Web Services Security
SAML Token Binding

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Abstract:
This document describes how to use Security Assertion Markup Language (SAML) assertions with the WS-Security specification.

Status:
This is an interim draft. Please send comments to the editors.

Committee members should send comments on this specification to the mailto:wss@lists.oasis-open.org list. Others should subscribe to and send comments to the wss-comment@lists.oasis-open.org list. To subscribe, visit http://lists.oasis-open.org/ob/adm.pl.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing

terms, please refer to the Intellectual Property Rights section of the Security Services TC web page (http://www.oasis-open.org/who/intellectualproperty.shtml).

31

Table of Contents

1 Introduction

The WS-Security specification proposes a standard set of SOAP extensions that can be used when building secure Web services to implement message level integrity and confidentiality. This specification describes the use of Security Assertion Markup Language (SAML) assertions with respect to the WS-Security specification.

1.1 Goals and Requirements

The goal of this specification is to define the use of SAML assertions in the context of WS-Security including for the purpose of securing SOAP message exchanges.

The requirements to be satisfied by this specification are listed below.

1.1.1 Requirements

TBS

1.1.2 Non-Goals

The following topics are outside the scope of this document:

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2 Notations and Terminology

This section specifies the notations, namespaces, and terminology used in this specification.

2.1 Notational Conventions

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC2119.

Namespace URIs (of the general form "some-URI") represent some application-dependent or context-dependent URI as defined in RFC2396.

This specification is designed to work with the general SOAP message structure and message processing model, and should be applicable to any version of SOAP. The current SOAP 1.2 namespace URI is used herein to provide detailed examples, but there is no intention to limit the applicability of this specification to a single version of SOAP.

Readers are presumed to be familiar with the terms in the Internet Security Glossary.

2.2 Namespaces

The XML namespace URIs that MUST be used by implementations of this specification are as follows (note that different elements in this specification are from different namespaces):

```
http://schemas.xmlsoap.org/ws/2002/xx/secext
http://schemas.xmlsoap.org/ws/2002/xx/utility
```

The following namespaces are used in this document:

Prefix	Namespace
S	http://www.w3.org/2001/12/soap-envelope
ds	http://www.w3.org/2000/09/xmldsig#
xenc	http://www.w3.org/2001/04/xmlenc#
wsse	http://schemas.xmlsoap.org/ws/2002/xx/secext
wsu	http://schemas.xmlsoap.org/ws/2002/xx/utility
saml	urn: oasis:names:tc:SAML:1.0:assertion

samlp	urn: oasis:names:tc:SAML:1.0:protocol

2.3 Terminology

This specification employs the terminology defined in the WS-Security Core Specification.

Defined below are the basic definitions for additional terminology used in this specification.

[TBS]

3 Usage

This section describes the specific mechanisms and procedures for the SAML binding of WS-Security.

Identification: urn:oasis:names:tc:WSS:1.0:bindings:WSS-SAML-binding

Contact information: TBD

Description: Given below.

Updates: None.

3.1 Processing Model

The SAML binding of WS-Security extends the token-independent processing model defined by the core WS-Security specification.

When a receiver processes a <wsse:Security> header containing SAML assertions, it MUST make an explicit determination of the relationship between the subject of each assertion and the sender of the message. Two methods for establishing this correspondence, `holder-of-key` and `sender-vouches` are described below. Senders and receivers implementing the SAML binding of WS-Security MUST implement the processing necessary to support both of these subject confirmation methods.

3.2 Attaching Security Tokens

SAML assertions are attached to SOAP messages using WS-Security by placing assertion elements inside a `<wsse:Security>` header. The following example illustrates a SOAP message containing a SAML assertion in a `<wsse:Security>` header.

```
<S:Envelope xmlns:S="...">
    <S:Header>
        <wsse:Security xmlns:wsse="...">
            <saml:Assertion
                      MajorVersion="1"
                      MinorVersion="0"
                      AssertionID="SecurityToken-ef375268"
                      Issuer="elliotw1"
                      IssueInstant="2002-07-23T11:32:05.6228146-07:00"
                     xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
                ...
            </saml:Assertion>
            ...
        </wsse:Security>
    </S:Header>
    <S:Body>
        ...
    </S:Body>
</S:Envelope>
```

3.3 Identifying and Referencing Security Tokens

The WS-Security specification defines the *wsu:Id* attribute as the common mechanism for referencing security tokens by "Id". Because the `<saml:AssertionIDReference>` element does not provide for attribute extensibility, this binding encapsulates <saml:AssertionIDReference> elements in the `<wsse:SecurityTokenReference>` element such that the wsu:id attribute of the encapsulating element can be used to identify assertions according to the common WS-Security mechanism. When this element is encountered within a reference, the recipient, if it supports the SAML binding of WS-Security, MUST interpret the contained element as a `<saml:AssertionIDReference>`.

The following example illustrates a message with an XML Signature that references a SAML assertion token.

```
<S:Envelope xmlns:S="...">
    <S:Header>
        <wsse:Security xmlns:wsse="...">
            <saml:Assertion
                      MajorVersion="1"
                      MinorVersion="0"
                      AssertionID="SecurityToken-ef375268"
                      Issuer="elliotw1"
                      IssueInstant="2002-07-23T11:32:05.6228146-07:00"
                    xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
                ...
            </saml:Assertion>
            <ds:Signature xmlns:ds="...">
                ...
                <ds:KeyInfo>
                    <wsse:SecurityTokenReference>
                        <saml:AssertionIDReference>
                            SecurityToken-ef375268
                        </saml:AssertionIDReference>
                    </wsse:SecurityTokenReference>
                </ds:KeyInfo>
            </ds:Signature>
            ...
        </wsse:Security>
    </S:Header>
    <S:Body>
        ...
    </S:Body>
</S:Envelope>
```

3.4 Proof-of-Possession of Security Tokens

As previously stated, the SAML binding of WS-Security requires that message senders and receivers support the holder-of-key and sender-vouches methods of subject confirmation. Additional subject confirmation mechanisms may also be supported. It is strongly RECOMMENDED that an XML signature be used to establish the relationship between the message sender and the attached assertions. This is especially RECOMMENDED whenever the SOAP message exchange is conducted over an unprotected transport.

Any processor of SAML assertions MUST conform to the required validation and processing rules defined in the SAML specification.

The following table enumerates the mandatory subject confirmation methods and summarizes their associated processing models:

Mechanism	RECOMMENDED Processing Rules
urn:oasis:names:tc:SAML:1.0:cm:holder-of-key	The requestor (the subject) includes an XML Signature that can be verified with the key information in the referenced security token.
urn:ietf:rfc:3075	The requestor (the subject) includes an XML Signature that can be verified with the key information in the referenced security token.
Urn:oasis:names:tc:SAML:1.0:cm:sender-vouches	The requestor (the sender, different from the subject) vouches for the verification of the subject. The receiver MUST have an existing trust relationship with the requestor to accept this. It is RECOMMENDED that the requestor sign the token and the message or use a secure transport.

Note that the high level processing model described in the following sections does not differentiate between message author and message sender as would be necessary to guard against replay attacks. The high-level processing model also does not take into account requirements for authentication of receiver by sender, or for message or assertion confidentiality. These concerns must be addressed by means other than those described in the high-level processing model.

3.4.1 Holder-of-key Subject Confirmation Method

The following sections describe the holder-of-key method of establishing the correspondence between a SOAP message sender and the subject of SAML assertions added to the SOAP message according to the SAML binding of WS-Security.

3.4.1.1 Sender

A message sender uses the holder-of-key confirmation method to demonstrate that it is the subject of the assertions in the message. The assertions included in a message that the sender will confirm by the holder-of-key method MUST include the following <saml:SubjectConfirmation> element:

```
<saml:SubjectConfirmation>
  <saml:ConfirmationMethod>
```

```
urn:oasis:names:tc:SAML:1.0:cm:holder-of-key
  </saml:ConfirmationMethod>
  <ds:KeyInfo>…</ds:KeyInfo>
</saml:SubjectConfirmation>
```

The <saml:SubjectConfirmation> element MUST include a <ds:KeyInfo> element that identifies the public or secret key to be used to confirm the identity of the subject.

To satisfy the associated confirmation method processing of the message receiver, the sender MUST demonstrate knowledge of the key of the subject. The sender MAY accomplish this by using the key of the subject to sign content within the message and by including the resulting <ds:Signature> element in the <wsse:Security> header.

<ds:Signature> elements produced for this purpose MUST conform to the canonicalization and token inclusion rules defined in the core WS-Security specification.

3.4.1.2 Receiver

A message receiver SHOULD NOT accept assertions containing a holder-of-key <saml:ConfirmationMethod> unless the message sender has demonstrated knowledge of the key identified by the <ds:keyInfo> element of the <saml:SubjectConfirmation> element: If the receiver determines that the sender has demonstrated knowledge of a subject confirmation key, then the SAML assertions containing the confirmation key MAY be attributed to the sender and any elements of the message whose integrity is protected by the subject confirmation key MAY be considered to have been authored by the subject.

3.4.1.3 Example

The following example illustrates the use of the holder-of-key subject confirmation method to establish the correspondence between the SOAP message author and the subject of the SAML assertions in the <wsse:Security> header:

```
<?xml:version="1.0" encoding="UTF-8"?>
<SOAP-ENV:Envelope
  xmlns:SOAP-ENV="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:xsd="http://www.w3.org/2001/XMLSchema">

<SOAP-ENV:Header>
<wsse:Security>
  <saml:Assertion
    xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion"
    MajorVersion="1" MinorVersion="0"
    AssertionID="2sxJu9g/vvLG9sAN9bKp/8q0NKU="
    Issuer="www.example.com"
    IssueInstant="2002-06-19T16:58:33.173Z">
    <saml:Conditions
      NotBefore="2002-06-19T16:53:33.173Z"
      NotOnOrAfter="2002-06-19T17:08:33.173Z"/>

    <saml:AuthenticationStatement
      AuthenticationMethod="urn:oasis:names:tc:SAML:1.0:am:password"
      AuthenticationInstant="2002-06-19T16:57:30.000Z">
      <saml:Subject>
```

```
        <saml:NameIdentifier
          NameQualifier="www.example.com"
          Format="">
uid=joe,ou=people,ou=saml-demo,o=example.com
        </saml:NameIdentifier>
        <saml:SubjectConfirmation>
          <saml:ConfirmationMethod>
urn:oasis:names:tc:SAML:1.0:cm:holder-of-key
          </saml:ConfirmationMethod>
          <ds:KeyInfo>
            <ds:KeyValue>…</ds:KeyValue>
          </ds:KeyInfo>
        </saml:SubjectConfirmation>
      </saml:Subject>
    </saml:AuthenticationStatement>

    <saml:AttributeStatement>
      <saml:Subject>
        <saml:NameIdentifier
          NameQualifier="www.example.com"
          Format="">
uid=joe,ou=people,ou=saml-demo,o=baltimore.com
        </saml:NameIdentifier>
        <saml:SubjectConfirmation>
          <saml:ConfirmationMethod>
urn:oasis:names:tc:SAML:1.0:cm:holder-of-key
          </saml:ConfirmationMethod>
          <ds:KeyInfo>
            <ds:KeyValue>…</ds:KeyValue>
          </ds:KeyInfo>
        </saml:SubjectConfirmation>
      </saml:Subject>

      <saml:Attribute
        AttributeName="MemberLevel"
        AttributeNamespace="http://www.oasis-
open.org/Catalyst2002/attributes">
          <saml:AttributeValue>gold</saml:AttributeValue>
      </saml:Attribute>
      <saml:Attribute
        AttributeName="E-mail"
        AttributeNamespace="http://www.oasis-
open.org/Catalyst2002/attributes">
        <saml:AttributeValue>joe@yahoo.com</saml:AttributeValue>
      </saml:Attribute>
    </saml:AttributeStatement>
    <ds:Signature>…</ds:Signature>
  </saml:Assertion>
  <ds:Signature>
    <ds:SignedInfo>…</ds:SignedInfo>
    <ds:SignatureValue>
HJJWbvqW9E84vJVQkjjLLA6nNvBX7mY00TZhwBdFNDElgscSXZ5Ekw==
    </ds:SignatureValue>
  </ds:Signature>
</wsse:Security>
</SOAP-ENV:Header>

<SOAP-ENV:Body>
  <ReportRequest>
    <TickerSymbol>SUNW</TickerSymbol>
  </ReportRequest>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>
```

3.4.2 Sender-vouches Subject Confirmation Method

The following sections describe the sender-vouches method of establishing the correspondence between a SOAP message sender and the SAML assertions added to the SOAP message according to the SAML binding of WS-Security.

3.4.2.1 Sender

A message sender uses the sender-vouches confirmation method to assert that it is acting on behalf of the subjects of the assertions in the message. The assertions included in a message that the sender will confirm by the sender-vouches method MUST include the following `<saml:SubjectConfirmation>` element:

```
<saml:SubjectConfirmation>
  <saml:ConfirmationMethod>
urn:oasis:names:tc:SAML:1.0:cm:sender-vouches
  </saml:ConfirmationMethod>
</saml:SubjectConfirmation>
```

To satisfy the associated confirmation method processing of the receiver, the sender MUST use its key to integrity protect the assertions and those elements of the SOAP message that the sender is vouching for. The sender MAY accomplish this by including in the corresponding <wsse:Security> header a <ds:Signature> element that the sender prepares by using its key to sign the assertions and relevant message content. As defined by the XML Signature Specification, the sender MAY identify its key by including a `<ds:KeyInfo>` element within the `<ds:Signature>` element.

A <ds:Signature> element produced for this purpose MUST conform to the canonicalization and token inclusion rules defined in the core WS-Security specification.

3.4.2.2 Receiver

A message receiver SHOULD NOT accept assertions containing a sender-vouches <saml:ConfirmationMethod> unless the assertions and SOAP message content being vouched for by the sender are integrity protected by a sender who is trusted by the receiver to act on behalf of the subject of the assertions.

3.4.2.3 Example

The following example illustrates a senders use of the sender-vouches subject confirmation method with an associated <ds:Signature> element to establish its identity and to assert that it has sent message elements on behalf of the subjects of the contained assertions:

```
<SOAP-ENV:Envelope
  xmlns:SOAP-ENV="http://schemas.xmlsoap.org/soap/envelope/">
  <SOAP-ENV:Header
    xmlns:saml="…"
    <wsse:Security>
      <wsse:SecurityTokenReference>
      <saml:AssertionIDReference>XVB12#$21abc</AssertionIDReference>
      <wsse:Reference URI=http://www.example.com/SAMLservice”/>
      </wsse:SecurityTokenReference>
      <saml:Assertion>…</saml:Assertion>
```

```
      <ds:Signature>…
        <ds:KeyInfo>…</ds:KeyInfo>
      </ds:Signature>
    </wsse:Security>
  </SOAP-ENV:Header>
  <SOAP-ENV:Body>
    …
  </SOAP-ENV:Body>
</SOAP-ENV:Envelope>
```

3.5 Error Codes

It is RECOMMENDED that systems implementing the SAML binding of WS-Security respond with the error codes defined in the core WS-Security specification. Implementations that chose to respond with custom errors, defined in private namespaces, SHOULD take care not to introduce any security vulnerabilities as a result of the information returned in their error responses.

A receiver that is unable to process the SAML assertions contained in a `<wsse:Security>` header SHOULD use one of the fault codes listed in the core WS-Security specification to report the error. The RECOMMENDED correspondence between the common assertion processing failures and the error codes defined in the core WS-security specification are defined in the following table:

Assertion Processing Error	RECOMMENDED Error
A referenced SAML assertion could not be retrieved.	wsse:SecurityTokenUnavailable
An assertion contains a `<saml:Condition>` element that the receiver does not understand.	wsse:UnsupportedSecurityToken
A signature within an assertion or including an assertion is invalid.	wsse:FailedCheck
The issuer of an assertion is not acceptable to the receiver.	wsse:InvalidSecurityToken
The receiver does not understand the extension schema used in a assertion.	wsse:UnsupportedSecurityToken

3.6 Threat Model and Countermeasures

This document defines the mechanisms and procedures for securely attaching SAML assertions to SOAP messages. SOAP messages are used in multiple contexts, specifically including cases where the message is transported without an active session, the message is persisted, or the message is routed through a number of intermediaries. Such a general context of use suggests that users of this binding must be concerned with a variety of threats. The following sections describe the vulnerability of the SAML token binding of WS-Security to a variety of threats. In

general, the use of SAML assertions with WS-Security introduces no new threats beyond those identified for SAML or by the core WS-Security specification.

The following sections provide an overview of the characteristics of the threat model, and the countermeasures that SHOULD be adopted for each perceived threat.

3.6.1 Eavesdropping

Eavesdropping is a threat to the SAML token binding of WS-Security in the same manner as it is a threat to any network protocol. The routing of SOAP messages through intermediaries increases the potential incidences of eavesdropping. Additional opportunities for eavesdropping exist when SOAP messages are persisted.

To provide maximum protection from eavesdropping, assertions and sensitive message content SHOULD be encrypted such that only the intended audiences can view the material. This removes threats of eavesdropping in transit, but MAY not remove risks associated with storage by the receiver or poor handling of the clear text by the receiver.

Transport-layer security MAY be used to protect the message and contained SAML assertions from eavesdropping while in transport, but message content MUST be encrypted above the transport if it is to be protected from eavesdropping by intermediaries.

3.6.2 Replay

The reliance on assertions with a holders-of-key subject confirmation mechanism precludes all but a holder of the key from binding the assertions to a SOAP message. Although this mechanism affectively restricts message authorship to the holder of the subject key, it does not preclude the capture and resubmission of the message by other parties.

Assertions that contain a sender-vouches confirmation mechanism introduce another dimension to replay vulnerability because the assertions impose no restriction on the senders who may use or reuse the assertions. Any entity coming into contact with such assertions could use them in a message in which they use their identity to vouch for the subject of the assertions.

Replay attacks can be addressed by using message timestamps and caching, as well as by using other application-specific tracking mechanisms.

3.6.3 Message Insertion

The SAML token binding of WS-Security is not vulnerable to message insertion attacks.

3.6.4 Message Deletion

The SAML token binding of WS-Security is not vulnerable to message insertion attacks.

3.6.5 Message Modification

The SAML token binding of WS-Security is protected from message modification if the relevant message content is signed by the holder of the key or the vouching sender. It is strongly RECOMMENDED that all relevant and immutable message content be signed by the sender. Receivers SHOULD only consider those portions of the document that are covered by the sender's signature as being subject to the assertions in the message.

SAML assertions appearing in <wsse:Security> header elements SHOULD be signed by their issuing Authority such that message receivers can have confidence that the assertions have not been forged or altered since their issuance. It is strongly RECOMMENDED that the message sender also sign the `<saml:Assertion>` elements (either within the token, as part of the message, or both).

Transport-layer security MAY be used to protect the message and contained SAML assertions from modification while in transport, but signatures are required to extend such protection through intermediaries.

3.6.6 Man-in-the-Middle

Assertions with a holder-of-key subject confirmation method are not vulnerable to a MITM attack. Assertions with a sender-vouches subject confirmation method are vulnerable to MITM attacks to the degree that the receiver does not have a trusted binding of key to the vouching sender's identity.

4 Acknowledgements

This specification was developed as a result of joint work of many individuals from the WSS TC including:

TBD

5 References

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496 **[XML Token]** Contribution to the WSS TC, Chris Kaler (Editor),
497 WS-Security Profile for XML-based Tokens, August 2002.

498

Appendix A: Revision History

Rev	Date	What
01	19-Sep-02	Initial draft produced by extracting SAML related content from [XML token]
02	23-Sep-02	Merged in content from SS TC submission

Appendix B: Notices

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