner, respectively. If an extension is not recognized it SHOULD be ignored.
- 132 • XML namespace prefixes (See Section [1.2](#)) are used to indicate the namespace of the element  
133 being defined.

134 Elements and Attributes defined by this specification are referred to in the text of this document using  
135 XPath 1.0 [[XPATH 1.0](#)] expressions. Extensibility points are referred to using an extended version of this  
136 syntax:

- 137 • An element extensibility point is referred to using {any} in place of the element name. This  
138 indicates that any element name can be used, from any namespace other than the wsrn:  
139 namespace.
- 140 • An attribute extensibility point is referred to using @{any} in place of the attribute name. This  
141 indicates that any attribute name can be used, from any namespace other than the wsrn:  
142 namespace.

## 143 1.2 Namespace

144 The XML namespace [XML-ns] URI that MUST be used by implementations of this specification is:

145 <http://docs.oasis-open.org/ws-rx/wsrn/200608>

146 Dereferencing the above URI will produce the Resource Directory Description Language [RDDL 2.0]  
147 document that describes this namespace.

148 Table 1 lists the XML namespaces that are used in this specification. The choice of any namespace prefix  
149 is arbitrary and not semantically significant.

150 Table 1

Prefix	Namespace
S	(Either SOAP 1.1 or 1.2)
S11	<a href="http://schemas.xmlsoap.org/soap/envelope/">http://schemas.xmlsoap.org/soap/envelope/</a>
S12	<a href="http://www.w3.org/2003/05/soap-envelope">http://www.w3.org/2003/05/soap-envelope</a>
wsrn	<a href="http://docs.oasis-open.org/ws-rx/wsrn/200608">http://docs.oasis-open.org/ws-rx/wsrn/200608</a>
wsa	<a href="http://www.w3.org/2005/08/addressing">http://www.w3.org/2005/08/addressing</a>
wsaw	<a href="http://www.w3.org/2006/05/addressing/wsdl">http://www.w3.org/2006/05/addressing/wsdl</a>
wsse	<a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd</a>
xs	<a href="http://www.w3.org/2001/XMLSchema">http://www.w3.org/2001/XMLSchema</a>

151 The normative schema for WS-ReliableMessaging can be found linked from the namespace document  
152 that is located at the namespace URI specified above.

153 All sections explicitly noted as examples are informational and are not to be considered normative.

## 154 1.3 Conformance

155 An implementation is not conformant with this specification if it fails to satisfy one or more of the MUST or  
156 REQUIRED level requirements defined herein. A SOAP Node MUST NOT use the XML namespace  
157 identifier for this specification (listed in Section 1.2) within SOAP Envelopes unless it is conformant with  
158 this specification.

159 Normative text within this specification takes precedence over normative outlines, which in turn take  
160 precedence over the XML Schema [XML Schema Part 1, Part 2] descriptions.

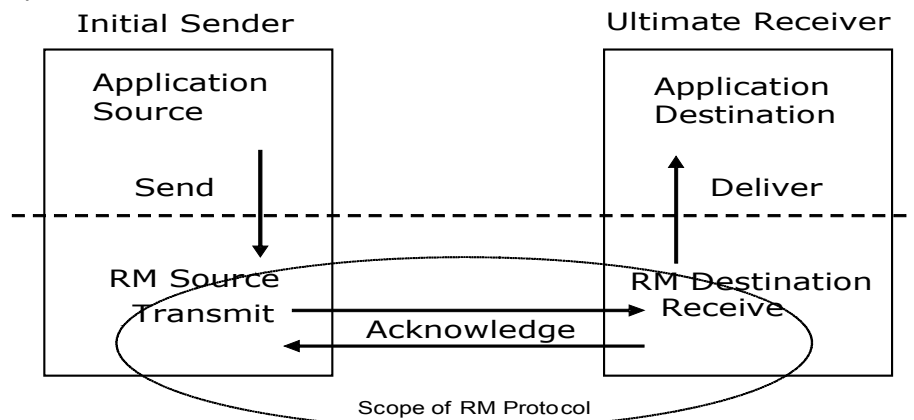
## 161 2 Reliable Messaging Model

162 Many errors can interrupt a conversation. Messages can be lost, duplicated or reordered. Further the host  
163 systems can experience failures and lose volatile state.

164 The WS-ReliableMessaging specification defines an interoperable protocol that enables a Reliable  
165 Messaging (RM) Source to accurately determine the disposition of each message it Transmits as  
166 perceived by the RM Destination, so as to allow it to resolve any in-doubt status regarding receipt of the  
167 message Transmitted. The protocol also enables an RM Destination to efficiently determine which of  
168 those messages it Receives have been previously Received, enabling it to filter out duplicate message  
169 transmissions caused by the retransmission, by the RM Source, of an unacknowledged message. It also  
170 enables an RM Destination to Deliver the messages it Receives to the Application Destination in the order  
171 in which they were sent by an Application Source, in the event that they are Received out of order. Note  
172 that this specification places no restriction on the scope of the RM Source or RM Destination entities. For  
173 example, either can span multiple WSDL Ports or Endpoints.

174 The protocol enables the implementation of a broad range of reliability features which include ordered  
175 Delivery, duplicate elimination, and guaranteed receipt. The protocol can also be implemented with a  
176 range of robustness characteristics ranging from in-memory persistence that is scoped to a single process  
177 lifetime, to replicated durable storage that is recoverable in all but the most extreme circumstances. It is  
178 expected that the Endpoints will implement as many or as few of these reliability characteristics as  
179 necessary for the correct operation of the application using the protocol. Regardless of which of the  
180 reliability features is enabled, the wire protocol does not change.

181 Figure 1 below illustrates the entities and events in a simple reliable exchange of messages. First, the  
182 Application Source Sends a message for reliable transfer. The Reliable Messaging Source accepts the  
183 message and Transmits it one or more times. After accepting the message, the RM Destination  
184 Acknowledges it. Finally, the RM Destination Delivers the message to the Application Destination. The  
185 exact roles the entities play and the complete meaning of the events will be defined throughout this  
186 specification.



187 Figure 1: Reliable Messaging Model

### 188 2.1 Glossary

189 The following definitions are used throughout this specification:

190 **Accept:** The act of qualifying a message by the RM Destination such that it becomes eligible for Delivery  
191 and acknowledgement.

192 **Acknowledgement:** The communication from the RM Destination to the RM Source indicating the  
193 successful receipt of a message.

194 **Acknowledgement Message:** A message containing a `SequenceAcknowledgement` header block.  
195 Acknowledgement Messages may or may not contain a SOAP body.

196 **Acknowledgement Request:** A message containing an `AckRequested` header. Acknowledgement  
197 Requests may or may not contain a SOAP body.

198 **Application Destination:** The Endpoint to which a message is Delivered.

199 **Application Source:** The Endpoint that Sends a message.

200 **Back-channel:** When the underlying transport provides a mechanism to return a transport-protocol  
201 specific response, capable of carrying a SOAP message, without initiating a new connection, this  
202 specification refers to this mechanism as a back-channel.

203 **Deliver:** The act of transferring a message from the RM Destination to the Application Destination.

204 **Endpoint:** As defined in the WS-Addressing specification [[WS-Addressing](#)]; a Web service Endpoint is a  
205 (referenceable) entity, processor, or resource to which Web service messages can be addressed.  
206 Endpoint references (EPRs) convey the information needed to address a Web service Endpoint.

207 **Receive:** The act of reading a message from a network connection and accepting it.

208 **RM Destination:** The Endpoint that Receives messages Transmitted reliably from an RM Source.

209 **RM Protocol Header Block:** One of `Sequence`, `SequenceAcknowledgement`, or `AckRequested`.

210 **RM Source:** The Endpoint that Transmits messages reliably to an RM Destination.

211 **Send:** The act of transferring a message from the Application Source to the RM Source for reliable  
212 transfer.

213 **Sequence Lifecycle Message:** A message that contains one of: `CreateSequence`,  
214 `CreateSequenceResponse`, `CloseSequence`, `CloseSequenceResponse`, `TerminateSequence`,  
215 `TerminateSequenceResponse` as the child element of the SOAP body element.

216 **Sequence Traffic Message:** A message containing a `Sequence` header block.

217 **Transmit:** The act of writing a message to a network connection.

## 218 **2.2 Protocol Preconditions**

219 The correct operation of the protocol requires that a number of preconditions **MUST** be established prior  
220 to the processing of the initial sequenced message:

- 221 • For any single message exchange the RM Source **MUST** have an endpoint reference that uniquely  
222 identifies the RM Destination Endpoint.
- 223 • The RM Source **MUST** have successfully created a `Sequence` with the RM Destination.
- 224 • The RM Source **MUST** be capable of formulating messages that adhere to the RM Destination's  
225 policies.
- 226 • If a secure exchange of messages is **REQUIRED**, then the RM Source and RM Destination **MUST**  
227 have a security context.

## 228 2.3 Protocol Invariants

229 During the lifetime of a Sequence, the following invariants are REQUIRED for correctness:

- 230 • The RM Source MUST assign each message within a Sequence a message number (defined  
231 below) beginning at 1 and increasing by exactly 1 for each subsequent message. These numbers  
232 MUST be assigned in the same order in which messages are sent by the Application Source.
- 233 • Within every Acknowledgement Message it issues, the RM Destination MUST include one or more  
234 AcknowledgementRange child elements that contain, in their collective ranges, the message  
235 number of every message accepted by the RM Destination. The RM Destination MUST exclude, in  
236 the AcknowledgementRange elements, the message numbers of any messages it has not  
237 accepted. If no messages have been received the RM Destination MUST return None instead of an  
238 AcknowledgementRange (s). The RM Destination MAY transmit a Nack for a specific message  
239 or messages in stead of an AcknowledgementRange (s).
- 240 • While the Sequence is not closed or terminated, the RM Source SHOULD retransmit  
241 unacknowledged messages.

## 242 2.4 Example Message Exchange

243 Figure 2 illustrates a possible message exchange between two reliable messaging Endpoints A and B.

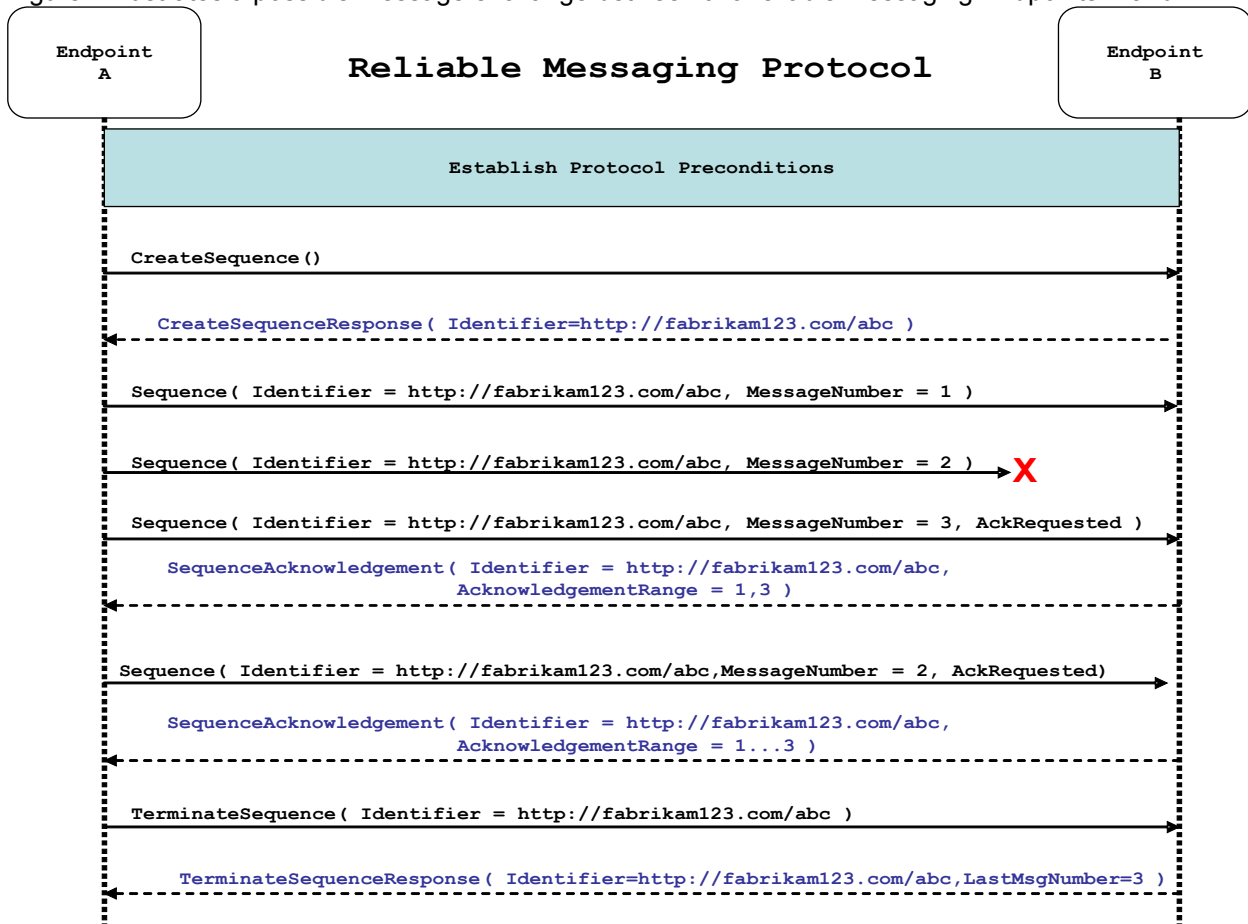


Figure 2: The WS-ReliableMessaging Protocol



- 244 1. The protocol preconditions are established. These include policy exchange, endpoint resolution,  
245 and establishing trust.
- 246 2. The RM Source requests creation of a new Sequence.
- 247 3. The RM Destination creates a new Sequence and returns its unique identifier.
- 248 4. The RM Source begins Transmitting messages in the Sequence beginning with MessageNumber 1.  
249 In the figure above, the RM Source sends 3 messages in the Sequence.
- 250 5. The 2<sup>nd</sup> message in the Sequence is lost in transit.
- 251 6. The 3<sup>rd</sup> message is the last in this Sequence and the RM Source includes an `AckRequested`  
252 header to ensure that it gets a timely `SequenceAcknowledgement` for the Sequence.
- 253 7. The RM Destination acknowledges receipt of message numbers 1 and 3 as a result of receiving the  
254 RM Source's `AckRequested` header.
- 255 8. The RM Source retransmits the unacknowledged message with MessageNumber 2. This is a new  
256 message from the perspective of the underlying transport, but it has the same Sequence Identifier  
257 and MessageNumber so the RM Destination can recognize it as a duplicate of the earlier message,  
258 in case the original and retransmitted messages are both Received. The RM Source includes an  
259 `AckRequested` header in the retransmitted message so the RM Destination will expedite an  
260 acknowledgement.
- 261 9. The RM Destination Receives the second transmission of the message with MessageNumber 2  
262 and acknowledges receipt of message numbers 1, 2, and 3.
- 263 10. The RM Source Receives this Acknowledgement and sends a `TerminateSequence` message to the  
264 RM Destination indicating that the Sequence is completed. The `TerminateSequence` message  
265 indicates that message number 3 was the last message in the Sequence. The RM Destination then  
266 reclaims any resources associated with the Sequence.
- 267 11. The RM Destination Receives the `TerminateSequence` message indicating that the RM Source will  
268 not be sending any more messages. The RM Destination sends a `TerminateSequenceResponse`  
269 message to the RM Source and reclaims any resources associated with the Sequence.

270 The RM Source will expect to Receive Acknowledgements from the RM Destination during the course of a  
271 message exchange at occasions described in Section 3 below. Should an Acknowledgement not be  
272 Received in a timely fashion, the RM Source MUST re-transmit the message since either the message or  
273 the associated Acknowledgement might have been lost. Since the nature and dynamic characteristics of  
274 the underlying transport and potential intermediaries are unknown in the general case, the timing of re-  
275 transmissions cannot be specified. Additionally, over-aggressive re-transmissions have been  
276 demonstrated to cause transport or intermediary flooding which are counterproductive to the intention of  
277 providing a reliable exchange of messages. Consequently, implementers are encouraged to utilize  
278 adaptive mechanisms that dynamically adjust re-transmission time and the back-off intervals that are  
279 appropriate to the nature of the transports and intermediaries envisioned. For the case of TCP/IP  
280 transports, a mechanism similar to that described as RTTM in RFC 1323 [RTTM] SHOULD be  
281 considered.

282 Now that the basic model has been outlined, the details of the elements used in this protocol are now  
283 provided in Section 3.

## 284 **3 RM Protocol Elements**

285 The following sub-sections define the various RM protocol elements, and prescribe their usage by a  
286 conformant implementations.

### 287 **3.1 Considerations on the Use of Extensibility Points**

288 The following protocol elements define extensibility points at various places. Implementations MAY add  
289 child elements and/or attributes at the indicated extension points but MUST NOT contradict the semantics  
290 of the parent and/or owner, respectively. If a receiver does not recognize an extension, the receiver  
291 SHOULD ignore the extension.

### 292 **3.2 Considerations on the Use of "Piggy-Backing"**

293 Some RM Protocol Header Blocks may be added to messages that are targeted to the same Endpoint to  
294 which those headers are to be sent (a concept often referred to as "piggy-backing"), thus saving the  
295 overhead of an additional message exchange. Reference parameters MUST be considered when  
296 determining whether two EPRs are targeted to the same Endpoint. The determination of if and when a  
297 Header Block will be piggy-backed onto another message is made by the entity (RM Source or RM  
298 Destination) that is sending the header. In order to ensure optimal and successful processing of RM  
299 Sequences, endpoints that receive RM-related messages SHOULD be prepared to process RM Protocol  
300 Header Blocks that are included in any message it receives. See the sections that define each RM  
301 Protocol Header Block to know which ones may be considered for piggy-backing.

### 302 **3.3 Composition with WS-Addressing**

303 When the RM protocol, defined in this specification, is composed with the WS-Addressing specification,  
304 the following rules prescribe the constraints on the value of the `wsa:Action` header:

- 305 1. When an Endpoint generates a message that carries an RM protocol element, that is defined in  
306 the following sections, in the body of a SOAP envelope that Endpoint MUST include in that  
307 envelope a `wsa:Action` SOAP header block whose value is an IRI that is a concatenation of the  
308 WS-RM namespace URI, followed by a "/", followed by the value of the local name of the child  
309 element of the SOAP body. For example, for a Sequence creation request message as described  
310 in section 3.4 below, the value of the `wsa:Action` IRI would be:

```
311 http://docs.oasis-open.org/ws-rx/wsrn/200608/CreateSequence
```

- 312 2. When an Endpoint generates an Acknowledgement Message that has no element content in the  
313 SOAP body, then the value of the `wsa:Action` IRI MUST be:

```
314 http://docs.oasis-open.org/ws-rx/wsrn/200608/SequenceAcknowledgement
```

- 315 3. When an Endpoint generates an Acknowledgement Request that has no element content in the  
316 SOAP body, then the value of the `wsa:Action` IRI MUST be:

```
317 http://docs.oasis-open.org/ws-rx/wsrn/200608/AckRequested
```

- 318 4. When an Endpoint generates an RM fault as defined in section 4 below, the value of the  
319 `wsa:Action` IRI MUST be as defined in section 4 below.

## 320 3.4 Sequence Creation

321 The RM Source MUST request creation of an outbound Sequence by sending a `CreateSequence`  
322 element in the body of a message to the RM Destination which in turn responds either with a message  
323 containing `CreateSequenceResponse` or a `CreateSequenceRefused` fault. The RM Source MAY  
324 include an offer to create an inbound Sequence within the `CreateSequence` message. This offer is  
325 either accepted or rejected by the RM Destination in the `CreateSequenceResponse` message.

326 The SOAP version used for the `CreateSequence` message SHOULD be used for all subsequent  
327 messages in or for that Sequence, sent by either the RM Source or the RM Destination.

328 The following exemplar defines the `CreateSequence` syntax:

```
329 <wsrm:CreateSequence ...>
330   <wsrm:AcksTo> wsa:EndpointReferenceType </wsrm:AcksTo>
331   <wsrm:Expires ...> xs:duration </wsrm:Expires> ?
332   <wsrm:Offer ...>
333     <wsrm:Identifier ...> xs:anyURI </wsrm:Identifier>
334     <wsrm:Endpoint> wsa:EndpointReferenceType </wsrm:Endpoint>
335     <wsrm:Expires ...> xs:duration </wsrm:Expires> ?
336     <wsrm:IncompleteSequenceBehavior>
337       wsrml:IncompleteSequenceBehaviorType
338     </wsrm:IncompleteSequenceBehavior> ?
339     ...
340   </wsrm:Offer> ?
341   ...
342 </wsrm:CreateSequence>
```

343 The following describes the content model of the `CreateSequence` element.

344 `/wsrm:CreateSequence`

345 This element requests creation of a new Sequence between the RM Source that sends it, and the RM  
346 Destination to which it is sent. The RM Source MUST NOT send this element as a header block. The RM  
347 Destination MUST respond either with a `CreateSequenceResponse` response message or a  
348 `CreateSequenceRefused` fault.

349 `/wsrm:CreateSequence/wsrm:AcksTo`

350 The RM Source MUST include this element in any `CreateSequence` message it sends. This element is of  
351 type `wsa:EndpointReferenceType` (as specified by WS-Addressing). It specifies the endpoint  
352 reference to which messages containing `SequenceAcknowledgement` header blocks and faults related  
353 to the created Sequence are to be sent, unless otherwise noted in this specification (for example, see  
354 Section 3.5).

355 Implementations MUST NOT use an endpoint reference in the `AcksTo` element that would prevent the  
356 sending of Sequence Acknowledgements back to the RM Source. For example, using the WS-Addressing  
357 "http://www.w3.org/2005/08/addressing/none" IRI would make it impossible for the RM Destination to ever  
358 send Sequence Acknowledgements.

359 `/wsrm:CreateSequence/wsrm:Expires`

360 This element, if present, of type `xs:duration` specifies the RM Source's requested duration for the  
361 Sequence. The RM Destination MAY either accept the requested duration or assign a lesser value of its  
362 choosing. A value of "PT0S" indicates that the Sequence will never expire. Absence of the element  
363 indicates an implied value of "PT0S".

364 `/wsrm:CreateSequence/wsrm:Expires/@{any}`

365 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
366 element.

367 /wsmr:CreateSequence/wsmr:Offer

368 This element, if present, enables an RM Source to offer a corresponding Sequence for the reliable  
369 exchange of messages Transmitted from RM Destination to RM Source.

370 /wsmr:CreateSequence/wsmr:Offer/wsmr:Identifier

371 The RM Source MUST set the value of this element to an absolute URI (conformant with RFC3986 [URI])  
372 that uniquely identifies the offered Sequence.

373 /wsmr:CreateSequence/wsmr:Offer/wsmr:Identifier/@{any}

374 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
375 element.

376 /wsmr:CreateSequence/wsmr:Offer/wsmr:Endpoint

377 An RM Source MUST include this element, of type `wsa:EndpointReferenceType` (as specified by  
378 WS-Addressing). This element specifies the endpoint reference to which Sequence Lifecycle Messages,  
379 Acknowledgement Requests, and fault messages related to the offered Sequence are to be sent.

380 Implementations MUST NOT use an endpoint reference in the Endpoint element that would prevent the  
381 sending of Sequence Lifecycle Message, etc. For example, using the WS-Addressing  
382 "http://www.w3.org/2005/08/addressing/none" IRI would make it impossible for the RM Destination to ever  
383 send Sequence Lifecycle Messages (e.g. `TerminateSequence`) to the RM Source for the Offered  
384 Sequence. ~~Implementations MAY use the WS-MakeConnection anonymous URI template and doing so~~  
385 ~~implies that messages will be retrieved using a mechanism such as the `MakeConnection` message.~~

386 The Offer of an Endpoint containing the "http://www.w3.org/2005/08/addressing/anonymous" IRI as its  
387 address is problematic due to the inability of a source to connect to this address and retry  
388 unacknowledged messages (as described in Section 2.3). When offering a Sequence with such an IRI, an  
389 endpoint MUST ensure that it will provide the protocol back-channel opportunities necessary to carry  
390 Sequence Traffic Messages for the offered Sequence, as well as any Sequence Lifecycle Messages  
391 and/or Acknowledgement Requests that the anonymous RM Destination expects to receive for this  
392 Sequence. In the absence of sufficient assurance that the endpoint offering the sequence will provide  
393 these opportunities, an RM Destination MUST NOT accept (via the  
394 /wsmr:CreateSequenceResponse/wsmr:Accept element described below) an Offer that contains the  
395 "http://www.w3.org/2005/08/addressing/anonymous" IRI as its address.

396 /wsmr:CreateSequence/wsmr:Offer/wsmr:Expires

397 This element, if present, of type `xs:duration` specifies the duration for the offered Sequence. A value of  
398 "PT0S" indicates that the offered Sequence will never expire. Absence of the element indicates an implied  
399 value of "PT0S".

400 /wsmr:CreateSequence/wsmr:Offer/wsmr:Expires/@{any}

401 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
402 element.

403 /wsmr:CreateSequence/wsmr:Offer/wsmr:IncompleteSequenceBehavior

404 This element, if present, specifies the behavior that the destination will exhibit upon the closure or  
405 termination of an incomplete Sequence. For the purposes of defining the values used, the term "discard"  
406 refers to behavior equivalent to the Application Destination never processing a particular message.

407 A value of “DiscardEntireSequence” indicates that the entire Sequence MUST be discarded if the  
408 Sequence is closed, or terminated, when there are one or more gaps in the final  
409 SequenceAcknowledgement.

410 A value of “DiscardFollowingFirstGap” indicates that messages in the Sequence beyond the first gap  
411 MUST be discarded when there are one or more gaps in the final SequenceAcknowledgement.

410 The default value of “NoDiscard” indicates that no acknowledged messages in the Sequence will be  
411 discarded.

410 /wsmr:CreateSequence/wsmr:Offer/{any}

410 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
411 to be passed.

410 /wsmr:CreateSequence/wsmr:Offer/@{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 /wsmr:CreateSequence/{any}

410 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
411 to be passed.

410 /wsmr:CreateSequence/@{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 A CreateSequenceResponse is sent in the body of a response message by an RM Destination in  
411 response to receipt of a CreateSequence request message. It carries the Identifier of the created  
412 Sequence and indicates that the RM Source can begin sending messages in the context of the identified  
413 Sequence.

410 The following exemplar defines the CreateSequenceResponse syntax:

```
410 <wsmr:CreateSequenceResponse ...>  
410   <wsmr:Identifier ...> xs:anyURI </wsmr:Identifier>  
410   <wsmr:Expires ...> xs:duration </wsmr:Expires> ?  
410   <wsmr:IncompleteSequenceBehavior>  
410     wsmr:IncompleteSequenceBehaviorType  
410   </wsmr:IncompleteSequenceBehavior> ?  
410   <wsmr:Accept ...>  
410     <wsmr:AcksTo wsa:EndpointReferenceType </wsmr:AcksTo>  
410     ...  
410   </wsmr:Accept> ?  
410   ...  
410 </wsmr:CreateSequenceResponse>
```

410 The following describes the content model of the CreateSequenceResponse element.

410 /wsmr:CreateSequenceResponse

410 This element is sent in the body of the response message in response to a CreateSequence request  
411 message. It indicates that the RM Destination has created a new Sequence at the request of the RM  
412 Source. The RM Destination MUST NOT send this element as a header block.

410 /wsmr:CreateSequenceResponse/wsmr:Identifier

410 The RM Destination MUST include this element within any `CreateSequenceResponse` message it sends.  
411 The RM Destination MUST set the value of this element to the absolute URI (conformant with RFC3986)  
412 that uniquely identifies the Sequence that has been created by the RM Destination.

410 `/wsrm:CreateSequenceResponse/wsrm:Identifier/@{any}`

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 `/wsrm:CreateSequenceResponse/wsrm:Expires`

410 This element, if present, of type `xs:duration` accepts or refines the RM Source's requested duration for  
411 the Sequence. It specifies the amount of time after which any resources associated with the Sequence  
412 SHOULD be reclaimed thus causing the Sequence to be silently terminated. At the RM Destination this  
413 duration is measured from a point proximate to Sequence creation and at the RM Source this duration is  
414 measured from a point approximate to the successful processing of the `CreateSequenceResponse`. A  
415 value of "PT0S" indicates that the Sequence will never expire. Absence of the element indicates an  
416 implied value of "PT0S". The RM Destination MUST set the value of this element to be equal to or less  
417 than the value requested by the RM Source in the corresponding `CreateSequence` message.

410 `/wsrm:CreateSequenceResponse/wsrm:Expires/@{any}`

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 `/wsrm:CreateSequenceResponse/wsrm:IncompleteSequenceBehavior`

410 This element, if present, specifies the behavior that the destination will exhibit upon the closure or  
411 termination of an incomplete Sequence. For the purposes of defining the values used, the term "discard"  
412 refers to behavior equivalent to the Application Destination never processing a particular message.

410 A value of "DiscardEntireSequence" indicates that the entire Sequence MUST be discarded if the  
411 Sequence is closed, or terminated, when there are one or more gaps in the final  
412 `SequenceAcknowledgement`.

410 A value of "DiscardFollowingFirstGap" indicates that messages in the Sequence beyond the first gap  
411 MUST be discarded when there are one or more gaps in the final `SequenceAcknowledgement`.

410 The default value of "NoDiscard" indicates that no acknowledged messages in the Sequence will be  
411 discarded.

410 `/wsrm:CreateSequenceResponse/wsrm:Accept`

410 This element, if present, enables an RM Destination to accept the offer of a corresponding Sequence for  
411 the reliable exchange of messages Transmitted from RM Destination to RM Source.

410 **Note:** If a `CreateSequenceResponse` is returned without a child `Accept` in response to a  
411 `CreateSequence` that did contain a child `Offer`, then the RM Source MAY immediately reclaim any  
412 resources associated with the unused offered Sequence.

410 `/wsrm:CreateSequenceResponse/wsrm:Accept/wsrm:AcksTo`

410 The RM Destination MUST include this element, of type `wsa:EndpointReferenceType` (as specified  
411 by WS-Addressing). It specifies the endpoint reference to which messages containing  
412 `SequenceAcknowledgement` header blocks and faults related to the created Sequence are to be sent,  
413 unless otherwise noted in this specification (for example, see Section 3.5).

410 Implementations MUST NOT use an endpoint reference in the `AcksTo` element that would prevent the  
411 sending of Sequence Acknowledgements back to the RM Source. For example, using the WS-Addressing

410 "http://www.w3.org/2005/08/addressing/none" IRI would make it impossible for the RM Destination to ever  
411 send Sequence Acknowledgements.

410 `/wsrm:CreateSequenceResponse/wsrm:Accept/{any}`

410 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
411 to be passed.

410 `/wsrm:CreateSequenceResponse/wsrm:Accept/@{any}`

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 `/wsrm:CreateSequenceResponse/{any}`

410 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
411 to be passed.

410 `/wsrm:CreateSequenceResponse/@{any}`

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

### 410 **3.5 Closing A Sequence**

410 There are times during the use of an RM Sequence that the RM Source or RM Destination will wish to  
411 discontinue using a Sequence. Simply terminating the Sequence discards the state managed by the RM  
412 Destination, leaving the RM Source unaware of the final ranges of messages that were successfully  
413 transferred to the RM Destination. To ensure that the Sequence ends with a known final state either the  
414 RM Source or RM Destination MAY choose to close the Sequence before terminating it.

410 If the RM Source wishes to close the Sequence, then it sends a `CloseSequence` element, in the body of  
411 a message, to the RM Destination. This message indicates that the RM Destination MUST NOT accept  
412 any new messages for the specified Sequence, other than those already accepted at the time the  
413 `CloseSequence` element is interpreted by the RM Destination. Upon receipt of this message, or  
414 subsequent to the RM Destination closing the Sequence of its own volition, the RM Destination MUST  
415 include a final `SequenceAcknowledgement` (within which the RM Destination MUST include the `Final`  
416 element) header block on any messages associated with the Sequence destined to the RM Source,  
417 including the `CloseSequenceResponse` message or on any Sequence fault Transmitted to the RM  
418 Source.

410 To allow the RM Destination to determine if it has received all of the messages in a Sequence, the RM  
411 Source SHOULD include the `LastMsgNumber` element in any `CloseSequence` messages it sends. The  
412 RM Destination can use this information, for example, to implement the behavior indicated by  
413 `/wsrm:CreateSequenceResponse/wsrm:IncompleteSequenceBehavior`. The value of the  
414 `LastMsgNumber` element MUST be the same in all the `CloseSequence` messages for the closing  
415 Sequence.

410 If the RM Destination decides to close a Sequence of its own volition, it MAY inform the RM Source of this  
411 event by sending a `CloseSequence` element, in the body of a message, to the `AcksTo` EPR of that  
412 Sequence. The RM Destination MUST include a final `SequenceAcknowledgement` (within which the RM  
413 Destination MUST include the `Final` element) header block in this message and any subsequent  
414 messages associated with the Sequence destined to the RM Source.

410 While the RM Destination MUST NOT accept any new messages for the specified Sequence it MUST still  
411 process Sequence Lifecycle Messages and Acknowledgement Requests. For example, it MUST respond to

410 AckRequested, TerminateSequence as well as CloseSequence messages. Note, subsequent  
411 CloseSequence messages have no effect on the state of the Sequence.

410 In the case where the RM Destination wishes to discontinue use of a Sequence it is RECOMMENDED  
411 that it close the Sequence. Please see Final and the SequenceClosed fault. Whenever possible the  
412 SequenceClosed fault SHOULD be used in place of the SequenceTerminated fault to allow the RM  
413 Source to still Receive Acknowledgements.

410 The following exemplar defines the CloseSequence syntax:

```
410 <wsrm:CloseSequence ...>  
410   <wsrm:Identifier ...> xs:anyURI </wsrm:Identifier>  
410   <wsrm:LastMsgNumber> wsrm:MessageNumberType </wsrm:LastMsgNumber> ?  
410   ...  
410 </wsrm:CloseSequence>
```

410 The following describes the content model of the CloseSequence element.

410 /wsrm:CloseSequence

410 This element MAY be sent by an RM Source to indicate that the RM Destination MUST NOT accept any  
411 new messages for this Sequence This element MAY also be sent by an RM Destination to indicate that it  
412 will not accept any new messages for this Sequence.

410 /wsrm:CloseSequence/wsrm:Identifier

410 The RM Source or RM Destination MUST include this element in any CloseSequence messages it sends.  
411 The RM Source or RM Destination MUST set the value of this element to the absolute URI (conformant  
412 with RFC3986) of the closing Sequence.

410 /wsrm:CloseSequence/wsrm:LastMessageNumber

410 The RM Source SHOULD include this element in any CloseSequence message it sends. The  
411 LastMsgNumber element specifies the highest assigned message number of all the Sequence Traffic  
412 Messages for the closing Sequence.

410 /wsrm:CloseSequence/wsrm:Identifier/@{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 /wsrm:CloseSequence/{any}

410 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
411 to be passed.

410 /wsrm:CloseSequence@{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 A CloseSequenceResponse is sent in the body of a message in response to receipt of a  
411 CloseSequence request message. It indicates that the responder has closed the Sequence.

410 The following exemplar defines the CloseSequenceResponse syntax:

```
410 <wsrm:CloseSequenceResponse ...>  
410   <wsrm:Identifier ...> xs:anyURI </wsrm:Identifier>  
410   ...  
410 </wsrm:CloseSequenceResponse>
```

410 The following describes the content model of the CloseSequenceResponse element.



410 /wsmr:CloseSequenceResponse

410 This element is sent in the body of a message in response to receipt of a `CloseSequence` request  
411 message. It indicates that the responder has closed the Sequence.

410 /wsmr:CloseSequenceResponse/wsmr:Identifier

410 The responder (RM Source or RM Destination) MUST include this element in any  
411 `CloseSequenceResponse` message it sends. The responder MUST set the value of this element to the  
412 absolute URI (conformant with RFC3986) of the closing Sequence.

410 /wsmr:CloseSequenceResponse/wsmr:Identifier/@{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 /wsmr:CloseSequenceResponse/{any}

410 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
411 to be passed.

410 /wsmr:CloseSequenceResponse@{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

### 410 3.6 Sequence Termination

410 When the RM Source has completed its use of the Sequence it sends a `TerminateSequence` element,  
411 in the body of a message, to the RM Destination to indicate that the Sequence is complete and that it will  
412 not be sending any further messages related to the Sequence. The RM Destination can safely reclaim any  
413 resources associated with the Sequence upon receipt of the `TerminateSequence` message. Under  
414 normal usage the RM Source will complete its use of the Sequence when all of the messages in the  
415 Sequence have been acknowledged. However, the RM Source is free to Terminate or Close a Sequence  
416 at any time regardless of the acknowledgement state of the messages.

410 To allow the RM Destination to determine if it has received all of the messages in a Sequence, the RM  
411 Source SHOULD include the `LastMsgNumber` element in any `TerminateSequence` messages it sends.  
412 The RM Destination can use this information, for example, to implement the behavior indicated by  
413 `/wsmr:CreateSequenceResponse/wsmr:IncompleteSequenceBehavior`. The value of the  
414 `LastMsgNumber` element in the `TerminateSequence` message MUST be equal to the value of the  
415 `LastMsgNumber` element in any `CloseSequence` message(s) sent by the RM Source for the same  
416 Sequence.

410 If the RM Destination decides to terminate a Sequence of its own volition, it MAY inform the RM Source of  
411 this event by sending a `TerminateSequence` element, in the body of a message, to the `AcksTo` EPR for  
412 that Sequence. The RM Destination MUST include a final `SequenceAcknowledgement` (within which  
413 the RM Destination MUST include the `Final` element) header block in this message.

410 The following exemplar defines the `TerminateSequence` syntax:

```
410 <wsmr:TerminateSequence ...>  
410   <wsmr:Identifier ...> xs:anyURI </wsmr:Identifier>  
410   <wsmr>LastMsgNumber> wsmr:MessageNumberType </wsmr>LastMsgNumber> ?  
410   ...  
410 </wsmr:TerminateSequence>
```

410 The following describes the content model of the `TerminateSequence` element.

410 /wsm:TerminateSequence

410 This element MAY be sent by an RM Source to indicate it has completed its use of the Sequence. It  
411 indicates that the RM Destination can safely reclaim any resources related to the identified Sequence. The  
412 RM Source MUST NOT send this element as a header block. The RM Source MAY retransmit this  
413 element. Once this element is sent, other than this element, the RM Source MUST NOT send any  
414 additional message to the RM Destination referencing this Sequence.

410 This element MAY also be sent by the RM Destination to indicate that it has unilaterally terminated the  
411 Sequence. Upon sending this message the RM Destination MUST NOT accept any additional messages  
412 (with the exception of the corresponding `TerminateSequenceResponse`) for this Sequence. Upon  
413 receipt of a `TerminateSequence` the RM Source MUST NOT send any additional messages (with the  
414 exception of the corresponding `TerminateSequenceResponse`) for this Sequence.

410 /wsm:TerminateSequence/wsm:Identifier

410 The RM Source or RM Destination MUST include this element in any `TerminateSequence` message it  
411 sends. The RM Source or RM Destination MUST set the value of this element to the absolute URI  
412 (conformant with RFC3986) of the terminating Sequence.

410 /wsm:TerminateSequence/wsm:LastMsgNumber

410 The RM Source SHOULD include this element in any `TerminateSequence` message it sends. The  
411 `LastMsgNumber` element specifies the highest assigned message number of all the Sequence Traffic  
412 Messages for the closing Sequence.

410 /wsm:TerminateSequence/wsm:Identifier/@{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 /wsm:TerminateSequence/{any}

410 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
411 to be passed.

410 /wsm:TerminateSequence/@{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 A `TerminateSequenceResponse` is sent in the body of a message in response to receipt of a  
411 `TerminateSequence` request message. It indicates that responder has terminated the Sequence.

410 The following exemplar defines the `TerminateSequenceResponse` syntax:

```
410 <wsm:TerminateSequenceResponse ...>  
410   <wsm:Identifier ...> xs:anyURI </wsm:Identifier>  
410   ...  
410 </wsm:TerminateSequenceResponse>
```

410 The following describes the content model of the `TerminateSequence` element.

410 /wsm:TerminateSequenceResponse

410 This element is sent in the body of a message in response to receipt of a `TerminateSequence` request  
411 message. It indicates that the responder has terminated the Sequence. The responder MUST NOT send  
412 this element as a header block.

410 /wsm:TerminateSequenceResponse/wsm:Identifier

410 The responder (RM Source or RM Destination) MUST include this element in any  
411 `TerminateSequenceResponse` message it sends. The responder MUST set the value of this element  
412 to the absolute URI (conformant with RFC3986) of the terminating Sequence.

410 `/wsrm:TerminateSequenceResponse/wsrm:Identifier/@{any}`

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 `/wsrm:TerminateSequenceResponse/{any}`

410 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
411 to be passed.

410 `/wsrm:TerminateSequenceResponse/@{any}`

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 On receipt of a `TerminateSequence` message the receiver (RM Source or RM Destination) MUST  
411 respond with a corresponding `TerminateSequenceResponse` message or generate a fault  
412 `UnknownSequenceFault` if the Sequence is not known.

### 410 3.7 Sequences

410 The RM protocol uses a Sequence header block to track and manage the reliable transfer of messages.  
411 The RM Source MUST include a `Sequence` header block in all messages for which reliable transfer is  
412 REQUIRED. The RM Source MUST identify Sequences with unique Identifier elements and the RM  
413 Source MUST assign each message within a Sequence a `MessageNumber` element that increments by 1  
414 from an initial value of 1. These values are contained within a `Sequence` header block accompanying  
415 each message being transferred in the context of a Sequence.

410 The RM Source MUST NOT include more than one `Sequence` header block in any message.

410 A following exemplar defines its syntax:

```
410 <wsrm:Sequence ...>  
410   <wsrm:Identifier ...> xs:anyURI </wsrm:Identifier>  
410   <wsrm:MessageNumber> wsrm:MessageNumberType </wsrm:MessageNumber>  
410   ...  
410 </wsrm:Sequence>
```

410 The following describes the content model of the `Sequence` header block.

410 `/wsrm:Sequence`

410 This protocol element associates the message in which it is contained with a previously established RM  
411 Sequence. It contains the Sequence's unique identifier and the containing message's ordinal position  
412 within that Sequence. The RM Destination MUST understand the `Sequence` header block. The RM  
413 Source MUST assign a `mustUnderstand` attribute with a value 1/true (from the namespace  
414 corresponding to the version of SOAP to which the `Sequence` SOAP header block is bound) to the  
415 `Sequence` header block element.

410 `/wsrm:Sequence/wsrm:Identifier`

410 An RM Source that includes a `Sequence` header block in a SOAP envelope MUST include this element in  
411 that header block. The RM Source MUST set the value of this element to the absolute URI (conformant  
412 with RFC3986) that uniquely identifies the Sequence.

410 /wsmr:Sequence/wsmr:Identifier/{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 /wsmr:Sequence/wsmr:MessageNumber

410 The RM Source MUST include this element within any Sequence headers it creates. This element is of  
411 type `MessageNumberType`. It represents the ordinal position of the message within a Sequence.  
412 Sequence message numbers start at 1 and monotonically increase by 1 throughout the Sequence. See  
413 Section 4.5 for Message Number Rollover fault.

410 /wsmr:Sequence/{any}

410 This is an extensibility mechanism to allow different types of information, based on a schema, to be  
411 passed.

410 /wsmr:Sequence/{any}

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 The following example illustrates a Sequence header block.

```
410 <wsmr:Sequence>  
410   <wsmr:Identifier>http://example.com/abc</wsmr:Identifier>  
410   <wsmr:MessageNumber>10</wsmr:MessageNumber>  
410 </wsmr:Sequence>
```

## 410 3.8 Request Acknowledgement

410 The purpose of the `AckRequested` header block is to signal to the RM Destination that the RM Source is  
411 requesting that a `SequenceAcknowledgement` be sent.

410 The RM Source MAY request an Acknowledgement Message from the RM Destination at any time by  
411 independently transmitting an `AckRequested` header block (i.e. as a header of a SOAP envelope with an  
412 empty body). Alternatively the RM Source MAY include an `AckRequested` header block in any message  
413 targeted to the RM Destination. The RM Destination SHOULD process `AckRequested` header blocks  
414 that are included in any message it receives. If a non-mustUnderstand fault occurs when processing an  
415 `AckRequested` header block that was piggy-backed, a fault MUST be generated, but the processing of  
416 the original message MUST NOT be affected.

410 An RM Destination that Receives a message that contains an `AckRequested` header block MUST send  
411 a message containing a `SequenceAcknowledgement` header block to the `AcksTo` endpoint reference  
412 (see Section 3.4) for a known Sequence or else generate an `UnknownSequence` fault. It is  
413 RECOMMENDED that the RM Destination return a `AcknowledgementRange` or `None` element instead  
414 of a `Nack` element (see Section 3.9).

410 The following exemplar defines its syntax:

```
410 <wsmr:AckRequested ...>  
410   <wsmr:Identifier ...> xs:anyURI </wsmr:Identifier>  
410   ...  
410 </wsmr:AckRequested>
```

410 The following describes the content model of the `AckRequested` header block.

410 /wsmr:AckRequested

410 This element requests an Acknowledgement for the identified Sequence.

410 /wsmr:AckRequested/wsmr:Identifier  
410 An RM Source that includes an `AckRequested` header block in a SOAP envelope MUST include this  
411 element in that header block. The RM Source MUST set the value of this element to the absolute URI,  
412 (conformant with RFC3986), that uniquely identifies the Sequence to which the request applies.

410 /wsmr:AckRequested/wsmr:Identifier/@{any}  
410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 /wsmr:AckRequested/{any}  
410 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
411 to be passed.

410 /wsmr:AckRequested/@{any}  
410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

### 410 3.9 Sequence Acknowledgement

410 The RM Destination informs the RM Source of successful message receipt using a  
411 `SequenceAcknowledgement` header block. Acknowledgements can be explicitly requested using the  
412 `AckRequested` directive (see Section 3.8).

410 The RM Destination MAY Transmit the `SequenceAcknowledgement` header block independently (i.e.  
411 As a header of a SOAP envelope with an empty body). Alternatively, an RM Destination MAY include a  
412 `SequenceAcknowledgement` header block on any SOAP envelope targeted to the endpoint referenced  
413 by the `AcksTo` EPR. The RM Source SHOULD process `SequenceAcknowledgement` header blocks  
414 that are included in any message it receives. If a non-mustUnderstand fault occurs when processing a  
415 `SequenceAcknowledgement` header that was piggy-backed, a fault MUST be generated, but the  
416 processing of the original message MUST NOT be affected.

410 During creation of a Sequence the RM Source MAY specify the WS-Addressing anonymous IRI as the  
411 address of the `AcksTo` EPR for that Sequence. When the RM Source specifies the WS-Addressing  
412 anonymous IRI as the address of the `AcksTo` EPR, the RM Destination MUST Transmit any  
413 `SequenceAcknowledgement` headers for the created Sequence in a SOAP envelope to be Transmitted  
414 on the protocol binding-specific back-channel. Such a channel is provided by the context of a Received  
415 message containing a SOAP envelope that contains a `Sequence` header block and/or an `AckRequested`  
416 header block for that same Sequence identifier. When the RM Destination receives an `AckRequested`  
417 header, and the `AckTo` EPR for that sequence is the WS-Addressing anonymous IRI, the RM Destination  
418 SHOULD respond on the protocol binding-specific back-channel provided by the Received message  
419 containing the `AckRequested` header block.

410 The following exemplar defines its syntax:

```
410 <wsmr:SequenceAcknowledgement ...>  
410   <wsmr:Identifier ...> xs:anyURI </wsmr:Identifier>  
410   [ [ [ <wsmr:AcknowledgementRange ...  
410     Upper="wsmr:MessageNumberType"  
410     Lower="wsmr:MessageNumberType" /> +  
410     | <wsmr:None/> ]  
410     <wsmr:Final/> ? ]  
410   | <wsmr:Nack> wsmr:MessageNumberType </wsmr:Nack> + ]  
410   ...
```

410 `</wsrm:SequenceAcknowledgement>`

410 The following describes the content model of the `SequenceAcknowledgement` header block.

410 `/wsrm:SequenceAcknowledgement`

410 This element contains the Sequence Acknowledgement information.

410 `/wsrm:SequenceAcknowledgement/wsrm:Identifier`

410 An RM Destination that includes a `SequenceAcknowledgement` header block in a SOAP envelope  
411 MUST include this element in that header block. The RM Destination MUST set the value of this element  
412 to the absolute URI (conformant with RFC3986) that uniquely identifies the Sequence. The RM  
413 Destination MUST NOT include multiple `SequenceAcknowledgement` header blocks that share the  
414 same value for `Identifier` within the same SOAP envelope.

410 `/wsrm:SequenceAcknowledgement/wsrm:Identifier/@{any}`

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 `/wsrm:SequenceAcknowledgement/wsrm:AcknowledgementRange`

410 The RM Destination MAY include one or more instances of this element within a  
411 `SequenceAcknowledgement` header block. It contains a range of Sequence message numbers  
412 successfully accepted by the RM Destination. The ranges MUST NOT overlap. The RM Destination  
413 MUST NOT include this element if a sibling `Nack` or `None` element is also present as a child of  
414 `SequenceAcknowledgement`.

410 `/wsrm:SequenceAcknowledgement/wsrm:AcknowledgementRange/@Upper`

410 The RM Destination MUST set the value of this attribute equal to the message number of the highest  
411 contiguous message in a Sequence range accepted by the RM Destination.

410 `/wsrm:SequenceAcknowledgement/wsrm:AcknowledgementRange/@Lower`

410 The RM Destination MUST set the value of this attribute equal to the message number of the lowest  
411 contiguous message in a Sequence range accepted by the RM Destination.

410 `/wsrm:SequenceAcknowledgement/wsrm:AcknowledgementRange/@{any}`

410 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
411 element.

410 `/wsrm:SequenceAcknowledgement/wsrm:None`

410 The RM Destination MUST include this element within a `SequenceAcknowledgement` header block if  
411 the RM Destination has not accepted any messages for the specified Sequence. The RM Destination  
412 MUST NOT include this element if a sibling `AcknowledgementRange` or `Nack` element is also present  
413 as a child of the `SequenceAcknowledgement`.

410 `/wsrm:SequenceAcknowledgement/wsrm:Final`

410 The RM Destination MAY include this element within a `SequenceAcknowledgement` header block. This  
411 element indicates that the RM Destination is not receiving new messages for the specified Sequence. The  
412 RM Source can be assured that the ranges of messages acknowledged by this  
413 `SequenceAcknowledgement` header block will not change in the future. The RM Destination MUST  
414 include this element when the Sequence is closed. The RM Destination MUST NOT include this element  
415 when sending a `Nack`; it can only be used when sending `AcknowledgementRange` elements or a `None`.

410 /wsm:SequenceAcknowledgement/wsm:Nack

411 The RM Destination MAY include this element within a SequenceAcknowledgement header block. If  
412 used, the RM Destination MUST set the value of this element to a MessageNumberType representing  
413 the MessageNumber of an unreceived message in a Sequence. The RM Destination MUST NOT include  
414 a Nack element if a sibling AcknowledgementRange or None element is also present as a child of  
415 SequenceAcknowledgement. Upon the receipt of a Nack, an RM Source SHOULD retransmit the  
416 message identified by the Nack. The RM Destination MUST NOT issue a SequenceAcknowledgement  
417 containing a Nack for a message that it has previously acknowledged within a  
418 AcknowledgementRange. The RM Source SHOULD ignore a SequenceAcknowledgement containing  
419 a Nack for a message that has previously been acknowledged within a AcknowledgementRange.

420 /wsm:SequenceAcknowledgement/{any}

421 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
422 to be passed.

423 /wsm:SequenceAcknowledgement/@{any}

424 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
425 element.

426 The following examples illustrate SequenceAcknowledgement elements:

- 427 • Message numbers 1..10 inclusive in a Sequence have been accepted by the RM Destination.

```
428 <wsm:SequenceAcknowledgement>  
429   <wsm:Identifier>http://example.com/abc</wsm:Identifier>  
430   <wsm:AcknowledgementRange Upper="10" Lower="1"/>  
431 </wsm:SequenceAcknowledgement>
```

- 432 • Message numbers 1..2, 4..6, and 8..10 inclusive in a Sequence have been accepted by the RM  
433 Destination, messages 3 and 7 have not been accepted.

```
434 <wsm:SequenceAcknowledgement>  
435   <wsm:Identifier>http://example.com/abc</wsm:Identifier>  
436   <wsm:AcknowledgementRange Upper="2" Lower="1"/>  
437   <wsm:AcknowledgementRange Upper="6" Lower="4"/>  
438   <wsm:AcknowledgementRange Upper="10" Lower="8"/>  
439 </wsm:SequenceAcknowledgement>
```

- 440 • Message number 3 in a Sequence has not been accepted by the RM Destination.

```
441 <wsm:SequenceAcknowledgement>  
442   <wsm:Identifier>http://example.com/abc</wsm:Identifier>  
443   <wsm:Nack>3</wsm:Nack>  
444 </wsm:SequenceAcknowledgement>
```

## 445 4 Faults

445 Faults for the `CreateSequence` message exchange are treated as defined in WS-Addressing. Create  
446 Sequence Refused is a possible fault reply for this operation. Unknown Sequence is a fault generated by  
447 Endpoints when messages carrying RM header blocks targeted at unrecognized or terminated Sequences  
448 are detected. WSRM Required is a fault generated an RM Destination that requires the use of WS-RM on  
449 a Received message that did not use the protocol. All other faults in this section relate to known  
450 Sequences. Destinations that generate faults related to known sequences SHOULD transmit those faults.  
451 If transmitted, such faults MUST be transmitted to the same [destination] as Acknowledgement  
452 messages.

445 Entities that generate WS-ReliableMessaging faults MUST include as the [action] property the default fault  
446 action IRI defined below. The value from the W3C Recommendation is below for informational purposes:

445 `http://docs.oasis-open.org/ws-rx/wsrn/200608/fault`

445 The faults defined in this section are generated if the condition stated in the preamble is met. Fault  
446 handling rules are defined in section 6 of WS-Addressing SOAP Binding.

445 The definitions of faults use the following properties:

445 [Code] The fault code.

445 [Subcode] The fault subcode.

445 [Reason] The English language reason element.

445 [Detail] The detail element(s). If absent, no detail element is defined for the fault. If more than one detail  
446 element is defined for a fault, implementations MUST include the elements in the order that they are  
447 specified.

445 Entities that generate WS-ReliableMessaging faults MUST set the [Code] property to either "Sender" or  
446 "Receiver". These properties are serialized into text XML as follows:

SOAP Version	Sender	Receiver
SOAP 1.1	S11:Client	S11:Server
SOAP 1.2	S:Sender	S:Receiver

445 The properties above bind to a SOAP 1.2 fault as follows:

```
445 <S:Envelope>
445   <S:Header>
445     <wsa:Action>
445       http://docs.oasis-open.org/ws-rx/wsrn/200608/fault
445     </wsa:Action>
445     <!-- Headers elided for brevity. -->
445   </S:Header>
445   <S:Body>
445     <S:Fault>
445       <S:Code>
445         <S:Value> [Code] </S:Value>
445         <S:Subcode>
445           <S:Value> [Subcode] </S:Value>
445         </S:Subcode>
445       </S:Code>
445       <S:Reason>
445         <S:Text xml:lang="en"> [Reason] </S:Text>
445       </S:Reason>
445     <S:Detail>
```



```

445     [Detail]
445     ...
445     </S:Detail>
445     </S:Fault>
445     </S:Body>
445     </S:Envelope>

```

445 The properties above bind to a SOAP 1.1 fault as follows when the fault is triggered by processing an RM  
446 header block:

```

445 <S11:Envelope>
445   <S11:Header>
445     <wsrm:SequenceFault>
445       <wsrm:FaultCode> wsrm:FaultCodes </wsrm:FaultCode>
445       <wsrm:Detail> [Detail] </wsrm:Detail>
445       ...
445     </wsrm:SequenceFault>
445     <!-- Headers elided for brevity. -->
445   </S11:Header>
445   <S11:Body>
445     <S11:Fault>
445       <faultcode> [Code] </faultcode>
445       <faultstring> [Reason] </faultstring>
445     </S11:Fault>
445   </S11:Body>
445 </S11:Envelope>

```

445 The properties bind to a SOAP 1.1 fault as follows when the fault is generated as a result of processing a  
446 `CreateSequence` request message:

```

445 <S11:Envelope>
445   <S11:Body>
445     <S11:Fault>
445       <faultcode> [Subcode] </faultcode>
445       <faultstring> [Reason] </faultstring>
445     </S11:Fault>
445   </S11:Body>
445 </S11:Envelope>

```

## 445 4.1 SequenceFault Element

445 The purpose of the `SequenceFault` element is to carry the specific details of a fault generated during  
446 the reliable messaging specific processing of a message belonging to a Sequence. WS-  
447 ReliableMessaging nodes MUST use the `SequenceFault` container only in conjunction with the SOAP  
448 1.1 fault mechanism. WS-ReliableMessaging nodes MUST NOT use the `SequenceFault` container in  
449 conjunction with the SOAP 1.2 binding.

445 The following exemplar defines its syntax:

```

445 <wsrm:SequenceFault ...>
445   <wsrm:FaultCode> wsrm:FaultCodes </wsrm:FaultCode>
445   <wsrm:Detail> ... </wsrm:Detail> ?
445   ...
445 </wsrm:SequenceFault>

```

445 The following describes the content model of the `SequenceFault` element.

445 `/wsrm:SequenceFault`

445 This is the element containing Sequence information for WS-ReliableMessaging

445 /wsm:SequenceFault/wsm:FaultCode  
 445 WS-ReliableMessaging nodes that generate a `SequenceFault` MUST set the value of this element to a  
 446 qualified name from the set of fault [Subcodes] defined below.

445 /wsm:SequenceFault/wsm:Detail  
 445 This element, if present, carries application specific error information related to the fault being described.

445 /wsm:SequenceFault/wsm:Detail/{any}  
 445 The application specific error information related to the fault being described.

445 /wsm:SequenceFault/wsm:Detail/@{any}  
 445 The application specific error information related to the fault being described.

445 /wsm:SequenceFault/{any}  
 445 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,  
 446 to be passed.

445 /wsm:SequenceFault/@{any}  
 445 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the  
 446 element.

## 445 4.2 Sequence Terminated

445 The Endpoint that generates this fault SHOULD make every reasonable effort to notify the corresponding  
 446 Endpoint of this decision.

445 Properties:

445 [Code] Sender or Receiver

445 [Subcode] wsm:SequenceTerminated

445 [Reason] The Sequence has been terminated due to an unrecoverable error.

445 [Detail]

445 `<wsm:Identifier ...> xs:anyURI </wsm:Identifier>`

Generated by	Condition	Action Upon Generation	Action Upon Receipt
RM Source or RM Destination.	Encountering an unrecoverable condition or detection of violation of the protocol.	Sequence termination.	MUST terminate the Sequence if not otherwise terminated.

## 445 4.3 Unknown Sequence

445 Properties:

445 [Code] Sender

445 [Subcode] wsm:UnknownSequence

445 [Reason] The value of wsrn:Identifier is not a known Sequence identifier.

445 [Detail]

```
445 <wsrm:Identifier ...> xs:anyURI </wsrm:Identifier>
```

Generated by	Condition	Action Upon Generation	Action Upon Receipt
RM Source or RM Destination.	In response to a message containing an unknown or terminated Sequence identifier.	None.	MUST terminate the Sequence if not otherwise terminated.

#### 445 4.4 Invalid Acknowledgement

445 An example of when this fault is generated is when a message is Received by the RM Source containing  
446 a SequenceAcknowledgement covering messages that have not been sent.

445 [Code] Sender

445 [Subcode] wsrn:InvalidAcknowledgement

445 [Reason] The SequenceAcknowledgement violates the cumulative Acknowledgement invariant.

445 [Detail]

```
445 <wsrm:SequenceAcknowledgement ...> ... </wsrm:SequenceAcknowledgement>
```

Generated by	Condition	Action Upon Generation	Action Upon Receipt
RM Source.	In response to a SequenceAcknowledgement that violate the invariants stated in 2.3 or any of the requirements in 3.9 about valid combinations of AckRange, Nack and None in a single SequenceAcknowledgement element or with respect to already Received such elements.	Unspecified.	Unspecified.

#### 445 4.5 Message Number Rollover

445 If the condition listed below is reached, the RM Destination MUST generate this fault.

445 Properties:

445 [Code] Sender

445 [Subcode] wsrn:MessageNumberRollover

445 [Reason] The maximum value for wsrn:MessageNumber has been exceeded.

445 [Detail]

```
445 <wsrm:Identifier ...> xs:anyURI </wsrm:Identifier>  
446 <wsrm:MaxMessageNumber> wsrm:MessageNumberType </wsrm:MaxMessageNumber>
```

Generated by	Condition	Action Upon Generation	Action Upon Receipt
RM Destination.	Message number in /wsrm:Sequence/wsrm:MessageNumber of a Received message exceeds the internal limitations of an RM Destination or reaches the maximum value of 9,223,372,036,854,775,807.	RM Destination SHOULD continue to accept undelivered messages until the Sequence is closed or terminated.	RM Source SHOULD continue to retransmit undelivered messages until the Sequence is closed or terminated.

## 445 4.6 Create Sequence Refused

445 Properties:

445 [Code] Sender or Receiver

445 [Subcode] wsrm:CreateSequenceRefused

445 [Reason] The Create Sequence request has been refused by the RM Destination.

445 [Detail]

```
445 xs:any
```

Generated by	Condition	Action Upon Generation	Action Upon Receipt
RM Destination.	In response to a CreateSequence message when the RM Destination does not wish to create a new Sequence.	Unspecified.	Sequence terminated.

## 445 4.7 Sequence Closed

445 This fault is generated by an RM Destination to indicate that the specified Sequence has been closed.

446 This fault MUST be generated when an RM Destination is asked to accept a message for a Sequence that  
447 is closed.

445 Properties:

445 [Code] Sender

445 [Subcode] wsrm:SequenceClosed

445 [Reason] The Sequence is closed and can not accept new messages.

445 [Detail]

```
445 <wsrm:Identifier...> xs:anyURI </wsrm:Identifier>
```

Generated by	Condition	Action Upon Generation	Action Upon Receipt
RM Destination.	In response to a message that belongs to a Sequence that is already closed.	Unspecified.	Sequence closed.

## 445 4.8 WSRM Required

445 If an RM Destination requires the use of WS-RM, this fault is generated when it Receives an incoming  
446 message that did not use this protocol.

445 Properties:

445 [Code] Sender

445 [Subcode] wsrm:WSRMRequired

445 [Reason] The RM Destination requires the use of WSRM.

445 [Detail]

```
445 xs:any
```

## 445 **5 Security Threats and Countermeasures**

445 This specification considers two sets of security requirements, those of the applications that use the WS-  
446 RM protocol and those of the protocol itself.

445 This specification makes no assumptions about the security requirements of the applications that use WS-  
446 RM. However, once those requirements have been satisfied within a given operational context, the  
447 addition of WS-RM to this operational context should not undermine the fulfillment of those requirements;  
448 the use of WS-RM should not create additional attack vectors within an otherwise secure system.

445 There are many other security concerns that one may need to consider when implementing or using this  
446 protocol. The material below should not be considered as a "check list". Implementers and users of this  
447 protocol are urged to perform a security analysis to determine their particular threat profile and the  
448 appropriate responses to those threats.

445 Implementers are also advised that there is a core tension between security and reliable messaging that  
446 can be problematic if not addressed by implementations; one aspect of security is to prevent message  
447 replay but one of the invariants of this protocol is to resend messages until they are acknowledged.  
448 Consequently, if the security sub-system processes a message but a failure occurs before the reliable  
449 messaging sub-system Receives that message, then it is possible (and likely) that the security sub-system  
450 will treat subsequent copies as replays and discard them. At the same time, the reliable messaging sub-  
451 system will likely continue to expect and even solicit the missing message(s). Care should be taken to  
452 avoid and prevent this condition.

### 445 **5.1 Threats and Countermeasures**

445 The primary security requirement of this protocol is to protect the specified semantics and protocol  
446 invariants against various threats. The following sections describe several threats to the integrity and  
447 operation of this protocol and provide some general outlines of countermeasures to those threats.  
448 Implementers and users of this protocol should keep in mind that all threats are not necessarily applicable  
449 to all operational contexts.

#### 445 **5.1.1 Integrity Threats**

445 In general, any mechanism which allows an attacker to alter the information in a Sequence Traffic  
446 Message, Sequence Lifecycle Message, Acknowledgement Messages, Acknowledgement Request, or  
447 Sequence-related fault, or which allows an attacker to alter the correlation of a RM Protocol Header Block  
448 to its intended message represents a threat to the WS-RM protocol.

445 For example, if an attacker is able to swap `Sequence` headers on messages in transit between the RM  
446 Source and RM Destination then they have undermined the implementation's ability to guarantee the first  
447 invariant described in Section 2.3. The result is that there is no way of guaranteeing that messages will be  
448 Delivered to the Application Destination in the same order that they were sent by the Application Source.

##### 445 **5.1.1.1 Countermeasures**

445 Integrity threats are generally countered via the use of digital signatures some level of the communication  
446 protocol stack. Note that, in order to counter header swapping attacks, the signature SHOULD include  
447 both the SOAP body and any relevant SOAP headers (e.g. `Sequence` header). Because some headers  
448 (`AckRequested`, `SequenceAcknowledgement`) are independent of the body of the SOAP message in which  
449 they occur, implementations MUST allow for signatures that cover only these headers.

## 445 **5.1.2 Resource Consumption Threats**

445 The creation of a Sequence with an RM Destination consumes various resources on the systems used to  
446 implement that RM Destination. These resources can include network connections, database tables,  
447 message queues, etc. This behavior can be exploited to conduct denial of service attacks against an RM  
448 Destination. For example, a simple attack is to repeatedly send `CreateSequence` messages to an RM  
449 Destination. Another attack is to create a Sequence for a service that is known to require in-order  
450 message Delivery and use this Sequence to send a stream of very large messages to that service,  
451 making sure to omit message number “1” from that stream.

### 445 **5.1.2.1 Countermeasures**

445 There are a number of countermeasures against the described resource consumption threats. The  
446 technique advocated by this specification is for the RM Destination to restrict the ability to create a  
447 Sequence to a specific set of entities/principals. This reduces the number of potential attackers and, in  
448 some cases, allows the identity of any attackers to be determined.

445 The ability to restrict Sequence creation depends, in turn, upon the RM Destination's ability identify and  
446 authenticate the RM Source that issued the `CreateSequence` message.

## 445 **5.1.3 Sequence Spoofing Threats**

445 Sequence spoofing is a class of threats in which the attacker uses knowledge of the `Identifier` for a  
446 particular Sequence to forge Sequence Lifecycle or Traffic Messages. For example the attacker creates a  
447 fake `TerminateSequence` message that references the target Sequence and sends this message to the  
448 appropriate RM Destination. Some sequence spoofing attacks also require up-to-date knowledge of the  
449 current `MessageNumber` for their target Sequence.

445 In general any Sequence Lifecycle Message, RM Protocol Header Block, or sequence-correlated SOAP  
446 fault (e.g. `InvalidAcknowledgement`) can be used by someone with knowledge of the Sequence identifier  
447 to attack the Sequence. These attacks are “two-way” in that an attacker may choose to target the RM  
448 Source by, for example, inserting a fake `SequenceAcknowledgement` header into a message that it sends  
449 to the `AcksTo` EPR of an RM Source.

### 445 **5.1.3.1 Sequence Hijacking**

445 Sequence hijacking is a specific case of a sequence spoofing attack. The attacker attempts to inject  
446 Sequence Traffic Messages into an existing Sequence by inserting fake `Sequence` headers into those  
447 messages.

445 Note that “sequence hijacking” should not be equated with “security session hijacking”. Although a  
446 Sequence may be bound to some form of a security session in order to counter the threats described in  
447 this section, applications MUST NOT rely on WS-RM-related information to make determinations about  
448 the identity of the entity that created a message; applications SHOULD rely only upon information that is  
449 established by the security infrastructure to make such determinations. Failure to observe this rule  
450 creates, among other problems, a situation in which the absence of WS-RM may deprive an application of  
451 the ability to authenticate its peers even though the necessary security processing has taken place.

### 445 **5.1.3.2 Countermeasures**

445 There are a number of countermeasures against sequence spoofing threats. The technique advocated by  
446 this specification is to consider the Sequence to be a shared resource that is jointly owned by the RM

445 Source that initiated its creation (i.e. that sent the `CreateSequence` message) and the RM Destination that  
446 serves as its terminus (i.e. that sent the `CreateSequenceResponse` message). To counter sequence  
447 spoofing attempts the RM Destination SHOULD ensure that every message or fault that it Receives that  
448 refers to a particular Sequence originated from the RM Source that jointly owns the referenced Sequence.  
449 For its part the RM Source SHOULD ensure that every message or fault that it Receives that refers to a  
450 particular Sequence originated from the RM Destination that jointly owns the referenced Sequence.

445 For the RM Destination to be able to identify its sequence peer it MUST be able to identify and  
446 authenticate the entity that sent the `CreateSequence` message. Similarly for the RM Source to identify its  
447 sequence peer it MUST be able to identify and authenticate the entity that sent the  
448 `CreateSequenceResponse` message. For either the RM Destination or the RM Source to determine if a  
449 message was sent by its sequence peer it MUST be able to identify and authenticate the initiator of that  
450 message and, if necessary, correlate this identity with the sequence peer identity established at sequence  
451 creation time.

## 445 **5.2 Security Solutions and Technologies**

445 The security threats described in the previous sections are neither new nor unique. The solutions that  
446 have been developed to secure other SOAP-based protocols can be used to secure WS-RM as well. This  
447 section maps the facilities provided by common web services security solutions against countermeasures  
448 described in the previous sections.

445 Before continuing this discussion, however, some examination of the underlying requirements of the  
446 previously described countermeasures is necessary. Specifically it should be noted that the technique  
447 described in Section 5.1.2.1 has two components. Firstly, the RM Destination identifies and authenticates  
448 the issuer of a `CreateSequence` message. Secondly, the RM Destination performs an authorization check  
449 against this authenticated identity and determines if the RM Source is permitted to create Sequences with  
450 the RM Destination. Since the facilities for performing this authorization check (runtime infrastructure,  
451 policy frameworks, etc.) lie completely within the domain of individual implementations, any discussion of  
452 such facilities is considered to be beyond the scope of this specification.

### 445 **5.2.1 Transport Layer Security**

445 This section describes how the facilities provided by SSL/TLS [RFC 4346] can be used to implement the  
446 countermeasures described in the previous sections. The use of SSL/TLS is subject to the constraints  
447 defined in Section 4 of the Basic Security Profile 1.0 [BSP 1.0].

445 The description provided here is general in nature and is not intended to serve as a complete definition on  
446 the use of SSL/TLS to protect WS-RM. In order to interoperate implementations need to agree on the  
447 choice of features as well as the manner in which they will be used. The mechanisms described in the  
448 Web Services Security Policy Language [SecurityPolicy] MAY be used by services to describe the  
449 requirements and constraints of the use of SSL/TLS.

#### 445 **5.2.1.1 Model**

445 The basic model for using SSL/TLS is as follows:

- 445 1. The RM Source establishes an SSL/TLS session with the RM Destination.
- 445 2. The RM Source uses this SSL/TLS session to send a `CreateSequence` message to the RM  
446 Destination.



- 445 3. The RM Destination establishes an SSL/TLS session with the RM Source and sends an  
446 asynchronous `CreateSequenceResponse` using this session. Alternately it may respond with a  
447 synchronous `CreateSequenceResponse` using the session established in (1).
- 445 4. For the lifetime of the Sequence the RM Source uses the SSL/TLS session from (1) to Transmit  
446 any and all messages or faults that refer to that Sequence.
- 445 5. For the lifetime of the Sequence the RM Destination either uses the SSL/TLS session established  
446 in (3) to Transmit any and all messages or faults that refer to that Sequence or, for synchronous  
447 exchanges, the RM Destination uses the SSL/TLS session established in (1).

### 445 5.2.1.2 Countermeasure Implementation

445 Used in its simplest fashion (without relying upon any authentication mechanisms), SSL/TLS provides the  
446 necessary integrity qualities to counter the threats described in Section 5.1.1. Note, however, that the  
447 nature of SSL/TLS limits the scope of this integrity protection to a single transport level session. If  
448 SSL/TLS is the only mechanism used to provide integrity, any intermediaries between the RM Source and  
449 the RM Destination MUST be trusted to preserve the integrity of the messages that flow through them.

445 As noted, the technique described in Sections 5.1.2.1 involves the use of authentication. This specification  
446 advocates either of two mechanisms for authenticating entities using SSL/TLS. In both of these methods  
447 the SSL/TLS server (the party accepting the SSL/TLS connection) authenticates itself to the SSL/TLS  
448 client using an X.509 certificate that is exchanged during the SSL/TLS handshake.

- 445 • **HTTP Basic Authentication:** This method of authentication presupposes that a SOAP/HTTP  
446 binding is being used as part of the protocol stack beneath WS-RM. Subsequent to the  
447 establishment of the SSL/TLS session, the sending party authenticates itself to the receiving party  
448 using HTTP Basic Authentication [RFC 2617]. For example, a RM Source might authenticate itself  
449 to a RM Destination (e.g. when transmitting a Sequence Traffic Message) using BasicAuth.  
450 Similarly the RM Destination might authenticate itself to the RM Source (e.g. when sending an  
451 Acknowledgement) using BasicAuth.
- 445 • **SSL/TLS Client Authentication:** In this method of authentication, the party initiating the  
446 connection authenticates itself to the party accepting the connection using an X.509 certificate  
447 that is exchanged during the SSL/TLS handshake.

445 To implement the countermeasures described in section 5.1.2.1 the RM Source must authenticate itself  
446 using one the above mechanisms. The authenticated identity can then be used to determine if the RM  
447 Source is authorized to create a Sequence with the RM Destination.

445 This specification advocates implementing the countermeasures described in section 5.1.3.2 by requiring  
446 an RM node's Sequence peer to be equivalent to their SSL/TLS session peer. This allows the  
447 authorization decisions described in section 5.1.3.2 to be based on SSL/TLS session identity rather than  
448 on authentication information. For example, an RM Destination can determine that a Sequence Traffic  
449 Message rightfully belongs to its referenced Sequence if that message arrived over the same SSL/TLS  
450 session that was used to carry the `CreateSequence` message for that Sequence. Note that requiring a  
451 one-to-one relationship between SSL/TLS session peer and Sequence peer constrains the lifetime of a  
452 SSL/TLS-protected Sequence to be less than or equal to the lifetime of the SSL/TLS session that is used  
453 to protect that Sequence.

445 This specification does not preclude the use of other methods of using SSL/TLS to implement the  
446 countermeasures (such as associating specific authentication information with a Sequence) although such  
447 methods are not covered by this document.

445 Issues specific to the life-cycle management of SSL/TLS sessions (such as the resumption of a SSL/TLS  
446 session) are outside the scope of this specification.

## 445 **5.2.2 SOAP Message Security**

445 The mechanisms described in WS-Security may be used in various ways to implement the  
446 countermeasures described in the previous sections. This specification advocates using the protocol  
447 described by WS-SecureConversation [[SecureConversation](#)] (optionally in conjunction with WS-Trust  
448 [[Trust](#)]) as a mechanism for protecting Sequences. The use of WS-Security (as an underlying component  
449 of WS-SecureConversation) is subject to the constraints defined in the Basic Security Profile 1.0.

445 The description provided here is general in nature and is not intended to serve as a complete definition on  
446 the use of WS-SecureConversation/WS-Trust to protect WS-RM. In order to interoperate implementations  
447 need to agree on the choice of features as well as the manner in which they will be used. The  
448 mechanisms described in the Web Services Security Policy Language MAY be used by services to  
449 describe the requirements and constraints of the use of WS-SecureConversation.

### 445 **5.2.2.1 Model**

445 The basic model for using WS-SecureConversation is as follows:

- 445 1. The RM Source and the RM Destination create a WS-SecureConversation security context. This  
446 may involve the participation of third parties such as a security token service. The tokens  
447 exchanged may contain authentication claims (e.g. X.509 certificates or Kerberos service tickets).
- 445 2. During the `CreateSequence` exchange, the RM Source SHOULD explicitly identify the security  
446 context that will be used to protect the Sequence. This is done so that, in cases where the  
447 `CreateSequence` message is signed by more than one security context, the RM Source can  
448 indicate which security context should be used to protect the newly created Sequence.
- 445 3. For the lifetime of the Sequence the RM Source and the RM Destination use the session key(s)  
446 associated with the security context to sign (as defined by WS-Security) at least the body and any  
447 relevant WS-RM-defined headers of any and all messages or faults that refer to that Sequence.

### 445 **5.2.2.2 Countermeasure Implementation**

445 Without relying upon any authentication information, the per-message signatures provide the necessary  
446 integrity qualities to counter the threats described in Section 5.1.1.

445 To implement the countermeasures described in section 5.1.2.1 some mutually agreed upon form of  
446 authentication claims must be provided by the RM Source to the RM Destination during the establishment  
447 of the Security Context. These claims can then be used to determine if the RM Source is authorized to  
448 create a Sequence with the RM Destination.

445 This specification advocates implementing the countermeasures described in section 5.1.3.2 by requiring  
446 an RM node's Sequence peer to be equivalent to their security context session peer. This allows the  
447 authorization decisions described in section 5.1.3.2 to be based on the identity of the message's security  
448 context rather than on any authentication claims that may have been established during security context  
449 initiation. Note that other methods of using WS-SecureConversation to implement the countermeasures  
450 (such as associating specific authentication claims to a Sequence) are possible but not covered by this  
451 document.

445 As with transport security, the requisite equivalence of a security context peer and with a Sequence peer  
446 limits the lifetime of a Sequence to the lifetime of the protecting security context. Unlike transport security,

445 the association between a Sequence and its protecting security context cannot always be established  
446 implicitly at Sequence creation time. This is due to the fact that the `CreateSequence` and  
447 `CreateSequenceResponse` messages may be signed by more than one security context.

445 Issues specific to the life-cycle management of WS-SecureConversation security contexts (such as  
446 amending or renewing contexts) are outside the scope of this specification.

## 445 6 Securing Sequences

445 As noted in Section 5, the RM Source and RM Destination should be able to protect their shared  
446 Sequences against the threat of Sequence Spoofing attacks. There are a number of OPTIONAL means of  
447 achieving this objective depending upon the underlying security infrastructure.

### 445 6.1 Securing Sequences Using WS-Security

445 One mechanism for protecting a Sequence is to include a security token using a  
446 `wsse:SecurityTokenReference` element from WS-Security (see section 9 in WS-  
447 SecureConversation) in the `CreateSequence` element. This establishes an association between the  
448 created (and, if present, offered) Sequence(s) and the referenced security token, such that the RM Source  
449 and Destination MUST use the security token as the basis for authorization of all subsequent interactions  
450 related to the Sequence(s). The `wsse:SecurityTokenReference` explicitly identifies the token as  
451 there may be more than one token on a `CreateSequence` message or inferred from the communication  
452 context (e.g. transport protection).

445 It is RECOMMENDED that a message independent referencing mechanism be used to identify the token,  
446 if the token being referenced supports such mechanism.

445 The following exemplar defines the `CreateSequence` syntax when extended to include a  
446 `wsse:SecurityTokenReference`:

```
445 <wsrm:CreateSequence ...>  
445   <wsrm:AcksTo> wsa:EndpointReferenceType </wsrm:AcksTo>  
445   <wsrm:Expires ...> xs:duration </wsrm:Expires> ?  
445   <wsrm:Offer ...>  
445     <wsrm:Identifier ...> xs:anyURI </wsrm:Identifier>  
445     <wsrm:Endpoint> wsa:EndpointReferenceType </wsrm:Endpoint>  
445     <wsrm:Expires ...> xs:duration </wsrm:Expires> ?  
445     <wsrm:IncompleteSequenceBehavior>  
445       wsrml:IncompleteSequenceBehaviorType  
445     </wsrm:IncompleteSequenceBehavior> ?  
445     ...  
445   </wsrm:Offer> ?  
445   ...  
445   <wsse:SecurityTokenReference>  
445     ...  
445   </wsse:SecurityTokenReference> ?  
445   ...  
445 </wsrm:CreateSequence>
```

445 The following describes the content model of the additional `CreateSequence` elements.

445 `/wsrm:CreateSequence/wsse:SecurityTokenReference`

445 This element uses the extensibility mechanism defined for the `CreateSequence` element (defined in  
446 section 3.4) to communicate an explicit reference to the security token, using a  
447 `wsse:SecurityTokenReference` as documented in WS-Security, that the RM Source and Destination  
448 MUST use to authorize messages for the created (and, if present, the offered) Sequence(s). All  
449 subsequent messages related to the created (and, if present, the offered) Sequence(s) MUST  
450 demonstrate proof-of-possession of the secret associated with the token (e.g., by using or deriving from a  
451 private or secret key).

445 When a RM Source transmits a `CreateSequence` that has been extended to include a  
446 `wsse:SecurityTokenReference` it SHOULD ensure that the RM Destination both understands and  
447 will conform to the requirements listed above. In order to achieve this, the RM Source SHOULD include

445 the `UsesSequenceSTR` element as a SOAP header block within the `CreateSequence` message. This  
446 element MUST include a `soap:mustUnderstand` attribute with a value of 'true'. Thus the RM Source  
447 can be assured that a RM Destination that responds with a `CreateSequenceResponse` understands  
448 and conforms with the requirements listed above. Note that an RM Destination understanding this header  
449 does not mean that it has processed and understood any WS-Security headers, the fault behavior defined  
450 in WS-Security still applies.

445 The following exemplar defines the `UsesSequenceSTR` syntax:

```
445 <wsm:UsesSequenceSTR ... />
```

445 The following describes the content model of the `UsesSequenceSTR` header block.

445 `/wsm:UsesSequenceSTR`

445 This element SHOULD be included as a SOAP header block in `CreateSequence` messages that use the  
446 extensibility mechanism described above in this section. The `soap:mustUnderstand` attribute value  
447 MUST be 'true'. The receiving RM Destination MUST understand and correctly implement the extension  
448 described above or else generate a `soap:MustUnderstand` fault, thus aborting the requested  
449 Sequence creation.

445 The following is an example of a `CreateSequence` message using the

446 `wsse:SecurityTokenReference` extension and the `UsesSequenceSTR` header block:

```
445 <soap:Envelope ...>  
445   <soap:Header>  
445     ...  
445     <wsm:UsesSequenceSTR soap:mustUnderstand='true' />  
445     ...  
445   </soap:Header>  
445   <soap:Body>  
445     <wsm:CreateSequence>  
445       <wsm:AcksTo>  
445         <wsa:Address>http://Business456.com/serviceA/789</wsa:Address>  
445       </wsm:AcksTo>  
445       <wsse:SecurityTokenReference>  
445         ...  
445       </wsse:SecurityTokenReference>  
445     </wsm:CreateSequence>  
445   </soap:Body>  
445 </soap:Envelope>
```

## 445 6.2 Securing Sequences Using SSL/TLS

445 One mechanism for protecting a Sequence is to bind the Sequence to the underlying SSL/TLS session(s).  
446 The RM Source indicates to the RM Destination that a Sequence is to be bound to the underlying  
447 SSL/TLS session(s) via the `UsesSequenceSSL` header block. If the RM Source wishes to bind a  
448 Sequence to the underlying SSL/TLS sessions(s) it MUST include the `UsesSequenceSSL` element as a  
449 SOAP header block within the `CreateSequence` message.

445 The following exemplar defines the `UsesSequenceSSL` syntax:

```
445 <wsm:UsesSequenceSSL soap:mustUnderstand="true" ... />
```

445 The following describes the content model of the `UsesSequenceSSL` header block.

445 `/wsm:UsesSequenceSSL`

445 The RM Source MAY include this element as a SOAP header block of a `CreateSequence` message to  
446 indicate to the RM Destination that the resulting Sequence is to be bound to the SSL/TLS session that was

445 used to carry the `CreateSequence` message. If included, the RM Source MUST mark this header with a  
446 `soap:mustUnderstand` attribute with a value of 'true'. The receiving RM Destination MUST understand  
447 and correctly implement the functionality described in Section 5.2.1 or else generate a  
448 `soap:MustUnderstand` fault, thus aborting the requested Sequence creation.

445 Note that the use inclusion of the above header by the RM Source implies that all Sequence-related  
446 information (Sequence Lifecycle or Acknowledgment messages or Sequence-related faults) flowing from  
447 the RM Destination to the RM Source will be bound to the SSL/TLS session that is used to carry the  
448 `CreateSequenceResponse` message.

## 445 7 References

### 445 7.1 Normative

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## 445 Appendix A. Schema

445 The normative schema that is defined for WS-ReliableMessaging using [XML-Schema Part1] and [XML-  
446 Schema Part2] is located at:

445 <http://docs.oasis-open.org/ws-rx/wsrn/200608/wsrn-1.1-schema-200608.xsd>

445 The following copy is provided for reference.

```
445 <?xml version="1.0" encoding="UTF-8"?>
446 <!--
447 OASIS takes no position regarding the validity or scope of any intellectual
448 property or other rights that might be claimed to pertain to the
449 implementation or use of the technology described in this document or the
450 extent to which any license under such rights might or might not be available;
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466 in part, without restriction of any kind, provided that the above copyright
467 notice and this paragraph are included on all such copies and derivative
468 works. However, this document itself does not be modified in any way, such as
469 by removing the copyright notice or references to OASIS, except as needed for
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474 OASIS or its successors or assigns.
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476 basis and OASIS DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT
477 NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT
478 INFRINGE ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS
479 FOR A PARTICULAR PURPOSE.
480 -->
481 <xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
482 xmlns:wsa="http://www.w3.org/2005/08/addressing"
483 xmlns:wsrm="http://docs.oasis-open.org/ws-rx/wsrn/200608"
484 targetNamespace="http://docs.oasis-open.org/ws-rx/wsrn/200608"
485 elementFormDefault="qualified" attributeFormDefault="unqualified">
486   <xs:import namespace="http://www.w3.org/2005/08/addressing"
487   schemaLocation="http://www.w3.org/2006/03/addressing/ws-addr.xsd"/>
488   <!-- Protocol Elements -->
489   <xs:complexType name="SequenceType">
490     <xs:sequence>
491       <xs:element ref="wsrm:Identifier"/>
492       <xs:element name="MessageNumber" type="wsrm:MessageNumberType"/>
493       <xs:any namespace="##other" processContents="lax" minOccurs="0"
494 maxOccurs="unbounded"/>
495     </xs:sequence>
```

```

445     <xs:anyAttribute namespace="##other" processContents="lax"/>
446 </xs:complexType>
447 <xs:element name="Sequence" type="wsrm:SequenceType"/>
448 <xs:element name="SequenceAcknowledgement">
449   <xs:complexType>
450     <xs:sequence>
451       <xs:element ref="wsrm:Identifier"/>
452       <xs:choice>
453         <xs:sequence>
454           <xs:choice>
455             <xs:element name="AcknowledgementRange" maxOccurs="unbounded">
456               <xs:complexType>
457                 <xs:sequence/>
458                 <xs:attribute name="Upper" type="xs:unsignedLong"
459 use="required"/>
460                 <xs:attribute name="Lower" type="xs:unsignedLong"
461 use="required"/>
462               <xs:anyAttribute namespace="##other" processContents="lax"/>
463             </xs:complexType>
464           </xs:element>
465           <xs:element name="None">
466             <xs:complexType>
467               <xs:sequence/>
468             </xs:complexType>
469           </xs:element>
470         </xs:choice>
471       <xs:element name="Final" minOccurs="0">
472         <xs:complexType>
473           <xs:sequence/>
474         </xs:complexType>
475       </xs:element>
476     </xs:sequence>
477     <xs:element name="Nack" type="xs:unsignedLong"
478 maxOccurs="unbounded"/>
479   </xs:choice>
480   <xs:any namespace="##other" processContents="lax" minOccurs="0"
481 maxOccurs="unbounded"/>
482 </xs:sequence>
483 <xs:anyAttribute namespace="##other" processContents="lax"/>
484 </xs:complexType>
485 </xs:element>
486 <xs:complexType name="AckRequestedType">
487   <xs:sequence>
488     <xs:element ref="wsrm:Identifier"/>
489     <xs:any namespace="##other" processContents="lax" minOccurs="0"
490 maxOccurs="unbounded"/>
491   </xs:sequence>
492   <xs:anyAttribute namespace="##other" processContents="lax"/>
493 </xs:complexType>
494 <xs:element name="AckRequested" type="wsrm:AckRequestedType"/>
495 <xs:element name="Identifier">
496   <xs:complexType>
497     <xs:annotation>
498       <xs:documentation>
499         This type is for elements whose [children] is an anyURI and can have
500 arbitrary attributes.
501       </xs:documentation>
502     </xs:annotation>
503     <xs:simpleContent>
504       <xs:extension base="xs:anyURI">
505         <xs:anyAttribute namespace="##other" processContents="lax"/>
506       </xs:extension>
507     </xs:simpleContent>

```

```

445     </xs:complexType>
446 </xs:element>
447 <xs:element name="Address">
448   <xs:complexType>
449     <xs:simpleContent>
450       <xs:extension base="xs:anyURI">
451         <xs:anyAttribute namespace="##other" processContents="lax"/>
452       </xs:extension>
453     </xs:simpleContent>
454   </xs:complexType>
455 </xs:element>
456 <xs:simpleType name="MessageNumberType">
457   <xs:restriction base="xs:unsignedLong">
458     <xs:minInclusive value="1"/>
459     <xs:maxInclusive value="9223372036854775807"/>
460   </xs:restriction>
461 </xs:simpleType>
462 <!-- Fault Container and Codes -->
463 <xs:simpleType name="FaultCodes">
464   <xs:restriction base="xs:QName">
465     <xs:enumeration value="wsrm:SequenceTerminated"/>
466     <xs:enumeration value="wsrm:UnknownSequence"/>
467     <xs:enumeration value="wsrm:InvalidAcknowledgement"/>
468     <xs:enumeration value="wsrm:MessageNumberRollover"/>
469     <xs:enumeration value="wsrm:CreateSequenceRefused"/>
470     <xs:enumeration value="wsrm:SequenceClosed"/>
471     <xs:enumeration value="wsrm:WSRMRequired"/>
472     <xs:enumeration value="wsrm:UnsupportedSelection"/>
473   </xs:restriction>
474 </xs:simpleType>
475 <xs:complexType name="SequenceFaultType">
476   <xs:sequence>
477     <xs:element name="FaultCode" type="wsrm:FaultCodes"/>
478     <xs:element name="Detail" type="wsrm:DetailType" minOccurs="0"/>
479     <xs:any namespace="##other" processContents="lax" minOccurs="0"
480 maxOccurs="unbounded"/>
481   </xs:sequence>
482   <xs:anyAttribute namespace="##other" processContents="lax"/>
483 </xs:complexType>
484 <xs:complexType name="DetailType">
485   <xs:sequence>
486     <xs:any namespace="##other" processContents="lax" minOccurs="0"
487 maxOccurs="unbounded"/>
488   </xs:sequence>
489   <xs:anyAttribute namespace="##other" processContents="lax"/>
490 </xs:complexType>
491 <xs:element name="SequenceFault" type="wsrm:SequenceFaultType"/>
492 <xs:element name="CreateSequence" type="wsrm:CreateSequenceType"/>
493 <xs:element name="CreateSequenceResponse"
494 type="wsrm:CreateSequenceResponseType"/>
495 <xs:element name="CloseSequence" type="wsrm:CloseSequenceType"/>
496 <xs:element name="CloseSequenceResponse"
497 type="wsrm:CloseSequenceResponseType"/>
498 <xs:element name="TerminateSequence" type="wsrm:TerminateSequenceType"/>
499 <xs:element name="TerminateSequenceResponse"
500 type="wsrm:TerminateSequenceResponseType"/>
501 <xs:complexType name="CreateSequenceType">
502   <xs:sequence>
503     <xs:element ref="wsrm:AcksTo"/>
504     <xs:element ref="wsrm:Expires" minOccurs="0"/>
505     <xs:element name="Offer" type="wsrm:OfferType" minOccurs="0"/>
506     <xs:any namespace="##other" processContents="lax" minOccurs="0"
507 maxOccurs="unbounded"/>

```

```

445     <xs:annotation>
446       <xs:documentation>
447         It is the authors intent that this extensibility be used to
448 transfer a Security Token Reference as defined in WS-Security.
449       </xs:documentation>
450     </xs:annotation>
451   </xs:any>
452 </xs:sequence>
453 <xs:anyAttribute namespace="##other" processContents="lax" />
454 </xs:complexType>
455 <xs:complexType name="CreateSequenceResponseType">
456   <xs:sequence>
457     <xs:element ref="wsrm:Identifier" />
458     <xs:element ref="wsrm:Expires" minOccurs="0" />
459     <xs:element name="IncompleteSequenceBehavior"
460 type="wsrm:IncompleteSequenceBehaviorType" minOccurs="0" />
461     <xs:element name="Accept" type="wsrm:AcceptType" minOccurs="0" />
462     <xs:any namespace="##other" processContents="lax" minOccurs="0"
463 maxOccurs="unbounded" />
464   </xs:sequence>
465   <xs:anyAttribute namespace="##other" processContents="lax" />
466 </xs:complexType>
467 <xs:complexType name="CloseSequenceType">
468   <xs:sequence>
469     <xs:element ref="wsrm:Identifier" />
445     <xs:element ref="wsrm:MessageNumberType" />
446     <xs:any namespace="##other" processContents="lax" minOccurs="0"
447 maxOccurs="unbounded" />
448   </xs:sequence>
449   <xs:anyAttribute namespace="##other" processContents="lax" />
450 </xs:complexType>
451 <xs:complexType name="CloseSequenceResponseType">
452   <xs:sequence>
453     <xs:element ref="wsrm:Identifier" />
454     <xs:any namespace="##other" processContents="lax" minOccurs="0"
455 maxOccurs="unbounded" />
456   </xs:sequence>
457   <xs:anyAttribute namespace="##other" processContents="lax" />
458 </xs:complexType>
459 <xs:complexType name="TerminateSequenceType">
460   <xs:sequence>
461     <xs:element ref="wsrm:Identifier" />
445     <xs:element ref="wsrm:MessageNumberType" minOccurs="0" />
446     <xs:any namespace="##other" processContents="lax" minOccurs="0"
447 maxOccurs="unbounded" />
448   </xs:sequence>
449   <xs:anyAttribute namespace="##other" processContents="lax" />
450 </xs:complexType>
451 <xs:complexType name="TerminateSequenceResponseType">
452   <xs:sequence>
453     <xs:element ref="wsrm:Identifier" />
454     <xs:any namespace="##other" processContents="lax" minOccurs="0"
455 maxOccurs="unbounded" />
456   </xs:sequence>
457   <xs:anyAttribute namespace="##other" processContents="lax" />
458 </xs:complexType>
459 <xs:element name="AcksTo" type="wsa:EndpointReferenceType" />
460 <xs:complexType name="OfferType">
461   <xs:sequence>
462     <xs:element ref="wsrm:Identifier" />
463     <xs:element name="Endpoint" type="wsa:EndpointReferenceType" />
464     <xs:element ref="wsrm:Expires" minOccurs="0" />
465     <xs:element name="IncompleteSequenceBehavior"

```

```

445 type="wsrm:IncompleteSequenceBehaviorType" minOccurs="0"/>
446   <xs:any namespace="##other" processContents="lax" minOccurs="0"
447 maxOccurs="unbounded"/>
448   </xs:sequence>
449   <xs:anyAttribute namespace="##other" processContents="lax"/>
450 </xs:complexType>
451 <xs:complexType name="AcceptType">
452   <xs:sequence>
453     <xs:element ref="wsrm:AcksTo"/>
454     <xs:any namespace="##other" processContents="lax" minOccurs="0"
455 maxOccurs="unbounded"/>
456   </xs:sequence>
457   <xs:anyAttribute namespace="##other" processContents="lax"/>
458 </xs:complexType>
459 <xs:element name="Expires">
460   <xs:complexType>
461     <xs:simpleContent>
462       <xs:extension base="xs:duration">
463         <xs:anyAttribute namespace="##other" processContents="lax"/>
464       </xs:extension>
465     </xs:simpleContent>
466   </xs:complexType>
467 </xs:element>
468 <xs:simpleType name="IncompleteSequenceBehaviorType">
469   <xs:restriction base="xs:string">
470     <xs:enumeration value="DiscardEntireSequence"/>
471     <xs:enumeration value="DiscardFollowingFirstGap"/>
472     <xs:enumeration value="NoDiscard"/>
473   </xs:restriction>
474 </xs:simpleType>
445 <xs:element name="UsesSequenceSTR">
445   <xs:complexType>
445     <xs:sequence/>
445     <xs:anyAttribute namespace="##other" processContents="lax"/>
445   </xs:complexType>
445 </xs:element>
445 <xs:element name="UsesSequenceSSL">
445   <xs:complexType>
445     <xs:sequence/>
445     <xs:anyAttribute namespace="##other" processContents="lax"/>
445   </xs:complexType>
445 </xs:element>
446 <xs:element name="UnsupportedElement">
447   <xs:simpleType>
448     <xs:restriction base="xs:QName"/>
449   </xs:simpleType>
450 </xs:element>
451 </xs:schema>

```

## 445 Appendix B. WSDL

445 This WSDL describes the WS-RM protocol from the point of view of an RM Destination. In the case where  
446 an endpoint acts both as an RM Destination and an RM Source, note that additional messages may be  
447 present in exchanges with that endpoint.

445 Also note that this WSDL is intended to describe the internal structure of the WS-RM protocol, and will not  
446 generally appear in a description of a WS-RM-capable Web service. See WS-RM Policy [WS-RM Policy]  
447 for a higher-level mechanism to indicate that WS-RM is engaged.

445 The normative WSDL 1.1 definition for WS-ReliableMessaging is located at:

445 <http://docs.oasis-open.org/ws-rx/wsrn/200608/wsd/wsrn-1.1-wsd-200608.wsd>

445 The following non-normative copy is provided for reference.

```
445 <?xml version="1.0" encoding="utf-8"?>
446 <!--
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477 NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT
478 INFRINGE ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS
479 FOR A PARTICULAR PURPOSE.
480 -->
481 <wsdl:definitions xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"
482 xmlns:xs="http://www.w3.org/2001/XMLSchema"
483 xmlns:wsa="http://www.w3.org/2005/08/addressing" xmlns:rm="http://docs.oasis-
484 open.org/ws-rx/wsrn/200608" xmlns:tns="http://docs.oasis-open.org/ws-
485 rx/wsrn/200608/wsd" targetNamespace="http://docs.oasis-open.org/ws-
486 rx/wsrn/200608/wsd">
487 <wsdl:types>
```

```

445     <xs:schema>
446         <xs:import namespace="http://docs.oasis-open.org/ws-rx/wsr/200608"
447         schemaLocation="http://docs.oasis-open.org/ws-rx/wsr/200608/wsr-1.1-schema-
448         200608.xsd"/>
449     </xs:schema>
450 </wsdl:types>

451     <wsdl:message name="CreateSequence">
452         <wsdl:part name="create" element="rm:CreateSequence"/>
453     </wsdl:message>
454     <wsdl:message name="CreateSequenceResponse">
455         <wsdl:part name="createResponse" element="rm:CreateSequenceResponse"/>
456     </wsdl:message>
457     <wsdl:message name="CloseSequence">
458         <wsdl:part name="close" element="rm:CloseSequence"/>
459     </wsdl:message>
460     <wsdl:message name="CloseSequenceResponse">
461         <wsdl:part name="closeResponse" element="rm:CloseSequenceResponse"/>
462     </wsdl:message>
463     <wsdl:message name="TerminateSequence">
464         <wsdl:part name="terminate" element="rm:TerminateSequence"/>
465     </wsdl:message>
466     <wsdl:message name="TerminateSequenceResponse">
467         <wsdl:part name="terminateResponse"
468         element="rm:TerminateSequenceResponse"/>
469     </wsdl:message>

470     <wsdl:portType name="SequenceAbstractPortType">
471         <wsdl:operation name="CreateSequence">
472             <wsdl:input message="tns:CreateSequence" wsaw:Action="http://docs.oasis-
473             open.org/ws-rx/wsr/200608/CreateSequence"/>
474             <wsdl:output message="tns:CreateSequenceResponse"
475             wsaw:Action="http://docs.oasis-open.org/ws-
476             rx/wsr/200608/CreateSequenceResponse"/>
477         </wsdl:operation>
478         <wsdl:operation name="CloseSequence">
479             <wsdl:input message="tns:CloseSequence" wsaw:Action="http://docs.oasis-
480             open.org/ws-rx/wsr/200608/CloseSequence"/>
481             <wsdl:output message="tns:CloseSequenceResponse"
482             wsaw:Action="http://docs.oasis-open.org/ws-
483             rx/wsr/200608/CloseSequenceResponse"/>
484         </wsdl:operation>
485         <wsdl:operation name="TerminateSequence">
486             <wsdl:input message="tns:TerminateSequence"
487             wsaw:Action="http://docs.oasis-open.org/ws-rx/wsr/200608/TerminateSequence"/>
488             <wsdl:output message="tns:TerminateSequenceResponse"
489             wsaw:Action="http://docs.oasis-open.org/ws-
490             rx/wsr/200608/TerminateSequenceResponse"/>
491         </wsdl:operation>
492     </wsdl:portType>

493 </wsdl:definitions>

```



## 445 Appendix C. Message Examples

### 445 Appendix C.1 Create Sequence

#### 445 Create Sequence

```
445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
445 xmlns:wsmr="http://docs.oasis-open.org/ws-rx/wsmr/200608"
445 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445   <S:Header>
445     <wsa:MessageID>
445       http://Business456.com/guid/0baaf88d-483b-4ecf-a6d8-a7c2eb546817
445     </wsa:MessageID>
445     <wsa:To>http://example.com/serviceB/123</wsa:To>
445     <wsa:Action>http://docs.oasis-open.org/ws-
446 rx/wsmr/200608/CreateSequence</wsa:Action>
445     <wsa:ReplyTo>
445       <wsa:Address>http://Business456.com/serviceA/789</wsa:Address>
445     </wsa:ReplyTo>
445   </S:Header>
445   <S:Body>
445     <wsmr:CreateSequence>
445       <wsmr:AcksTo>
445         <wsa:Address>http://Business456.com/serviceA/789</wsa:Address>
445       </wsmr:AcksTo>
445     </wsmr:CreateSequence>
445   </S:Body>
445 </S:Envelope>
```

#### 445 Create Sequence Response

```
445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
446 xmlns:wsmr="http://docs.oasis-open.org/ws-rx/wsmr/200608"
447 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445   <S:Header>
445     <wsa:To>http://Business456.com/serviceA/789</wsa:To>
445     <wsa:RelatesTo>
445       http://Business456.com/guid/0baaf88d-483b-4ecf-a6d8a7c2eb546817
445     </wsa:RelatesTo>
445     <wsa:Action>
445       http://docs.oasis-open.org/ws-rx/wsmr/200608/CreateSequenceResponse
445     </wsa:Action>
445   </S:Header>
445   <S:Body>
445     <wsmr:CreateSequenceResponse>
445       <wsmr:Identifier>http://Business456.com/RM/ABC</wsmr:Identifier>
445     </wsmr:CreateSequenceResponse>
445   </S:Body>
445 </S:Envelope>
```

### 445 Appendix C.2 Initial Transmission

445 The following example WS-ReliableMessaging headers illustrate the message exchange in the above  
446 figure. The three messages have the following headers; the third message is identified as the last  
447 message in the Sequence:

445 **Message 1**

```
445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
445 xmlns:wsmr="http://docs.oasis-open.org/ws-rx/wsmr/200608"
445 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445   <S:Header>
445     <wsa:MessageID>
445       http://Business456.com/guid/71e0654e-5ce8-477b-bb9d-34f05cfc9e
445     </wsa:MessageID>
445     <wsa:To>http://example.com/serviceB/123</wsa:To>
445     <wsa:From>
445       <wsa:Address>http://Business456.com/serviceA/789</wsa:Address>
445     </wsa:From>
445     <wsa:Action>http://example.com/serviceB/123/request</wsa:Action>
445     <wsmr:Sequence>
445       <wsmr:Identifier>http://Business456.com/RM/ABC</wsmr:Identifier>
445       <wsmr:MessageNumber>1</wsmr:MessageNumber>
445     </wsmr:Sequence>
445   </S:Header>
445   <S:Body>
445     <!-- Some Application Data -->
445   </S:Body>
445 </S:Envelope>
```

445 **Message 2**

```
445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
445 xmlns:wsmr="http://docs.oasis-open.org/ws-rx/wsmr/200608"
445 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445   <S:Header>
445     <wsa:MessageID>
445       http://Business456.com/guid/daa7d0b2-c8e0-476e-a9a4-d164154e38de
445     </wsa:MessageID>
445     <wsa:To>http://example.com/serviceB/123</wsa:To>
445     <wsa:From>
445       <wsa:Address>http://Business456.com/serviceA/789</wsa:Address>
445     </wsa:From>
445     <wsa:Action>http://example.com/serviceB/123/request</wsa:Action>
445     <wsmr:Sequence>
445       <wsmr:Identifier>http://Business456.com/RM/ABC</wsmr:Identifier>
445       <wsmr:MessageNumber>2</wsmr:MessageNumber>
445     </wsmr:Sequence>
445   </S:Header>
445   <S:Body>
445     <!-- Some Application Data -->
445   </S:Body>
445 </S:Envelope>
```

445 **Message 3**

```
445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
445 xmlns:wsmr="http://docs.oasis-open.org/ws-rx/wsmr/200608"
445 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445   <S:Header>
445     <wsa:MessageID>
445       http://Business456.com/guid/0baaf88d-483b-4ecf-a6d8-a7c2eb546819
445     </wsa:MessageID>
445     <wsa:To>http://example.com/serviceB/123</wsa:To>
445     <wsa:From>
445       <wsa:Address>http://Business456.com/serviceA/789</wsa:Address>
```

```

445 </wsa:From>
445 <wsa:Action>http://example.com/serviceB/123/request</wsa:Action>
445 <wsrm:Sequence>
445 <wsrm:Identifier>http://Business456.com/RM/ABC</wsrm:Identifier>
445 <wsrm:MessageNumber>3</wsrm:MessageNumber>
445 </wsrm:Sequence>
445 <wsrm:AckRequested>
445 <wsrm:Identifier>http://Business456.com/RM/ABC</wsrm:Identifier>
445 </wsrm:AckRequested>
445 </S:Header>
445 <S:Body>
445 <!-- Some Application Data -->
445 </S:Body>
445 </S:Envelope>

```

### 445 **Appendix C.3 First Acknowledgement**

445 Message number 2 has not been accepted by the RM Destination due to some transmission error so it  
446 responds with an Acknowledgement for messages 1 and 3:

```

445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
445 xmlns:wsrm="http://docs.oasis-open.org/ws-rx/wsr/200608"
445 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445 <S:Header>
445 <wsa:MessageID>
445 http://example.com/guid/0baaf88d-483b-4ecf-a6d8-a7c2eb546810
445 </wsa:MessageID>
445 <wsa:To>http://Business456.com/serviceA/789</wsa:To>
445 <wsa:From>
445 <wsa:Address>http://example.com/serviceB/123</wsa:Address>
445 </wsa:From>
445 <wsa:Action>
445 http://docs.oasis-open.org/ws-rx/wsr/200608/SequenceAcknowledgement
445 </wsa:Action>
445 <wsrm:SequenceAcknowledgement>
445 <wsrm:Identifier>http://Business456.com/RM/ABC</wsrm:Identifier>
445 <wsrm:AcknowledgementRange Upper="1" Lower="1"/>
445 <wsrm:AcknowledgementRange Upper="3" Lower="3"/>
445 </wsrm:SequenceAcknowledgement>
445 </S:Header>
445 <S:Body/>
445 </S:Envelope>

```

### 445 **Appendix C.4 Retransmission**

445 The RM Sourcediscovers that message number 2 was not accepted so it resends the message and  
446 requests an Acknowledgement:

```

445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
445 xmlns:wsrm="http://docs.oasis-open.org/ws-rx/wsr/200608"
445 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445 <S:Header>
445 <wsa:MessageID>
445 http://Business456.com/guid/daa7d0b2-c8e0-476e-a9a4-d164154e38de
445 </wsa:MessageID>
445 <wsa:To>http://example.com/serviceB/123</wsa:To>
445 <wsa:From>
445 <wsa:Address>http://Business456.com/serviceA/789</wsa:Address>
445 </wsa:From>

```

```

445 <wsa:Action>http://example.com/serviceB/123/request</wsa:Action>
445 <wsrm:Sequence>
445 <wsrm:Identifier>http://Business456.com/RM/ABC</wsrm:Identifier>
445 <wsrm:MessageNumber>2</wsrm:MessageNumber>
445 </wsrm:Sequence>
445 <wsrm:AckRequested>
445 <wsrm:Identifier>http://Business456.com/RM/ABC</wsrm:Identifier>
445 </wsrm:AckRequested>
445 </S:Header>
445 <S:Body>
445 <!-- Some Application Data -->
445 </S:Body>
445 </S:Envelope>

```

## 445 Appendix C.5 Termination

445 The RM Destination now responds with an Acknowledgement for the complete Sequence which can then  
446 be terminated:

```

445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
445 xmlns:wsrm="http://docs.oasis-open.org/ws-rx/wsrn/200608"
445 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445 <S:Header>
445 <wsa:MessageID>
445 http://example.com/guid/0baaf88d-483b-4ecf-a6d8-a7c2eb546811
445 </wsa:MessageID>
445 <wsa:To>http://Business456.com/serviceA/789</wsa:To>
445 <wsa:From>
445 <wsa:Address>http://example.com/serviceB/123</wsa:Address>
445 </wsa:From>
445 <wsa:Action>
445 http://docs.oasis-open.org/ws-rx/wsrn/200608/SequenceAcknowledgement
445 </wsa:Action>
445 <wsrm:SequenceAcknowledgement>
445 <wsrm:Identifier>http://Business456.com/RM/ABC</wsrm:Identifier>
445 <wsrm:AcknowledgementRange Upper="3" Lower="1"/>
445 </wsrm:SequenceAcknowledgement>
445 </S:Header>
445 <S:Body/>
445 </S:Envelope>

```

### 445 Terminate Sequence

```

445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
445 xmlns:wsrm="http://docs.oasis-open.org/ws-rx/wsrn/200608"
445 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445 <S:Header>
445 <wsa:MessageID>
445 http://Business456.com/guid/0baaf88d-483b-4ecf-a6d8-a7c2eb546812
445 </wsa:MessageID>
445 <wsa:To>http://example.com/serviceB/123</wsa:To>
445 <wsa:Action>
445 http://docs.oasis-open.org/ws-rx/wsrn/200608/TerminateSequence
445 </wsa:Action>
445 <wsa:From>
445 <wsa:Address>http://Business456.com/serviceA/789</wsa:Address>
445 </wsa:From>
445 </S:Header>
445 <S:Body>
445 <wsrm:TerminateSequence>

```

```
445     <wsrm:Identifier>http://Business456.com/RM/ABC</wsrm:Identifier>
445     <wsrm:LastMsgNumber> 3 </wsrm:LastMsgNumber>
445     </wsrm:TerminateSequence>
445 </S:Body>
445 </S:Envelope>
```

#### 445 Terminate Sequence Response

```
445 <?xml version="1.0" encoding="UTF-8"?>
445 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
445 xmlns:wsrm="http://docs.oasis-open.org/ws-rx/wsr/200608"
445 xmlns:wsa="http://www.w3.org/2005/08/addressing">
445   <S:Header>
445     <wsa:MessageID>
445       http://Business456.com/guid/0baaf88d-483b-4ecf-a6d8-a7c2eb546813
445     </wsa:MessageID>
445     <wsa:To>http://example.com/serviceA/789</wsa:To>
445     <wsa:Action>
445       http://docs.oasis-open.org/ws-rx/wsr/200608/TerminateSequenceResponse
445     </wsa:Action>
445     <wsa:RelatesTo>
445       http://Business456.com/guid/0baaf88d-483b-4ecf-a6d8-a7c2eb546812
445     </wsa:RelatesTo>
445     <wsa:From>
445       <wsa:Address>http://Business456.com/serviceA/789</wsa:Address>
445     </wsa:From>
445   </S:Header>
445   <S:Body>
445     <wsrm:TerminateSequenceResponse>
445       <wsrm:Identifier>http://Business456.com/RM/ABC</wsrm:Identifier>
445     </wsrm:TerminateSequenceResponse>
445   </S:Body>
445 </S:Envelope>
```

## 445 Appendix D. State Tables

445 This appendix specifies the non-normative state transition tables for RM Source and RM Destination.

445 The state tables describe the lifetime of a sequence in both the RM Source and the RM Destination

445 Legend:

445 The first column of these tables contains the motivating event and has the following format:

Event
<i>Event name</i> [source] {ref}

445 Where:

- 445 ● Event Name: indicates the name of the event. Event Names surrounded by "<>" are optional as  
446 described by the specification.
- 445 ● [source]: indicates the source of the event; one of:
  - 445 ● [msg] a Received message
  - 445 ● [int]: an internal event such as the firing of a timer
  - 445 ● [app]: the application
  - 445 ● [unspec]: the source is unspecified

445 Each event / state combination cell in the tables in this appendix has the following format:

State Name
<i>Action to take</i> [next state] {ref}

445 Where:

- 445 ● action to take: indicates that the state machine performs the following action. Actions surrounded  
446 by "<>" are optional as described by the specification. "Xmit" is used as a short form for the word  
447 "Transmit"
- 445 ● [next state]: indicates the state to which the state machine will advance upon the performance of  
446 the action. For ease of reading the next state "same" indicates that the state does not change.
- 445 ● {ref} is a reference to the document section describing the behavior in this cell

445 "N/A" in a cell indicates a state / event combination self-inconsistent with the state machine; should these  
446 conditions occur, it would indicate an implementation error. A blank cell indicates that the behavior is not  
447 described in this specification and does not indicate normal protocol operation. Implementations MAY  
448 generate a Sequence Terminated fault (see section 4.2) in these circumstances. Robust implementations  
449 MUST be able to operate in a stable manner despite the occurrence of unspecified event / state  
450 combinations.

445 Table 1 RM Source Sequence State Transition Table

Events	Sequence States					
	None	Creating	Created	Closing	Closed	Terminating
<b>Create Sequence</b> [unspec] {3.4}	Xmit Create Sequence [Creating] {3.4}	N/A	N/A	N/A	N/A	N/A
<b>Create Sequence Response</b> [msg] {3.4}		Process Create Sequence Response [Created] {3.4}				
<b>Create Sequence Refused Fault</b> [msg] {3.4}		No action [None] {4.6}				
<b>Send message</b> [app] {2.1}	N/A	N/A	Xmit message [Same] {2}	No action [Same] {2}	N/A	N/A
<b>Retransmit of un-ack'd message</b> [int]	N/A	N/A	Xmit message [Same] {2.4}	Xmit message [Same] {2.4}	N/A	N/A
<b>SeqAck (non-final)</b> [msg] {3.9}	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}	Process Ack ranges [Same] {3.9}	Process Ack ranges [Same] {3.9}	Process Ack ranges [Same] {3.9}	Process Ack ranges [Same] {3.9}
<b>Nack</b> [msg] {3.9}	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}	<Xmit message(s)> [Same] {3.9}	<Xmit message(s)> [Same] {3.9}	No action [Same]	No action [Same]
<b>Message Number Rollover Fault</b> [msg]	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}	No action [Rollover]	No action [Same]	No action [Same]	No action [Same]
<b>CloseSequence</b> [msg] {3.5}	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}	Xmit CloseSequence Response [Closed] {3.5}	Xmit CloseSequence Response [Closed] {3.5}	Xmit CloseSequence Response [Closed] {3.5}	Generate Unknown Sequence Fault [Same] {4.3}
<b>&lt;Close Sequence&gt;</b> [int] {3.5}	N/A		Xmit Close Sequence [Closing] {3.5}	N/A	N/A	N/A
<b>Close Sequence Response</b> [msg] {3.5}	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}		No action [Closed] {3.5}	No action [Same] {3.5}	No action [Same] {3.5}

Events	Sequence States					
	None	Creating	Created	Closing	Closed	Terminating
<b>SeqAck (final)</b> [msg] {3.9}	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}	Process Ack ranges [Closed] {3.9}	Process Ack ranges [Closed] {3.9}	Process Ack ranges [Same]	Process Ack ranges [Same]
<b>Sequence Closed Fault</b> [msg] {4.7}	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}	No action [Closed] {4.7}	No action [Closed] {4.7}	No action [Same]	No action [Same]
<b>Unknown Sequence Fault</b> [msg] {4.3}			Terminate Sequence [None] {4.3}	Terminate Sequence [None] {4.3}	Terminate Sequence [None] {4.3}	Terminate Sequence [None] {4.3}
<b>Sequence Terminated Fault</b> [msg] {4.2}	N/A		Terminate Sequence [None] {4.2}	Terminate Sequence [None] {4.2}	Terminate Sequence [None] {4.2}	Terminate Sequence [None] {4.2}
<b>TerminateSequence</b> [msg] {3.6}	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}	Xmit Terminate Sequence Response [None] {3.6}	Xmit Terminate Sequence Response [None] {3.6}	Xmit Terminate Sequence Response [None] {3.6}	Generate Unknown Sequence Fault [Same] {4.3}
<b>Terminate Sequence</b> [int]	N/A	No action [None] {unspec}	Xmit Terminate Sequence [Terminating]	Xmit Terminate Sequence [Terminating]	Xmit Terminate Sequence [Terminating]	N/A
<b>Terminate Sequence Response</b> [msg]	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}				Terminate Sequence [None] {3.6}
<b>Expires exceeded</b> [int]	N/A	Terminate Sequence [None] {3.7}	Terminate Sequence [None] {3.7}	Terminate Sequence [None] {3.7}	Terminate Sequence [None] {3.7}	Terminate Sequence [None] {3.7}
<b>Invalid Acknowledgement</b> [msg] {4.4}	Generate Unknown Sequence Fault [Same] {4.3}	Generate Unknown Sequence Fault [Same] {4.3}	Generate Invalid Acknowledgement Fault [Same] {4.4}	Generate Invalid Acknowledgement Fault [Same] {4.4}	Generate Invalid Acknowledgement Fault [Same] {4.4}	Generate Invalid Acknowledgement Fault [Same] {4.4}

445 Table 2 RM Destination Sequence State Transition Table

Events	Sequence States			
	None	Created	Closed	Terminating
<b>CreateSequence (successful)</b> [msg/int] {3.4}	Xmit Create Sequence Response [Created] {3.4}	N/A	N/A	



Events	Sequence States			
	None	Created	Closed	Terminating
<b>CreateSequence (unsuccessful)</b> [msg/int] {3.4}	Generate Create Sequence Refused Fault [None] {3.4}	N/A	N/A	
<b>Message (with message number within range)</b> [msg]	Generate Unknown Sequence Fault [Same] {4.3}	Accept Message; <Xmit SeqAck> [Same]	Generate Sequence Closed Fault (with SeqAck+Final) [Same] {3.5}	Generate Sequence Terminated Fault [Same] {4.2}
<b>Message (with message number outside of range)</b> [msg]	Generate Unknown Sequence Fault [Same] {4.3}	Xmit Message Number Rollover Fault [Same] {3.7}{4.5}	Generate Sequence Closed Fault (with SeqAck+Final) [Same] {3.5}	Generate Sequence Terminated Fault [Same] {4.2}
<b>&lt;AckRequested&gt;</b> [msg] {3.8}	Generate Unknown Seq Fault [Same] {4.3}	Xmit SeqAck [Same] {3.8}	Xmit SeqAck+Final [Same] {3.9}	Generate Sequence Terminated Fault [Same] {4.2}
<b>CloseSequence</b> [msg] {3.5}	Generate Unknown Sequence Fault [Same] {4.3}	Xmit CloseSequence Response with SeqAck+Final [Closed] {3.5}	Xmit CloseSequence Response with SeqAck+Final [Closed] {3.5}	Generate Sequence Terminated Fault [Same] {4.2}
<b>&lt;CloseSequence autonomously&gt;</b> [int]		Xmit CloseSequence with SeqAck+Final [Closed] {3.5}	Xmit CloseSequence with SeqAck+Final [Same] {3.5}	
<b>CloseSequenceResponse</b> [msg] {3.5}	Generate Unknown Sequence Fault [Same] {4.3}		No Action [Closed] {3.5}	Generate Sequence Terminated Fault [Same] {4.2}
<b>TerminateSequence</b> [msg] {3.6}	Generate Unknown Sequence Fault [Same] {4.3}	Xmit Terminate Sequence Response [None] {3.6}	Xmit Terminate Sequence Response [None] {3.6}	Xmit Terminate Sequence Response [None] {3.6}
<b>&lt;TerminateSequence autonomously&gt;</b> [int]		Xmit TerminateSequence with SeqAck+Final [Terminating] {3.6}	Xmit TerminateSequence with SeqAck+Final [Terminating] {3.6}	Xmit TerminateSequence with SeqAck+Final [Terminating] {3.6}
<b>TerminateSequenceResponse</b> [msg]	Generate Unknown Sequence Fault [Same] {4.3}			Terminate Sequence [None]
<b>UnknownSequence Fault</b> [msg] {4.3}		Terminate Sequence [None] {4.3}	Terminate Sequence [None] {4.3}	Terminate Sequence [None] {4.3}
<b>SequenceTerminated Fault</b> [msg] {4.2}		Terminate Sequence [None] {4.2}	Terminate Sequence [None] {4.2}	Terminate Sequence [None] {4.3}
<b>Invalid Acknowledgement Fault</b> [msg] {4.4}	N/A			
<b>Expires exceeded</b>	N/A	Terminate Sequence	Terminate Sequence	

Events	Sequence States			
	None	Created	Closed	Terminating
[int]		[None] {3.4}	[None] {3.4}	
<b>&lt;Seq Acknowledgement autonomously&gt;</b> [int] {3.9}	N/A	Xmit SeqAck [Same] {3.9}	Xmit SeqAck+Final [Same] {3.9}	
<b>Non WSRM message when WSRM required</b> [msg] {4.8}	Generate WSRMRequired Fault [Same] {4.8}	Generate WSRMRequired Fault [Same] {4.8}	Generate WSRMRequired Fault [Same] {4.8}	

## 445 **Appendix E. Acknowledgments**

446 This document is based on initial contribution to OASIS WS-RX Technical Committee by the following  
447 authors:

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479 Yamamoto(Hitachi).

## Appendix F. Revision History

Rev	Date	By Whom	What
wd-01	2005-07-07	Christopher Ferris	Initial version created based on submission by the authors.
ws-02	2005-07-21	Doug Davis	i011 (PT0S) added
wd-02	2005-08-16	Anish Karmarkar	Trivial editorial changes
ws-03	2005-09-15	Doug Davis	i019 and i028 (CloseSeq) added
wd-05	2005-09-26	Gilbert Pilz	i005 (Source resend of nacks messages when ack already received) added.
wd-05	2005-09-27	Doug Davis	i027 (InOrder delivery assurance spanning multiple sequences) added
wd-05	2005-09-27	Doug Davis	i020 (Semantics of "At most once" Delivery Assurance) added
wd-05	2005-09-27	Doug Davis	i034 (Fault while processing a piggy-backed RM header) added
wd-05	2005-09-27	Doug Davis	i033 (Processing model of NACKs) added
wd-05	2005-09-27	Doug Davis	i031 (AckRequested schema inconsistency) added
wd-05	2005-09-27	Doug Davis	i025 (SeqAck/None) added
wd-05	2005-09-27	Doug Davis	i029 (Remove dependency on WS-Security) added
wd-05	2005-09-27	Doug Davis	i039 (What does 'have a mU attribute' mean) added
wd-05	2005-09-27	Doug Davis	i040 (Change 'optiona'/'required' to 'OPTIONAL'/'REQUIRED') added
wd-05	2005-09-30	Anish Karmarkar	i017 (Change NS to <a href="http://docs.oasis-open.org/wsrn/200510/">http://docs.oasis-open.org/wsrn/200510/</a> )
wd-05	2005-09-30	Anish Karmarkar	i045 (Include SecureConversation as a reference and move it to non-normative citation)
wd-05	2005-09-30	Anish Karmarkar	i046 (change the type of wsrn:FaultCode element)
wd-06	2005-11-02	Gilbert Pilz	Start wd-06 by changing title page from cd-01.
wd-06	2005-11-03	Gilbert Pilz	i047 (Reorder spec sections)
wd-07	2005-11-17	Gilbert Pilz	Start wd-07
wd-07	2005-11-28	Doug Davis	i071 – except for period in Appendix headings
wd-07	2005-11-28	Doug Davis	i10
wd-07	2005-11-28	Doug Davis	i030
wd-07	2005-11-28	Doug Davis	i037
wd-07	2005-11-28	Doug Davis	i038
wd-07	2005-11-28	Doug Davis	i041
wd-07	2005-11-28	Doug Davis	i043
wd-07	2005-11-28	Doug Davis	i044

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wd-07	2005-11-28	Doug Davis	i048
wd-07	2005-11-28	Doug Davis	i051
wd-07	2005-11-28	Doug Davis	i053
wd-07	2005-11-28	Doug Davis	i059
wd-07	2005-11-28	Doug Davis	i062
wd-07	2005-11-28	Doug Davis	i063
wd-07	2005-11-28	Doug Davis	i065
wd-07	2005-11-28	Doug Davis	i067
wd-07	2005-11-28	Doug Davis	i068
wd-07	2005-11-28	Doug Davis	i069
wd-07	2005-11-28	Doug Davis	Fix bulleted list (#2) in section 2.3
wd-07	2005-11-29	Gilbert Pilz	i074 (Use of [tcShortName] in artifact locations namespaces, etc)
wd-07	2005-11-29	Gilbert Pilz	i071 – Fixed styles and formatting for TOC. Fixed styles of the appendix headings.
wd-07	2005-11-30	Doug Davis	Removed dup definition of "Receive"
wd-07	2005-11-30	Gilbert Pilz	Fixed lost formatting from heading for Namespace section. Fixed style of text body elements to match OASIS example documents. Fixed tables to match OASIS example documents.
wd-07	2005-12-01	Gilbert Pilz	Updated fix for i074 to eliminate trailing '/'. Added corresponding text around action IRI composition.
wd-07	2005-12-01	Gilbert Pilz	Use non-fixed fields for date values on both title page and body footers.
wd-07	2005-12-01	Doug Davis	Alphabetize the glossary
wd-07	2005-12-02	Doug Davis	i064
wd-07	2005-12-02	Doug Davis	i066
wd-08	2005-12-15	Doug Davis	Add back in RM Source to glossary
wd-08	2005-12-15	Steve Winkler	Doug added Steve's editorial nits
wd-08	2005-12-21	Doug Davis	i050
wd-08	2005-12-21	Doug Davis	i081
wd-08	2005-12-21	Doug Davis	i080 – but i050 negates the need for any changes
wd-08	2005-12-21	Doug Davis	i079
wd-08	2005-12-21	Doug Davis	i076 – didn't add text about "replies" since the RMD to RMS sequence could be used for any message not just replies
wd-08	2005-12-21	Umit Yalcinalp	Action Su03: removed wsse from Table 1
wd-08	2005-12-21	Umit Yalcinalp	i057 per Sunnyvale F2F 2005, Cleaned up some formatting errors in contributors
wd-08	2005-12-27	Doug Davis	i060
wd-08	2005-12-27	Gilbert Pilz	Moved schema and WSDL files to their own artifacts. Converted source document to

Rev	Date	By Whom	What
			OpenDocument Text format. Changed line numbers to be a single style.
wd-08	2005-12-28	Anish Karmarkar	Included a section link to c:\temp\wsrm-1.1-schema-200510.xsd and to c:\temp\wsrm-1.1-wsdl-200510.wsdl
wd-08	2006-01-04	Gilbert Pilz	Fixed formatting for included sections.
wd-08	2006-01-05	Gilbert Pilz	Created links for unused references. Fixed exemplars for CloseSequence and CloseSequenceResponse.
wd-09	2006-01-11	Doug Davis	Minor tweaks to text/typos.
wd-10	2006-01-23	Doug Davis	Accept all changes from wd-09  Make some minor editorial tweaks from Marc's comments.
wd-10	2006-02-14	Doug Davis	Issue 082 resolution
wd-10	2006-02-14	Doug Davis	Issue 083 resolution
wd-10	2006-02-14	Doug Davis	Issue 085 resolution
wd-10	2006-02-14	Doug Davis	Issues 086, 087 resolutions  Defined MessageNumberType
wd-10	2006-02-15	Doug Davis	Issue 078 resolution
wd-10	2006-02-15	Doug Davis	Issue 094 resolution
wd-10	2006-02-15	Doug Davis	Issue 095 resolution
wd-10	2006-02-15	Gilbert Pilz	Issue 088 – added namespace URI link to namespace URI; added text explaining that this URI could be dereferenced to produce the RDDDL doc; added non-normative reference to RDDDL 2.0
wd-10	2006-02-17	Anish Karmarkar	Namespace changed to 200602 for both WSDL and XSD docs.
wd-10	2006-02-17	Anish Karmarkar	Issue i087 as it applies to WSRM spec.
wd-10	2006-02-17	Anish Karmarkar	Added titles and minor text for state table (issue i058).
wd-11	2006-02-22	Doug Davis	Accept all changes for new WD  Minor typos fixed
wd-11	2006-02-23	Doug Davis	s'/close'/close/g – per Marc Goodner  Added first ref to [URI] – per Marc G again
wd-11	2006-02-27	Doug Davis	Issue i061 applied
wd-11	2006-02-28	Doug Davis	Fixed typo around the use of "above" and "below"
wd-11	2006-03-01	Doug Davis	Minor typos found by Marc Goodner
wd-11	2006-03-02	Doug Davis	Minor typos found by Matt Lovett
wd-11	2006-03-08	Doug Davis	Issue 091 applied
wd-11	2006-03-08	Doug Davis	Issue 092 applied
wd-11	2006-03-08	Doug Davis	Issue 100 applied

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wd-12	2006-03-20	Doug Davis	Added space in "SOAP1.x" – PaulCotton
wd-12	2006-04-11	Doug Davis	Issue 007 applied
wd-12	2006-04-11	Doug Davis	Issue 090 applied
wd-12	2006-04-11	Doug Davis	Issue 098 applied
wd-12	2006-04-11	Doug Davis	Issue 099 applied
wd-12	2006-04-11	Doug Davis	Issue 101 applied
wd-12	2006-04-11	Doug Davis	Issue 103 applied
wd-12	2006-04-11	Doug Davis	Issue 104 applied
wd-12	2006-04-11	Doug Davis	Issue 105 applied
wd-12	2006-04-11	Doug Davis	Issue 107 applied
wd-12	2006-04-11	Doug Davis	Issue 109 applied
wd-12	2006-04-11	Doug Davis	Issue 110 applied
wd-12	2006-04-12	Doug Davis	Used "generated" instead of "issue" or "send" when talking about faults.
wd-12	2006-04-24	Gilbert Pilz	Update references to WS-Addressing to the Proposed Recommendations; update WS-RM namespace to "200604".
wd-13	2006-05-08	Gilbert Pilz	i093 part 1; more work needed
wd-13	2006-05-10	Doug Davis	Issue 096 applied
wd-13	2006-05-26	Gilbert Pilz	i093 part 2; reflects decisions from 2006-05-25 meeting
wd-13	2006-05-28	Gilbert Pilz	Issue 106 applied
wd-13	2006-05-29	Gilbert Pilz	Issue 118 applied
wd-13	2006-05-29	Gilbert Pilz	Issue 120 applied
wd-13	2006-05-30	Gilbert Pilz	Issue 114 applied
wd-13	2006-05-30	Gilbert Pilz	Issue 116 applied
wd-14	2006-06-05	Gilbert Pilz	Accept all changes; bump WD number
wd-14	2006-06-07	Doug Davis	Applied lots of minor edits from Marc Goodner
wd-14	2006-06-07	Doug Davis	Change a couple of period/sp/sp to period/sp
wd-14	2006-06-07	Doug Davis	Added a space in "URI]of" – per Marc Goodner
wd-14	2006-06-07	Doug Davis	Issue 131 applied
wd-14	2006-06-07	Doug Davis	Issue 132 applied
wd-14	2006-06-07	Doug Davis	Issue 119 applied
wd-14	2006-06-07	Doug Davis	Applied lots of minor edits from Doug Davis
wd-14	2006-06-07	Doug Davis	s/"none"/"full-uri"/ - per Marc Goodner
wd-14	2006-06-12	Doug Davis	Complete i106
wd-14	2006-06-12	Doug Davis	Issues 089 applied
wd-14	2006-06-12	Doug Davis	Fix for several RFC2119 keywords – per Anish
wd-15	2006-06-12	Doug Davis	Accept all changed, dump WD number
wd-15	2006-06-12	Doug Davis	Move WSDL after Schema
wd-15	2006-06-12	Doug Davis	Nits – remove tabs, extra [yyy]'s ...
wd-15	2006-06-14	Doug Davis	Remove extra "OPTIONAL"s – Matt Lovett

Rev	Date	By Whom	What
wd-15	2006-06-14	Doug Davis	Remove blank rows/columns from state table. Fix italics in state table
wd-15	2006-06-15	Doug Davis	Typo – section D was empty
wd-15	2006-06-16	Doug Davis	Issue 125 applied
wd-15	2006-06-16	Doug Davis	Issue 126 applied
wd-15	2006-06-16	Doug Davis	Issue 127 applied
wd-15	2006-06-16	Doug Davis	Issue 133 applied
wd-15	2006-06-16	Doug Davis	Issue 136 applied
wd-15	2006-06-16	Doug Davis	Issue 138 applied
wd-15	2006-06-16	Doug Davis	Issue 135 applied
wd-15	2006-06-20	Doug Davis	Added all TC members to the ack list
wd-15	2006-06-22	Doug Davis	Issue 129 applied
wd-15	2006-06-22	Doug Davis	Issue 130 applied
wd-15	2006-06-22	Doug Davis	Issue 137 applied
wd-15	2006-06-26	Doug Davis	Issue 111 applied
wd-15	2006-06-26	Doug Davis	Missed a part of issue 129
wd-15	2006-06-30	Doug Davis	Fixed a typo in schema
wd-15	2006-06-30	Doug Davis	Issue 141 applied
wd-15	2006-06-30	Doug Davis	Issue 142 applied
wd-15	2006-06-30	Doug Davis	Issue 148 applied
wd-15	2006-06-30	Doug Davis	Issue 149 applied
wd-15	2006-06-30	Doug Davis	Issue 150 applied
wd-15	2006-07-06	Doug Davis	Issue 121 applied
wd-15	2006-07-21	Doug Davis	Issue 139 applied
wd-15	2006-07-21	Doug Davis	Issue 144 applied
wd-15	2006-07-21	Doug Davis	Issue 147 applied
wd-15	2006-07-21	Doug Davis	Issues 122-124 applied
wd-15	2006-07-27	Doug Davis	Updated list of oasis TC members (i134)
wd-15	2006-07-27	Doug Davis	Issue 140 applied
wd-15	2006-07-27	Doug Davis	Issue 145 applied
wd-15	2006-07-27	Doug Davis	Issue 143 applied
wd-15	2006-07-28	Doug Davis	Lots of minor typos found by Matt L.
wd-15	2006-07-28	Doug Davis	Issue 113 applied
wd-15	2006-08-04	Doug Davis	Update old namespaces – found by PaulC
wd-15	2006-08-04	Doug Davis	Issue 150 applied
wd-15	2006-08-04	Doug Davis	Minor typos – found by PeterN
wd-15	2006-08-04	Doug Davis	Verify all [refs]
wd-15	2006-08-04	Doug Davis	Change namespace to 2006/08
wd-15	2006-08-04	Doug Davis	Issue 148 applied
wd-15	2006-08-07	Doug Davis	Add some new glossary terms – per GilP
cd-04	2006-08-10	Gilbert Pilz	Formatting changes for better HTML rendering.



Rev	Date	By Whom	What
cd-04	2006-08-11	Doug Davis	Issue 158 applied
cd-04	2006-08-11	Doug Davis	Issue 153 applied
cd-04	2006-08-11	Doug Davis	Issue 156 applied
cd-04	2006-08-15	Gilbert Pilz	More formatting changes for better HTML rendering.
wd-16	2006-10-25	Doug Davis	Accept all changes, update to wd16
wd-16	2006-10-26	Doug Davis	PR002 applied
wd-16	2006-10-26	Doug Davis	PR003 applied
wd-16	2006-10-26	Doug Davis	PR004 applied
wd-16	2006-10-27	Doug Davis	PR005 applied
wd-16	2006-10-27	Doug Davis	PR006 applied
wd-16	2006-10-27	Doug Davis	PR024 applied
wd-16	2006-11-13	Doug Davis	PR010 applied
wd-16	2006-11-13	Doug Davis	PR011 applied (technically as part of PR004)
wd-16	2006-11-13	Doug Davis	PR016 applied
wd-16	2006-11-13	Doug Davis	PR032 applied
wd-16	2006-11-20	Doug Davis	PR025 applied
wd-16	2006-11-20	Doug Davis	PR023 applied
wd-16	2006-12-03	Doug Davis	PR036 applied
wd-16	2006-12-03	Doug Davis	PR017 applied
wd-16	2006-12-11	Doug Davis	PR012 applied (and PR013)
wd-16	2006-12-14	Doug Davis	PR033 applied – changed a 'return' to 'generate' when talking about a fault
wd-16	2007-01-04	Doug Davis	PR018 applied
wd-16	2007-01-05	Doug Davis	Moved MakeConnection to new spec
wd-16	2007-01-17	Doug Davis	PR026 applied
wd-16	2007-01-18	Doug Davis	PR021 applied
wd-16	2007-01-18	Doug Davis	PR022 applied
wd-16	2007-01-18	Doug Davis	Fixed a few typos (Doug, Gil)
wd-16	2007-01-18	Gilbert Pilz	PR007 applied
wd-16	2007-01-25	Doug Davis	PR039 applied

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