



Building Bridges in the Ocean State:

Automating Privacy Policy Enforcement to Enable Information Sharing

The National Center for State Courts is working to highlight the viability of using national standards—the <u>Global Reference Architecture</u> and the <u>Global Privacy Technical Framework</u>—to electronically execute privacy and access-control rules for cross-domain information sharing. Rhode Island's Department of Corrections (RIDOC) and Department of Children, Youth, and Family Services successfully implemented a juvenile criminal history query and response to exchange protected information, and they are sharing their story with you.

Your Invitation

We invite you to assemble your team of program managers, privacy officers, and technologists to participate in a Webinar discussion of the Ocean State's information sharing goals, challenges, solutions, and successes. The Webinar will be held May 24, 2016, at 2:00 p.m., ET, at the following URL: <u>https://iir.adobeconnect.com/dataprivacywebinar/</u>. In addition, please take advantage of two case studies that describe Rhode Island's project: one tailored for business leaders and one tailored for technology leaders. The case studies can be accessed here: <u>http://www.ncsc.org/Services-and-Experts/Technology-tools/National-standards.aspx</u>.

About Rhode Island

Historically, RIDOC has worked to improve its offender reentry process and break down barriers for offenders released from prison. This effort is reinforced by RIDOC's mission to employ a culture of sharing and exchanging information with agencies and service providers to improve the overall care, treatment, and management of offenders to reduce recidivism, streamline business processes, and reduce costs.

At intake, RIDOC completes a Level of Service Inventory-Revised (LSI-R) risk/needs assessment to determine appropriate rehabilitative services during incarceration. A challenge to the 54-question interview is that most answers are self-reported, based solely on the offender's recollection and cooperation. This often leads to incomplete or incorrect inputs to the LSI-R, potentially impacting classification and program recommendations.

RIDOC recognized that most of its fellow state agencies share many of the same clients (e.g., substance abuse programs, employment, housing, education, physical and mental health providers). Therefore, RIDOC sought to establish an effective technical approach to electronic information sharing, with the twin

goals of improving offender management and protecting the confidential data contained within the exchange. The Ocean State's implementation of the juvenile criminal history query and response was a successful first step in RIDOC's continuing efforts to tackle legislative, administrative, and operational challenges to exchanging sensitive information. RIDOC is now positioned to reuse the technical solutions for automating the enforcement of privacy policy and access-control rules in other data-exchange partnerships.

About the National Standards

RIDOC and its funding and technical assistance partners leveraged the Global Reference Architecture (GRA)—a service-oriented architecture—and the Global Privacy Technical Framework. Rhode Island's project is important because it is the very first use case in the justice community that executes consent and automates privacy and access-control rules. However, the applicability of these national standards is not limited to data inputs to risk/needs assessments; any justice or public safety agency can use the GRA and the Global Privacy Technical Framework to automate the exchange of sensitive information and enforcement privacy protections.

For more information about the GRA and the Global Privacy Technical Framework, refer to the following:

- Global Reference Architecture: <u>http://it.ojp.gov/initiatives/gra</u>
- Global Privacy Technical Framework: <u>http://it.ojp.gov/gist/64/Implementing-Privacy-Policy-in-Justice-Information-Sharing--A-Technical-Framework</u>
- Technical Privacy Training: <u>www.technicalprivacytraining.org</u>

For more information about RIDOC's funding and technical assistance partners, refer to the following partner links:

- U.S. Department of Justice's Bureau of Justice Assistance (BJA): <u>www.bja.gov</u>
- Association of State Correctional Administrators (ASCA): <u>www.asca.net</u>
- IJIS Institute (IJIS): www.ijis.org
- National Consortium for Justice Information and Statistics (SEARCH): <u>www.search.org</u>
- National Center for State Courts (NCSC): <u>www.ncsc.org</u>