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² Extensible Resource Identifier (XRI) ³ Syntax V2.0

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17	Abstract:
18	This document is the normative technical specification for XRI generic syntax. For a non-
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20	[XRIIntro].
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93 Introduction

94 1.1 Overview of XRIs

95 Extensible Resource Identifiers (XRIs) provide a standard means of abstractly identifying a

resource independent of any particular concrete representation of that resource—or, in the case
 of a completely abstract resource, of any representation at all.

98 As shown in Figure 1, XRIs build on the foundation established by URIs (Uniform Resource

- Identifiers) and IRIs (Internationalized Resource Identifiers) as defined by [URI] and [IRI],
- 100 respectively.



101 102

Figure 1: The relationship of XRIs, IRIs, and URIs

103 The IRI specification created a new identifier by extending the unreserved character set to include

104 characters beyond those allowed in generic URIs. It also defined rules for transforming this

105 identifier into a syntactically legal URI. Similarly, this specification creates a new identifier, an

106 XRI, that extends the syntactic elements (but not the character set) allowed in IRIs. To

accommodate applications that expect IRIs or URIs, this specification also defines rules for

108 transforming an XRI reference into a valid IRI or URI reference.

109 Although an XRI is not a Uniform Resource Name (URN) as defined in URN Syntax [RFC2141],

an XRI consisting entirely of persistent segments is designed to meet the requirements set out in *Functional Requirements for Uniform Resource Names* [RFC1737].

112 This document specifies the normative syntax for XRIs, along with associated normalization,

- 113 processing and equivalence rules. See also An Introduction to XRIs [XRIIntro] for a non-
- 114 normative introduction to XRI architecture.

115 **1.1.1 Generic Syntax**

116 XRI syntax follows the same basic pattern as IRI and URI syntax. A fully-qualified XRI consists of 117 the prefix "xri://" followed by the same four components as a generic authority-based IRI or URI.

118 xri:// authority / path ? query # fragment

The definitions of these components are, for the most part, supersets of the equivalent components in the generic IRI or URI syntax. One advantage of this approach is that the vast majority of HTTP URIs and IRIs, which derive directly from generic URI syntax, can be transformed to valid XRIs simply by changing the scheme from "http" to "xri". This transformation is discussed in Appendix B, "Transforming HTTP IRIs to XRIs".

- 124 XRI syntax extends generic IRI syntax in the following four ways:
- Persistent and reassignable segments. Unlike generic URI syntax, XRI syntax allows the internal components of an XRI reference to be explicitly designated as either persistent or reassignable.

- Cross-references. Cross-references allow XRI references to contain other XRI references or IRIs as syntactically-delimited sub-segments. This provides syntactic support for "compound identifiers", i.e., the use of well-known, fully-qualified identifiers within the context of another XRI reference. Typical uses of cross-references include using wellknown types of metadata in an XRI reference (such as language or versioning metadata), or the use of globally-defined identifiers to mark parts of an XRI reference as having application- or vocabulary-specific semantics.
- Additional authority types. While XRI syntax supports the same generic syntax used in IRIs for DNS and IP authorities, it also provides two additional options for identifying an authority: a) global context symbols (GCS), shorthand characters used for establishing the abstract global context of an identifier, and b) cross-references, which enable any identifier to be used to specify an XRI authority.
- 4. Standardized federation. Federated identifiers are those delegated across multiple authorities, such as DNS names. Generic URI syntax leaves the syntax for federated identifiers up to individual URI schemes, with the exception of explicit support for IP addresses. XRI syntax standardizes federation of both persistent and reassignable identifiers at any level of the path.

145 **1.1.2 URI, URL, URN, and XRI**

The evolution and interrelationships of the terms "URI", "URL", and "URN" are explained in a
report from the Joint W3C/IETF URI Planning Interest Group, *Uniform Resource Identifiers*(URIs), URLs, and Uniform Resource Names (URNs): Clarifications and Recommendations

- 149 **[RFC3305]**. According to section 2.1:
- "During the early years of discussion of web identifiers (early to mid 90s), people assumed
 that an identifier type would be cast into one of two (or possibly more) classes. An identifier
 might specify the location of a resource (a URL) or its name (a URN), independent of
 location. Thus a URI was either a URL or a URN."
- 154 This view has since changed, as the report goes on to state in section 2.2:
- "Over time, the importance of this additional level of hierarchy seemed to lessen; the view
 became that an individual scheme did not need to be cast into one of a discrete set of URI
 types, such as 'URL', 'URN', 'URC', etc. Web-identifier schemes are, in general, URI
 schemes, as a given URI scheme may define subspaces."
- 159 This conclusion is shared by **[URI]** which states in section 1.1.3:
- "An individual [URI] scheme does not have to be classified as being just one of 'name' or
 'locator'. Instances of URIs from any given scheme may have the characteristics of names or
 locators or both, often depending on the persistence and care in the assignment of identifiers
- 163 by the naming authority, rather than on any guality of the scheme."

XRIs are consistent with this philosophy. Although XRIs are designed to fulfill the requirements of
 abstract "names" that are resolved into concrete locators, XRI syntax does not distinguish
 between identifiers that represent "names", "locators" or "characteristics."

167 **1.2 Terminology and Notation**

168 **1.2.1 Keywords**

169 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD",

170 "SHOULD NOT", "RECOMMENDED", "MAY" and "OPTIONAL" in this document are to be

interpreted as described in [RFC2119]. When these words are not capitalized in this document,

they are meant in their natural language sense.

173 **1.2.2 Syntax Notation**

174 This specification uses the syntax notation employed in **[IRI]**: Augmented Backus-Naur Form

175 (ABNF), defined in **[RFC2234]**. Although the ABNF defines syntax in terms of the US-ASCII

character encoding, XRI syntax should be interpreted in terms of the character that the ASCII encoded octet represents, rather than the octet encoding itself, as explained in **[URI]**. As with

178 URIs, the precise bit-and-byte representation of an XRI reference on the wire or in a document is

dependent upon the character encoding of the protocol used to transport it, or the character set of

180 the document that contains it.

The following core ABNF productions are used by this specification as defined by section 6.1 of
 [RFC2234]: ALPHA, CR, CTL, DIGIT, DQUOTE, HEXDIG, LF, OCTET and SP. The complete
 XRI ABNF syntax is collected in Appendix A.

- 184 To simplify comparison between generic XRI syntax and generic IRI syntax, the ABNF
- 185 productions that are unique to XRIs are shown with light green shading, while those inherited 186 from **[IRI]** are shown with light yellow shading.
- foo from **[IKI]** are shown with light yellow shading.
- 187 This is an example of ABNF specific to XRI.

188 This is an example of ABNF inherited from IRI.

Lastly, because the prefix "xri://" is optional in absolute XRIs that use a global context symbol

190 (see section 2.2.1.2), some example XRIs are shown without this prefix.

191 **2 Syntax**

This section defines the normative syntax for XRIs. Note that additional constraints are inherited from **[IRI]** and **[URI]**, as defined in section 2.2. Also note that some productions in the XRI ABNF are ambiguous. As with IRIs and URIs, a "first-match-wins" rule is used to disambiguate ambiguous productions. See **[URI]** for more details.

196 2.1 Characters

197 XRI character set and encoding are inherited from [IRI], which is a superset of generic URI
 198 syntax as defined in [URI].

199 **2.1.1 Character Encoding**

200 The standard character encoding of XRI is UTF-8, as recommended by [RFC2718]. When an XRI 201 reference is presented as a human-readable identifier, the representation of the XRI reference in 202 the underlying document may use the character encoding of the underlying document. However, 203 this representation must be converted to UTF-8 before the XRI can be processed outside the 204 document. This encoding in UTF-8 MUST include normalization according to Normalization Form 205 KC (NFKC) as defined in [UTR15]. The stricter NFKC is specified rather than Normalization Form 206 C (NFC) used in IRI encoding [IRI] because NFKC reduces the number of UCS compatability 207 characters allowed in an XRI and increases the probability of equivalence matches.

208 2.1.2 Reserved Characters

The overall XRI reserved character set is the same as the reserved character set defined by [URI] and [IRI]. Due to the extended syntax of XRIs, however, the allocation of reserved characters between the "general delimiters" and "sub-delimiters" productions is different. Those characters that have defined semantics in generic XRI syntax appear in the xri-gen-delims production. Those characters that do not have defined semantics but that are reserved for use as implementation-specific delimiters appear in the xri-sub-delims production. The rgcs-char production that appears in xri-gen-delims below is discussed in section 2.2.1.2.

```
216 xri-reserved = xri-gen-delims / xri-sub-delims
217 xri-gen-delims = ":" / "/" / "?" / "#" / "[" / "]" / "(" / ")"
218 / "*" / "!" / rgcs-char
219 xri-sub-delims = "&" / ";" / "," / "/"
```

If an XRI reserved character is used as a data character and not as a delimiter, the character
 MUST be percent-encoded per the rules in section 2.1.4, "Percent-Encoded Characters". XRI
 references that differ in the percent-encoding of a reserved character are not equivalent.

223 2.1.3 Unreserved Characters

The characters allowed in XRI references that are not reserved are called unreserved. XRI has the same set of unreserved characters as the "iunreserved" production in **[IRI]**.

```
226 iunreserved = ALPHA / DIGIT / "-" / "." / "_" / "~" / ucschar
```

227	ucschar	= %xA0-D7FF / %xF900-FDCF / %xFDF0-FFEF
228		/ %x10000-1FFFD / %x20000-2FFFD / %x30000-3FFFD
229		/ %x40000-4FFFD / %x50000-5FFFD / %x60000-6FFFD
230		/ %x70000-7FFFD / %x80000-8FFFD / %x90000-9FFFD
231		/ %xA0000-AFFFD / %xB0000-BFFFD / %xC0000-CFFFD
232		/ %xD0000-DFFFD / %xE1000-EFFFD

Percent-encoding unreserved characters in an XRI does not change what resource is identified
by that XRI. However, it may change the result of an XRI comparison (see section 2.5,
"Normalization and Comparison"), so unreserved characters SHOULD NOT be percent-encoded.

236 2.1.4 Percent-Encoded Characters

XRIs follow the same rules for percent-encoding as IRIs and URIs. That is, any *data* character in
an XRI reference MUST be percent-encoded if it does not have a representation using an
unreserved character but SHOULD NOT be percent-encoded if it does have a representation
using an unreserved character. Delimiters in an XRI reference that have a representation using a
reserved character MUST NOT be percent-encoded.

An XRI reference thus percent-encoded is said to be in *XRI-normal form*. Not all XRI references in XRI-normal form are syntactically legal IRI or URI references. Rules for converting an XRI reference to a valid IRI or URI reference are discussed in section 2.3.1. An XRI reference is in XRI-normal form if it is minimally percent-encoded and matches the ABNF provided in this document, but it is a valid IRI or URI reference only after it is percent-encoded according to the transformation described in section 2.3.1.

A percent-encoded octet is a character triplet consisting of the percent character "%" followed by the two hexadecimal digits representing that octet's numeric value.

250 pct-encoded = "%" HEXDIG HEXDIG

The uppercase hexadecimal digits "A" through "F" are equivalent to the lowercase digits "a"

- through "f", respectively. XRI references that differ only in the case of hexadecimal digits used in
 percent-encoded octets are equivalent. For consistency, XRI generators and normalizers
- 254 SHOULD use uppercase hexadecimal digits for percent-encoded triplets.
- Note that a % symbol used to represent itself in an XRI reference (i.e., as data and not to introduce a percent-encoded triplet) must be percent-encoded.

257 2.1.4.1 Encoding XRI Metadata

In some cases, the transformation of an identifier in its native language and display format into an
 XRI reference in XRI-normal form may lose information that cannot be retained through percent encoding. For example, in certain languages, displaying the glyph of a UTF-8 encoded character
 requires additional language and font information not available in UTF-8. The loss of this
 information during UTF-8 encoding might cause the resulting XRI to be ambiguous.

- 263 XRI syntax offers an option for encoding this language metadata using a cross-reference 264 beginning with the GCS "\$" symbol (see section 2.2.1.2). The top level authority for language
- 265 metadata is the *XRI Metadata Specification* published by the OASIS XRI Technical Committee.

266 **2.1.5 Excluded Characters**

Certain characters, such as "space", are excluded from XRI syntax and must be percent-encoded
 in order to be represented within an XRI. Systems responsible for accepting or presenting XRI
 references may choose to percent-encode excluded characters on input and/or decode them
 prior to display, as described in section 2.1.4. A string that contains these characters in a non percent-encoded form, however, is not a valid XRI.

- 272 Note that presenting "space" or other whitespace characters in a non-percent-encoded form is not
- 273 recommended for several reasons. First, it is often difficult to visually determine the number of
- spaces or other characters composing a block of whitespace, leading to transcription errors.
- 275 Second, the space character is often used to delimit an XRI reference, so non-percent-encoded 276 whitespace characters can make it difficult or impossible to determine where the identifier ends.
- 276 whitespace characters can make it difficult of impossible to determine where the identifier ends
 277 Finally, non-percent-encoded whitespace can be used to maliciously construct subtly different
- identifiers intended to mislead the reader. For these reasons, non-percent-encoded whitespace
- characters SHOULD be avoided in presentation, and alternatives to whitespace as a logical
- 280 separator within XRIs (such as dots or hyphens) SHOULD be used whenever possible.
- [IRI] provides the following guidance concerning other characters that should be avoided. Thisguidance applies to XRIs as well.
- "The UCS contains many areas of characters for which there are strong visual
 look-alikes. Because of the likelihood of transcription errors, these also should be
 avoided. This includes the full-width equivalents of Latin characters, half-width
 Katakana characters for Japanese, and many others. This also includes many
 look-alikes of 'space', 'delims', and 'unwise', characters excluded in [RFC3491]."
- 288 "Additional information is available from [UniXML]. [UniXML] is written in the
 289 context of running text rather than in the context of identifiers. Nevertheless, it
 290 discusses many of the categories of characters not appropriate for IRIs."

Finally, although they are not excluded characters, special care should be taken by user agents with regard to the display of UCS characters that are visual look-alikes (homographs) for XRI delimiters (all characters in the xri-reserved production, section 2.1.2). See section 3.3, "Spoofing and Homographic Attacks" for additional information.

295 **2.2 Syntax Components**

XRI syntax builds on generic IRI (and ultimately, URI) syntax. However because XRI syntax
includes syntactic elements other than those defined in **[IRI]** and **[URI]**, this specification defines
a new protocol element, "XRI", along with rules for transforming XRI references into generic IRI or
URI references for applications that expect them (see section 2.3.1, "Transforming XRI
References into IRI and URI References"). An XRI reference MUST be constructed such that it
qualifies as a valid IRI as defined by **[IRI]** when converted to IRI-normal form and such that it
qualifies as a valid URI as defined by **[URI]** when converted to URI-normal form.

As with URIs, an XRI must be in absolute form, while an XRI reference may be either an XRI or a relative XRI reference.

305 306	XRI	= ["xri://"] xri-hier-part ["?" iquery] ["#" ifragment]
307	xri-hier-part	= (xri-authority / iauthority) xri-path-abempty
308	XRI-reference	= XRI / relative-XRI-ref
309	absolute-XRI	= ["xri://"] xri-hier-part ["?" iquery]
310	relative-XRI-ref	<pre>= relative-XRI-part ["?" iquery] ["#" ifragment]</pre>
311 312 313	relative-XRI-part	<pre>= xri-path-absolute / xri-path-noscheme / ipath-empty</pre>
314	xri-value	= xri-no-scheme / relative-XRI-ref
315	xri-no-scheme	= xri-hier-part ["?" iquery] ["#" ifragment]

- 316 An XRI begins with an optional prefix "xri://" followed by the same set of hierarchical components
- as a URI authority, path, query, and fragment. An XRI is always in absolute form. A relative XRI
- reference consists of an XRI path followed by an optional XRI query and optional XRI fragment.
- The absolute-XRI production is provided for contexts that require an XRI in absolute form but that do not allow the fragment identifier.
- Finally, in certain contexts where XRIs are used exclusively, the prefix "xri://" is redundant. These contexts can use the xri-value production, which includes all levels of XRI paths.

323 **2.2.1 Authority**

324 XRIs support the same types of authorities as generic IRIs, called *IRI authorities*. XRIs also 325 support additional types of abstract identification authorities called *XRI authorities*.

326 2.2.1.1 XRI Authority

There are two ways to express an XRI authority: using a global context symbol (GCS), or using a cross-reference (abbreviated in the ABNF as *xref*). Cross-references are covered in section 2.2.2.

329 xri-authority = gcs-authority / xref-authority

330 2.2.1.2 Global Context Symbol (GCS) Authority

331 XRIs offer a simple, compact syntax for indicating the logical global context of an identifier: a 332 single prefix character called a *global context symbol*.

333	gcs-authority	= pgcs-authority / rgcs-authority
334	pgcs-authority	= "!" xri-subseg-pt-nz *xri-subseg
335	rgcs-authority	= rgcs-char xri-segment
336	rgcs-char	= "=" / "@" / "+" / "\$"

The global context symbol characters were selected from the set of symbol characters that are valid in a URI under **[URI]**. The bang character, "!", which is used uniformly in XRI syntax to indicate a persistent identifier segment, serves as the GCS character for global persistent identifiers. The other GCS characters may be used to indicate the global context of either a persistent or a reassignable identifier as shown in Table 1 below: 342

Symbol Character	Authority Type	Establishes Global Context For
=	Person	Identifiers for whom the authority is controlled by an individual person.
@	Organi- zation	Identifiers for whom the authority is controlled by an organization or a resource in an organizational context.
+	General public	Identifiers for whom there is no specific controlling authority because they represent generic dictionary concepts or "tags" whose meaning is determined by consensus. (In the English language, for example, these would be the generic nouns.)
\$	Standards body	Identifiers for whom the authority is controlled by a specification from a standards body, for example, other XRI specifications from the OASIS XRI Technical Committee, other OASIS specifications, or (using cross-references) other standards bodies.

343

Table 1: XRI global context symbols.

344 **2.2.1.3 IRI Authority**

345 XRIs support the same type of authority defined by the "iauthority" production of **[IRI]**.

346	iauthority	= [iuserinfo "@"] ihost [":" port]
347	iuserinfo	<pre>= *(iunreserved / pct-encoded / sub-delims / ":")</pre>
348	ihost	= IP-literal / IPv4address / ireg-name
349	port	= *DIGIT

The syntax is inherited directly from **[IRI]**. First, the "iuserinfo" sub-component permits the identification of a user in the context of a host. Next, the "ihost" sub-component has three options for identifying the host: a registered name (such as a domain name), an IPv4 address, or an IPv6 literal.

A host identifier can be followed by an optional port number. The XRI syntax specification does
not define a default port because it is expected this will be inherited from the resolution protocol.
Therefore, if the port is omitted in an XRI, it is undefined.

Note that authority segments that begin with GCS characters or cross-references (see below) may match both the "iauthority" and the "xri-authority" productions. For instance, "!!1",

- 359 "@example", "=example", "+example", "\$example" and "(=example)" all match both productions.
- As with all XRI syntax, the "first-match-wins" rule is used to resolve ambiguities. Consequently, all
- the examples listed above would be considered XRI authorities, not IRI authorities.

362 **2.2.2 Cross-References**

363 Cross-references are the primary extensibility mechanism in XRI. They allow an identifier

- 364 assigned in one context to be reused in another context, permitting identifiers to be shared across
- 365 contexts. This simplifies identifying logically equivalent resources across hierarchies (a directory
- 366 concept referred to as "polyarchy".)

- A cross-reference is syntactically delimited by enclosing it in parentheses, similar to the way an IPv6 literal is encapsulated in square brackets as specified in **[RFC2732]**. A cross-reference may contain either an XRI reference or an absolute IRI.
- 370 xref = "(" (XRI-reference / IRI) ")"

It is important that the value of a cross-reference be syntactically unambiguous, whether it is an
absolute IRI or one of the various forms of an XRI reference. Therefore special attention must be
paid to relative XRI references to avoid ambiguity, as discussed in section 2.4.3.

- A cross-reference may appear at any node of any XRI except within an IRI authority segment. A cross-reference as the very first sub-segment in an XRI is a valid top-level XRI authority.
- 376 xref-authority = xref *xri-subseg
- This syntax allows any globally-unique identifier in any URI scheme (e.g., an HTTP URI, mailto URI, URN etc.) to specify a global XRI authority.
- 379xri://(mailto:john.doe@example.com)/favorites/home380--example of using a URI as an XRI global authority

381 2.2.3 Path

As with IRIs, the XRI path component is a hierarchal sequence of path segments separated by slash ("/") characters and terminated by the first question-mark ("?") or number sign ("#") character, or by the end of the XRI reference. But while an IRI path segment is considered opaque by a generic URI processor, an XRI path segment can be parsed by an XRI processor into two types of sub-segments: * *segments* (pronounced "star segments") and *! segments* (pronounced "bang segments").

388 389 390 391	xri-path	<pre>= xri-path-abempty / xri-path-absolute / xri-path-noscheme / ipath-empty</pre>
392	xri-path-abempty	= *("/" xri-segment)
393	xri-path-absolute	= "/" [xri-segment-nz *("/" xri-segment)]
394 395	xri-path-noscheme	= xri-subseg-od-nx *xri-subseg-nc *("/" xri-segment)
396	xri-segment	= xri-subseg-od *xri-subseg
397	xri-segment-nz	= xri-subseg-od-nz *xri-subseg
398	xri-subseg	= ("*" / "!") (xref / *xri-pchar)
399	xri-subseg-nc	= ("*" / "!") (xref / *xri-pchar-nc)
400	xri-subseg-od	= ["*" / "!"] (xref / *xri-pchar)
401	xri-subseg-od-nz	= ["*" / "!"] (xref / 1*xri-pchar)
402	xri-subseg-od-nx	= ["*" / "!"] 1*xri-pchar-nc
403	xri-subseq-pt-nz	= "!" (xref / 1*xri-pchar)

404 * segments are used to specify reassignable identifiers—identifiers that may be reassigned by an 405 identifier authority to represent a different resource at some future date. ! segments are used to 406 specify persistent identifiers—identifiers that are permanently assigned to a resource and will not 407 be reassigned at a future date. A ! segment SHOULD meet the requirements for persistent 408 identifiers set out in Functional Requirements for Uniform Resource Names [RFC1737]. The 409 default is a * segment, so a leading star ("*") is optional for the first (or only) sub-segment if this 410 subsegment is reassignable.

411 An XRI path segment may contain the same characters as a URI path segment plus the

expanded UCS character set inherited from [IRI]. If a star ("*") or bang ("!") appears in a path of 412

413 an XRI reference, it will be interpreted as a sub-segment delimiter. If this interpretation is not

414 desired for these characters, or for any other special XRI delimiters, these characters MUST be percent-encoded when they appear in the path segment. See section 2.1.4, "Percent-Encoded 415 416 Characters".

417	xri-pchar	= iunreserved / pct-encoded / xri-sub-delims / ":"
418	xri-pchar-nc	= iunreserved / pct-encoded / xri-sub-delims

With the exception of star ("*"), bang ("!") and cross-reference delimiters, an XRI path segment is 419 considered opague by generic XRI syntax. As with IRIs, XRI extensions or generating 420

421 applications may define special meanings for other XRI reserved characters for the purpose of

422 delimiting extension-specific or generator-specific sub-components.

2.2.4 Query 423

424 The XRI guery component is identical to the IRI guery component as described in section 2.2 of 425 [IRI].

426 iquery = *(ipchar / iprivate / "/" / "?")

2.2.5 Fragment 427

- 428 XRI syntax also supports fragments as described in section 2.2 of [IRI].
- 429 ifragment = *(ipchar / "/" / "?")

430 Since XRI federation syntax can inherently address attributes or sub-resources to any depth, 431 fragments are supported primarily for compatibility with generic URI syntax. XRIs can also employ 432 cross-references to identify media types or other alternative representations of a resource. See 433 section 2.2.2.

2.3 Transformations 434

2.3.1 Transforming XRI References into IRI and URI References 435

Although XRIs are intended to be used by applications that understand them natively, it may also 436 437 be desirable to use them in contexts that do not recognize an XRI reference but that allow an IRI 438 reference as described in [IRI], or a fully-conformant URI reference as defined by [URI].

439 This section specifies the steps for transforming an XRI reference into a valid IRI reference. At

the completion of these steps, the XRI reference is in IRI-normal form. An XRI reference in IRI-440

441 normal form may then be mapped into a valid URI reference by following the algorithms defined in section 3.1 of [IRI]. After that mapping, the XRI reference is in URI-normal form. 442

443 Applications transforming XRI references to IRI references MUST use the following steps (or a 444 process that achieves exactly the same result). Before applying these steps, the XRI reference

445 must be in XRI-normal form as defined in section 2.1.4.

- If the XRI reference is not encoded in UTF-8, convert the XRI reference to a sequence of characters encoded in UTF-8, normalized according to Normalization Form KC (NFKC) as defined in [UTR15].
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- 451 3. Optionally add XRI metadata using cross-references as defined in section 2.1.4.1. Note
 452 that the addition of XRI metadata may change the resulting IRI or URI reference for the
 453 purposes of comparison as explained in section 2.5.4.
- 4. Apply the XRI escaping rules defined in section 2.3.2. Note that this step is not
 idempotent (i.e., it may yield a different result if applied more than once), so it is very
 important that implementers not apply this step more than once to avoid changing the
 semantics of the identifier.
- 458 At the completion of step 4, the percent-encoded XRI reference is now in IRI-normal form and 459 may be used as an IRI reference conformant with **[IRI]**.

460 Applying this conversion does not change the equivalence of the identifier, with the possible 461 exception of the addition of XRI metadata as discussed in Step 3.

In general, an application SHOULD use the least-transformed version appropriate for the context in which the identifier appears. For example, if the context allows an XRI reference directly, the identifier SHOULD be an XRI reference in XRI-normal form as described in section 2.1.4. If the context allows an IRI reference but not an XRI reference, the identifier SHOULD be in IRI-normal form. Only when the context allows neither XRI nor IRI references should URI-normal form be used.

468 2.3.2 Escaping Rules for XRI Syntax

469 This section defines rules for preventing misinterpretation of XRI syntax when an XRI reference is 470 evaluated by a non-XRI-aware parser.

The first rule deals with cross-references as explained in section 2.2.2. Since a cross-reference contains either an IRI or an XRI reference (which itself may contain further nested IRIs or XRI references), it may include characters that, if not escaped, would cause misinterpretation when the XRI reference is used in a context that expects an IRI or URI reference. Consider the following XRI:

476 xri://@example/(xri://@example2/abc?id=1)

The generic parsing algorithm described in **[URI]** would separate the above XRI into the following components:

```
479 scheme = xri
480 authority = @example
481 path = /(xri://@example2/abc
482 query = id=1)
```

483 The desired separation is:

484 scheme = xri 485 authority = @example 486 path = /(xri://@example2/abc?id=1) 487 query = <undefined>

To avoid this type of misinterpretation, certain characters in a cross-reference must be percent-

489 encoded when transforming an XRI reference into IRI-normal form. In particular, the question

490 mark ("?") character must be percent-encoded as "%3F" and the number sign "#" character must

491 be percent-encoded as "%28".

- 492 Following this rule, the above example would be expressed as:
- 493 xri://@example/(xri://@example2%3Fid=1)

In addition, the slash "/" character in a cross-reference may also be misinterpreted by a non-XRI aware parser. Consider:

496 xri://@example.com/(@example/abc)

If this were used as a base URI as defined in section 5 of **[URI]**, the algorithm described in
 section 5.2 of **[URI]** would append a relative-path reference to:

- 499 xri://@example.com/(@example/
- 500 instead of the intended:
- 501 xri://@example.com/

502 This is because the "merge" algorithm in section 5.2.3 of **[URI]** is defined in terms of the last 503 (right-most) slash character. This problem is avoided by encoding slashes within cross-references 504 as "%2F". Following this rule, the above example would be expressed as:

505 xri://@example.com/(@example%2Fabc)

506 Ambiguity is also possible if an XRI reference in XRI-normal form contains characters that have 507 been percent-encoded to indicate that they should not be interpreted as delimiters. For example, 508 consider the following XRI in XRI-normal form:

509 xri://@example.com/(@example/abc%2Fd/ef)

510 This slash character between "c" and "d" is percent-encoded to show that it's not a syntactical 511 element of the XRI, i.e., that it should be interpreted as data and not as a delimiter. To preserve 512 this type of distinction when converting an XRI reference to an IRI reference, the percent "%" 513 character must be percent-encoded as "%25". Following this rule, the above example fully 514 converted would be:

515 xri://@example.com/(@example%2Fabc%252Fd%2Fef)

516 To summarize, the following four special rules MUST be applied during step 4 of section 2.3.1. 517 Before applying these rules, the XRI reference MUST be in XRI-normal form and all IRIs in cross-518 references MUST be in a percent-encoded form appropriate to their schemes.

- 519 1. Percent-encode all percent "%" characters as "%25" across the entire XRI reference.
- 520 2. Percent-encode all number sign "#" characters that appear within a cross-reference as "%23".
- 522 3. Percent-encode all question mark "?" characters that appear within a cross-reference as
 523 "%3F".
- 4. Percent-encode all slash "/" characters that appear within a cross-reference as "%2F".

525 2.3.3 Transforming IRI References into XRI References

526 Transformation of an XRI reference in IRI-normal form into an XRI reference in XRI-normal form 527 MUST use the following steps (or a process that achieves the same result).

 If the XRI reference is not encoded in UTF-8, convert the XRI reference to a sequence of characters encoded in UTF-8, normalized according to Normalization Form KC (NFKC) as defined in [UTR15].

- 531 2. Perform the following special conversions for XRI syntax:
 - a. Convert all percent-encoded slash ("/") characters to their corresponding octets.
 - b. Convert all percent-encoded question mark ("?") characters to their corresponding octets.
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- c. Convert all percent-encoded number sign ("#") characters to their corresponding octets.
- d. Convert all percent-encoded percent ("%") characters to their corresponding octets.

Note that this process is not idempotent (i.e., it may yield a different result if applied more than once), so it is very important that implementers only apply this process to XRI references in IRInormal form. If it is applied to an XRI reference in XRI-normal form, the resulting identifier may not be equivalent to the XRI reference before transformation.

543 2.4 Relative XRI References

544 2.4.1 Reference Resolution

545 For XRI references in IRI-normal form or URI-normal form, resolving a relative XRI reference into 546 an absolute XRI reference is straightforward. If the base XRI and the relative XRI reference are in 547 IRI-normal form, section 6.5 of **[IRI]** applies. If the base XRI and the relative XRI reference are in 548 URI-normal form, section 5 of **[URI]** applies.

It is important that XRI references appear in a form appropriate to their context (i.e., in URInormal form in contexts that expect URI references and in IRI-normal form in contexts that expect
IRI references), since the algorithms described in **[IRI]** and **[URI]** may produce incorrect results
when applied to XRI references in XRI-normal form, particularly when those XRI references
contain cross-references.

In contexts that allow a native XRI reference (i.e., an XRI reference in XRI-normal form), it may be useful to perform relative reference resolution without first converting to IRI- or URI-normal form. In fact, it may be difficult or impossible to convert to IRI- or URI-normal form without first resolving the relative XRI reference to an absolute XRI. The algorithms described in section 5 of **[URI]** apply to XRI references in XRI-normal form provided that the processor:

- treats the characters allowed in IRI references but not in URI references the same as it treats unreserved characters in URI references (as required by section 5 of **[IRI]**) and
- treats all characters within all cross-references the same as unreserved characters in URI
 references (i.e., treats cross-references as opaque with respect to relative reference
 resolution).

564 2.4.2 Reference Resolution Examples

- 565 The following are examples of relative XRI reference resolution. These examples are very similar 566 to the examples for resolving relative references in **[URI]**. Starting with the following base XRI in 567 XRI-normal form:
- 568 xri://@a*a/!b!b/c*c/(xri://@d*d/e)?q
- a relative reference is transformed to its target XRI as shown in the following examples.

570 2.4.2.1 Normal Examples

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578 579 580 581 582 583 584 585 586 587 588 589 590 591 592	<pre>#s = !g!g#s = !g!g?y#s = ix = !g!g;x = !g!g;x?y#s = . = . = . / = . / = . / = . / !g!g = . / . = / = / = /</pre>	<pre>xri://@a*a/!b!b/c*c/(xri://@d*d/e)?q#s xri://@a*a/!b!b/c*c/!g!g#s xri://@a*a/!b!b/c*c/!g!g?y#s xri://@a*a/!b!b/c*c/!g!g;x xri://@a*a/!b!b/c*c/(xri://@d*d/e)?q xri://@a*a/!b!b/c*c/ xri://@a*a/!b!b/c*c/ xri://@a*a/!b!b/ xri://@a*a/!b!b/ xri://@a*a/!b!b/ xri://@a*a/!b!b/!g!g xri://@a*a/ xri://@a*a/</pre>
593	2.4.2.2 Abnormal Exa	mples
594	As in IRIs and URIs, the ""	syntax cannot be used to change the authority component of an XRI.
595 596	//!g!g ///!g!g	= xri://@a*a/!g!g g = xri://@a*a/!g!g
597 598	As in IRIs and URIs, "." and segments.	"" have a special meaning only when they appear as complete path
599 600 601 602 603 604	/./!g!g //!g!g !g!g. .!g!g !g!g !g!g	<pre>= xri://@a*a/!g!g = xri://@a*a/!g!g = xri://@a*a/!b!b/c*c/!g!g. = xri://@a*a/!b!b/c*c/.!g!g = xri://@a*a/!b!b/c*c/.!g!g</pre>
605 606	XRI parsers, like IRI and UF "." and "".	RI parsers, must be prepared for superfluous or nonsensical uses of
607 608 609 610 611 612	.//!g!g ./!g!g/. !g!g/./h !g!g/./h !g!g;x=1/./y !g!g;x=1//y	<pre>= xri://@a*a/!b!b/!g!g = xri://@a*a/!b!b/c*c/!g!g/ = xri://@a*a/!b!b/c*c/!g!g/h = xri://@a*a/!b!b/c*c/h = xri://@a*a/!b!b/c*c/!g!g;x=1/y = xri://@a*a/!b!b/c*c/y</pre>
613 614 615	XRI parsers, like IRI and UF fragment components from removing dot-segments.	RI parsers, must take care to separate the reference's query and/or the path component before merging it with the base path and
616 617 618 619	!g!g?y/./x !g!g?y//x !g!g#s/./x !g!g#s//x	<pre>= xri://@a*a/!b!b/c*c/!g!g?y/./x = xri://@a*a/!b!b/c*c/!g!g?y//x = xri://@a*a/!b!b/c*c/!g!g#s/./x = xri://@a*a/!b!b/c*c/!g!g#s//x</pre>
620	2.4.3 Leading Segm	ents Containing a Colon
621 622	[URI] points out that relative subject to misinterpretation:	e URI references with an initial segment containing a colon may be
623 624	"A path segment that as the first segment	at contains a colon character (e.g., 'this:that') cannot be used

"A path segment that contains a colon character (e.g., 'this:that') cannot be used as the first segment of a relative-path reference because it would be mistaken for

- 625 a scheme name. Such a segment must be preceded by a dot-segment (e.g.,
- 626 './this:that') to make a relative-path reference."
- Relative XRI references can be similarly misinterpreted. If any segment prior to the first slash ("/")
 character in a relative XRI reference contains a colon, the relative XRI reference must be
 rewritten to begin either with "*", if appropriate, or "./". Thus, "a:b" becomes either "*a:b" or "./a:b".

630 2.4.4 Leading Segments Beginning with a Cross-Reference

A path segment that begins with a cross-reference cannot be used as the first segment of a
 relative reference because it would be mistaken for an xref-authority. As with a leading segment

- 633 containing a colon, such a segment must be preceded with either a "*" or a "./" to make it a
- 634 relative XRI reference.

635 2.5 Normalization and Comparison

In general, the normalization and comparison rules for generic IRIs and URIs specified in Section
5 of [IRI] and Section 6 of [URI] apply to XRIs. This section describes a number of additional XRIspecific rules for normalization and comparison. To reduce the requirements imposed upon a
minimally conforming processor, the majority of these rules are RECOMMENDED rather than
REQUIRED. An implementation that fails to observe them, however, may frequently treat two
XRIs as non-equal when in fact they are equal.

- 642 Each application that uses XRI references MAY define additional equivalence rules as
- 643 appropriate. Due to the level of abstraction XRIs provide, such higher-order equivalence rules
- 644 may be based on indirect comparisons or specified XRI-to-XRI mappings (for example, mappings
- 645 of reassignable XRIs to persistent XRIs).

646 **2.5.1 Case**

- 647 The following rules regarding case sensitivity SHOULD be applied in XRI comparisons.
- Comparison of the scheme component of XRIs and all IRIs used as cross-references is caseinsensitive.
- Comparison of authority components (section 2.2.1) is case-insensitive as defined in [IRI].
- As specified in section 2.1.4, comparison of characters in a percent-encoding construction is case-insensitive for the hexadecimal digits "A" through "F", i.e. "%ab" is equivalent to "%AB".

653 **2.5.2 Encoding, Percent-Encoding, and Transformations**

- Two XRIs MUST be considered equivalent if they are character-for-character equivalent.
 Therefore, they are also equivalent if they are byte-for-byte equivalent and use the same character encoding.
- Two XRIs that differ only in whether unreserved characters are percent-encoded SHOULD be
 considered equivalent. If one XRI percent-encodes one or more unreserved characters, and
 another XRI differs only in that the same characters are not percent-encoded, they are
 equivalent.
- All forms of an XRI during the transformation process described in section 2.3.1 SHOULD be considered equivalent, assuming the same XRI metadata is inserted as described in section 2.3.1.

664 **2.5.3 Optional Syntax**

An "xri-segment" (section 2.2.3) that omits the optional leading star ("*") SHOULD be
 considered equivalent to the same "xri-segment" prefixed with an star. For example the
 segment "/foo*bar" is equivalent to the segment "/*foo*bar".

668 2.5.4 Cross-References

If an XRI contains a cross-reference, the rules in this section SHOULD be applied recursively
 to each cross-reference. For example, the following two XRIs should be considered
 equivalent:

672 xri://@example/(+example/(+foo)) 673 xri://@example/(+Example/(+FOO))

While cross-references beginning with the GCS "\$" symbol MAY be considered significant in all cases, the specification governing a particular \$ namespace MAY declare that cross-references in that namespace should be ignored for purposes of comparison. Failure to follow such a rule may lead to false negatives. See section 2.1.4.1.

678 **2.5.5 Canonicalization**

In general, XRI references do not have a single canonical form. This is particularly true for XRI
references that contain IRI cross-references, since many URI schemes, including the HTTP
scheme, do not define a canonical form. Additionally, the authority for a particular segment of an
XRI reference may define its own rules with respect to case-sensitivity, optional or implicit syntax
etc., so canonicalization of those segments is outside the scope of this specification.

It is nevertheless useful to define guidelines for making XRI references reasonably canonical. XRI
 references that follow these guidelines will be more consistent in presentation, simpler to process,
 less prone to false-negative comparisons, and more easily cached. To that end, unless there is a
 compelling reason to do otherwise, XRI references SHOULD be provided in a form in which:

- The optional "xri://" prefix is included,
- The scheme is specified in lowercase,
- The authority component is specified in lowercase,
- Percent-encoding uses uppercase A through F,
- If optional, the leading star in xri-segments is omitted,
- Unnecessary percent-encoding is not present,
- /./ and /../ are absent in absolute XRIs, and
- Cross-references are reasonably canonical with respect to their schemes.

Table 2 illustrates the application of these rules. Although the XRIs in the first and second columns are equivalent, the form in the second column is recommended.

698

Avoid	Recommended	Comment
@example	xri://@example	Add optional "xri://"
XRI://@example	xri://@example	Lowercase "xri"
xri://@Example	xri://@example	Lowercase authority
xri://@example%2f	xri://@example%2F	Uppercase percent-encoding
xri://@example/*abc	xri://@example/abc	Remove optional leading star
xri://@ex%61mple	xri://@example	Remove unnecessary percent- encoding
xri://@example/./abc	xri://@example/abc	Avoid /./ and // in absolute XRIs

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Table 2: Examples of XRI canonicalization recommendations.

3 Security and Data Protection Considerations

To a great extent, XRI syntax has the same security considerations as **[IRI]** and **[URI]**. In particular the material in **[URI]**, section 7, *Security Considerations*, includes a discussion of the following topics:

- Reliability and Consistency
- Malicious Construction
- 706
 Back-End Transcoding
- 707 Rare IP Address Formats
- Sensitive Information
- Semantic Attacks

This material notes that "a URI does not in itself pose a direct security threat." The same is true of an XRI. However infrastructure and applications that use XRIs may have special security and data protection considerations as noted in this section.

713 3.1 Cross-References

Since cross-references in an XRI can reference other URI schemes, implementation must
 carefully consider the relevant security considerations for those referenced schemes.

716 3.2 XRI Metadata

The use of cross-references employing the GCS "\$" symbol for encoding XRI metadata in an XRI (section 2.1.4.1) may involve other security and data protection considerations that are outside
the scope of this specification. These considerations SHOULD be addressed in the relevant \$
namespace specification.

721 **3.3 Spoofing and Homographic Attacks**

One particularly important security consideration is spoofing, covered first in **[URI]** and more thoroughly in **[IRI]** Section 7.5. Spoofing is a semantic attack in which an identifier is deliberately constructed to deceive the user into believing it represents one resource when in fact it represents another. With IRIs in particular, a common example of such an attack is using characters from different scripts that are visual lookalikes ("homographs"), e.g., the Latin "A", the Greek "Alpha", and the Cyrillic "A". Another common attack is using homographs of the delimiter character "/" to deceive the user about the true contents of an IRI authority segment.

Spoofing has already been used extensively in email "phishing" attacks. As more browsers add support for Internationalized Domain Names (IDN), it is also beginning to appear in online Web links ("pharming"). Not only are some users less suspicious of URIs on the Web, but the attacker may even obtain a corresponding SSL/TLS certificate for the deceptive URI or IRI to make the fraudulent site look completely secure and legitimate.

- 734 To help prevent this problem, XRI registries SHOULD institute policies preventing the registration
- of deceptive XRIs. In addition, XRIs that use an XRI authority (section 2.2.1.1) are subject to a
- 736 particular semantic attack: spoofing the leading GCS character (section 2.2.1.2) with a
- homograph from the Unicode character set. Such a character may cause users to believe they are dealing with an XRI authority when in fact their user agent interprets the authority segment as
- 739 an IRI authority (section 2.2.1.3).
- 740 To help prevent this or any other attack based on spoofing legitimate XRI delimiters (all
- characters in the xri-reserved production, section 2.1.2), user agents SHOULD employ one or
- more of the following safeguards, particularly with regard to the authority segment of an XRI: a)

visually distinguish the defined XRI delimiter characters using special color, size, font, or other
mechanism that enables users to clearly understand when a legitimate XRI delimiter character is
being displayed, b) do not display any homograph of any XRI delimiter character in unencoded
form, and/or c) warn the user when an XRI contains a potentially deceptive homographic
character.

748 3.4 UTF-8 Attacks

Since XRIs incorporate the use of UTF-8 as specified by [IRI], they can also be subject to UTF-8
 parsing attacks as described in section 10 of [RFC3629]:

751 "Implementers of UTF-8 need to consider the security aspects of how they

752 handle illegal UTF-8 sequences. It is conceivable that in some circumstances an

- 753 attacker would be able to exploit an incautious UTF-8 parser by sending it an
- 754 octet sequence that is not permitted by the UTF-8 syntax."
- For more information on these attacks, see section 10 of **[RFC3629]**.

756 3.5 XRI Usage in Evolving Infrastructure

As XRIs are adopted as abstract identifiers, it is anticipated that new services will be developed that take advantage of their extensibility. In particular, XRIs may enable new solutions to security and data protection challenges at the resource identifier level that are not possible using existing URI schemes.

For example, XRI cross-reference syntax permits the inclusion of identifier metadata such as an encrypted or integrity-checked path, query or fragment. Cross-references can also be used to indicate methods of obfuscating, proxying or redirecting resolution to prevent the exposure of

764 private or sensitive data.

A complete discussion of this topic is beyond the scope of this document. However, as a

766 consequence of XRI extensibility, it is not possible to make definitive statements regarding all

security and data protection considerations related to XRIs. New XRI-producing or consuming

applications should include independent security reviews for the specific contexts in which they

769 will be used.

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814 Appendix A. Collected ABNF for XRI (Normative)

This section contains the complete ABNF for XRI syntax. XRI productions use green shading,
while productions inherited from IRI use yellow shading. A valid XRI MUST conform to this ABNF.

818 819	XRI	= ["xri://"] xri-hier-part ["?" iquery] ["#" ifragment]
820	xri-hier-part	= (xri-authority / iauthority) xri-path-abempty
821 822	XRI-reference	= XRI / relative-XRI-ref
823	absolute-XRI	= ["xri://"] xri-hier-part ["?" iquery]
824	relative-XRI-ref	<pre>= relative-XRI-part ["?" iquery] ["#" ifragment]</pre>
825 826 827	relative-XRI-part	<pre>= xri-path-absolute / xri-path-noscheme / ipath-empty</pre>
828	xri-value	= xri-no-scheme / relative-XRI-ref
829 830	xri-no-scheme	= xri-hier-part ["?" iquery] ["#" ifragment]
831 832	xri-authority	= gcs-authority / xref-authority
833	gcs-authority	= pgcs-authority / rgcs-authority
834	pgcs-authority	= "!" xri-subseg-pt-nz *xri-subseg
835	rgcs-authority	= rgcs-char xri-segment
836	rgcs-char	= "=" / "@" / "+" / "\$"
837	xref-authority	= xref *xri-subseg
838	xref	= "(" (XRI-reference / IRI) ")"
839 840 841 842	xri-path	<pre>= xri-path-abempty / xri-path-absolute / xri-path-noscheme / ipath-empty</pre>
843	xri-path-abempty	= *("/" xri-segment)
844	xri-path-absolute	= "/" [xri-segment-nz *("/" xri-segment)]
845	xri-path-noscheme	= xri-subseg-od-nx *xri-subseg-nc *("/" xri-segment)
846	xri-segment	= xri-subseg-od *xri-subseg
847	xri-segment-nz	= xri-subseq-od-nz *xri-subseq

848	xri-subseg	= ("*" / "!") (xref / *xri-pchar)
849	xri-subseg-nc	= ("*" / "!") (xref / *xri-pchar-nc)
850	xri-subseg-od	= ["*" / "!"] (xref / *xri-pchar)
851	xri-subseg-od-nz	= ["*" / "!"] (xref / 1*xri-pchar)
852	xri-subseg-od-nx	= ["*" / "!"] 1*xri-pchar-nc
853	xri-subseg-pt-nz	= "!" (xref / 1*xri-pchar)
854	xri-pchar	= iunreserved / pct-encoded / xri-sub-delims / ":"
855	xri-pchar-nc	= iunreserved / pct-encoded / xri-sub-delims
856	xri-reserved	= xri-gen-delims / xri-sub-delims
857 858	xri-gen-delims	= ":" / "/" / "?" / "#" / "[" / "]" / "(" / ")" / "*" / "!" / rgcs-char
859	xri-sub-delims	= "&" / ";" / "," / "'"
860 861	IRI	= scheme ":" ihier-part ["?" iquery] ["#" ifragment]
862	scheme	= ALPHA *(ALPHA / DIGIT / "+" / "-" / ".")
863 864 865 866	ihier-part	<pre>= "//" iauthority ipath-abempty / ipath-abs / ipath-rootless / ipath-empty</pre>
867	iauthority	= [iuserinfo "@"] ihost [":" port]
868	iuserinfo	= *(iunreserved / pct-encoded / sub-delims / ":")
869	ihost	= IP-literal / IPv4address / ireg-name
870	IP-literal	= "[" (IPv6address / IPvFuture) "]"
871	IPvFuture	= "v" 1*HEXDIG "." 1*(unreserved / sub-delims / ":")
872 873 874 875 876 877 878 879 880	IPv6address	<pre>= 6(h16 ":") ls32 / "::" 5(h16 ":") ls32 / [h16] "::" 4(h16 ":") ls32 / [*1(h16 ":") h16] "::" 3(h16 ":") ls32 / [*2(h16 ":") h16] "::" 2(h16 ":") ls32 / [*3(h16 ":") h16] "::" h16 ":" ls32 / [*4(h16 ":") h16] "::" ls32 / [*5(h16 ":") h16] "::" h16</pre>
881	ls32	= (h16 ":" h16) / IPv4address
882	h16	= 1*4HEXDIG
883	IPv4address	= dec-octet "." dec-octet "." dec-octet "." dec-octet

884 885 886 887 888	dec-octet	= DIGIT ; 0-9 / %x31-39 DIGIT ; 10-99 / "1" 2DIGIT ; 100-199 / "2" %x30-34 DIGIT ; 200-249 / "25" %x30-35 ; 250-255
889	ireg-name	= *(iunreserved / pct-encoded / sub-delims)
890	port	= *DIGIT
891	ipath-abempty	= *("/" isegment)
892	ipath-abs	= "/" [isegment-nz *("/" isegment)]
893	ipath-rootless	= isegment-nz *("/" isegment)
894	ipath-empty	= 0 <ipchar></ipchar>
895	isegment	= *ipchar
896	isegment-nz	= 1*ipchar
897	iquery	= *(ipchar / iprivate / "/" / "?")
898	iprivate	= %xE000-F8FF / %xF0000-FFFFD / %x100000-10FFFD
899	ifragment	= *(ipchar / "/" / "?")
900	ipchar	= iunreserved / pct-encoded / sub-delims / ":" / "@"
901	iunreserved	= ALPHA / DIGIT / "-" / "." / "_" / "~" / ucschar
902	pct-encoded	= "%" HEXDIG HEXDIG
903 904 905 906 907 908	ucschar	<pre>= %xA0-D7FF / %xF900-FDCF / %xFDF0-FFEF / %x10000-1FFFD / %x20000-2FFFD / %x30000-3FFFD / %x40000-4FFFD / %x50000-5FFFD / %x60000-6FFFD / %x70000-7FFFD / %x80000-8FFFD / %x90000-9FFFD / %xA0000-AFFFD / %xE1000-BFFFD / %xC0000-CFFFD / %xD0000-DFFFD / %xE1000-EFFFD</pre>
909	reserved	= gen-delims / sub-delims
910	gen-delims	= ":" / "/" / "?" / "#" / "[" / "]" / "@"
911 912	sub-delims	= "!" / "\$" / "&" / "'" / "(" / ")" / "*" / "+" / "," / ";" / "="
913	unreserved	= ALPHA / DIGIT / "-" / "." / "_" / "~"

Appendix B. Transforming HTTP IRIs to XRIs (Non-Normative)

To leverage existing infrastructure, it may sometimes be useful to convert HTTP IRIs into XRIs.
Because XRI syntax is, for the most part, a superset of generic IRI syntax, the majority of HTTP
IRIs can be converted to valid XRIs simply by replacing the scheme name "http" with "xri".
Generally the authority component of the resulting XRI will be properly interpreted as an IRI
authority. There may be some cases, however, in which a legal authority component in an IRI will
be interpreted as an XRI authority after this conversion. For example,

- 922 http://!!1/example
- 923 is a legal IRI. Converted to an XRI, it would become
- 924 xri://!!1/example

Because the authority segment "!!1" matches both the "xri-authority" and the "iauthority" ABNF
productions, it would be interpreted as an XRI authority based on the "first-match-wins" rule used
to resolve ambiguities in the ABNF. Section 2.2.1.2 provides other examples of legal IRI
authorities that would be interpreted as XRI authorities when used in an XRI. However these
cases are unlikely to arise in practice since they typically result in an invalid URI when converted
from an IRI.

931 Special consideration must also be given to HTTP IRIs employing those characters in common to 932 both the "sub-delims" production of [IRI] and the "xri-gen-delims" production of this specification, 933 namely opening parenthesis ("("), closing parenthesis (")"), star ("*"), bang ("!"), dollar sign ("\$"), 934 plus sign ("+") and equals sign ("="). These characters are reserved as delimiters in HTTP IRIs 935 but have no scheme-specific meaning (i.e., they are only used as delimiters in a manner defined 936 by a local authority). In XRIs, however, these characters do have defined semantics that may or 937 may not match the meaning intended by an IRI author. Conversion of such IRIs to XRIs must be 938 handled on a case-by-case basis.

939 Appendix C. Glossary

940 The following definitions are used in specifications from the OASIS XRI Technical Committee 941 Note that this glossary supercedes the glossary in **[XRIRegs]**.

942 Absolute Identifier

943 An identifier that refers to a resource independent of the current context, i.e., one that 944 establishes a global context. Mutually exclusive with "Relative Identifier."

945 Abstract Identifier

- 946 An identifier that is not directly resolvable to a resource, but is either:
- 947 a) a self-reference, because it completely represents a non-network resource and is not
 948 further resolvable (see "Self-Reference"), or
- b) an indirect reference to a resource, because it must first be resolved to another identifier (either a concrete identifier or another abstract identifier.)
- A URN as described in [RFC2141] is one kind of abstract identifier. Compared to concrete identifiers, abstract identifiers permit additional levels of indirection in referencing resources, which can be useful for a variety of purposes, including persistence, equivalence, human-friendliness, and data protection.

955 Authority (or Identifier Authority)

In the context of identifiers, an authority is a resource that assigns identifiers to other
resources. Note that in URI syntax as defined in **[URI]**, the "authority" production refers
explicitly to the top-level authority identified by the segment beginning with "//". Since XRI
syntax supports unlimited federation, the term "authority" can technically refer to an
identifier authority at any level. However, in the "xri-authority" and "iauthority" productions
(section 2.2.1), it explicitly refers to the top-level identifier authority. See also "IRI
Authority" and "XRI Authority"

964In the context of identifier resolution, an authority is a resource (typically a server) that965responds to resolution requests from another resource (typically a client). From this966perspective, each sub-segment in the authority segment of an XRI identifies a separate967authority.

968 Base Identifier

963

An absolute identifier that identifies a context for a relative identifier. Changing the base identifier changes the context of the relative identifier. See "Relative Identifier."

971 Canonical Form

972 The form of an identifier after applying transformation rules for the purpose of determining 973 equivalence. See also "Normal Form".

974 Community (or Identifier Community)

A set of resources that share a common identifier authority, often (but not always) a
common root authority. Technically, a set of resources whose identifiers form a directed
graph or tree.

978 Concrete Identifier

An identifier that can be directly resolved to a resource or resource representation, rather
than to another identifier. Examples include the MAC address of a networked computer
and a phone number that rings directly to a specific device. All concrete identifiers are
intended to be resolvable. Contrast with "Abstract Identifier."

983 Context (or Identifier Context)

984The resource of which an identifier is an attribute. For example, in the string of identifiers985"a/b/c", the context of the identifier "b" is the resource identified by "a/", and the context of986the identifier "c" is the resource identified by "a/b/". Since multiple resources may assign987an identifier for a target resource, the resource can be said to be identified in multiple988contexts. For absolute identifiers, the context is global, i.e., there is a known starting989point, or root. For relative identifiers, the context is implicit. See also "Base Identifier."

990 Cross-reference

991 An identifier assigned in one context that is reused in another context. Cross-references 992 enable the expression of polyarchical relationships (relationships that cross multiple 993 hierarchies - see "Polyarchy".) Cross-references can be used to identify logically 994 equivalent resources in different domains, authorities, or physical locations. For example, 995 a cross-reference may be used to identify the same logical invoice stored in two 996 accounting systems (the originating system and the receiving system), the same logical 997 Web document stored on multiple proxy servers, the same logical datatype used in 998 multiple databases or XML schemas, or the same logical concept used in multiple 999 taxonomies or ontologies.

1000In XRI syntax, cross-references are syntactically delimited by enclosing them in1001parentheses. This is analogous to enclosing a word or phrase in quotation marks in a1002natural language, such as English, to indicate that the author is referring to it independent1003of the current context. For example, the phrase "love bird" is quoted in this sentence to1004indicate that we are *mentioning*, rather than *using*, the phrase - that is, we are referring to1005it independent of the context of this glossary.

1006 Delegated Identifier

A multi-segment identifier in which segments are assigned by more than one identifier
authority. Namespace authority is delegated from one identifier authority to the next.
Mutually exclusive with "Local Identifier."

1010 Federated Identifier

1011A delegated identifier that spans multiple independent identifier authorities. See also1012"Delegated Identifier."

1013 Global Context Symbol (GCS)

1014 A reserved character used at the start of the authority segment of an XRI to establish the 1015 global context of an XRI authority. See section 2.2.1.2.

1016 Hierarchy

1017A branching tree structure in which all primary relationships are parent-child. (Sibling1018relationships in a hierarchy are secondary, derived from the parent-child relationships.)1019URI and IRI syntax has explicit support for hierarchical paths. XRI syntax supports both1020hierarchical and polyarchical paths. See "Polyarchy" and "Cross-reference."

1021 Human-Friendly Identifier (HFI)

1022An identifier containing words or phrases intended to convey meaning in a specific1023human language and therefore be easy for people to remember and use. Contrast with1024"Machine-Friendly Identifier."

1025 Identifier

1026Per [URI], anything that "embodies the information required to distinguish what is being1027identified from all other things within its scope of identification." In UML terms, an1028identifier is an attribute of a resource (the identifier context) that forms an association with1029another resource (the identifier target). The general term "identifier" does not specify1030whether the identifier is abstract or concrete, absolute or relative, persistent or

1031 reassignable, human-friendly or machine-friendly, delegated or local, hierarchical or 1032 polyarchical, or resolvable or self-referential.

1033 I-name

1034 An informal term used to refer to a reassignable XRI; more specifically, an XRI in which 1035 at least one sub-segment is reassignable.

1036 I-number

1037An informal term used to refer to a persistent XRI; more specifically, an XRI in which all1038sub-segments are persistent. Note that a persistent XRI is not required to be numeric—it1039may be any text string meeting the XRI ABNF requirements.

1040 IRI (Internationalized Resource Identifier)

1041IRI is a specification for internationalized URIs developed by the W3C. IRIs specify how1042to include characters from the Universal Character Set (Unicode/ISO10646) in URIs. The1043IRI specification [IRI] provides a mapping from IRIs to URIs, which allows IRIs to be used1044instead of URIs where appropriate. This XRI specification defines a similar transformation1045from XRIs to IRIs for the same reason.

1046 IRI Authority

1047An identifier authority (see "Authority") represented by the authority segment of an XRI1048that does not match the "xri-authority" production but matches the "iauthority" production1049from [IRI]. See section 2.2.1.3. Mutually exclusive with "XRI Authority".

1050 Local Identifier

1051Any identifier, or any set of segments in a multi-segment identifier, that is assigned by the1052same identifier authority. Each of these segments is local to that authority. Mutually1053exclusive with "Delegated Identifier."

1054 Machine-Friendly Identifier (MFI)

1055An identifier containing digits, hexadecimal values, or other character sequences1056optimized for efficient machine indexing, searching, routing, caching, and resolvability.1057MFIs generally do not contain human semantics. Compare with "Human-Friendly1058Identifier."

1059 Normal Form

1060The character-by-character format of an identifier after encoding, escaping, or other1061character transformation rules have been applied in order to satisfy syntactic1062requirements. Three normal forms are defined for XRIs—XRI-normal form, IRI-normal1063form, and URI-normal form. See section 2.3.1 for details. See also "Canonical Form".

1064 Path

1065The relationships between resources defined by a multi-segment identifier. In less strict1066contexts, the word "path" often refers to the multi-segment identifier itself, or to the1067resources it represents (such as filesystem directories).

1068 Persistent Identifier

1069An identifier that is permanently assigned to a resource and intended never to be1070reassigned to another resource - even if the original resource goes off the network, is1071terminated, or ceases to exist. A URN as described in [RFC2141] is an example of a1072persistent identifier. Persistent identifiers tend to be machine-friendly identifiers, since1073human-friendly identifiers often reflect human semantic relationships that may change1074over time. Mutually exclusive with "Reassignable Identifier."

1075 Polyarchy

1076A treelike structure composed of multiple intersecting hierarchies in which primary1077relationships can cross hierarchies. A polyarchy allows one member to be connected or

1078 linked to any other. In contrast to a web, however, the overall structure tends to remain
1079 strongly hierarchical. XRIs support polyarchic paths through the use of cross-references.
1080 See also "Cross-reference" and "Hierarchy".

1081 Reassignable Identifier

1082An identifier that may be reassigned from one resource to another. Example: the domain1083name "example.com" may be reassigned from ABC Company to XYZ Company, or the1084email address "mary@example.com" may be reassigned from Mary Smith to Mary Jones.1085Reassignable identifiers tend to be human-friendly because they often represent the1086potentially transitory mapping of human semantic relationships onto network resources or1087resource representations. Mutually exclusive with "Persistent Identifier."

1088 Relative Identifier

1089An identifier that refers to a resource only in relationship to a particular context (for1090example, the current community, the current document, or the current position in a1091delegated identifier). If the context changes, the identifier's meaning also changes. A1092relative identifier can be converted into an absolute identifier by combining it with a base1093identifier (an absolute identifier that is used to identify a context). See "Base Identifier".1094Mutually exclusive with "Absolute Identifier."

1095 Resolvable Identifier

1096An identifier that references a network resource or resource representation and that can1097be dereferenced using a resolution protocol or other mechanism into a network endpoint1098for communicating with the target resource. Mutually exclusive with "Self-Reference."

1099 Resource

Per [URI], "anything that can be named or described." Resources are of two types:
network resources (those that are network-addressable) and non-network resources
(those that exist entirely independent of a network). Network resources are themselves of
two types: physical resources (resources physically attached to or operating on the
network) or resource representations (see "Resource Representation").

1105 Resource Representation

1106A network resource that represents the attributes of another resource. A resource1107representation may represent either another network resource (such as a machine,1108service, application, file, or digital object) or a non-network resource (such as a person,1109organization, or concept).

1110 Segment (or Identifier Segment)

1111Any syntactically delimited component of an identifier. In generic URI syntax, all1112segments after the authority portion are delimited by forward slashes1113("/segment1/segment2/..."). In XRI syntax, slash segments can be further subdivided into1114sub-segments called *star segments* (for reassignable identifiers) and *bang segments* (for1115persistent identifiers). See section 2.2.3. XRI also supports another type of segment1116called a cross-reference, which is enclosed in parentheses. See "Cross-Reference".

1117 Self-Reference (or Self-Referential Identifier)

- 1118An identifier which is itself the representation of the resource it references. Self-1119references are typically used to represent non-network resources (e.g., "love", "Paris",1120"the planet Jupiter") in contexts where an identifier is not intended to be resolved to a1121separate network representation of that resource. The primary purpose of self-references1122is to establish equivalence across contexts (see "Cross-References"). Mutually exclusive
- 1123 with "Resolvable Identifier."

1124 Sub-segment

1125A syntactically delimited component of an identifier segment (see "Segment"). While URI1126and IRI syntax define only segments, XRI syntax defines both segments and sub-

1127 segments. XRI sub-segments are used to distinguish between persistent identifiers, reassignable identifiers, and cross-references. See sections 2.2.2 and 2.2.3. 1128

1129 Synonym (or Identifier Synonym)

1130 An identifier that is asserted by an identifier authority to be equivalent to another identifier 1131 not because of strict literal equivalence, but because it resolves to the same resource.

1132 Target (or Identifier Target)

1133 The resource referenced by an identifier. A target may be either a network resource 1134 (including a resource representation) or a non-network resource.

1135 **URI (Uniform Resource Identifier)**

The standard identifier used in World Wide Web architecture. Starting in 1998, RFC 2396 1136 has been the authoritative specification for URI syntax. In January 2005 it was 1137 superseded by RFC 3986 [URI]. 1138

1139 XDI (XRI Data Interchange)

1140 A generalized, extensible service for sharing, linking, and synchronizing XML data and 1141 metadata associated with XRI-identified resources. XDI is being developed by the OASIS 1142 XDI Technical Committee (http://www.oasis-open.org/committees/xdi).

1143 **XRI** Authority

1144 An identifier authority (see "Authority") represented by the authority segment of an XRI 1145 that begins with either a global context symbol or a cross-reference. See section 2.2.1.1. 1146 Mutually exclusive with "IRI Authority."

1147 **XRI Reference**

- 1148 A term that includes both absolute and relative XRIs. Used in the same way as "URI reference" and "IRI reference." Note that to transform an XRI reference into an XRI, it 1149 1150 must first be converted to absolute form, which in the case of a relative XRI requires the
- use of a base XRI to establish context. 1151

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1198 Appendix E. Notices

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