



Creating A Single Global Electronic Market

# Automated Negotiation of Collaboration- Protocol Agreements Specification Version 0.04

## OASIS ebXML Collaboration Protocol Profile and Agreement Technical Committee

Date TBD

### **Status of this Document**

This document specifies an ebXML SPECIFICATION for the eBusiness community.

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## 44 2 Table of Contents

45	Status of this Document.....	1
46	1 Automated Negotiation Sub Team Members .....	2
47	2 Table of Contents.....	3
48	3 Introduction .....	5
49	3.1 Summary of Contents of Document .....	5
50	3.2 Definition and Scope of this Specification .....	5
51	3.3 Document Conventions .....	5
52	3.4 Versioning of the Specification, Schema, and Related Documents.....	6
53	3.5 Definitions .....	6
54	3.6 Audience.....	7
55	3.7 Assumptions .....	7
56	3.8 Related Documents.....	7
57	3.9 Acknowledgments .....	7
58	4 Design Objectives.....	8
59	5 System Overview.....	9
60	5.1 Main Components of CPA Negotiation.....	9
61	5.2 Overview of CPA Negotiation.....	11
62	5.3 CPP and NDD Formation and Editing.....	13
63	5.4 Discovery of CPPs and CPA Templates.....	14
64	5.5 Negotiation through an Intermediary.....	14
65	6 CPA Template .....	15
66	6.1 CPA Template and Draft CPA.....	15
67	6.2 Advantages of Starting Negotiation with a CPA Template .....	15
68	6.3 CPA Template composition.....	16
69	7 CPP and CPA Template Content.....	17
70	7.1 Validation of CPP and CPA Template.....	17
71	7.2 Preference Order.....	17
72	7.3 Conflicts between two Parties' Preferences.....	17
73	7.4 CPA Period of Validity.....	17
74	8 Negotiation CPA (NCPA) .....	19
75	8.1 Document Exchange.....	19
76	8.2 Transport.....	20
77	8.3 Packaging .....	20
78	8.4 Security of Negotiation Protocol .....	20
79	8.5 Explanation of NCPA Example.....	21
80	9 Pre-Conditions for Negotiation.....	22
81	10 Negotiability of CPA Elements and Attributes .....	23
82	10.1 Enumerations.....	23
83	10.2 CollaborationRole element and its child elements.....	23
84	10.3 Elements or Attributes whose Cardinality Includes Zero .....	24
85	10.4 Values .....	24
86	10.5 Items that are Referred to .....	24
87	10.6 Transport Endpoints.....	24
88	10.7 Security.....	25
89	10.7.1 Trust Anchors and Related Matters .....	25
90	10.8 Discussion of Various Elements and Attributes .....	26
91	11 Negotiation Descriptor Document .....	30
92	11.1 Use of NDD .....	30
93	11.2 General Principles of Contents of NDD .....	30
94	11.3 Composition of an NDD for a CPA Template.....	31
95	11.4 Explanation of Contents of NDD.....	32
96	12 Negotiation Messages.....	34

97	12.1 Negotiation Message Structure.....	34
98	12.1.1 NegotiationMessage element.....	35
99	12.1.2 NCPA element.....	37
100	12.1.3 CPATemplateId element.....	37
101	12.1.4 ccppa:SecurityDetails element.....	37
102	12.1.5 InitiatingParty element.....	37
103	12.1.6 RespondingParty element.....	37
104	12.1.7 BPSSBusinessDocumentName element.....	38
105	12.1.8 BusinessDocuments element.....	38
106	12.1.9 NegotiationContent element.....	39
107	12.1.10 ResponseToURL element.....	43
108	12.2 CPA ID, Negotiation Dialog ID, Unique Business Message ID, and InResponseTo.....	43
109	12.3 Offer and Counter Offer.....	43
110	12.4 CPA Offer Rejected.....	43
111	12.5 CPA Offer accepted.....	44
112	13 Negotiation Protocol.....	46
113	13.1 General Principles of Negotiation Protocol.....	46
114	13.2 CPA Identifier.....	46
115	13.3 Negotiation-Dialog Identifier.....	46
116	13.4 Offer Identifier.....	46
117	13.5 Negotiation Status.....	47
118	13.6 ebXML Conversation.....	47
119	13.7 Negotiation CPA.....	47
120	13.8 Initial Offer.....	47
121	13.9 Simultaneous Initial Offers.....	48
122	13.10 Offer and Counter Offer.....	48
123	13.10.1 Responses to Offer and Counter Offer.....	49
124	13.10.2 Offer-Counter Offer Acceptance Time.....	49
125	13.11 Time to Complete Negotiation.....	49
126	13.12 Conclusion of Negotiation.....	49
127	13.13 Signing the CPA.....	51
128	13.14 BPSS Instance Document for Automated Negotiation.....	52
129	13.14.1 Offer-Counter-Offer Choreography.....	53
130	13.14.2 Final CPA exchange.....	55
131	13.14.3 Negotiation Business Signals.....	56
132	13.14.4 State Diagrams.....	56
133	14 Negotiation Algorithm.....	59
134	14.1 CPPs and NDDs.....	59
135	15 References.....	60
136	16 Conformance.....	61
137	16.1 NDD and Negotiation Messages.....	61
138	16.2 NCPA Instance Document.....	61
139	16.3 Negotiation BPSS Instance Document.....	61
140	16.4 Negotiation Business Signals.....	62
141	17 Disclaimer.....	63
142	18 Contact Information.....	64
143	Appendix A XML Schema for Negotiation Descriptor Document (Normative).....	66
144	Appendix B XML Schema for Negotiation Messages (Normative).....	70
145	Appendix C Negotiation CPA Example (Non-Normative).....	73
146	Appendix D BPSS Instance Document for Automated Negotiation (Normative).....	86
147	Appendix E Instance Documents for Business Signals.....	90
148	Appendix F Example of NDD Instance Document (Non-Normative).....	91
149	Appendix G Examples of Negotiation-Message Instance Documents (Non-Normative).....	95
150	Appendix H Glossary of Terms.....	97

## 3 Introduction

### 3.1 Summary of Contents of Document

This document contains a specification for automatically negotiating the contents of an ebXML *Collaboration Protocol Agreement (CPA)*[ebCPP]. This specification is a component of the suite of ebXML specifications.

This document is organized as follows:

- Section 3 introduces the specification and discusses various procedural matters
- Section 4 summarizes the design objectives.
- Section 5 is a system-level overview.
- Section 6 discusses the *CPA Template*.
- Section 7 discusses content of *CPPs* and *CPA Templates* with respect to negotiation.
- Section 8 gives the rules for constructing a *Negotiation CPA*, the *CPA* that governs the *Negotiation Protocol*.
- Section 9 discusses conditions that must be met before negotiation can begin.
- Section 10 discusses negotiability of elements and attributes in the *CPA*.
- Section 11 defines and discusses the *Negotiation Descriptor Document (NDD)* that is used to describe offers and counter offers.
- Section 12 defines the contents of the *Negotiation Messages*.
- Section 13 defines the *Negotiation Protocol* including the ebXML *Business Process Specification Schema*[ebBPSS] instance *Document* that is used to describe the Negotiation Transactions and their choreography.
- Section 14 discusses negotiation algorithms.
- The appendices include XML Schemas for the *NDD* and *Negotiation Messages*, the negotiation BPSS instance *Document*, examples of an *NDD* instance *Document* and negotiation *Message* instance *Documents*, non-normative aspects of *CPA* composition, and a glossary of terms.

### 3.2 Definition and Scope of this Specification

The goal of this specification is to define a means of automatically negotiating the contents of a *CPA*. The focus is on negotiating both long-term partner relationships and spontaneous (perhaps for a single business exchange) relationships. Automated negotiation of *CPAs* is a critical element of spontaneous e-commerce since it will enable business to be conducted with minimal delay, as soon as two potential trading partners discover each other. Automated negotiation also will enhance the ability of an enterprise to maintain large numbers of partner relationships. It will reduce the need for manual intervention in maintaining those relationships, thereby simplifying life-cycle management of the relationships.

This specification defines the rules for automated negotiation of *CPAs*. It defines the *Negotiation Protocol* and the contents of the *Documents* that are part of the *Negotiation Protocol*.

### 3.3 Document Conventions

Terms in *Italics* are defined in Appendix H or in the glossary of the CPPA specification[ebCPP].

Terms listed in ***Bold Italics*** represent the element and/or attribute content of the XML *CPP*, *CPA*, or related definitions.

In this specification, the term “item”, when used in the context of an *NDD* or counter offer *Message* denotes an element, attribute, or subtree that is negotiable.

The term “BPSS instance *Document*” refers to an XML document that is an instance *Document* of the XML schema of the *Business Process Specification Schema*[ebBPSS] ebXML specification.

In this specification, indented paragraphs beginning with "NOTE:" provide non-normative explanations or suggestions that are not mandated by the specification.

References to external documents are represented with BLOCK text enclosed in brackets, e.g. [RFC2396]. The references are listed in Section 15.

The keywords MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, SHOULD, SHOULD NOT, RECOMMENDED, MAY, and OPTIONAL, when they appear in this document, are to be interpreted as described in [RFC 2119].

NOTE: Vendors SHOULD carefully consider support of elements with cardinalities (0 or 1) or (0 or more). Support of such an element means that the element is processed appropriately for its defined function and not just recognized and ignored. A given *Party* might use these elements in some *CPPs*, *CPAs*, negotiation *Messages*, or *NDDs* and not in others. Some of these elements define parameters or operating modes and SHOULD be implemented by all vendors. It might be appropriate to implement elective elements that represent major run-time functions, such as various alternative communication protocols or security functions, by means of plug-ins so that a given *Party* MAY acquire only the needed functions rather than having to install all of them.

By convention, values of [XML] attributes are generally enclosed in quotation marks; however those quotation marks are not part of the values themselves.

### 3.4 Versioning of the Specification, Schema, and Related Documents

**DALE MOBERG: VERSIONING SHOULD ADHERE TO THE CONVENTIONS IN THE CPPA SPECIFICATION AS CLOSELY AS POSSIBLE. BECAUSE MULTIPLE XSDS ARE INVOLVED, HOWEVER, WE WILL NEED TO ALLOW EACH TO UNDERGO VERSIONING. A MATRIX THAT MAINTAINS COMPATIBILITY INFO MAY BE NEEDED RELATING THE BPSS FOR NEGOTIATION, NCPA VARIANTS, MESSAGING XSD, NDD XSD.**

### 3.5 Definitions

Technical terms related to the subject of this specification are defined in Appendix H.

Technical terms related to *Collaboration Protocol Profiles* and *Agreements* and to the overall vocabulary of ebXML are defined in [ebCPP].

### 3.6 Audience

One target audience for this specification is implementers of ebXML services and other designers and developers of middleware and application software that is to be used for conducting electronic *Business*. Another target audience is the people in each enterprise who are responsible for creating *CPPs* and *CPAs*.

### 3.7 Assumptions

It is expected that the reader has an understanding of XML and is familiar with the ebXML CPPA specification[ebCPP].

### 3.8 Related Documents

Related documents include ebXML specifications on the following topics:

- ebXML Collaboration Protocol Profile and Agreement Specification[ebCPP]
- ebXML Business Process Specification Schema[ebBPSS]
- ebXML Message Service Specification[ebMS]

See Section 15 for the complete list of references.

### 3.9 Acknowledgments

- To Duane Nickull, XML Global, for his ebXML Automatic CPA Negotiation proposal, Feb, 14, 2001.
- To The ebXML *Business Process* Team, for its automated contract negotiation pattern in [bpPATT].

## 4 Design Objectives

This specification defines the protocol, *Messages*, and *Business Documents* associated with automatically negotiating the contents of a *CPA*. It does NOT define negotiation algorithms in detail. The negotiation algorithm is part of the private process at each *Party* and MAY embody private or proprietary strategies. This specification does define the rules that ensure interoperability between two *Parties*' negotiation algorithms.

Following are the objectives for the design of this specification.

- The design is based on negotiating the contents of a *CPA* starting with a *CPA Template* (draft *CPA*) that one prospective trading partner sends to the other as an initial offer. See Section 6.2 for a discussion of *CPA Template* and draft *CPA*. A *CPA Template* contains elements and attributes that need to be negotiated with a prospective trading partner. A *Party* can publish a *CPA Template* in a *Registry* or can create one from its *CPP* and the prospective trading partner's *CPP*.
- The specification defines the *Negotiation Protocol* transactions and choreography by means of an ebXML *Business Process Specification Schema*[ebBPSS] instance *Document*.
- The *Negotiation Protocol* is governed by a *Negotiation CPA* (*NCPA*). The *NCPA* is a standard ebXML *CPA* that defines a minimal set of function that all *Parties* can be expected to support without *Parties* having to negotiate the *NCPA* before negotiating the *CPA* for their *Business Collaboration*.
- Avoid requiring changes to the CPPA and BPSS specifications, at least for version 1 of the negotiation spec.
- Use deterministic algorithms
- The *Negotiation Process* SHOULD converge rapidly.
  - ◆ The process SHOULD either succeed or fail.
  - ◆ The process SHOULD invoke human intervention on failure
  - ◆ The design SHOULD avoid deadlock such as iterative loops that don't advance the state of the negotiation. An example is reiteration over the same offer or counter offer that was previously rejected by either or both parties.
    - The specification SHOULD state rules that avoid such iterative loops even if it is decided that automatic detection of loops is out of scope for version 1.
- It MUST be absolutely clear at any point in the negotiation which *Party* (i.e., only one *Party*) has the initiative to send the next request (counter offer).
  - ◆ The design SHOULD avoid race conditions in which both parties simultaneously send an a counter offer. The choreography should make this an error condition.

**Deleted:** However use of the BPSS instance *Document* is not normative and other choreographies MAY be substituted by particular groups of Parties (e.g. industry vertical organizations).

NOTE: It is probably not possible to avoid or detect the case where two *Parties* send each other initial offers. This condition should be recognized by people.

- The design SHOULD minimize the amount of state that has to be saved.
- Offer rejection semantics SHOULD be strong; rejection SHOULD not be a tactical maneuver.



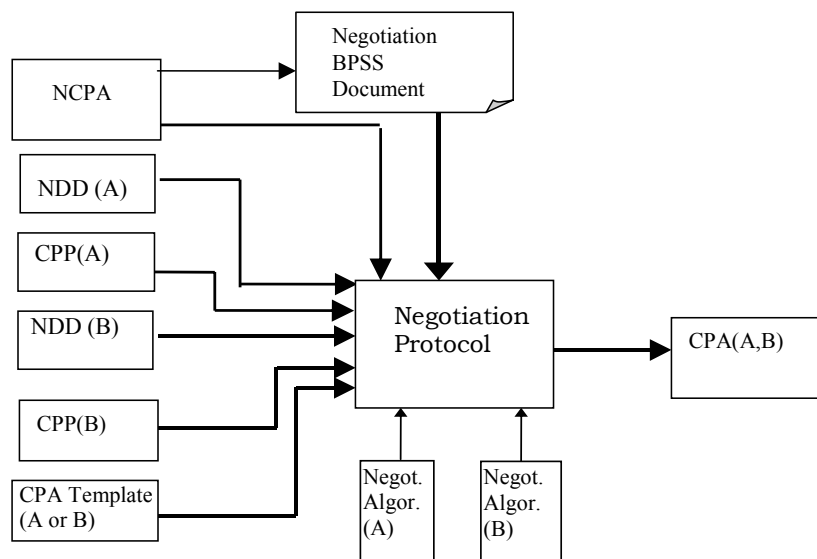
## 5 System Overview

The *CPA Negotiation Protocol* begins when one *Party* makes an initial offer to a second *Party*. The initial offer consists of a *CPA Template* and a *Negotiation Descriptor Document (NDD)* that describes what is negotiable in the *CPA Template*.

In the *CPA Negotiation Protocol*, a *CPA Template* is verified as suitable for both *Parties* and modified until a suitable *CPA* is constructed. It might also be discovered that agreement cannot be reached until one *Party* (or both) acquires additional software capabilities. The term “*CPA Template*” was chosen to emphasize its use as the starting point for *CPA* negotiation. In general, a *CPA Template* constitutes a proposal about an overall binding of a *Business Process* to a delivery agreement with some items left open; negotiation is then used to arrive at detailed values for the open items in order to achieve a final agreement. The *NDD* identifies what items have to be negotiated and defines ranges or sets of acceptable values for those items.

### 5.1 Main Components of CPA Negotiation

Figure 1 illustrates the main components of *CPA* negotiation.



**Figure 1, Components of CPA Negotiation**

The following entities are shown in the figure:

- NCPA: The Negotiation CPA controls the Negotiation Protocol.

- Negotiation BPSS Instance *Document*: An ebXML *Business Process Specification Schema*[ebBPSS] instance *Document* that is used to define the negotiation collaborative protocol. This BPSS instance *Document* is referenced from an *NCPA*.
- *CPP*: Parties A and B publish their *CPPs* in an ebXML *Registry*[ebRS] or otherwise exchange them when they discover each other.
- *CPA Template*: A *CPA* in which some items remained to be filled in by one or the other *Party*, or negotiated between them.
- *NDD*: The *Negotiation Descriptor Document*, a *Document* associated with a *CPP* or a *CPA Template* that defines what is negotiable, ranges of numeric values, etc. The *NDD* is used in the *Negotiation Protocol*.
- *Negotiation Messages*: The *Messages* used to exchange offer and counter-offer information between negotiating *Parties*.
- *Negotiation Protocol*: The collaborative protocol that produces a negotiated *CPA*. Although shown as a single box in this figure, the *Negotiation Protocol* is executed between the two *Parties* or between each *Party* and an intermediary.
- Negotiation algorithm: The negotiation algorithm is the private process at each negotiating *Party* that implements that *Party's* private negotiation strategy. Note that the *Negotiation Protocol* is distinct from the negotiation algorithm. The former is the public protocol, captured by the BPSS instance *Document*. Each *Party* uses its negotiation algorithm, in conjunction with the *CPA Template*, *CPPs* and the *NDD*, to arrive at an offer or counter offer in the *Negotiation Protocol*. The negotiation algorithm is out of scope for version 1 of this specification. See Section 14 for additional discussion.

Deleted: MAY be

Two *Parties* can negotiate a *CPA* as follows. First, they publish their *CPPs* in an ebXML *Registry*, or similar *Registry*, so that potential trading partners can discover them. A *Party* MAY publish an *NDD* along with the *CPP*. This *NDD* describes what is negotiable in the *CPP*.

When *Party B* discovers *Party A* as a potential trading partner, *Party B* composes a *CPA Template* from its own *CPP* and *Party A's* *CPP*. If *Party A* published an *NDD* along with its *CPP*, *Party B* MAY use the information in *Party A's* *NDD* along with its own *NDD* in composing the *NDD* for the initial offer.

Alternatively, *Party A* MAY publish a *CPA Template* and *NDD*. In that case, *Party B* creates an initial offer by filling in basic information about itself (e.g. its *Party ID* and transport endpoint address). It then creates a new *NDD* by adding its own negotiability information to that from *Party A's* *NDD*.

In order to negotiate, *Parties A* and *B* MUST obey the rules defined by an *NCPA*. The *NCPA* MAY be virtual or real. "Virtual" means that the two *Parties* MUST configure their systems to conform to the *NCPA* defined in Appendix C but there might not be a physical *NCPA* document. The *Parties* can obtain each other's endpoint address by out-of-band means such as phone, fax, or discovery in an ebXML or other registry.

Deleted: have to establish an *NCPA* between themselves. The following procedure can be used.¶

**DALE MOBERG: WE SHOULD LEAVE ROOM FOR BOTH HTTP POST AND SOAP 1.1/1.2 BINDINGS FOR THE MESSAGES EXCHANGED. WE SHOULD LEAVE ROOM FOR WSDL DESCRIPTIONS OF THE MESSAGE EXCHANGES CONSTRUED AS**

**SERVICES ALSO. IN FACT, I WOULD FAVOR HAVING A NON-NORMATIVE APPENDIX WITH A WSDL FOR NEGOTIATION EVENTUALLY.**

“Real” means that the Parties create and deploy a specific NCPA using, for example, the following procedure:

1. Parties A and B publish *NCPA Templates* (that they are willing to abide by) in a *Registry*. They are *NCPA Templates* (as opposed to *NCPAs*) because some information (such as the prospective trading partner’s *Party ID* and endpoint address) is missing from an NCPA template. In many cases, a *Party’s NCPA Templates* might differ from each other only with regard to which of several negotiation BPSS instance *Documents* they refer to.
2. *Party B* discovers *Party A* and wants to conduct trade.
3. *Party B* chooses an *NCPA* template of *Party A* that it can live with (say, by looking at the BPSS instance *Document* pointed to by this *NCPA* template).
4. *Party B* then fills in this *NCPA* template with its own name, endpoint address, etc. (so that now it becomes an *NCPA*) and sends it, along with a draft *CPA* and an initial *NDD* to *Party A* to start the *Negotiation Protocol*.

**Deleted:** These *NCPA Templates* are to be distinguished from regular *CPAs* by *Registry* metadata.

**Deleted:** of the

***DOES STEP 4 ABOVE WORK? IS IT NECESSARY FOR PARTY A TO RECEIVE AND DEPLOY THE NCPA BEFORE PARTY B CAN SEND AN INITIAL OFFER?***

The two *Parties* can then perform the *Negotiation Protocol*, exchanging counter offers until they create an agreed *CPA*. They are then ready to do electronic *Business*.

## **5.2 Overview of CPA Negotiation**

Figure 2 is a high-level view of the *Negotiation Process*. Following are some details of the *Negotiation Process* illustrated in Figure 2..

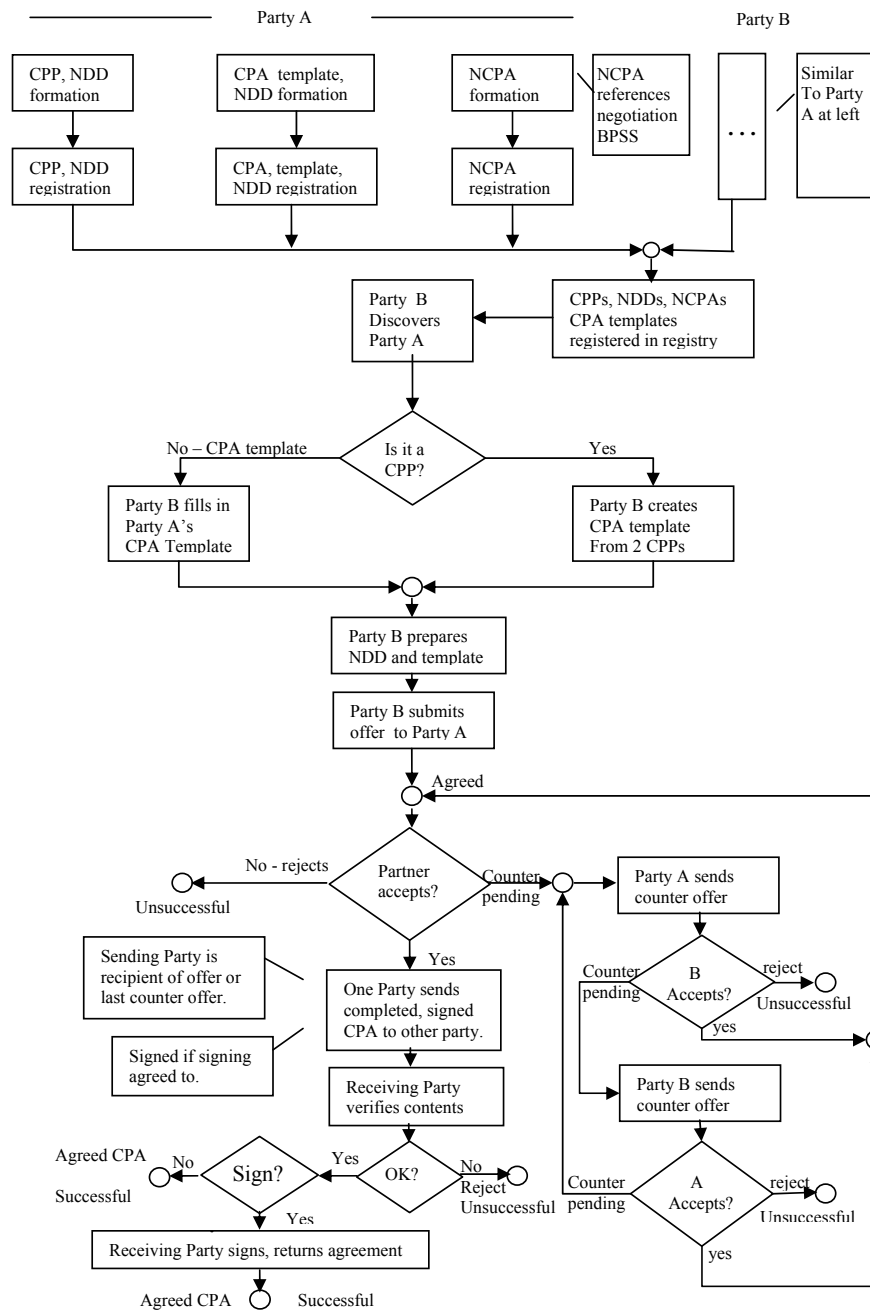


Figure 2, Negotiation Process

- Initial inputs:
  - ◆ *CPPs* and the associated *NDDs* of two prospective partners or a *CPA Template* and *NDD* that one partner provides to a prospective partner.
    - For the case of the *CPA Template* and *NDD*, the *CPA Template* might be generated by one of the *Parties*, might be a copy of a *CPA* used by someone else that is almost exactly what is needed, or might be supplied by a third-*Party* negotiation service.
  - ◆ Proposed Process-Specification *Document* (BPSS instance document)
    - The *Parties* can negotiate about which BPSS instance *Document* to use based on the name of the BPSS instance *Document* (i.e. syntactic negotiation) but not over the details within a given BPSS instance *Document* (semantic negotiation).
- One *Party* prepares a *CPA Template* and an *NDD* that describes what is negotiable and submits the *CPA Template* and *NDD* to the other *Party* as an initial offer.
- The two *Parties* then exchange counter offers until they arrive at a mutually acceptable *CPA*. Offer and counter-offer information is in *Negotiation Messages* exchanged using negotiation *Business Transactions* defined in the *NCPA* and BPSS instance *Document*.
- Result of negotiation:
  - ◆ A successful result is a *CPA* that is ready to sign and use, possibly subject to human approval.
  - ◆ An unsuccessful result means that agreement was not possible on some items in the *CPA*. Possibly, further human interaction could resolve the incompatibilities.
- Concluding negotiation
  - ◆ The *Party* that received the last counter offer builds the complete *CPA* by filling in details such as its *Party* ID and transport endpoint address and sends it to the other *Party*. (If it is the case that no counter offers were received during the *Negotiation Protocol*, that is, if the *Party* that received the initial offer accepted it without sending a counter offer, that *Party* builds the complete *CPA* by filling in details such as its *Party* ID and transport endpoint address and then sends it to the other *Party*)
    - If it was agreed that the *CPA* is to be signed, the *Party* that sends the final *CPA* signs it before sending it.
  - ◆ The other *Party* verifies the contents of the completed *CPA* including, perhaps validation of the first *Party*'s signature. If these tests are successful, that *Party* signs the new *CPA* (if signing was agreed to) and returns it to the first *Party*.
  - ◆ The two *Parties* now deploy the new *CPA* and begin doing business.

### 5.3 CPP and NDD Formation and Editing

These are pre-discovery steps that are out of scope for the negotiation specification, they are included here in the interest of completeness. Following [is a non-normative list of](#) the elements of *CPP* and *NDD* formation.

- *CPP* template ([a prototype CPP that could be used for creating CPPs](#)).
  - ◆ Supplied with software installation (configured options)
  - ◆ Edited to reflect preferences
- *NDD* formation.
  - ◆ Although *NDD* formation is out of scope, the *NDD* schema is a key component of this

specification.

- Tool for custom *CPP* formation
- Tool for *CPA* and *CPA Template* formation.
- Tool for *NDD* formation
- Service(s) for supplying *CPPs* or *CPA Templates*
  - ◆ UDDI advertised, SOAP, ebXML, simple HTTP GET, and so on.
- ebXML *Registry* submission (publication)

In principle, a *Party* SHOULD be able to publish both a *CPP* and a *CPA Template*. However, this would lead to a problem that a given prospective trading partner might find either one. If a *Party* intends that some prospective trading partners negotiate with a *CPP* while other are expected to accept a *CPA Template*, then the *Party* SHOULD probably publish only the *CPP* and decide whether to send a *CPA Template* based on its knowledge of who the prospective trading partner is.

#### 5.4 Discovery of CPPs and CPA Templates

The discovery process is out of scope for the negotiation specification; it is included here in the interest of completeness. Following are some points concerning the discovery process.

- The minimum requirement is to be able to perform an HTTP GET of a *CPP* from a URL obtained by means outside the scope of this specification.
- UDDI ebXML *Registry* bootstrap. This permits *CPPs* to be advertised in either UDDI or the ebXML *Registry*.
- Search and retrieval in ebXML *Registry* or similar *Registry*.
- Well-known address of the *Registry*.
- Can a *Registry* provide further function, perhaps as value-added services?
  - ◆ Notification of *CPP* expirations?
  - ◆ Accept filled-out *CPA Templates*?

#### 5.5 Negotiation through an Intermediary

Negotiation through an intermediary (negotiation broker) is out of scope for this version of the specification. A *Message-forwarding* intermediary that is not aware of the purpose of the *Messages* can be used if it conforms to the manner in which [ebMS] supports intermediaries.

## 6 CPA Template

This section provides an overview of the use of a *CPA Template*.

### 6.1 CPA Template and Draft CPA

The *Negotiation Protocol* defined in this specification is based on the use of a *CPA* that is incomplete in that items that are negotiable or **MUST** be filled in by the *Party* that receives an initial offer. Negotiable items can have “dummy” values that will later be replaced by the agreed values arrived at during the *Negotiation Process*. Such an incomplete *CPA* can be categorized as a *CPA Template* or a draft *CPA*.

A *CPA Template* will normally contain dummy values for the *Party*-specific values of the *Party* to which the *CPA Template* is being sent as well as dummy values for other items that the offering *Party* considers negotiable. A draft *CPA*, on the other hand, will typically have been formed by pruning and combining *CPPs* of each of the *Parties* in the *Negotiation Process*, and so can contain all “real” values. That is, using a *CPA Template* will typically require a counter proposal while using a draft *CPA*, the *Party* making the initial offer might only be asking for approval of the draft *CPA* rather than offering to negotiate some items. For convenience, both kinds of *Document*, though having different origins, will be referred to by the term “*CPA Template*” because the process of negotiation proceeds the same way for either *CPA Templates* or draft *CPAs*. Sensible use of *CPA Templates* requires that the dummy values be indicated as negotiable and that acceptance does not occur until the dummy values have been replaced. In this specification, the *NDD* is the means of indicating what is negotiable.

A *CPA Template* can encompass a wide range of negotiating possibilities. At one end of the range, it might amount simply to a take-it-or-leave-it offer, its *NDD* indicating only those items that **MUST** be filled in to customize it to the other *Party*. At the other end of the spectrum, its *NDD* might indicate that virtually everything is negotiable.

In the simplest case, the accompanying *NDD* might be very simple and would simply indicate which elements and attributes need to be completed by the prospective trading partner, such as *Party* ID and transport endpoint address. For this case, the *NDD* facilitates identifying the items to be filled in, avoiding the need to label the items to be filled in within the *CPA Template* and the need to parse the *CPA Template* to find those items.

### 6.2 Advantages of Starting Negotiation with a CPA Template

If negotiation is performed with the two *Parties*’ *CPPs* and an *NDD* for each, everything in the *CPPs* is potentially negotiable and has to be considered during the *Negotiation Process*. The process of composing a *CPA Template* from two *CPPs* will often narrow down the amount of negotiation relative to the negotiation possibilities expressed in the *NDDs* that accompany the *CPPs*. The reason is that many of the differences between the two *CPPs* can be “mechanically” resolved by finding compatible choices and matching values of some elements or attributes. For example, there might be only one transport protocol that is common to the two *Parties*. After the *CPA Template* is constructed, a new *NDD* **MUST** be constructed that includes only the items in the *CPA Template* that remain to be negotiated.

The result is that the non-controversial aspects of the agreement are recorded in the *CPA Template* before negotiation starts. This simplifies the *Negotiation Process* by removing from consideration all subjects that were resolved during the composition process. The *Negotiation Process* operates on a smaller set of items and will converge rapidly. In addition, the process of composing the new *NDD* will uncover any incompatibilities between the *Parties* before the start of the *Negotiation Process*. The two *Parties* can either resolve those incompatibilities by human to human contact or conclude that no resolution is possible, without having first to go through a fruitless *Negotiation Process*.

### 6.3 CPA Template composition

Composition of a *CPA Template* is the same as composing any *CPA* from two *CPPs*. Appendix E, “*CPA Composition (Non-Normative)*”, of [ebCPP] contains a detailed discussion of *CPA* composition from two *CPPs*.



## 7 CPP and CPA Template Content

This section discusses content of the *CPP* and *CPA Template* from the viewpoint of negotiability.

### 7.1 Validation of CPP and CPA Template

The rules discussed below ensure that the negotiable *CPP* or *CPA Template* can be validated by an XML parser while not appearing to constrain negotiability.

In general, since the negotiability details are provided in the *NDD*, it SHOULD be acceptable to include any valid arbitrary value or choice for a negotiable item in the pre-negotiation *CPP* or *CPA Template*. In other words, the *NDD* overrides what is in the pre-negotiation *CPP* or *CPA Template* for all negotiable items.

- Numerical values: Any valid value can be stated for a negotiable item in the pre-negotiation *CPP* or *CPA Template*.
- Cardinality: All acceptable choices that are to be negotiated MUST appear in the pre-negotiation *CPP* or *CPA Template*.

**THE ABOVE MATERIAL WILL BE EXTENDED TO ENCOMPASS ALL NEGOTIABILITY PATTERNS THAT ARE IDENTIFIED.**

### 7.2 Preference Order

Enumerations MUST always be stated in preference order (highest preference first). In most cases, preference order is REQUIRED by the CPPA specification[ebCPP]. Following are examples:

- *PartyId* elements under the same *PartyInfo* element.
- CanSend and CanReceive elements under the ServiceBinding element (NEED TO VERIFY THIS)
- AccessAuthentication elements under the same TransportSender element
- EncryptionAlgorithm elements under the same TransportClientSecurity or TransportServerSecurity element.
- *TransportProtocol* elements under the same *Transport* element
- *AnchorCertificate* elements under the same *Certificate* element

### 7.3 Conflicts between two Parties' Preferences

When composing a *CPA Template* from its and another *Party's CPP*, a *Party* might encounter unresolvable conflicts. For example, *Party 1* might allow alternative elements X and Y with a preference for X while *Party 2* might allow elements X and Y with a preference for Y. In cases like these, the choice can be left open in the *CPA Template* and negotiated later.

### 7.4 CPA Period of Validity

The values of the *Start* and *End* elements in the *CPA Template* SHOULD be consistent with each other (start time MUST precede end time) and SHOULD be consistent with the expiration times of all the certificates. It is preferable that the *CPA* expire before any of its certificates

568 expire. All of these times are negotiable but it will simplify matters if the times in the *CPA*  
569 *Template* are mutually consistent. If the ***Start*** and ***End*** elements do not appear in the *CPPs*; they  
570 MUST be added when the *CPA Template* is composed from the *CPPs*.

## 8 Negotiation CPA (NCPA)

The purpose of this section is to:

- Explain how to construct the *Negotiation CPA* such that it does not have to be negotiated;
- Explain the negotiation aspects of the *NCPA*. Principally, these aspects are the elements that define the interface between a *CPA* and the BPSS instance *Document*, i.e., the *CollaborationRole*, *ProcessSpecification*, and *Role* elements.

In general, an *NCPA* SHOULD be the simplest possible *CPA* that conforms to the [ebCPP] schema. With the possible exception of selection of a negotiation BPSS instance *Document* and *Party*-specific information such as *Party* name, *Party* ID, and endpoint address, it SHOULD be possible for any pair of *Parties* to use it.

***IT IS ESSENTIAL THAT EVERYONE REVIEW THE AND DISCUSS THE NCPA INSTANCE DOCUMENT.***

**DALE MOBERG: SOME SIMPLE PARTS MAY BE MISSING FROM THE NCPA.**

**DALE MOBERG: I DO NOT THINK THAT WE HAVE DOCUMENTED BOTH ASYNC AND SYNC PATTERNS FOR NEGOTIATION.**

The *NCPA* defines the interactions between two *Parties* that are negotiating the contents of a *CPA*. It identifies the BPSS instance *Document* that defines the negotiation choreography. An example of an *NCPA* is in Appendix C.

The following are minimalist requirements on the contents of the *NCPA* that help avoid the need to negotiate the negotiation *CPA*. Depending on the particular function, negotiation can be avoided either by mandating choices or values in this specification or by mandating that a function with cardinality that includes zero be omitted.

***THIS MATERIAL WILL BE EXPANDED AS NEEDED.***

### 8.1 Document Exchange

The following rules eliminate the need for negotiating the *Document*-exchange specifications for the *NCPA*:

- Omit the following child elements of the *ebXMLSenderBinding* and *ebXMLReceiverBinding* elements: *ReliableMessaging*, *PersistDuration*, *xxxNonRepudiation*, and *xxxDigitalEnvelope*. This means that reliable *Messaging* and *Message* security are not used.  
***THIS SPECIFICATION NEEDS TO STATE WHETHER OR NOT THE NAMESPACESUPPORTED ELEMENT IS REQUIRED OR MUST BE OMITTED. THE NAMESPACESUPPORTED ELEMENT CAN ALSO BE OMITTED UNLESS THE MESSAGE STRUCTURE USED FOR NEGOTIATION REQUIRES IDENTIFYING NAMESPACES FOR BODY PARTS.***
- In the *MessagingCharacteristics* elements, specify the value “never” for the attributes

*ackRequested*, *ackSignatureRequested*, and *duplicateElimination* (they are used only with reliable Messaging). For the *actor* attribute, specify either of the permitted values; this attribute is ignored when the value of the *ackRequested* attribute is “never”.

**THE VALUE OF THE SYNCREPLYMODE ATTRIBUTE SHOULD BE SPECIFIED IN THIS NEGOTIATION SPECIFICATION. IT SHOULD NOT HAVE TO BE NEGOTIATED.**

**DALE MOBERG: SYNCREPLY MODE IN NCPA IS VERY [EBMS] SPECIFIC. WE HAVE TO BE CAREFUL TO ALLOW VARIOUS MESSAGING AND TRANSFER PROTOCOL BINDINGS AND INITIALLY PICK ONE AS A DEFAULT AND OTHERS AS OPTIONAL. HTTP POST DEFAULT? SOAP 1.1 DEFAULT?**

**THE FOLLOWING IS AN ALTERNATIVE THAT WOULD REQUIRE DEFINING A NEW BINDING IN THE CPPA SPECIFICATION.**

Messaging could be specified to use basic SOAP or W3C XML Protocol (when available). In this context, “basic” means that values or choices that normally have to be negotiated will either be omitted or will be given fixed values by this specification.

## 8.2 Transport

- Use HTTP PUT or POST to send a proposed *CPA* to a URL.
- The response to an offer or counter offer is always synchronous. This avoids the need for the responder to know the URL for a response.

See [ebMS]. Appendices B.2.4 and B.2.5 regarding synchronous HTTP connections.

## 8.3 Packaging

**COMPLETION OF THE PACKAGING DEFINITION (E.G. SIMPLEPART DEFINITIONS) AWAITS COMPLETION OF THE NDD AND NEGOTIATION MESSAGE SCHEMAS.**

## 8.4 Security of Negotiation Protocol

**THE FOLLOWING ARE PRIMARILY BOOTSTRAP ISSUES. MORE DISCUSSION AND DECISIONS ARE NEEDED.**

**WE NEED TO DECIDE WHICH OF THESE ITEMS ARE IN SCOPE FOR VERSION 1 AND WHICH MIGHT BE FUTURE WORK.**

**HAVE WE DEFINED WHAT IS NEEDED TO NEGOTIATE THE SECURITYDETAILS ELEMENT?**

**MONICA MARTIN: THIS SECTION IS A BIT NEBULOUS IN THAT IT REFERENCES TRUST AND SECURITY ISSUES BUT DOESN'T SAY THAT THIS PROCESS WILL OR WON'T BE USED. IF IT IS OUTSIDE THE SCOPE OF V1.0, STATE ACCORDINGLY.**

- If both Parties have the same trust model, negotiation can proceed in a secure fashion.

- 657     ♦ An initial negotiation of trust anchors and other security matters might be needed.  
658     Consider exchanging this information dynamically, using *Message* exchanges.. The  
659     might be slower, but simpler, than putting it in the *NCPA*. This might involve human  
660     intervention to evaluate and accept the proposed trust model and then to configure the  
661     systems to use it for negotiation.
- 662     • One *Party* might have to add a new trust anchor proposed by the other *Party*.
  - 663     • The signing certificate need not be the same as the others.
  - 664     • Certificate validity.
  - 665     • Are self-signed certificates permitted?
  - 666     • For the initial version of the specification, omit *Document*-exchange certificates.
  - 667     • Signing of *Negotiation Messages* has to be covered either in the *NCPA* or in the initial  
668     security negotiation mentioned above.

## 669     **8.5 Explanation of NCPA Example**

670     The text of the *NCPA* example is in Appendix C.

671

672     ***TO BE SUPPLIED.***

## 9 Pre-Conditions for Negotiation

This section discusses conditions that **MUST** be met before negotiation. If these conditions are not met, a successful outcome is unlikely. The discussions relate to *CPPs* or a *CPA Template* as appropriate

The two partners **MUST** agree on what *Negotiation Protocol* to follow, i.e. what *NCPA* to use for negotiation. (The *NCPA* identifies the *Negotiation BPSS Instance Document* to be used.)

There **MUST** be a minimum level of matching (i.e. compatibility) between two *CPPs*.

- There **MUST** be at least one transport protocol in common.
- There **MUST** be a minimum level of compatibility between at least one *DocumentExchange* element in each *CPP* (**DETAILS TO BE DETERMINED**).

**THIS LIST WILL BE EXPANDED.**

See Section 7 for related information.

**MONICA MARTIN: HOW WILL WE ACCOUNT FOR SELF SIGNNG FOR THE CERTIFICATE AUTHORITY REFERENCE RELATED TO DELIVERY CHANNELS?**

## 10 Negotiability of CPA Elements and Attributes

**THIS SECTION IS BASED ON THE WORK BEING DONE WITH THE CPA ELEMENT AND ATTRIBUTE NEGOTIABILITY SPREADSHEET.**

This section discusses the negotiability of the different elements and attributes in the *CPA* and is concerned mostly with composing a *CPA* from two *CPPs*. It focuses on those cases that involve special considerations.

### 10.1 Enumerations

There are several cases of enumerations:

- Some enumerations are laid out in the *CPP* instance *Documents* (e.g. certificates).
- Some enumerations are laid out in the CPPA schema itself.
- Some enumerations might be defined only in the text of the CPPA specification and would have to be put into the *NDD* schema.
- Some enumerations are not listed in full anywhere (e.g. the W3C forms of encryption algorithm name)
- Some might be defined elsewhere, perhaps as a set of URIs.

In some cases, especially those that are defined in the CPPA schema, only the items in an enumeration that are acceptable to the *Party* that is preparing the *NDD* instance *Document* have to be listed in the *NDD*. An example is the versions of the specification that are acceptable to the *Party*.

The CPPA schema itself is input to the *Negotiation Process*. Therefore, enumerations that are defined in full in the CPPA schema don't necessarily have to be defined in full in the *NDD* schema.

### 10.2 CollaborationRole element and its child elements

The normal case is that the two *CPPs* are being composed into a *CPA Template* specify the same BPSS instance *Document*. In version 1 of this specification, the contents of the BPSS instance *Document* cannot be negotiated using the negotiation functions defined in this specification. Two prospective trading partners SHOULD agree on the same BPSS instance *Document* and assignment of *Roles* before beginning to negotiate the *CPA*. In many cases, agreement will be established by the fact that the two prospective trading partners have compatible **CollaborationRole** subtrees in their *CPPs*. The following considerations relate to establishing compatible **CollaborationRole** subtrees.

- If both *CPPs* specify the same *Role* (e.g. both specify "buyer"), the situation cannot be resolved automatically. Human contact is needed and one *CPP* MUST be changed to specify the other *Role*.
- If both *CPPs* specify both *Roles* (i.e. two **CollaborationRole** elements with opposite *Roles*), this cannot be resolved automatically. Human contact is needed and the two *Parties* MUST agree on which *Party* plays which *Role*.
- If *CPP* A specifies one *Role* and *CPP* B specifies both *Roles*, chose the *Role* in *CPP* B which is opposite to the *Role* specified in *CPP* A.

- If both *CPPs* specify more than one BPSS instance *Document* but there is only one in common to the two *Parties*, use that one.
- If both *CPPs* specify more than one BPSS instance *Document* that is in common to both of them, human contact is needed to decide whether all the common ones are to be used in the *Business Collaboration* or which one is to be used.

From the viewpoint of *CPA* composition and negotiation, the best practice is to include only one BPSS instance *Document* in each *CPP*.

NOTE: A *Party* can describe the *Business Collaboration* using any desired alternative to the ebXML *Business Process Specification Schema*. When an alternative *Business-Collaboration* description is used, the *Parties* to a *CPA* MUST agree on how to interpret the *Business-Collaboration* description and how to interpret the elements in the *CPA* that reference information in the *Business-Collaboration* description. The affected elements in the *CPA* are the **Role** element, the **CanSend** and **CanReceive** elements, the **ActionContext** element, and some attributes of the **BusinessTransactionCharacteristics** element. The two *Parties* also have to come to a common understanding of how to negotiate the negotiable elements and attributes whose interpretations are changed by the use of the alternative *Business Collaboration* description.

### 10.3 Elements or Attributes whose Cardinality Includes Zero

Regarding elements or attributes whose cardinalities include zero (omission), the main negotiable thing is “presence or absence”. However, if it is agreed to include (one or more of) that element or attribute, it is then necessary to negotiate the value (or child elements in the case of an element) of each one that is included. **PersistDuration** is an example. If the two parties agree to include it, they then have to negotiate its value.

### 10.4 Values

For negotiating values, the negotiation depends on the type of value. It could be a range of values, a step size, members of an enumeration, etc. The type information is in the CPPA schema and might not have to be repeated in the *NDD*.

### 10.5 Items that are Referred to

**NEGOTIATION OF ITEMS THAT ARE REFERRED TO (E.G. BY IDREF) IS AN OPEN QUESTION.**

### 10.6 Transport Endpoints

Transport endpoints are not really negotiable since any *Party* can define whatever endpoints it chooses. There might be issues of matching endpoint characteristics. One example is the endpoint type. *Parties* might need to negotiate what endpoint types are used.

**IT WAS NOT CLEAR TO THE SUBTEAM HOW MUCH USE WILL BE MADE OF ENDPOINT TYPES OTHER THAN “ALL PURPOSE”. FOR ITEMS WHOSE WIDE USE IS NOT CERTAIN, IT MAY BE BETTER NOT TO DESIGN IN DETAIL IN THE FIRST VERSION. INSTEAD, WE COULD INCLUDE A NON-NORMATIVE NOTE ON WHATEVER WE UNDERSTAND ABOUT EACH SUCH ITEM AND LEAVE IT FOR**



**FUTURE VERSIONS TO CONSIDER THE NEED TO NEGOTIATE IT.****10.7 Security****THESE POINTS NEED FURTHER DISCUSSION AND DECISIONS.**

- Negotiation on certificates might require human contact.
- A *Party's* unwillingness to handle the proposed trust model is a reason for failure of the negotiation.

**10.7.1 Trust Anchors and Related Matters**

This section discusses the kinds of negotiation that might take place for aligning *SecurityDetails* and *TrustAnchors* with various *CertificateRefs*.

**DOES THIS SPECIFICATION DEFINE WHAT IS NEEDED FOR NEGOTIATING TRUST ANCHORS?**

There are 3 major levels for alignments in public-key infrastructure (PKI). **ALIGNMENTS OF OTHER SECURITY CREDENTIALS ALSO NEED TO BE DISCUSSED HERE.**

1. Transport-level security
2. *Messaging*-level security
3. Application-level security

For transport-level security, (transient) encryption and authentication alignment are needed. Both server-side and client-side SSL or TLS need to have the trust anchors synchronized with corresponding certificates.

For *Messaging*-level (persistent) security, digital envelopes and non-repudiation (of origin and/or receipt) by means of digital signatures require alignment.

For application-level (persistent) security, digital envelopes and non-repudiation (of origin and/or receipt) by means of digital signatures require alignment.

Failure to validate a certificate need not prevent formation of a *CPA Template*. First, the sender's signing certificate can be a self-signed certificate. If so, a reference to this self-signed certificate can be added to the receiver's *TrustAnchors* and *AnchorCertificateRef* lists. This proposal amounts to proposing to agree to a direct trust model, rather than a hierarchical model involving certificate authorities. Second, a proposal to add a trusted root might be made, again by appropriate revision of the *TrustAnchors* element.

As a result of the *CPA Template* formation process, various details could be up for negotiation. **OTHER DETAILS ABOUT ALGORITHMS OR STRENGTHS NEED TO BE ADDED.**

First, a change to the PKI might be proposed. For the self-signed certificate addition option, the negotiatee might want to:

1. Reject adding a self-signed certificate and indicate rejection of the security function resting

on this PKI alignment

2. Insist on the proposer getting a certificate from an existing CA.
3. Propose issuing another certificate signed by an acceptable authority.

For case 1, the negotiation "space" would involve a change in the value of an attribute under ***BusinessTransactionCharacteristics***.

For case 2, the negotiatee would have to indicate rejection of the *CPA Template* and indicate that until the *CPP* certificate value changes, there will be no forward progress. The proposer would have to go out and get a new certificate.

For case 3, the negotiatee would propose a different certificate issued by its own CA. The negotiatee would have to install it and use it for this transaction. This is not yet a common practice, though it is logically possible. This would involve one side being a CA for the *Business Process* and the ability of the other side to use more than one certificate for its existing key-pair. The *CPA* proposed to do this would go outside of anything strictly derivable from the *CPP* (only the old X.509 certificate would be used to put together a new X.509 certificate from a new issuer).

Next, for the PKI trust anchor certificate addition option, the negotiatee might want to:

1. Reject adding a new CA to its trust anchors and indicate rejection of the security function resting on this PKI alignment.
2. Insist on the proposer getting a certificate from some already trusted existing CA.
3. Propose accepting another certificate signed by its own signing authority.
4. Propose a different trust anchor either higher or lower in the validation chain than the one proposed by the other side.

Again, as for adding a self-signed certificate, for case 1, the negotiation "space" would involve a change in the value of an attribute under the ***BusinessTransactionCharacteristics*** element. For case 2, the response would have to be rejection with a call for a change in *CPP*. For case 3, the negotiatee proceeds as described in case 3 above.

The new case 4 is logically possible but still exotic. In effect, the negotiation SHOULD not matter to the other side, because it is just an adjustment to which trust anchor is added to one side's PKI trust list and the certificate used would still validate to the alternative trust anchor. Yet it would reflect a slight change in security details.

## 10.8 Discussion of Various Elements and Attributes

### ***RULES FOR ADDITIONAL ELEMENTS AND ATTRIBUTES PROBABLY HAVE TO BE ADDED.***

***cpaid***: The value of the ***cpaid*** attribute can be negotiated. In order to negotiate the value of the ***cpaid*** attribute, it SHALL be a URI.

***Start*** and ***End*** elements: The value of the ***Start*** element MUST precede the value of the ***End***

Deleted: *Id*

Deleted: *all*

Deleted: *Id*

element and the times stated in the **Start** and **End** elements MUST NOT be outside the certificate validity periods. If the values of the **Start** and **End** elements are negotiable, the CPP SHALL specify the earliest acceptable start time and the latest acceptable end time.

**IS THE ABOVE DEFINITION CORRECT AND ACCEPTABLE?**

**Status** element: The **Status** element is not negotiable; its value identifies the state of the negotiation. The negotiation algorithm is responsible for changing the state at appropriate times.

**defaultMSHChannelId**: Since a delivery channel contains both *Parties*' properties, the two *Parties* have to agree on both *Parties*' default delivery channels. **MORE DISCUSSION IS NEEDED ON THIS SUBJECT.**

**defaultMSHPackageId**: **A USE CASE IS NEEDED.**

**PartyId** type: The **type** attribute of the **PartyId** element identifies the naming system to which the **PartyId** belongs (e.g. DUNS). The *Negotiation Process* SHOULD select one possible **PartyId** type for each *Party* and eliminate any others that are in the CPPs. Each *Party*'s **PartyId** type MUST be understandable by the other *Party*. Eliminating the others ensures that each *Party* will always use the same **PartyId** for the other *Party*.

**PartyRef**: **THE TYPE ATTRIBUTE OF PARTYREF NEEDS A USE CASE FOR NEGOTIABILITY.** One possible reason to negotiate is that a *Party* might not be able to understand the other *Party*'s **PartyRef** *Document*. For example, the geographical contexts might not match. While negotiating the contents of the **PartyRef** *Document* is out of scope for this specification, negotiating the contents might lead to negotiating the schema (type), which is in scope.

**CollaborationRole**: the cardinality is one or more.

**version** attribute of the **ProcessSpecification** element: The two *Parties*' CPPs might specify the same BPSS instance *Document* but different versions of it.

**THE VERSION ATTRIBUTE OF THE BPSS PROCESSSPECIFICATION ELEMENT IS ACTUALLY THE VERSION OF THE SPECIFICATION. THE BPSS SPECIFICATION DOES NOT DEFINE A VERSION ATTRIBUTE OF A BPSS INSTANCE DOCUMENT. DECISIONS HAVE TO BE MADE ON HOW TO RESOLVE THE DISCREPANCY BETWEEN [EBCPP] AND [EBBPSS] AND THEN TO REWRITE REFERENCES TO THE VERSION ATTRIBUTE IN THIS SPECIFICATION. ONE SOLUTION IS TO CORRECT [EBCPP] TO REFER TO THE VERSION OF [EBBPSS] AND CHANGE THIS SPECIFICATION ACCORDINGLY. ANOTHER IS TO DEFINE A BPSS INSTANCE DOCUMENT VERSION AND CORRECT BOTH [EBCPP] AND THIS SPECIFICATION TO AGREE WITH THE BPSS SPECIFICATION. THE LATER SOLUTION IS FOR A FUTURE VERSION OF ALL THREE SPECIFICATIONS.**

**name** attribute of the **ProcessSpecification** element: This is not negotiable unless a future version of [ebBPSS] provides for more than one **ProcessSpecification** element in a BPSS

instance *Document*.

**THE SUBTEAM HAS RECENTLY DISCUSSED THE POSSIBILITIES IN NEGOTIATING ABOUT WHICH BPSS INSTANCE DOCUMENT TO USE. FOLLOWING ARE THE POSSIBILITIES DISCUSSED:**

**- ANY NEGOTIATION ABOUT WHICH BPSS INSTANCE DOCUMENT TO USE IS FOR THE FUTURE.**

**- PERMIT NEGOTIATING ON THE NAME ATTRIBUTE OF THE BPSS INSTANCE DOCUMENT, I.E. ON WHICH BPSS INSTANCE IS TO BE USED.**

**- PERMIT NEGOTIATING ON THE VERSION OF THE BPSS SPECIFICATION TO BE USED. (SEE 'VERSION ATTRIBUTE' ABOVE.**

**- REQUIRE THAT THE CHOICE OF BPSS INSTANCE DOCUMENT SHOULD BE LIMITED TO CHOICES THAT DO NOT REQUIRE CHANGES IN THE ELEMENTS AND ATTRIBUTES OF THE COLLABORATIONROLE ELEMENT.**

**DURING THE DISCUSSION, IT WAS POINTED OUT THAT EVEN SUBSTITUTING A VERY SIMILAR BPSS INSTANCE DOCUMENT FOR ANOTHER COULD AFFECT WHAT IS DEFINED IN THE ACTIONCONTEXT ELEMENT. ONE POSSIBILITY IS TO STATE THAT THE ALTERNATIVE BPSS INSTANCE DOCUMENTS MUST BE STRUCTURALLY SIMILAR AND TO WARN THAT EVEN SO, CHANGES MIGHT BE NEEDED IN THE CONTENTS OF THE ACTIONCONTEXT ELEMENT; THE PARTIES WILL HAVE TO BE PREPARED TO NEGOTIATE ABOUT THE ACTIONCONTEXT ELEMENT**

**DALE MOBERG: LOOK INTO WHAT DEPENDENCIES NEGOTIATION OF OTHER ITEMS IN THE CPA HAS ON NEGOTIATING THE BPSS INSTANCE DOCUMENT NAME, ESPECIALLY ACTIONCONTEXT. ARE THERE THINGS THAT MIGHT HAVE TO BE NEGOTIATED THAT ARE NOT COVERED BY THE CURRENT DRAFT?**

Deleted: .

**ds:Reference** child of *ProcessSpecification* element: **IT IS TO BE DETERMINED WHETHER BOTH PARTIES MUST HAVE DS:REFERENCE IF EITHER HAS IT. IT HAS BEEN SUGGESTED THAT THIS IS NECESSARY SO THAT IF EITHER PARTY VALIDATES THE BPSS INSTANCE DOCUMENT USING DS:REFERENCE, BOTH PARTIES SHOULD VALIDATE.**

**Role:** The two *Parties* have to have opposite *Roles* in a *Business Collaboration*. This MUST be validated. **THERE IS NO KNOWN USE CASE FOR NEGOTIATING IT.**

**ApplicationCertificateRef:** This is negotiable because one party's certificate authority might not be acceptable to the other *Party*. The value of the *certId* attribute could be an enumeration of possible certificates. There can be zero or more *ApplicationCertificateRef* elements.

**ThisPartyActionBinding:** In general, each *Party* has to know the name that the other *Party* uses for each action but they don't need to negotiate since there is no reason for the names to match.

**PackageId** might be negotiable.

957  
958 **ActionContext:** This is not negotiable. If BPSS is not being used, ignore the **ActionContext**  
959 element.

960  
961 **CollaborationActivity:** This allows a **Party** to specify a complete path inside the BPSS instance  
962 *Document*. Its value is completely determined by the structure of the BPSS instance *Document*  
963 and is therefore not negotiable.

964  
965 **channelId:** The *Parties* can negotiate which delivery channels to use or add new ones.

966  
967 **Certificate:** An enumeration of **keyinfo** types might be useful to help decide which certificates  
968 are acceptable.

969  
970 **DeliveryChannel:** Cardinality is negotiable. It is suggested that a new delivery channel be  
971 created rather than modifying an existing one.

972  
973 **Signing the CPA:** Negotiation of signing is accomplished by negotiating presence of the *CPA*  
974 **Signature** element and its child **ds: Signature** elements. See Section 13.13 for details.

975  
976 **Comment:** [ebCPP] states that all comments in both *CPPs* SHALL be included in the *CPA*  
977 unless the *Parties* agree otherwise. Therefore, each **Comment** element is separately negotiable.  
978 Since comments are arbitrary text strings, negotiation about **Comment** elements MUST be by  
979 human to human contact.

## 11 Negotiation Descriptor Document

The *Negotiation Descriptor Document (NDD)* describes what is negotiable in the accompanying *CPP* or *CPA Template*. It SHALL describe only the negotiable elements and attributes and SHALL omit those elements and attributes that are not negotiable.

The *NDD* identifies the *CPP* or *CPA Template*. The *CPP* or *CPA Template* does not identify the *NDD* since a *Party* might have many different *NDDs* associated with the same *CPP* or *CPA Template*. These could be for different *Negotiation Processes*, different categories of partner, etc.

### 11.1 Use of NDD

- An *NDD* can be placed in a *Registry* along with the *CPP*. The *NDD* and *CPP* would have to be connected by *Registry* metadata. Alternatively, a *Party* might choose not to include an *NDD* in the *Registry*. Instead, when a *Party* is discovered by a prospective trading partner, the *NDDs* can be exchanged prior to the opening step of the negotiation. This permits a *Party* to send an *NDD* that it considers appropriate for the particular prospective trading partner.
- An *NDD* is sent from the *Party* making the initial offer to the other *Party* during initialization of the *Negotiation Protocol*. After that, the *NDD* is not modified during negotiation and is not again sent from one *Party* to the other. All information about the state of negotiation of the negotiable items is exchanged in the *Negotiation Messages*.

NOTE: This means that an item which is initially not negotiable cannot be made negotiable during the *Negotiation Protocol*.

### 11.2 General Principles of Contents of NDD

The *NDD* has been defined in an abstract manner to enable it to be applied to any kind of XML agreement. This avoids the need to define a new *NDD* schema for each kind of *Document* to be negotiated.

NOTE: The abstract level of the *NDD* is an opportunity for tool vendors to produce *NDD* composition tools. Such a tool would have a GUI that would tailor the view of the *NDD* to the specific kind of *Document* to be negotiated. The tool would reference the schema of the *Document* being negotiated along with the *NDD* being constructed. This will supply the tool with sufficient information to make the views understandable by someone who is composing an *NDD*. This would enable that person to communicate with the tool in terms of the specifics of the *Document* to be negotiated. The tool could then construct the *NDD* instance *Document* in accord with the *NDD* schema.

The *NDD* references both the *CPA Template* and the *CPPA XML* schema.

The *NDD* consists of a variable length (cardinality 1 or more) set of [XPath] expressions, each of which refers to a negotiable element or attribute.

With each XPath expression, the negotiability of the element or attribute is defined by child

elements. These child elements represent the negotiability characteristics of the element or attribute identified by the XPATH statement. Examples are:

- Cardinality (range of permitted cardinalities)
- For a numeric value, minimum, maximum, and negotiation step size
- For choices, XPATH statements, ID attribute values, qnames, element values, etc. which identify the specific choices within the *Document* being negotiated. Examples in the *CPA* are certificates, delivery channels, transport protocols, and signature algorithms.

The following rules define what is negotiable at the point referenced by an XPATH expression:

1. If the XPATH expression references a non-leaf element, that element, and the whole sub tree below that element, are negotiable.
2. If the XPATH expression references any attribute, it means that only that attribute is negotiable and doesn't imply anything about the containing element or the rest of the sub tree descended from the element containing that attribute.
3. If the XPATH expression references a leaf element, only that element and its contained attributes are negotiable.

### 11.3 Composition of an NDD for a CPA Template

Formally, the negotiation defined in this specification begins when one *Party* presents an initial offer, consisting of a *CPA Template* and its *NDD*, to another *Party*. However, the following RECOMMENDATIONS on constructing an *NDD* for a *CPA Template* might facilitate the *Negotiation Process*.

If the initiating *Party* has access to the other *Party's NDD* that goes with its *CPP*, the initiating *Party* SHOULD use both its and the other *Party's NDD* to establish the *NDD* and *CPA Template* to be used as the initial offer. The new *NDD* would be a composite of the two sets of requirements that is acceptable to both *Parties* as a starting point in negotiation.

If *Party A* is composing the *NDD* of the *CPA Template*, *Party A* SHOULD exclude from the new *NDD* anything that *Party A* understands (from *Party B's NDD*) is not negotiable or is unacceptable to *Party B*. For example, for an enumeration, the new *NDD* SHOULD include only those choices that are common to both of the original *NDDs*. For a range of values, *Party A* SHOULD put in the new *NDD* only the common range. If, for some element, *Party A* had specified values of 1-9 and *Party B* had specified values of 3-12, the new *NDD* SHOULD specify values 3-9. The intersection process might identify items with no common ground, making successful negotiation unlikely.

*Party A* SHOULD NOT include items in the new *NDD* that were not in *Party B's* original *NDD* because *Party B* did not intend to negotiate on the items that it did not put in its original *NDD*. For those items that were not in *Party B's* initial *NDD*, *Party A* MUST either accept what is in *Party B's CPP* or recognize that there is an irreconcilable conflict.

Note that it is not mandatory for *Party A* to take *Party B's NDD* into account in composing the *NDD* for the *CPA Template* since incompatibilities will anyway be removed during the exchange of counter offers. However, taking *Party B's NDD* into account will speed up convergence (or recognition of fatal incompatibilities) and reduce the possibilities of unnecessary rejects during negotiation. In other words, composing a *CPA Template* and combined *NDD* before starting negotiation simplifies the *Negotiation Process* by:

1. Removing subjects from negotiation that can be handled by simple matching.
2. Quickly recognizing the existence of fatal incompatibilities. For fatal incompatibilities, human to human contact to resolve the incompatibilities is RECOMMENDED.

#### 11.4 Explanation of Contents of NDD

This section discusses the schema and example of an *NDD* instance *Document*. See Appendix A for the schema and Appendix F for an example of an instance *Document*.

The *NegotiationDescriptor* element is the top element of an *NDD*. It is a container element, that contains one or more instances of the negotiable parts called *NegotiableInformationItem* elements. The *documentLocation* attribute of the *NegotiationDescriptor* element is a URI that points to the XML *Document* to which this *NDD Document* corresponds. For instance, if the *NDD* pertains to a *CPA*, the *documentLocation* attribute points to that *CPA*.

Each *NegotiableInformationItem* element contains an *xpath* attribute that identifies the negotiable information item with respect to the *Document* pointed to by the *documentLocation* attribute of the *NegotiationDescriptor* element.

Each negotiable information item (which could be an XML element or an attribute) is one of the following types, depending on what kind of negotiation that one needs to perform on this negotiable information item.

1. Value: For negotiating the value of the item.
2. UnorderedValue: For negotiating the presence or absence of a member of a set of unordered values.
3. OrderedValue: For negotiating to choose among the members of a set of ordered values, where the preference is of a simple kind (namely, a preference for earlier values or later values in the set).
4. ValuesWithPreferenceMeasure: For negotiating to choose among the members of a set of values, where the preference measure is of a more complicated nature (for instance, expressed by a piecewise linear function or a function defined by an equation).
5. PresentOrNot: For negotiating the presence or absence of a value. This type allows one to express that a *Party* (a) insists that a value MUST be present; (b) insists that a value is absent; (c) is ok with the value being present or absent, but has a preference for one or the other or (d) is o.k with the value being present or absent, and has no preference.
6. IntegerValues: For expressing (a) whether an integer value is present or not (as in PresentOrNot) and then (b) the choice between different integer values using simple preference measures (such as smaller ones being preferred or larger ones being preferred) or more complicated preference measures (such as those expressible via piecewise linear functions). This type is provided mostly for convenience, since there are many entries in a *CPP* or *CPA* that impose these kinds of negotiation requirements.



- 1112 7. Preference: For expressing preference among values of a similar nature (such as multiple  
1113 elements at the same level, e.g., the *PartyInfo* element)  
1114 8. Cardinality: Similar to IntegerValues.  
1115 9. BooleanValues: For expressing (a) whether a Boolean-valued item is present or not and then  
1116 (b) for expressing preference for either true or false as the value of the boolean-valued item.  
1117 10. DurationWithPreference: For expressing (a) whether a duration-valued item is present and  
1118 then (b) to give maximum and minimum possible values of the duration and to express a  
1119 preference for smaller values or larger values.

1120  
1121 For more details, comments and examples of using each of these types, the reader is directed to  
1122 the *NDD* schema (Appendix A) and instance *Document* (Appendix F).

1123  
1124 ***THE FOLLOWING ARE OPEN QUESTIONS:***

1125  
1126 ***PIECEWISELINEARPIECE ELEMENT: THE SPEC SHOULD EXPLAIN THE***  
1127 ***FUNCTION OF X1 AND Y1. PERHAPS WE COULD GIVE THESE ELEMENTS SELF-***  
1128 ***EXPLANATORY NAMES.***

1129  
1130 ***PIECEWISELINEARPIECE (SCHEMA): COULD X1 AND Y1 BE TYPED MORE***  
1131 ***STRONGLY THAN "XS:STRING" AND "XS:NMTOKEN"? I GUESS THAT THIS IS NOT***  
1132 ***POSSIBLE IF THEY ARE TO BE USED WITH A VARIETY OF DATATYPES. COULD***  
1133 ***WE STATE A RULE THAT RELATES THE TYPE OF EACH INSTANCE OF X1 AND Y1***  
1134 ***TO THE TYPE OF THE ELEMENT THAT THEY ARE WORKING WITH (E.G.***  
1135 ***DATETIME FOR THE START AND END ELEMENTS)?***

1136  
1137 ***FOR GIVING THE ENDPOINTS FOR ELEMENTS LIKE START/END, THE TYPE IS***  
1138 ***CURRENTLY SET TO STRING BEACUSE XML SPY DOES NOT SEEM TO VALIDATE***  
1139 ***DATETIME ENTRIES CORRECTLY, BUT SHOULD BE CHANGED TO DATETIME***  
1140 ***LATER***

1141  
1142 ***DO WE NEED AN ADDITIONAL CONSTRUCT IN THE NEDD TO IDENTIFY AN***  
1143 ***ELEMENT OR ATTRIBUTE FOR WHICH THE OFFEREE MUST SUPPLY A VALUE***  
1144 ***(E.G. PARTYID) BUT IS NOT NEGOTIABLE?***

1145  
1146 | ***SEE OTHER OPEN QUESTIONS IN THE NEGOTIABILITY SECTION.***

## 12 **Negotiation Messages**

A *Negotiation Message* includes the details of a offer or a counter offer, identification of the *NDD* and *CPA Template* being negotiated, and information that controls the *Negotiation Protocol*. Some *Messages* include the *NDD* and the *CPA Template* or their URLs.

This section defines and discusses the details in the *Negotiation Message* in terms of the individual XML elements and attributes. The discussion is illustrated with XML fragments.

See Appendix B for the complete *Negotiation-Message* XML Schema. See Appendix G for examples of *Negotiation-Message* instance Documents.

### 12.1 **Negotiation Message Structure**

This section discusses the overall structure of the *Negotiation Message*. Subsequent sections discuss each of the elements in more detail.

```

<NegotiationMessage
  xmlns:tp="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpa-negot-1_0.xsd"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xmlns:cppa="http://www.oasis-
open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd"
  xsi:schemaLocation="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpa-negot-
1_0.xsd"
  businessMsgId="busMsg002"
  binding="false"
  inresponseTo="busMsg001"
  negotiationDialogId="negotDialog001"
  offerId="offer001"
  messageType="CounterOffer"
  error="ExpiredCPP">
  <NCPA uri="http://..." />
  <CPAIdentity>
    ...
  </CPAIdentity>
  <cppa:SecurityDetails cppa:securityId="ID">
    ...
  </cppa:SecurityDetails>
  <InitiatingParty>
    ...
  </InitiatingParty>
  <RespondingParty>
    ...
  </RespondingParty>
  <BPSSBusinessDocumentName name="CPA Counter Offer Doc" />
  <ExpirationDate>...</ExpirationDate>
  <BusinessDocuments>
    ...
  </BusinessDocuments>
  <NegotiationContent>
    ...
  </NegotiationContent>

```

<ResponseToURL>  
 ...  
</ResponseToURL>  
<Comment/>  
</NegotiationMessage>

### 12.1.1 NegotiationMessage element

The *NegotiationMessage* element is the root element of the *Negotiation Message xml Document*.  
The *Negotiation Message Document* contains the following REQUIRED[XML]  
Namespace[XMLNS] declarations:

- The default namespace: xmlns==  
"http://www.oasis-open.org/committees/ebxml-cppa/schema/cpa-negot-1\_0.xsd"
- The schema instance namespace: xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
- The ebXML CPPA namespace: xmlns:cppa=  
http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2\_0.xsd

The *NegotiationMessage* element contains the following attributes:

- a REQUIRED *businessMsgId* attribute that uniquely identifies the current *Business Message*  
within the scope of one *Negotiation Dialog*.
- a REQUIRED *negotiationDialogId* attribute that uniquely identifies an ongoing *Negotiation*  
*Dialog* that connects multiple offer/counter-offer transactions that pertain to the same *CPA*  
*Template*.
- an IMPLIED *offerId* attribute that uniquely identifies each instance of an offer or counter  
offer.
- an IMPLIED *inresponseTo* attribute that identifies the unique *Business Message* of the  
previous offer or counter-offer that this *Business Message* is responding to. Its value can be  
null for the initiating offer of the *Negotiation Dialog*.
- a REQUIRED *binding* attribute that indicates whether the current *Message* is legally  
binding.
- a REQUIRED *messageType* attribute that indicates the status of current negotiation. The  
legal values for the *messageType* attribute are:
  - ◆ "Offer"
  - ◆ "CounterOffer",
  - ◆ "CounterOfferPending"
  - ◆ "Rejected"
  - ◆ "Accepted"
  - ◆ "Expired"
  - ◆ "SinglePartySigned"
  - ◆ "Signed"
  - ◆ "Unsigned"

**IN THE NEGOTIATION BPSS INSTANCE DOCUMENT, THE "CPA FINAL RESPONSE**  
**DOC" DOCUMENT IS USED FOR BOTH ACCEPTANCE AND REJECT. THIS**  
**REQUIRES A SUCCESS/FAILURE INDICATOR IN THE MESSAGE AND THAT**  
**INDICATOR WILL HAVE TO BE CHECKED BY THE RECIPIENT WHENEVER THE**  
**MESSAGE IS "CPA FINAL RESPONSE DOC". MESSAGE TYPE IS INTENDED TO BE**

THAT INDICATOR. HOWEVER, IT ISN'T CLEAR THAT THE WAY MESSAGE TYPE IS DEFINED IS CONSISTENT WITH THE BPSS INSTANCE DOCUMENT. WHEN THE CHOREOGRAPHY CODE RECEIVES THE CPA FINAL RESPONSE DOC MESSAGE, IT IS EXPECTING TO TEST THE MESSAGE TYPE ELEMENT ONLY FOR "REJECTED" OR "ACCEPTED". ARE WE CERTAIN THAT THESE ARE THE ONLY TWO VALUES THAT MESSAGE TYPE COULD HAVE IN THE CPA FINAL RESPONSE DOC MESSAGE? I BELIEVE THAT MOST OF THE VALUES CORRESPOND TO SPECIFIC BPSS BUSINESS DOCUMENT NAMES, SO IT MAY BE OK IT IS. ONE VALUE THAT IS OF CONCERN IS "EXPIRED". COULD "EXPIRED" BE A VALID VALUE OF THE MESSAGE TYPE ELEMENT IN THE CPA FINAL RESPONSE DOC MESSAGE? IF SO, THE CHOREOGRAPHY SOFTWARE WON'T KNOW WHAT TO DO WITH IT. ISN'T "EXPIRED" AS A VALUE OF MESSAGE TYPE THE SAME AS "EXPIREDOFFER" (THE VALUE OF THE ERROR ATTRIBUTE)? CAN WE SIMPLY DELETE "EXPIRED" FROM THE PERMISSIBLE VALUES OF MESSAGE TYPE? (PRESUMABLY THIS REQUIRES A FIX TO THE XSD AND MAYBE TO THE INSTANCE DOCUMENT EXAMPLES.)

DALE MOBERG: THE ABOVE SET OF VALUES MAY BE INCOMPLETE.  
MARTY SACHS: THE ERROR ELEMENT IS A MODIFIER TO "REJECTED".  
POSSIBLY, THE MISSING SET OF VALUES IS GIVEN BY THE ERROR ELEMENT.

- An IMPLIED error attribute that identifies the error code in case of rejection for a CounterOffer.

The *NegotiationMessage* element SHALL consist of the following child elements:

- One REQUIRED *NCPA* element to identify the current *NCPA*
- One REQUIRED *CPATemplateId* element to identify the *CPA* that is being negotiated.
- One REQUIRED *cpa:SecurityDetails* element to describe the security requirements of current negotiation.
- One REQUIRED *InitiatingParty* element to describe the initiating *Party* of the current *Negotiation Dialog*.
- One REQUIRED *RespondingParty* element to describe the responding *Party* of the current *Negotiation Dialog*.
- One REQUIRED *BPSSBusinessDocumentName* element that indicates the name of the *Business Document* this offer relates to.
- One REQUIRED *ExpirationDate* element that specifies the date when this offer or counter offer expires. Its XML data type is "dateTime".
- One REQUIRED *BusinessDocuments* element that describes the *CPA Template*.
- One REQUIRED *NegotiationContent* element that itemizes accepted, rejected, updated elements within the current *CPA*.
- One IMPLIED *ResponseToURL* element that identifies the return (http) address where the responding *Party* can send its response asynchronously. See Section 12.1.10 for more information.
- One IMPLIED *Comment* element that can be used to record free text. For example, if the counter offer is a rejection, the *Party* can list its contact information here for a more traditional negotiation that will involve humans.

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### 12.1.2 **NCPA element**

The ***NCPA*** element contains one REQUIRED ***uri*** attribute that SHALL have a value that is a URI that conforms to [RFC2396] and identifies the location of the *Negotiation CPA* xml instance *Document*.

### 12.1.3 **CPATemplateId element**

The ***CPATemplateId*** element contains a REQUIRED ***id*** attribute and a REQUIRED ***version*** attribute. The definitions of the ***id*** and ***version*** attributes are the same as the definitions of the ***cpaid*** and ***version*** attributes, respectively, of the [ebCPP] ***CollaborationProtocolAgreement*** element.

Deleted: *CPATemplateId* has

### 12.1.4 **cppa:SecurityDetails element**

The ***cppa:SecurityDetails*** element is defined in detail in the “***SecurityDetails*** element” section of [ebCPP].

### 12.1.5 **InitiatingParty element**

The ***InitiatingParty*** element describes the *Party* that initiated the current *Negotiation Dialog*. This element contains a REQUIRED ***cppa:PartyId*** element (per the definition in [ebCPP]), a REQUIRED ***CPPIId*** element, and a ***CPPNDD*** element (cardinality 0 or 1) that identifies the *NDD* that is associated with the initiating *Party*’s *CPP*.

The ***CPPIId*** element has two attributes:

- A REQUIRED ***id*** attribute, which SHALL contain the correct value as specified in [ebCPP] for the ***cppid*** attribute of the ***CollaborationProtocolProfile*** element in a *CPP* document.
- A REQUIRED ***version*** attribute. See [ebCPP] for the definition of the ***version*** attribute of the ***CollaborationProtocolProfile*** element.

The ***CPPNDD*** element contains either a child ***BinaryDoc*** element or a child ***Uri*** element. The ***BinaryDoc*** element has the xml type “base64Binary”. Its value is the *NDD Document*. The ***Uri*** element SHALL have a value that is a URI that conforms to [RFC2396] and identifies the location of the *NDD* xml *Document*.

### 12.1.6 **RespondingParty element**

The ***RespondingParty*** element describes the *Party* that the initiating *Party* wishes to establish a *CPA* with. This element has the same structure as the ***InitiatingParty*** element.

The ***RespondingParty*** element contains a REQUIRED ***cppa:PartyId*** element, a REQUIRED ***CPPIId*** element (per [ebCPP]), and a ***CPPNDD*** element (cardinality 0 or 1) that identifies the *NDD* that is associated with the *CPP*.

The ***CPPIId*** element has two attributes:

- A REQUIRED ***id*** attribute that SHALL contain the value specified for the ***cppid*** attribute of the ***CollaborationProtocolProfile*** element in a *CPP* document. See [ebCPP].
- A REQUIRED ***version*** attribute. See [ebCPP] for the definition of the ***version*** attribute of the ***CollaborationProtocolProfile*** element.

The ***CPPNDD*** element contains either a child ***BinaryDoc*** element or a child ***Uri*** element. The

**BinaryDoc** element has the xml type “base64Binary”. Its value is the *NDD Document*. The **Uri** element SHALL have a value that is a URI that conforms to [RFC2396] and identifies the location of the *NDD xml Document*.

#### 12.1.7 **BPSSBusinessDocumentName** element

The **BPSSBusinessDocumentName** element identifies the *Business Document* that is defined in the negotiation BPSS instance *Document*. The **BPSSBusinessDocumentName** element has one REQUIRED **name** attribute. The value of this attribute is the name of the *Business Document* and SHALL be one of the following:

- “CPA Offer Doc”
- “CPA Accept Offer Doc”
- “CPA Counter Pending Offer Doc”
- “CPA Counter Offer Doc”
- “CPA Reject Offer Doc”
- “CPA Final Doc”
- “CPA Final Response Doc”
- “CPA Final Response Doc Signed”

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**DALE MOBERG EXPRESSED CONCERN ABOUT WHAT SPACE IN ENUMERATED VALUES. HIS ORIGINAL COMMENT WAS ABOUT A VALUE IN THE MESSAGETYPE ENUMERATION. THAT WHITE SPACE WAS A TYPOGRAPHICAL ERROR IN THE TEXT AND HAS BEEN REMOVED. IS THERE ANY CONCERN ABOUT THE ABOVE LIST? HERE, THE WHITE SPACE MATCHES THE WHITE SPACE IN THE DOCUMENT NAMES IN THE BPSS INSTANCE DOCUMENT. REMOVING THE WHITE SPACE WILL AFFECT THE MESSAGE SCHEMA AND EXAMPLES, BPSS INSTANCE DOCUMENT AND MANY INSTANCES OF THE ABOVE NAMES IN THIS SPECIFICATION.**

#### 12.1.8 **BusinessDocuments** element

The **BusinessDocuments** element has a **CPATemplateDoc** child element. The **CPATemplateDoc** element contains the following child elements:

- A REQUIRED **NDD** element that identifies the *NDD* associated with the *CPA Template* in the initial offer. It has either a child **BinaryDoc** element or a child **Uri** element. The **BinaryDoc** element has the xml type “base64Binary”. Its value is the *NDD Document*. The **Uri** element SHALL have a value that is a URI that conforms to [RFC2396] and identifies the location of the *NDD xml Document*.
- A REQUIRED **CPATemplate** element that has either a **BinaryDoc** child element that has the type base64Binary and whose value is the proposed *CPA Template Document*, or a **Uri** element whose value is a URI that conforms to [RFC2396] and references the location of proposed *CPA Template xml Document*. The **CPATemplate** element is also used to send or reference the final *CPA* at the end of a *Negotiation Dialog*.

Throughout one negotiation process, the most up-to-date **CPATemplate** SHALL be available for the other *Party*. Therefore, each *Party* SHALL include either the updated *CPA Template* or a URI reference to it in each message. The receiving *Party* can reference it while examining the

*NegotiationContent* element, which identifies the delta between the current copy of *CPATemplate* and the one that was sent out earlier. See Section 13.10 for a definition of “updated *CPA Template*”.

#### **12.1.9 *NegotiationContent* element**

For an initial offer, the *NegotiationContent* element can be empty. If the offering *Party* had created the initial *CPA Template* and *NDD* by modifying information in the other *Party*’s *CPP* and *NDD*, the *NegotiationContent* element in the initial offer SHALL describe the changes made by the offering *Party* to the information in the other *Party*’s *CPP* or *CPA Template* when forming the *CPA Template* of the initial offer. For counter offers within this *Negotiation Dialog*, the *NegotiationContent* element SHALL describe the sending *Party*’s proposed modifications to the *CPA Template*.

The *NegotiationContent* element SHALL list all items accepted by the sending *Party* since the start of the *Negotiation Dialog* (including the ones being accepted by this *Message*). The *NegotiationContent* element does not contain items accepted by the other *Party* since the start of the negotiation.

The *NegotiationContent* element SHALL list all items updated, deleted, or inserted by the sending *Party* since the sending *Party* received the previous offer or counter offer. Any item that has been deleted by one party can no longer be re-inserted in future counter offers.

It is up to the receiver of this *Message* to decide whether to continue negotiate, accept, or reject changes listed within the *NegotiationContent* element.

The *NegotiationContent* element contains the following child elements. In the following information, the Xpath of the item points to the item in the most recent update of the *CPA Template*, i.e. the one that is included in or referenced by this *Message*.

**THE DESCRIPTIONS OF ACCEPTED, DELETED, UPDATED, AND INSERTED SHOULD BE WRITTEN IN TERMS OF THE NAMES OF THE ELEMENTS (E.G. ACCEPTEDITEM) AND THEIR ATTRIBUTES, AS WE HAVE DONE EVERYWHERE ELSE.**

- Accepted Items<sup>1</sup>(1...n): These are the items that have been accepted by the sending *Party* during all exchanges prior to this *Message* and within the same *Negotiation Dialog*.
  - ◆ Xpath of item
  - ◆ The status that indicates acceptance of this item is “Required” or “Preferred”.

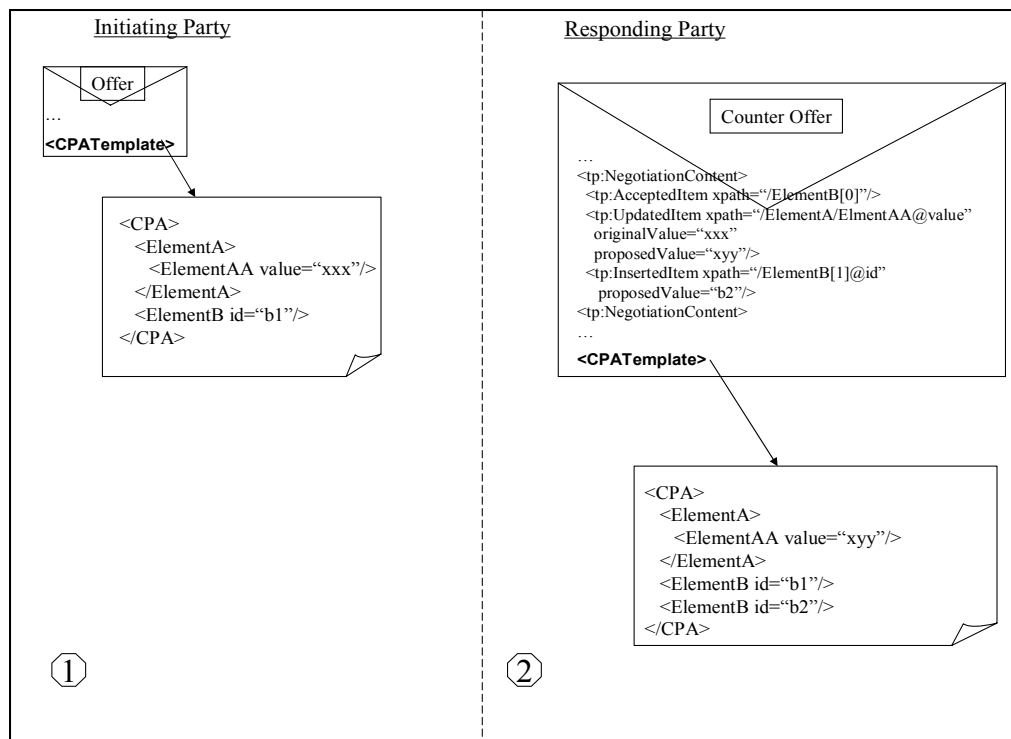
**SINCE ALL ACCEPTED ITEMS ARE LISTED, THE MESSAGE RECIPIENT CANNOT QUICKLY TELL WHAT NEW ACCEPTANCES ARE IN THE MESSAGE. SHOULD THERE BE A WAY TO FLAG THE NEW ACCEPTANCES?**

- Deleted Items (0...n)

<sup>1</sup> An item can be either an element or an attribute.

- 1415     ◆ Xpath of item
- 1416     ◆ The status that indicates deletion of this item is “Required” or “Preferred”.
- 1417     • Updated Items (0...n)
- 1418         ◆ Xpath of item
- 1419         ◆ Original value of item
- 1420         ◆ Proposed value of item
- 1421         ◆ The status that indicates update of this item is “Required” or “Preferred”.
- 1422     • Inserted Items (0...n)
- 1423         ◆ Xpath of item
- 1424         ◆ Proposed value of item
- 1425         ◆ The status that indicates addition of this item is “Required” or “Preferred”.
- 1426     **WE NEED TO EXPLAIN WHAT KINDS OF ITEM CAN BE INSERTED, UNDER WHAT**
- 1427     **CONDITIONS, AND HOW TO EXPRESS THE POSSIBLITY OF INSERTION IN THE**
- 1428     **NDD.**
- 1429
- 1430     Any of the items under the *NegotiationContent* element can be either a leaf node or non-leaf
- 1431     node. A non-leaf node indicates that the entire subtree under that node is subject to the
- 1432     corresponding change action. In other words, the entire subtree has been accepted, deleted,
- 1433     updated, or inserted though for update, not all items within the subtree have necessarily changed.
- 1434     See Figure 3 and Figure 4 for an example of how these elements can be used in a negotiation.
- 1435
- 1436     **WE NEED A PARAGRAPH THAT DESCRIBES THE KEY POINTS ILLUSTRATED IN**
- 1437     **THESE TWO FIGURES.**





1438

**Figure 3. Example of how to use NegotiationContent Element - Step 1**

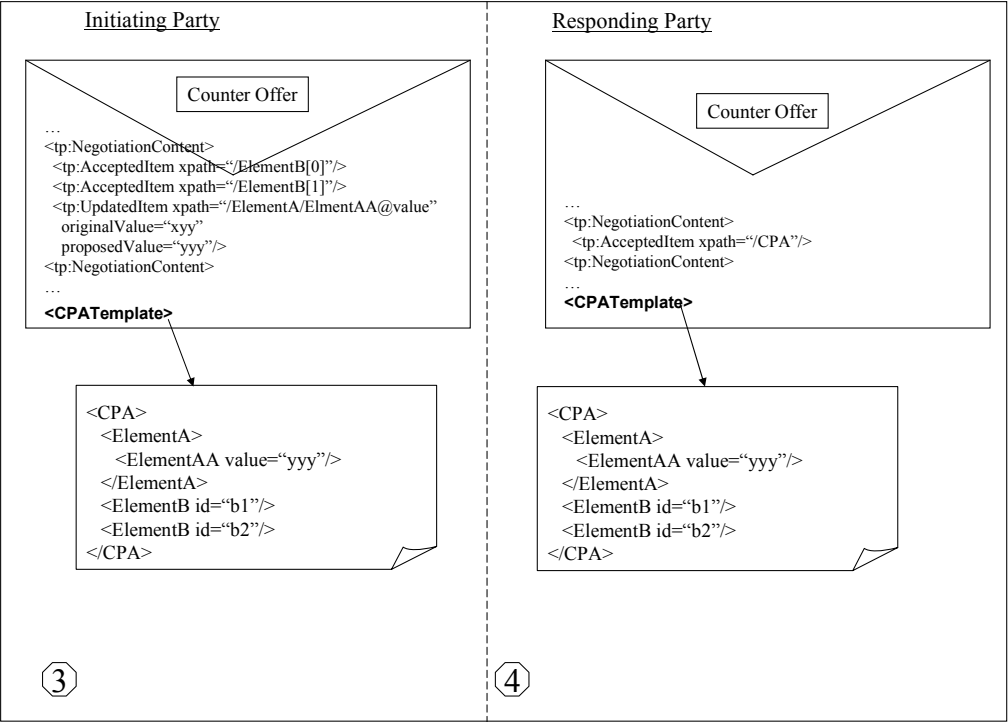


Figure 4, Example of how to use the NegotiationContent Element, Step 2

When the *NegotiationMessage* element has its *messageType* attribute set to “CounterOfferPending”, the counter offer might consist of:

- Deleted elements and attributes.
- Inserted elements and attributes.
- Re-ordered elements using a [XPATH]-based list of changes with status of “required” or “preferred”.
- Changed values of elements and attributes.
- Rejection: with reason(s) for rejection. See Section 12.4 for additional information. Rejection is final. It ends the *Negotiation Dialog* and the two *Parties* SHOULD make human to human contact to resolve their incompatibilities.

**FOLLOWING ARE ADDITIONAL CONSIDERATIONS ABOUT THE RESPONSE MESSAGE.**

**- CONSIDER PHYSICALLY PACKAGING THE RESPONSE MESSAGE WITH THE COUNTER OFFER IF ONE IS BEING ISSUED, IN ORDER TO SAVE MESSAGE TRAFFIC.**

**+ CAN THIS BE DONE USING EXISTING BUSINESS SIGNALS FOR THE RESPONSE INDICATOR (IN ORDER TO AVOID CPPA CHANGES)?**

**- IT WAS SUGGESTED THAT THIS PACKAGING MIGHT BE UNNECESSARY COMPLEX, ESPECIALLY FOR VERSION 1).**

### 12.1.10 **ResponseToURL element**

The **ResponseToURL** element SHALL have a value that is a URI that conforms to [RFC2396] and identifies the return address where the counter offer can be sent asynchronously. If the sender of the *Message* omits the **ResponseToURL** element, the responding *Party* MUST send its response *Message* synchronously.

### 12.2 **CPA ID, Negotiation Dialog ID, Unique Business Message ID, and InResponseTo**

The values of the *id* and *version* attributes of the **CPATemplateId** element SHALL remain the same throughout any *Negotiation Dialog*.

The *Negotiation-Dialog Identifier* is used to identify a particular *Negotiation-Dialog* thread. See the discussion of the *Negotiation-Dialog Identifier* in Section 13.3. The value of the *id* attribute of the **CPATemplateId** element SHALL NOT be used as the *Negotiation-Dialog Identifier*. See the discussion in Section 13.3.

The value of the *businessMsgId* attribute of the **NegotiationMessage** element is a unique identifier that identifies the current *Business Message* within the scope of one *Negotiation Dialog*.

The value of the **InResponseTo** element is the unique *Business Message* identifier of the last incoming offer or counter-offer *Message* that this current *Message* is responding to.

### 12.3 Offer and Counter Offer

**THE PARAGRAPH WHICH WAS HERE HAS BEEN MOVED TO SECTION 13.10 (OFFER AND COUNTER OFFER).**

An offer differs from subsequent counter offers. An offer always contains (or references) the *NDD Document* and the initial *CPA Template*. Each counter offer contains or references the latest version of the *CPA Template*, containing all changes made up to and including the changes accepted prior to this *Message*. The same XML schema defines both the offer and the counter offer *Documents*.

**THE PARAGRAPH WHICH WAS HERE HAS BEEN MOVED TO SECTION 13.10 (OFFER AND COUNTER OFFER).**

### 12.4 **CPA Offer Rejected**

When *CPA* offer or counter offer is rejected, the rejecting party should set the **NegotiationMessage** element's *messageType* attribute to "Rejected".

The process of composing the *CPA* from *CPPs* will detect many error conditions before the *Negotiation Process* begins. Others might be discovered during the *Negotiation Process*. Examples are mismatched *Process Specification Document* and mismatched delivery channel requirements. See the *CPA-composition* appendix of [ebCPP] for information about error

conditions that can be detected during composition of a *CPA Template*.

The rejection *Message* SHALL include reason, contact name, phone, and/or URL for further information. The ***Comment*** element is used for this purpose.

Following are some reasons for rejection. The reason is indicated by the value of ***error*** attribute of ***NegotiationMessage*** element:

- *CPA Template* contents. Examples:
    - ◆ ***"ExpiredCPP"***: Expired *CPP*
    - ◆ ***"UnableToFulfillSecurityRequirements"***: Unable to fulfill security requirements
    - ◆ ***"ProposedSecurityPolicyInadequate"***: Proposed security policy is inadequate
    - ◆ ***"OurOfSequenceCounterOffer"***: Out-of-sequence counter offer
    - ◆ ***"FailedSignatureValidation\_CPA\_Template"***: The signature on the *CPA Template* failed validation.
    - ◆ ***"FailedSignatureValidation\_CPA"***: The signature on the agreed *CPA* failed validation
      - The *CPA* is not signed until it is agreed to.
    - ◆ ***"UnsupportedPackaging"***: proposed *Packaging* not supported
    - ◆ ***"UnsupportedSignal"***: unable to support signals requested (BPSS instance *Document*)
  - Business relationship
    - ◆ ***"UnsupportedBusinessRelationship"***: *CPA* unsupported without existing *Business* relationship.
  - Negotiation Process
    - ◆ ***"FailedToConverge"***: In the judgment of the rejecting *Party*, too many counter offers were tried with no forward progress toward convergence.
- NOTE: A future version of this specification might formulate a definition of and protocol for detecting "no forward progress".
- ◆ ***"PreviouslyRejectedCPA"***: Proposed *CPA* previously received and not accepted.
  - ***"ExpiredOffer"***: The current offer's validity interval has expired.
  - ***"FormatError"***: *CPA* format problems
    - ◆ Examples: parsing error, data invalid
  - ***"UnknownSystemError"***: Internal System Error

## **12.5 CPA Offer accepted**

When a *CPA* Offer is accepted, the final *CPA Document* contained in or referenced by the ***CPATemplate*** element SHALL be signed if both *Parties'* *NDDs* indicate they are capable of signing the final *Document*.

1547  
1548 *THERE IS ONE MINOR PROBLEM WITH THE ABOVE PARAGRAPH. AT THE END, A*  
1549 *COMPLETED CPA IS EXCHANGED AND THAT CPA IS CARRIED BY OR*  
1550 *REFERENCED BY THE CPATEMPLATE ELEMENT IT MIGHT BE ARGUED THAT*  
1551 *THE NAME OF THE ELEMENT IN THAT CASE SHOULD BE COMPLETEDCPA AND*  
1552 *NOT CPATEMPLATE. HOWEVER, NOTHING ELSE WOULD CHANGE EXCEPT THE*  
1553 *NAME OF THE ELEMENT, AND THERE IS PLENTY OF CONTEXT, INCLUDING*  
1554 *BUSINES DOCUMENT NAME, TO RECOGNIZE THAT IT IS A COMPLETED CPA, SO*  
1555 *MAYBE IT IS SUFFICIENT SIMPLY TO EXPLAIN IN THE TEXT.*  
1556

## 13 Negotiation Protocol

### 13.1 General Principles of Negotiation Protocol

Figure 2 in Section 5.2 provides a high-level overview of the *Negotiation Process* including the discovery-related steps and the protocol to negotiate a *CPA*. This section describes the *Negotiation Protocol* in detail including a description of the negotiation BPSS instance *Document*.

A *Negotiation Dialog* is a complete execution of the BPSS *Negotiation-Protocol* choreography from the initial offer until the *CPA* is completed successfully or the negotiation fails. A single *Negotiation Dialog* negotiates a single *CPA*.

### 13.2 CPA Identifier

When a *Party* creates a *CPA Template*, that *Party* SHALL assign a valid value to the *cpaid* attribute in the *CPA Template*. See Section 10.8 regarding negotiability of the *cpaid* attribute.

Deleted: cpaid

Deleted: cpaid

### 13.3 Negotiation-Dialog Identifier

A *Negotiation-Dialog Identifier* identifies the *Negotiation Dialog* from initial offer to completion. Each *Party* SHALL separately maintain the ongoing state information in association with the *Negotiation-Dialog* identifier. The value of the *Negotiation-Dialog* identifier MUST be common to the two *Parties* and MUST be unique among all on-going negotiations between a pair of *Parties*.

The value of the *cpaid* attribute of the *CPA Template* SHALL NOT be used as the value of the *Negotiation-Dialog Identifier*. The value of the *Negotiation-Dialog Identifier* SHALL be determined independently of the value of the *cpaid* attribute. The reason is to ensure that if a negotiation fails and the same *CPA Template*, with the same value of the *cpaid* attribute is used in a second negotiation attempt, uniqueness of the *Negotiation-Dialog Identifier* is preserved.

NOTE: Although it is not expected that *Negotiation Dialogs* involving the same *CPA Template* will overlap in time, the above rule ensures that saved state information from an earlier attempt at negotiation can be referenced by its *Negotiation-Dialog Identifier* during a later attempt with the same *CPA Template*.

### 13.4 Offer Identifier

A counter offer MUST be associated with the offer or counter offer to which it is replying. Each offer or counter offer SHALL have a unique *Offer Identifier* defined by the negotiation application. A counter offer states the *Offer Identifier* of the offer or counter offer to which it is replying. The identifiers and the references to them are in the negotiation-*Message* payload.

The *Offer Identifier* MUST be unique among the initial offer and all counter offers issued by a given *Party* within a *Negotiation Dialog*. The *Offer Identifier* is qualified by the *Party Id* of the issuer and the *Negotiation-Dialog Identifier*.

NOTE: With ebXML *Messaging*, the **messageId** and **refToMessageId** attributes in the *Message* header could serve the purpose of the *Offer Identifier*. However, to enable alternative *Messaging* protocols, such as “vanilla SOAP”, which do not have these identifiers, the *Offer Identifier* is defined at the application level.

### 13.5 Negotiation Status

The **Status** element in the *CPA* records the state of the composition and *Negotiation Protocol*. The states of its **value** attribute progress as follows:

- “Proposed” – This value is in the *CPA Template* sent with the initial offer and remains unchanged until an agreed *CPA* is completed.
- “Agreed” – This value is in the completed *CPA* that is sent from one *Party* to the other for validation if the *Parties* had agreed not to sign the *CPA*. This is the final state.
- “Signed” – If the *Parties* had agreed to sign the *CPA*, the *CPA* sent from one *Party* to the other *Party* is signed by the sending *Party* and the value of the **value** attribute is “Signed”. This is the final state.

NOTE: Because the **Status** element is included in the first *Party*’s signature, the value of the **value** attribute cannot be changed when the second *Party* signs.

### 13.6 ebXML Conversation

A single *Negotiation Dialog* corresponds to a single ebXML *Conversation*.

**THIS SECTION MUST DEFINE THE BEGINNING AND END OF A CONVERSATION WITH RESPECT TO THE CHOREOGRAPHY DEFINED IN THE BPSS INSTANCE DOCUMENT. THE RECEIVING SYSTEM MUST BE ABLE TO ASSOCIATE THE CONVERSATION ID OF AN INCOMING MESSAGE TO A NEGOTIATION INSTANCE IDENTIFIER.**

For use with *Message* services, such as “vanilla SOAP”, that have no *Conversation* construct, the *Negotiation-Dialog Identifier* serves the purpose of a *Conversation* identifier at the application level

### 13.7 Negotiation CPA

Prior to the initial offer, a *Negotiation CPA* MUST be activated between the two negotiating *Parties*. See Section 5.1 for a possible scenario.

### 13.8 Initial Offer

A *Party* (B) can create and send an initial offer to another *Party* (A) in different ways, depending on whether *Party* B is starting with *Party* A’s *CPP* or *CPA Template*.

- If *Party* B discovered the *CPP* of *Party* A (a potential trading partner), *Party* B composes a *CPA Template* from its *CPP* and *Party* A’s *CPP*. *Party* B then prepares an *NDD* that describes what is negotiable in the *CPA Template*. If *Party* A had also published an *NDD*, *Party* B SHOULD take that *NDD* into account in preparing the *NDD* for the initial offer.
- If *Party* B discovered the *CPA Template* and *NDD* of *Party* A, *Party* B modifies the *CPA Template* to include information about itself, makes other modifications to negotiable items in the *CPA Template* that are indicated in the *Party* A’s *NDD*, and prepares a new *NDD* to go with the modified *CPA Template*.

In either case, *Party B* is also responsible for inserting into the *CPA Template* the **Start**, **End**, and other elements that are present in a *CPA* but not in a *CPP*.

If *Party B* creates the initial offer by modifying *Party A*'s published *CPP* or *CPA Template*, *Party A* SHOULD include a list of changes (Accepted, Deleted, Updated, Inserted) in the initial-offer *Message* (Negotiation Content section) in addition to the initial-offer information

*Party B* then submits the new *CPA Template* and *NDD* to *Party A* as an initial offer.

It is RECOMMENDED that the *CPA Template* in an initial offer be signed by the offering *Party*.

### 13.9 Simultaneous Initial Offers

Two *Parties* might simultaneously discover each other and send each other initial offers. Since the two initial offers will cause creation of two independent *Negotiation Dialogs*, this race condition might only be discoverable and resolvable at the application level. Human contact will be necessary to decide which *Negotiation Dialog* to proceed with.

### 13.10 Offer and Counter Offer

When a *Party* proposes an offer or counter offer, the details of the offer or counter offer are expressed in a negotiation *Message*. The original *NDD* SHALL NOT be altered during the course of the negotiation.

If *Party A* initiates the *Negotiation Dialog* by sending *Party B* an offer, *Party B* sends back a counter offer. In order to counter this counter offer, *Party A* sends another counter offer to *Party B*. In other words, only the initiating *Message* is an offer; the rest of the negotiation will be conducted by exchanging counter offers. Each counter offer message contains or references an updated version of the *CPA Template* that contains all changes up to, and including, the latest changes accepted prior to this *Message*.

Throughout the *Negotiation Dialog*, each *Party* can terminate the negotiation by sending "CPA offer Rejected" in responding to an incoming offer or counter offer. Human to human contact is encouraged after "CPA offer Rejected" is sent in order to resolve any impasse before initiating a brand new *Negotiation Dialog*.

A counter offer SHALL only refer to items that are listed in the *NDD*. Any offer or counter offer that is outside the limits defined in the *NDD* MUST be rejected.

A counter offer SHALL NOT propose a wholesale change of subject matter. For example a counter offer SHALL NOT propose changes in the *Roles* of the participants.

A *Party* that wishes to propose a different BPSS instance *Document* SHALL reject the received offer or counter offer and can then issue its own initial offer including the desired BPSS instance *Document*.

A counter offer SHALL NOT introduce a new *NDD*. To introduce a new *NDD*, a *Party* SHALL reject the received offer or counter offer and can then issue its own initial offer including the



desired *NDD*.

When responding to an offer or counter offer, a *Party* SHALL indicate in its counter offer, which items in the prior offer or counter offer it accepted.

If a counter offer contains only indications of acceptance of items, the *Party* that sent it is indicating acceptance of the *CPA* as modified by the prior steps in the negotiation.

Once agreement has been reached on any part of the *CPA*, those elements and attributes SHALL NOT be reopened for negotiation.

### 13.10.1 Responses to Offer and Counter Offer

A number of responses can be given to an offer or counter offer. The responses fall into the following categories:

- Acceptance: Acceptance of an offer or counter offer means that the *Party* that received the offer or counter offer is accepting all remaining open items and hence the two *Parties* have reached agreement.
- Counter offer pending: The *Party* that received the offer or counter offer wishes to negotiate further on some or all open items and is going to send its own counter offer.
- Rejection: The *Party* that received the offer or counter offer believes that agreement cannot be reached. Human contact is REQUIRED in order to resolve the incompatibilities.
- The responses are discussed in Section 12.4.

### 13.10.2 Offer-Counter Offer Acceptance Time

A maximum time (interval) for acceptance is associated with each offer or counter offer. The acceptance interval is a business-level timeout; processing it is independent of any *Document-exchange* or transport-level *Message-loss* recovery rules. When the acceptance interval expires without a response, the initiator SHALL record the current *Negotiation Dialog* as terminated.

***CONSIDER WHETHER THE BPSS TIME TO PERFORM ATTRIBUTE (BINARY COLLABORATION) CAN BE USED TO REPRESENT THE ACCEPTANCE INTERVAL.***

### 13.11 Time to Complete Negotiation

***IS THERE A WAY OF SPECIFYING THE MAXIMUM TIME TO COMPLETE A NEGOTIATION FROM INITIAL OFFER TO COMPLETION? IS THERE A BPSS TIME ATTRIBUTE THAT CAN BE USED? BPSS ATTRIBUTES CANNOT BE NEGOTIATED WITHOUT NEGOTIATING THE NEGOTIATION CPA. WHAT ABOUT A TIME THAT COULD BE EXPRESSED IN THE NDD AND NEGOTIATED? SHOULD THIS BE LEFT FOR THE FUTURE?***

### 13.12 Conclusion of Negotiation

The negotiation concludes when agreement has been reached. This might happen either by one *Party* accepting the initial offer or following an exchange of counter offers.

If agreement is reached on the initial offer, and the *Party* that received the initial offer does not have to add any information to the *CPA Template*, the negotiation concludes immediately. The

**Automated Negotiation Specification**

**Page 49 of 97**

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Negotiation.spec.20Jan03.doc

1/24/2003 6:30 PM

Party that received the initial offer SHALL send a *Message* indicating acceptance and the *CPA Template* becomes the agreed *CPA*. If signing is included in the initial offer, the offering *Party* SHALL sign the *CPA Template* before sending it. The receiving *Party* SHALL then sign and return the *CPA*. At this point, the *Parties* are ready to deploy the *CPA* into their run-time systems and commence business. If the second *Party* does not agree to sign, and signing is negotiable, it SHALL respond with a counter offer that excludes signing instead of accepting the initial offer.

When agreement has been reached following exchanges of counter offers, the *Party* that received and accepted the final counter offer SHALL send the completed *CPA* (or its URL) to the other *Party* for approval. The receiving *Party* SHALL respond, indicating either approval or rejection. If signing was agreed to, the sending *Party* SHALL sign the *CPA* before sending it. The receiving *Party* SHALL check that the new *CPA* conforms to its understanding of the contents of the *CPA*. The receiving *Party* can also validate the first *Party*'s signature. If the receiving *Party* approves the *CPA*, the receiving *Party* SHALL sign the *CPA* over the first *Party*'s signature and return it to the first *Party*. Otherwise the receiving *Party* SHALL respond indicating rejection.

The *Party* that received the completed *CPA* SHALL respond in one of the following ways:

- *Message* indicating that a completed *CPA* was received (***BusinessDocument*** name = "CPA Final Response Doc")
  - ◆ A separate indicator in the *Message* distinguishes between accept and reject.
- *Message* that sends a completed *CPA* signed by the sender (***BusinessDocument*** name = "CPA Final Response Doc Signed").
  - ◆ Used when signing was agreed to and the received *CPA* was signed by the sending *Party*.

Following are some reasons for rejecting the received *CPA*:

- The final *CPA* does not agree with the recipient's understanding of the contents of the *CPA* (some kind of state-tracking mismatch).
- The signature on the final *CPA* cannot be validated.
- The final *CPA* was not signed although signing was agreed to.
- 

When signing by both *Parties* was agreed to, the *Party* that received the double-signed *CPA* SHALL test for the following conditions:

- The double-signed *CPA* is acceptable.
- The double-signed *CPA* is rejected. Reasons to reject this *CPA* include:
  - ◆ The second signature on the double-signed *CPA* cannot be validated.
  - ◆ An acknowledgment was received when a double-signed *CPA* was expected.

Acceptance and rejection of the double-signed *CPA* are indicated by business signals. See Section 13.14.2 for details.

Rejection at this stage is a fatal condition and the *Negotiation Dialog* SHALL be terminated. It is RECOMMENDED that the two *Parties* confer to resolve the discrepancy and then renegotiate the *CPA*. If the resolution of the discrepancy was successful, the renegotiation will generally consist of one *Party* sending a new offer that the other *Party* can accept without a counter offer.

### 13.13 Signing the CPA

Signing the completed *CPA* proves who signed it (“legal” signing) and provides the usual integrity check on the contents of the *CPA*. Signing of the completed and agreed-to *CPA* is an item of negotiation. Refer to [ebCPP] regarding how to sign the *CPA*.

Negotiation of signing is accomplished by negotiating the presence of the *CPA Signature* element and its child *ds:Signature* elements. Following are the outcomes:

- Agree not to sign: The *Signature* element SHALL be omitted from the final *CPA*.
- Agree on 2-Party signing: The final *CPA* SHALL contain the *Signature* element with two *ds:Signature* elements.
- Agree on 3-Party signing: The final *CPA* SHALL contain the *Signature* element with three *ds:Signature* elements.

It is important to understand that the *ds:Signature* elements MUST be incorporated into the *CPA* one at a time, as the *Parties* sign. The *Signature* element MUST NOT be inserted into the *CPA* until the first *Party* signs. If it is incorporated earlier, the *CPA* will fail validation against the CPPA XML Schema because there will be no child *ds:Signature* elements.

If the *Parties* agreed to third-Party signing, they SHALL obtain the third *Party*’s signature before commencing to do business under the *CPA*. The means of obtaining the third *Party*’s signature are not defined in this specification.

**MONICA MARTIN COMMENTED: CAN WE DIFFERENTIATE HERE THAT THE DIGITAL SIGNATURE IS A LEGAL SIGNING THAT MAY IMPACT THE BUSINESS RELATIONSHIP? ARE THERE ANY OTHER LEGAL CRITERIA, OR IS THIS A ‘LEGAL SIGNING?’ PERHAPS YOU SHOULD ACKNOWLEDGE THAT A BUSINESS LEVEL AGREEMENT WOULD BE THE DECIDING FACTOR WHETHER OR NOT THIS NEGOTIATION IS LEGALLY BINDING. YOU SPEAK ABOUT LEGALITIES IN SECTION 13.14.**

**THE SUBTEAM NEEDS TO DECIDE WHETHER IT IS APPROPRIATE TO DISCUSS LEGAL MATTERS IN THIS SPECIFICATION, WHICH IS REALLY ABOUT THE MECHANICS OF THE NEGOTIATION PROTOCOL. [EBCPP] IS ALSO SILENT ON LEGALITIES.**

**THE FOLLOWING COMMENTS ARE FROM KARTHA 10/31/02. THE LINE NUMBERS HAVE BEEN REWRITTEN AS DISPLACEMENTS FROM THE SECTION HEADINGS IN THIS DRAFT AND EXPRESSED AS “SECTION NUMBER PLUS DISPLACEMENT”.**

**Line 13.14.1+22...24: I was expecting a Success element with a fromBusinessState value set to CPAOfferBTA (from the text in lines 13.14.1+19...21). However, in the business process instance document, there is no such Success element (under the binary collaboration CPA Negotiation BC). What is present, however, is a transition element with a fromBusinessState of CPAOfferBTA (line AppendixD+27), which transitions to the toBusinessState CPAFinalBTA. This is misleading.**

**Line 13.14.1+43...44: For clarity, say:”...RequestingBusinessActivity of the BusinessTransaction CPACounterOfferBT. Also, note a typo on line 13.14.1+44, the name of the RequestingBusinessActivity is**

CPA Counter offer BT ReqBA (not BT).

Line 13.14.1+48: Is the binary collaboration still CPA Negotiation BC? Or CPA Negotiation Counter Offer BC (as it seems fro the explanation of the transition element? If the latter, it might be good to point out that the business state now is CPA Counter Offer 1 BTA (once we are in in the CPA Negotiation Counter Offer BC) so that one can look for the correct fromBusinessState in the Success, Failure and Transition elements.

Line 13.14.2+5: This seems incorrect. If the initial offer is accepted, the business state seems to be CPA Final BTA (see line AppendixD+27). Similarly, in line 13.14.2+7, the "ReqBA" part of "ReqBA Final CPA ...") should be deleted.

#### 13.14 BPSS Instance Document for Automated Negotiation

*THE FOLLOWING ARE STILL OPEN MATTERS FOR THE NEGOTIATION BPSS.*

*- TWO OF THE THE BUSINESS DOCUMENT NAMES CONTAIN THE CHARACTERS "DOC" IN THE VALUE OF THE NAME ATTRIBUTE AND "doc" IN THE VALUE OF THE NAMEID ATTRIBUTE. THEY ARE "CPA Final Response DOC/doc" AND "CPA Final Response DOC/doc Signed". THIS IS NOT NECESSARILY A PROBLEM BUT IF COMPARISONS OF TEXT STRINGS ARE CASE-SENSITIVE, IT COULD CAUSE SOME CONFUSION OR PROGRAMMING ERRORS. IT WOULD BE BETTER TO USE "doc" IN BOTH THE NAME AND THE NAMEID ATTRIBUTE AS IS THE CASE WITH ALL THE OTHER BUSINESS DOCUMENTS.*

*- THERE ARE NO CONDITION TESTS FOR REJECTION CONDITIONS IN THE EXCHANGE OF THE FINAL CPAS. REASONS CAN INCLUDE:*

- + THE FINAL CPA DOES NOT AGREE WITH THE RECIPIENT'S UNDERSTANDING OF WHAT SHOULD BE IN IT (SOME KIND OF STATE-TRACKING MISMATCH).*
- + THE FINAL CPA WAS NOT SIGNED ALTHOUGH SIGNING WAS AGREED TO. THE SIGNATURE ON THE FINAL CPA CANNOT BE VALIDATED.*
- THE SECOND SIGNATURE ON THE DOUBLE-SIGNED CPA CANNOT BE VALIDATED.*
- + AN ACKNOWLEDGMENT WAS RECEIVED WHEN A DOUBLE-SIGNED CPA WAS EXPECTED.*

*- THE "CPA Final Response doc" DOCUMENT IS USED FOR BOTH ACCEPTANCE AND REJECT. EXCEPT FOR THIS CASE, A MESSAGE RECEIPT CAN DETERMINE SUCCESS OR FAILURE FROM THE BUSINESS DOCUMENT NAME IN THE MESSAGE. FOR "CPA Final Response doc" WE NEED A SEPARATE SUCCESS/FAILURE INDICATOR IN THE MESSAGE, THAT INDICATOR HAS TO BE CHECKED, AND HANDLING OF THE CONDITION IS OUTSIDE THE CHOREOGRAPHY.*

The choreography of the *Negotiation Protocol* is defined by an instance *Document* of the ebXML *Business Process Specification Schema*[ebBPSS]. The BPSS instance *Document* for

automated negotiation is in Appendix D.

NOTE: Although in [ebCPP], the use of a BPSS instance *Document* to describe choreography is not required, this specification depends intimately on the selected choreography description. Therefore, the use of a BPSS instance *Document* is normative for this version of this specification.

This BPSS instance *Document* defines the negotiation choreography beginning with an exchange of an offer and response.

- If the response to the offer is “accept offer”, the choreography transitions to the final *CPA* exchange (see below).
- If the response to the offer is “reject offer”, the choreography immediately concludes.
- If the response to the offer is “counter offer pending”, the choreography then goes into an alternation of counter offer and response between the two *Parties* which continues until:
  - ◆ A response of “accept offer” causes the choreography to transition to the final *CPA* exchange.
  - ◆ A response of “reject offer” concludes the choreography.

Several *Business Document* names are defined directly under the ***ProcessSpecification*** element and referenced in various places as described below.

The BPSS instance *Document* defines initiator and responder *Role* names for each binary collaboration, collaboration activity, and binary transaction activity. For simplicity in the explanation below, in most cases, the terms “initiator” and “responder” are used. For each stage of the choreography, the *NCPA* associates *Role* names with actual *Parties* in the ***Action*** elements under the ***CollaborationRole*** elements.

#### 13.14.1 Offer-Counter-Offer Choreography

A counter offer is a requesting *Document* in a new *Business Transaction*, not a response to an offer. To issue a counter offer, the recipient of an offer SHALL reply “counter pending offer” and then issue the counter offer as a new *Business Transaction*. This avoids a race condition with respect to which *Party* sends the next *Message*. It also avoids any need to for the two *Parties* to switch *Roles*.

***THE FOLLOWING DISCUSSION HAS TO BE UPDATED TO CORRESPOND TO CHANGES IN THE BPSS INSTANCE DOCUMENT THAT WERE MADE SINCE THIS SECTION WAS WRITTEN.***

The choreography begins with the “CPA\_Offer\_BT” ***BusinessTransactionActivity*** element under the “CPA\_Negotiation\_BC” ***BinaryCollaboration*** element. A *CPA* offer *Message* is sent from the “CPA\_Negotiation\_Initiator\_Role” *Party* to the “CPA\_Negotiation\_Responder-Role” *Party* by means of the “CPA\_Offer\_BT” *Business Transaction*. The “CPA\_Offer\_ReqBA” ***RequestingBusinessActivity*** sends the “CPA\_Offer\_Doc” *Message* from the initiator *Party* to the responder *Party*. The “CPA\_Offer\_BT\_RespBA” ***RespondingBusinessActivity*** then sends the response *Message* from the responder *Party* to the initiator *Party*. This *Message* is then

evaluated as defined by the **Success**, **Failure**, and **Transition** elements under the “CPA\_Negotiation\_BC” binary collaboration. These are the elements whose *fromBusinessState* attribute has the value “CPA Offer BTA”. The value of the *expression* attribute in each of these elements is the name of the response *Message*, as follows:

- **Success** element: If the response *Message* is “CPA Accept Offer Doc”, the proposed *CPA* has been accepted by the responder *Party* and the choreography transitions to the final *CPA* exchange.
- **Failure** element: If the response *Message* is “CPA Reject Offer Doc”, the proposed *CPA* has been unconditionally rejected by the responder *Party* and the choreography concludes.
- **Transition** element: If the response *Message* is “CPA Counter Pending Offer Doc”, the responder *Party* will send a counter offer as the next *Business Transaction*. The *toBusinessState* attribute of the **Transition** element identifies “CPA Counter Offer CA” (the name of the **CollaborationActivity** element) as the next state in the choreography.

If the response *Message* to the “CPA\_Offer\_Doc” *Message* was “CPA Counter Pending Offer Doc”, the transition described above takes place and takes the choreography to state “CPA Counter Offer CA”, i.e. to the **CollaborationActivity** element named “CPA Counter Offer CA”. This **CollaborationActivity** element references the “CPA\_Negotiation\_CounterOfferBC” **BinaryCollaboration** element.

The initial request *Message* is under the “CPA\_Counter\_Offer\_1\_BTA” **BusinessTransactionActivity** element, which is the “from” state for the following. The *Party* which received the original *CPA* offer is now the initiator in this **BusinessTransactionActivity**. The *Message* is sent from the “CPA\_Negotiation\_CounterOfferInitiator\_Role” *Role* to the “CPA\_Negotiation\_CounterOfferResponder\_Role” *Role* by means of the “CPA\_Counter\_Offer\_BT” **BusinessTransaction**. The *Message*, “CPA\_Counter\_Offer\_Doc”, is identified in the “CPA\_Counter\_Offer\_ReqBA” **RequestingBusinessActivity**. The response *Message* is sent by means of the “CPA\_Counter\_Offer\_BT\_RespBA” **RespondingBusinessActivity**. One of three response *Messages* can be sent, as discussed below. The response *Message* is then evaluated as defined by the **Success**, **Failure**, and **Transition** elements under the “CPA\_Negotiation\_BC” **BinaryCollaboration**.

- **Success** element: If the response *Message* is “CPA Accept Offer Doc”, the proposed *CPA* has been accepted by the responder *Party* and the choreography transitions to the final *CPA* exchange.
- **Failure** element: If the response *Message* is “CPA Reject Offer Doc”, the proposed *CPA* has been unconditionally rejected by the responder *Party* and the choreography concludes.
- **Transition** element: If the response *Message* is “CPA Counter Pending Offer Doc”, the responder *Party* will send a counter offer as the next *Business Transaction*. The *toBusinessState* attribute of the **Transition** element identifies the “CPA Counter Offer 2 BTA” *Business Transaction Activity* as the next state in the choreography.

If the above transition takes place, it means that the *Party* that was the responder now becomes the initiator to supply a counter offer to the counter offer. The “CPA Counter Offer 2 BTA” **BusinessTransactionActivity** is now performed in the same manner as the “CPA\_Counter\_Offer\_1\_BTA” **BusinessTransactionActivity**, described above.

The choreography then iterates between the “CPA\_Counter\_Offer\_1\_BT” *BusinessTransactionActivity* and the “CPA Counter Offer 2 BT” *BusinessTransactionActivity* until a success or failure is achieved. Success causes the choreography to transition to the final CPA exchange. Failure ends the choreography.

### 13.14.2 Final CPA exchange

When either the initial offer or a counter offer is accepted in full, the choreography transitions to the “CPA Final BT” *Business Transaction*. The purpose of this *Business Transaction* is for the *Party* that accepted the offer or counter offer to send the completed CPA to the other *Party*.

If the initial offer was accepted, the next *Business* state is the “CPA Final BTA Init Responder” *Business Transaction* activity, which references the “CPA Final BT” *Business Transaction*. The initiator *Party* for the “Req BA Final\_CPA\_BT\_ReqBA” requesting *Business* activity sends the “CPA Final Doc” *Message*, containing the CPA or its URL, to the other (responder) *Party*. The responder *Party* checks the CPA and performs the responding *Business* activity conveying one of:

- The “CPA Final Response Doc” *Message*, to acknowledge receipt of an acceptable CPA or rejection. Acceptance and rejection are indicated by values of the status indicator in the *Negotiation Message*.  
**THE ABOVE SENTENCE NEEDS TO BE REVISED TO USE THE CORRECT NAME OF THE STATUS ELEMENT OR ATTRIBUTE WHEN THE MESSAGE SCHEMA IS COMPLETED.**
- The “CPA Final Response Doc Signed” *Message*, to acknowledge receipt of an acceptable signed CPA and return that CPA with the responder *Party*’s signature over the initiator *Party*’s signature.

The *Party* that receives the final (double signed) CPA SHOULD test it for possible error conditions as described in Section 13.12. The *Party* that received the double-signed CPA SHALL reply with the AcceptanceAcknowledgment business signal if the CPA is acceptable or with the Exception business signal if the CPA is not acceptable. These signals are instance *Documents* of the business signals defined in [ebBPSS]. Examples of these instance *Documents* are in Appendix E .

If a counter offer was accepted in full, the choreography transitions to the “CPA Final BTA Init Initiator” *Business Transaction* activity. That *Business Transaction* activity references the “CPA Final BT” *Business Transaction* and proceeds as for acceptance of an initial offer.

**THE FOLLOWING COMMENT FROM MONICA MARTIN NEEDS TO BE RESOLVED:  
WE SHOULD LOOK AT THIS SECTION CLOSELY AS THERE HAS BEEN A GREAT  
DEAL OF DISCUSSION ABOUT THE RECEIPTACK AND ACCEPTANCEACK IN  
RECENT DAYS FOR UMM, BPSS, AND BCPS. THERE IS NO ACCEPTANCEACK  
REQUIRED FROM THE INITIATOR AFTER RECEIPT OF THE RESPONSE FROM  
THE RESPONDER. TO EXPLAIN, THE CONTEXT OF A TYPICAL BUSINESS  
TRANSACTION IS THAT IF THE RESPONDER SENDS A RESPONSE AND THE  
POSSIBILITY EXISTS THAT IT CHANGES THE TERMS AND CONDITIONS FOR THE  
INITIATOR. IF THERE IS A RECEIPTACK AND AN ACCEPTANCEACK, THEN THIS**

2003 *AFFECTS THE BUSINESS RELATIONSHIP AND HAS LEGAL IMPLICATIONS. IT IS*  
2004 *ACCEPTABLE TO SEND A RECEIPTACK FROM THE INITIATOR TO THE*  
2005 *RESPONDER TO INDICATE RECEIPT BUT NOT THE ACCEPTANCEACK. SEE BRIAN*  
2006 *HAYES ON SOME OF THIS DISCUSSION. IT IS ONLY THE INITIATOR THAT CAN*  
2007 *SEND AN ACCEPTANCEACK. AS WE ARE CHANGING ROLES IN THIS*  
2008 *'COLLABORATION,' PERHAPS WE SHOULD JUST BE A BIT CLEARER IN THIS*  
2009 *SECTION. I'VE ALSO INCLUDED TWO .GIF THAT SHOWS OUR WORKING*  
2010 *INITIATING AND RECEIVING STATE MACHINE DIAGRAMS. LATTER IS NOT*  
2011 *COMPLETE (FROM BCPS).*

#### 2012 **13.14.3 Negotiation Business Signals**

2013  
2014 *ADD DISCUSSION OF THE CONTENTS OF THE BUSINESS SIGNALS.*

2015  
2016 *IS IT FEASIBLE TO CONSTRUCT EXAMPLES OF INSTANCE DOCUMENTS OF THE*  
2017 *BUSINESS SIGNALS THAT ARE SPECIFIED IN THE NEGOTIATION BPSS INSTANCE*  
2018 *DOCUMENT?*

#### 2019 **13.14.4 State Diagrams**

2020 The choreography is illustrated by the state diagram shown in Figure 5 and Figure 6.

2021

2022 *THE STATE DIAGRAMS NEED SOME CORRECTIONS:*

2023 *- IN Figure 5, THE DOCUMENT NAMES IN THE CENTER DO NOT ALWAYS AGREE*  
2024 *WITH THE NAMES IN THE INSTANCE DOCUMENT.*

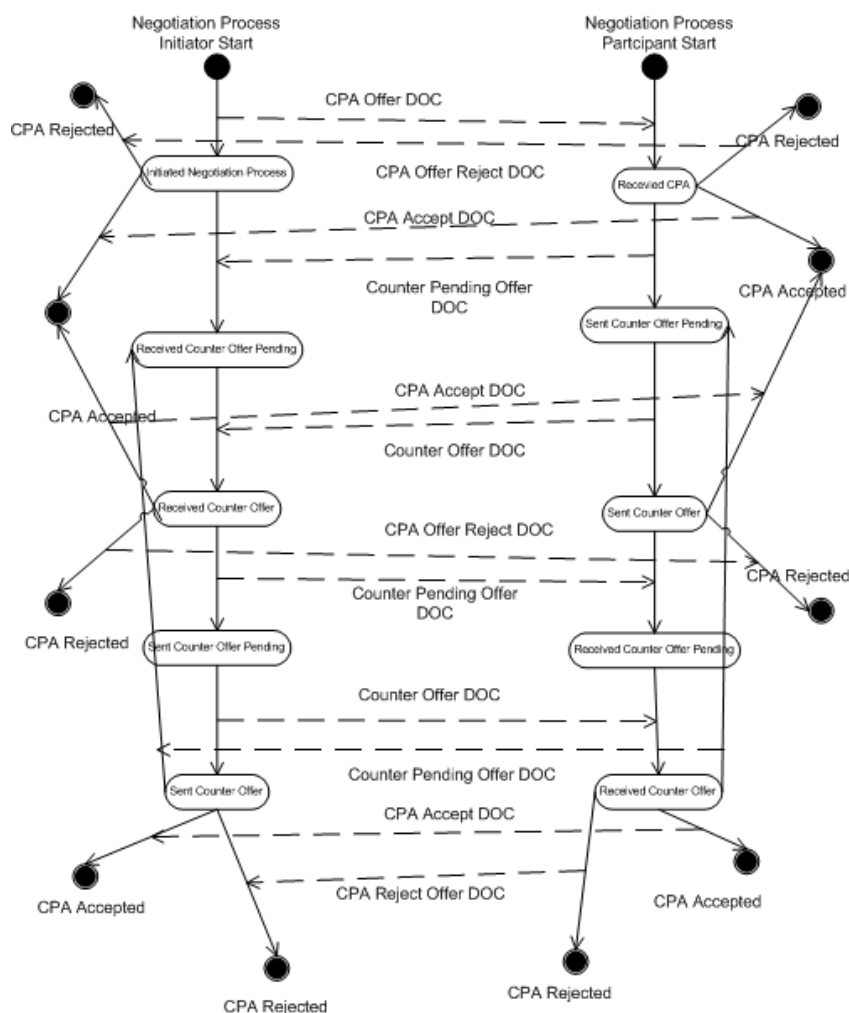
2025 *- IN Figure 6:*

2026 *+ UNLIKE Figure 5, THE DOCUMENT NAMES ARE NOT USED.*

2027 *THE RETURN OF THE DOUBLE-SIGNED CPA IS NOT SHOWN. PRESUMABLY*

2028 *+ IT IS ANOTHER OUTPUT FROM THE "RECEIVED FINAL CPA" STATE.*





**Figure 5, State Diagram for Initial Offer and Counter Offers**

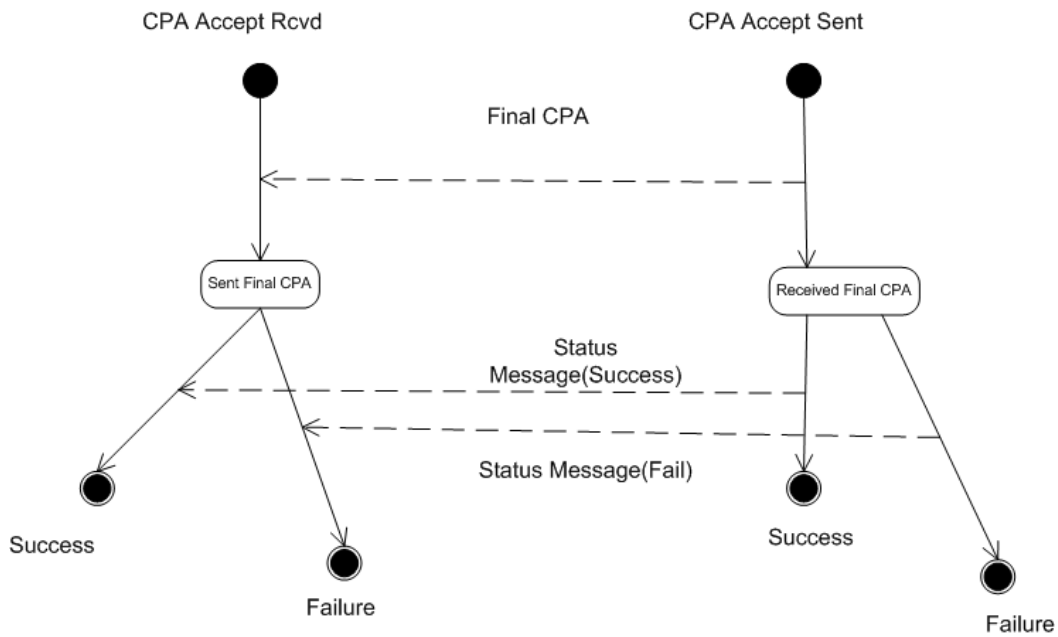


Figure 6, State Diagram for Final Transaction

## 14 Negotiation Algorithm

The negotiation algorithm is an application (*Business Process*). It is embodied in the private process at each *Party*. Note that the BPSS instance *Document* describes only the choreography of the *Message* exchanges and not the private processes. This section discusses the normative aspects of negotiation algorithms, i.e. the rules that ensure interoperability between two *Parties'* implementations of the negotiation algorithm.

NOTE: The negotiation algorithm is out of scope for version 1 of this specification. This section provides a brief introduction and serves as a place holder for material that might be introduced in future versions.

Historically, research on negotiation has categorized negotiations as follows:

1. Simple matchmaking: The subject (set of negotiable parameters) is static and the ontology is clear. The two *Parties* have a common understanding of the meanings, values, and interdependencies of the negotiable parameters. The utility functions are binary (acceptable vs. not acceptable). Negotiation in these situations can be easily automated.
2. Negotiations on static subjects: This is similar to (1) except that the utility functions are more complex (more than 2 choices, numerical values, etc.). These situations can be automated but might require human intervention.
3. Negotiations on dynamic subjects: Here, the negotiable parameter set can be expanded during the process of negotiation and the parameters are more likely to interact than in (1) and (2). Dynamic subject negotiations are much more difficult to automate.

Negotiation of a *CPA* is mostly category 1 with some amount of category 2. On the other hand, business-level negotiations involve much more complex subject matter with parameters that are very likely to interact (consider price and delivery time). Therefore, these negotiations can be expected to be a mixture of categories 2 and 3.

### 14.1 CPPs and NDDs

It is RECOMMENDED that the negotiation algorithms refer to the *Parties'* original *NDDs* (if available) that go with the *CPPs* as well as the *CPA Template* and its *NDD* to assist in evaluating offers and counter offers. The original *NDDs* might contain information, such as a *Party's* original preference ordering and other constraints that might have been lost when the composite *NDD* was composed for the *CPA Template*.

## 15 References

*VERSION NUMBERS AND URLS TBD.*

*CHECK THE URLS THAT ARE HERE FOR CORRECTNESS.*

[bpPATT] ebXML E-Commerce Patterns, version 1.0,

<http://www.ebxml.org/specs/bpPATT.pdf>

[ebBPSS] ebXML Business Process Specification Schema

[ebCPP] ebXML Collaboration-Protocol Profile and Agreement Specification, version 2.0.

[ebMS] ebXML Message Service Specification, version 2.0.

[ebRS] ebXML Registry Services Specification

[RFC2119] Key Words for use in RFCs to indicate Requirement Levels, Internet Engineering Task Force RFC 2119, <http://www.ietf.org/rfc/rfc2119.txt>

[RFC2396] Uniform Resource Identifiers (URI): General Syntax, Internet Engineering Task Force RFC 2396, <http://www.ietf.org/rfc/rfc2396.txt>

[SOAPATTACH] SOAP Messages with Attachments, John J. Barton, Hewlett Packard Labs; Satish Thatte and Henrik Frystyk Nielsen, Microsoft, Published Oct 09 2000.

<http://www.w3.org/TR/2000/NOTE-SOAP-attachments-20001211>

[XML] Extensible Markup Language (XML), World Wide Web Consortium,

<http://www.w3.org/XML>.

[XMLDSIG] XML Signature Syntax and Processing, Worldwide Web Consortium,

<http://www.w3.org/TR/xmlsig-core/>

[XMLENC] XML Encryption Syntax and Processing, Worldwide Web Consortium,

<http://www.w3.org/TR/2002/CR-xmlenc-core-20020304/>

[XMLNS] Namespaces in XML, Worldwide Web Consortium,

<http://www.w3.org/TR/REC-xml-names/>

[XPath] XML Path Language (XPath) Version 1.0,

<http://www.w3.org/TR/xpath>

## 16 Conformance

In order to conform to this specification, an implementation:

- a) SHALL support all the functional and interface requirements defined in this specification,
- b) SHALL NOT specify any requirements that would contradict or cause non-conformance to this specification.

A conforming implementation SHALL satisfy the conformance requirements of the applicable parts of this specification.

The objective of conformance testing is to determine whether an implementation being tested conforms to the requirements stated in this specification. Conformance testing enables vendors to implement compatible and interoperable systems. Implementations and applications SHALL be tested using available test suites to verify their conformance to this specification.

Publicly available test suites from vendor neutral organizations such as OASIS and the U.S.A. National Institute of Science and Technology (NIST) SHOULD be used to verify the conformance of implementations, applications, and components claiming conformance to this specification. Open-source reference implementations might be available to allow vendors to test their products for interface compatibility, conformance, and interoperability.

### 16.1 NDD and Negotiation Messages

An implementation of a tool or service that creates or maintains ebXML instance *Documents* of the *NDD* and *Negotiation Messages* SHALL be determined to be conformant by validation of the instance *Documents*, created or modified by said tool or service, against the XML Schema[XMLSCHEMA-1] definition of these *Documents* in Appendix A and Appendix B, respectively, and available from

#### URLS TO BE SUPPLIED

by using two or more validating XML Schema parsers that conform to the W3C XML Schema specifications[XMLSCHEMA-1, XMLSCHEMA-2].

### 16.2 NCPA Instance Document

An implementation of a tool or service that creates or maintains *NCPA* instance *Documents* SHALL be determined to be conformant by validation of the *NCPA* instance *Documents*, created or modified by said tool or service, against the XML Schema[XMLSCHEMA-1] definition of the *CPA* in [ebCPP]and available from

[http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2\\_0.xsd](http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd)

by using two or more validating XML Schema parsers that conform to the W3C XML Schema specifications[XMLSCHEMA-1, XMLSCHEMA-2].

### 16.3 Negotiation BPSS Instance Document

An implementation of a tool or service that creates or maintains negotiation BPSS instance

#### Automated Negotiation Specification

Page 61 of 97

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Negotiation.spec.20Jan03.doc

1/24/2003 6:30 PM

2149 *Documents* SHALL be determined to be conformant by validation of the BPSS instance  
2150 *Documents*, created or modified by said tool or service, against the XML  
2151 Schema[XMLSCHEMA-1] definition of the BPSS in available from

2152

2153 ***URL TO BE SUPPLIED.***

2154

2155 by using two or more validating XML Schema parsers that conform to the W3C XML Schema  
2156 specifications[XMLSCHEMA-1, XMLSCHEMA-2].

#### 2157 **16.4 Negotiation Business Signals**

2158 An implementation of a tool or service that creates or maintains negotiation *Business*-signal  
2159 instance *Documents* SHALL be determined to be conformant by validation of the *Business*-  
2160 signal instance *Documents*, created or modified by said tool or service, against the XML  
2161 Schema[XMLSCHEMA-1] definition of the *Business* signals and available from

2162

2163 ***URL TO BE SUPPLIED.***

2164

2165 by using two or more validating XML Schema parsers that conform to the W3C XML Schema  
2166 specifications[XMLSCHEMA-1, XMLSCHEMA-2].

**2167 17 Disclaimer**

2168 The views and specification expressed in this document are those of the authors and are not  
2169 necessarily those of their employers. The authors and their employers specifically disclaim  
2170 responsibility for any problems arising from correct or incorrect implementation or use of this  
2171 design.

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## Notices

### ***NEED TO DETERMINE OF UN/CEFACT HAS TO BE MENTIONED.***

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## Appendix A XML Schema for Negotiation Descriptor Document (Normative)

The XML Schema document for the *NDD* is available as a text file at:

***FILL IN THE URLS OF THE XML DOCUMENTS IN ALL THE APPENDICES.***

```
<?xml version="1.0" encoding="UTF-8"?>
<schema targetNamespace="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-negot-2_0.xsd"
  xmlns:tns="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-negot-2_0.xsd"
  xmlns:xs="http://www.w3.org/2001/XMLSchema" xmlns="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified" attributeFormDefault="unqualified">
  <element name="NegotiationDescriptor">
    <annotation>
      <documentation>This is the schema representing the NDD</documentation>
    </annotation>
    <complexType>
      <sequence>
        <element name="NegotiableInformationItem" maxOccurs="unbounded">
          <complexType>
            <sequence>
              <choice>
                <element name="Value"/>
                <element name="UnOrderedValue" type="tns:EnumeratedValues"/>
                <element name="OrderedValue" type="tns:OrderedEnumeratedValues"/>
                <element name="ValueWithPreferenceMeasure"
type="tns:ValueWithPreferenceMeasureType"/>
                <element name="PresentOrNot" type="tns:PresentOrNotType"/>
                <element name="IntegerValues" type="tns:IntegerValuesType"/>
                <element name="Preference">
                  <complexType>
                    <attribute name="value" type="xs:integer"/>
                  </complexType>
                </element>
                <element name="Cardinality" type="tns:IntegerValuesType"/>
                <element name="BooleanValue" type="tns:BooleanValuesType"/>
                <element name="DurationWithPreference" type="tns:DurationWithPreferenceType"/>
              </choice>
            </sequence>
            <attribute name="xpath" type="xs:string" use="required"/>
          </complexType>
        </element>
      </sequence>
      <attribute name="documentLocation" type="xs:anyURI" use="required"/>
    </complexType>
  </element>
  <!--TYPE DEFINITIONS THAT ARE USED IN THE DEFINITIONS ABOVE-->
  <complexType name="EnumeratedValues">
    <sequence>
      <element name="PresentOrNot" type="tns:PresentOrNotType" minOccurs="0"/>
      <element name="Value" type="xs:string" maxOccurs="unbounded"/>
    </sequence>
  </complexType>
  <!--For also stating that the enumerated values have some order associated with them-->
  <complexType name="OrderedEnumeratedValues">
    <complexContent>
      <extension base="tns:EnumeratedValues">
        <attribute name="preference" use="optional"/>
      </extension>
    </complexContent>
  </complexType>

```

```

2270         <simpleType name="orderName">
2271             <restriction base="xs:string">
2272                 <enumeration value="EarlierPreferred"/>
2273                 <enumeration value="LaterPreferred"/>
2274             </restriction>
2275         </simpleType>
2276     </attribute>
2277 </extension>
2278 </complexContent>
2279 </complexType>
2280 <!--For giving the endpoints for elements like Start/End. The type is currently set to string beacuse XML spy does
2281 not seem to validate dateTime entries correctly, but should be changed to dateTime later -->
2282 <complexType name="DateEndpointsType">
2283     <sequence>
2284         <element name="EarliestStart" type="xs:string"/>
2285         <element name="LatestEnd" type="xs:string"/>
2286         <!--TODO: Make this xs:dateTime -->
2287     </sequence>
2288 </complexType>
2289 <!--For giving a type of preference function. Currently, the preference function can be one of two: (a) a piecewise
2290 linear function, (b) an arbitrary function expressed as a string (such as x*x+ y*y) -->
2291 <complexType name="PreferenceFunctionType">
2292     <sequence>
2293         <choice>
2294             <element name="PiecewiseLinearPiece" maxOccurs="unbounded">
2295                 <complexType>
2296                     <sequence>
2297                         <element name="x1" type="xs:string"/>
2298                         <element name="y1" type="xs:NMTOKEN"/>
2299                         <element name="x1" type="xs:string"/>
2300                         <element name="y1" type="xs:NMTOKEN"/>
2301                         <!--TODO: Make dateTime/NMTOKEN for generality?-->
2302                     </sequence>
2303                 </complexType>
2304             </element>
2305             <element name="FunctionDefinedByEquation" type="xs:string"/>
2306             <!--<xs:element name="NoPreference"/> -->
2307         </choice>
2308     </sequence>
2309 </complexType>
2310 <!--For giving a value, and associating with it some preference function -->
2311 <complexType name="ValueWithPreferenceMeasureType">
2312     <sequence>
2313         <element name="Endpoints" type="tns:DateEndpointsType"/>
2314         <element name="PreferenceFunction" type="tns:PreferenceFunctionType" minOccurs="0"/>
2315     </sequence>
2316 </complexType>
2317 <!--For specifying a preference for whether an entry must be present or not -->
2318 <complexType name="PresentOrNotType">
2319     <attribute name="value" use="required">
2320         <simpleType>
2321             <restriction base="xs:string">
2322                 <enumeration value="MustBePresent"/>
2323                 <enumeration value="MustBeAbsent"/>
2324                 <enumeration value="PreferredPresent"/>
2325                 <enumeration value="PreferredAbsent"/>
2326                 <enumeration value="Agnostic"/>
2327             </restriction>
2328         </simpleType>
2329     </attribute>
2330 </complexType>
2331 <!--For giving the integer endpoints for elements like retries. -->

```

```

2332 <complexType name="IntegerEndPointsType">
2333   <sequence>
2334     <element name="SmallestValue" type="xs:integer"/>
2335     <element name="LatestValue" type="xs:integer"/>
2336   </sequence>
2337 </complexType>
2338 <!--This associates a preference order to the integer end points or a preference such as Smaller is Preferred-->
2339 <complexType name="IntegerValuesWithPreferenceMeasureType">
2340   <sequence>
2341     <element name="EndPoints" type="tns:IntegerEndPointsType"/>
2342     <element name="PreferenceFunction" type="tns:PreferenceFunctionType" minOccurs="0"/>
2343   </sequence>
2344   <attribute name="preferenceOrder">
2345     <simpleType name="orderName">
2346       <restriction base="xs:string">
2347         <enumeration value="SmallerPreferred"/>
2348         <enumeration value="LargerPreferred"/>
2349       </restriction>
2350     </simpleType>
2351   </attribute>
2352 </complexType>
2353 <!--This type is for integer values whose (a) presence can be potentially negotiated (b) the values themselves can
2354 be negotiated -->
2355 <complexType name="IntegerValuesType">
2356   <sequence>
2357     <element name="PresentOrNot" type="tns:PresentOrNotType" minOccurs="0"/>
2358     <element name="RangeInfo" type="tns:IntegerValuesWithPreferenceMeasureType"/>
2359   </sequence>
2360 </complexType>
2361 <!--For specifying a preference for whether an entry must be present or not -->
2362 <complexType name="BooleanValuesType">
2363   <sequence>
2364     <element name="PresentOrNot" type="tns:PresentOrNotType" minOccurs="0"/>
2365   </sequence>
2366   <attribute name="preference" use="required">
2367     <simpleType>
2368       <restriction base="xs:string">
2369         <enumeration value="TruePreferred"/>
2370         <enumeration value="FalsePreferred"/>
2371         <enumeration value="Agnostic"/>
2372       </restriction>
2373     </simpleType>
2374   </attribute>
2375 </complexType>
2376 <!--For specifying the minimum and maximum allowable durations-->
2377 <complexType name="DurationType">
2378   <sequence>
2379     <element name="PresentOrNot" type="tns:PresentOrNotType" minOccurs="0"/>
2380     <element name="MinimumDuration" type="xs:string"/>
2381     <element name="MaximumDuration" type="xs:string"/>
2382     <!--TODO: Make this xs:duration -->
2383   </sequence>
2384 </complexType>
2385 <!--For also stating that the durations have some preference associated with them-->
2386 <complexType name="DurationWithPreferenceType">
2387   <complexContent>
2388     <extension base="tns:DurationType">
2389       <attribute name="preferenceOrder" use="optional">
2390         <simpleType name="preferenceName">
2391           <restriction base="xs:string">
2392             <enumeration value="SmallerPreferred"/>
2393             <enumeration value="LargerPreferred"/>

```

```
2394         </restriction>
2395     </simpleType>
2396 </attribute>
2397 </extension>
2398 </complexContent>
2399 </complexType>
2400 </schema>
```

## Appendix B XML Schema for Negotiation Messages (Normative)

The XML Schema for the negotiation *Messages* is available in text form at:

```
<?xml version="1.0" encoding="UTF-8"?>

<!-- This is the schema that corresponds to the version 1.0 CPP/A Negotiation spec
-->
<schema targetNamespace="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpa-negot-1_0.xsd"
  xmlns="http://www.w3.org/2001/XMLSchema"
  xmlns:cppa="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd"
  xmlns:tns="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpa-negot-1_0.xsd">
  <import namespace="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd"
    schemaLocation="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd"/>
  <attributeGroup name="id.grp">
    <attribute name="id" type="cppa:non-empty-string" use="required"/>
    <attribute ref="cppa:version" use="required"/>
  </attributeGroup>
  <element name="NegotiationMessage">
    <complexType>
      <sequence>
        <element ref="tns:NCPA"/>
        <element ref="tns:CPATemplatId"/>
        <element ref="cppa:SecurityDetails"/>
        <element ref="tns:InitiatingParty"/>
        <element ref="tns:RespondingParty"/>
        <element ref="tns:BPSSBusinessDocumentName"/>
        <element name="ExpirationDate" type="dateTime"/>
        <element ref="tns:BusinessDocuments"/>
        <element ref="tns:NegotiationContent"/>
        <element maxOccurs="1" minOccurs="0" name="ResponseToURL" type="anyURI"/>
        <element maxOccurs="1" minOccurs="0" name="Comment" type="string"/>
      </sequence>
      <attribute name="businessMsgId" type="ID" use="required"/>
      <attribute name="negotiationDialogId" type="cppa:non-empty-string" use="required"/>
      <attribute name="offerId" type="cppa:non-empty-string"/>
      <attribute name="inresponseTo" type="cppa:non-empty-string"/>
      <attribute name="binding" type="boolean" use="required"/>
      <attribute name="messageType" type="tns:messageTypeValue.type" use="required"/>
      <attribute name="error" type="tns:errorValue.type"/>
    </complexType>
  </element>
  <element name="CPATemplatId">
    <complexType>
      <attributeGroup ref="tns:id.grp"/>
    </complexType>
  </element>
  <element name="NCPA">
    <complexType>
      <attribute name="uri" type="anyURI" use="required"/>
    </complexType>
  </element>
  <element name="BPSSBusinessDocumentName">
    <complexType>
      <attribute name="name" type="tns:bpssBusinessDocumentName.type" use="required"/>
    </complexType>
  </element>
```

Formatted: Default Text

```

2458 <element name="BusinessDocuments">
2459 <complexType>
2460 <choice>
2461 <element name="CPATemplateDoc" type="tns:CPATemplateDoc.type"/>
2462 </choice>
2463 </complexType>
2464 </element>
2465 <element name="NegotiationContent">
2466 <complexType>
2467 <sequence>
2468 <element maxOccurs="unbounded" minOccurs="1" ref="tns:AcceptedItem"/>
2469 <element maxOccurs="unbounded" minOccurs="0" ref="tns:DeletedItem"/>
2470 <element maxOccurs="unbounded" minOccurs="0" ref="tns:UpdatedItem"/>
2471 <element maxOccurs="unbounded" minOccurs="0" ref="tns:InsertedItem"/>
2472 </sequence>
2473 </complexType>
2474 </element>
2475 <element name="AcceptedItem" type="tns:simpleItem.type"/>
2476 <element name="DeletedItem" type="tns:simpleItem.type"/>
2477 <element name="UpdatedItem" type="tns:updatedItem.type"/>
2478 <element name="InsertedItem" type="tns:insertedItem.type"/>
2479 <element name="InitiatingParty" type="tns:partySummary.type"/>
2480 <element name="RespondingParty" type="tns:partySummary.type"/>
2481 <complexType name="simpleItem.type">
2482 <attribute name="xpath" type="tns:xpath.type" use="required"/>
2483 </complexType>
2484 <complexType name="updatedItem.type">
2485 <attribute name="xpath" type="tns:xpath.type" use="required"/>
2486 <attribute name="originalValue" type="cppa:non-empty-string" use="required"/>
2487 <attribute name="proposedValue" type="cppa:non-empty-string" use="required"/>
2488 </complexType>
2489 <complexType name="insertedItem.type">
2490 <attribute name="xpath" type="tns:xpath.type" use="required"/>
2491 <attribute name="proposedValue" type="cppa:non-empty-string" use="required"/>
2492 </complexType>
2493 <complexType name="doc.type">
2494 <choice>
2495 <element name="BinaryDoc" type="base64Binary"/>
2496 <element name="Uri" type="anyURI"/>
2497 </choice>
2498 </complexType>
2499 <complexType name="CPATemplateDoc.type">
2500 <sequence>
2501 <element name="NDD" type="tns:doc.type"/>
2502 <element name="CPATemplate" type="tns:doc.type"/>
2503 </sequence>
2504 </complexType>
2505 <complexType name="partySummary.type">
2506 <sequence>
2507 <element ref="cppa:PartyId"/>
2508 <element name="CPPIId">
2509 <complexType>
2510 <attributeGroup ref="tns:id.grp"/>
2511 </complexType>
2512 </element>
2513 <element maxOccurs="1" minOccurs="0" name="CPPNDD" type="tns:doc.type"/>
2514 </sequence>
2515 </complexType>
2516 <simpleType name="xpath.type">
2517 <restriction base="string"/>
2518 </simpleType>
2519 <simpleType name="messageTypeValue.type">

```

```

2520 <restriction base="NMTOKEN">
2521 <enumeration value="Offer"/>
2522 <enumeration value="CounterOffer"/>
2523 <enumeration value="CounterOfferPending"/>
2524 <enumeration value="Rejected"/>
2525 <enumeration value="Accepted"/>
2526 <enumeration value="Expired"/>
2527 <enumeration value="SinglePartySigned"/>
2528 <enumeration value="Signed"/>
2529 <enumeration value="Unsigned"/>
2530 </restriction>
2531 </simpleType>
2532 <simpleType name="errorValue.type">
2533 <restriction base="NMTOKEN">
2534 <enumeration value="ExpiredCPP"/>
2535 <enumeration value="UnableToFullfillSecurityRequirements"/>
2536 <enumeration value="ProposedSecurityPolicyInadequate"/>
2537 <enumeration value="OutOfSequenceCounterOffer"/>
2538 <enumeration value="FailedSignatureValidation_CPATemplate"/>
2539 <enumeration value="FailedSignatureValidation_CPA"/>
2540 <enumeration value="UnsupportedBusinessRelationship"/>
2541 <enumeration value="UnsupportedPackaging"/>
2542 <enumeration value="UnsupportedSignal"/>
2543 <enumeration value="FailedToConverge"/>
2544 <enumeration value="PreviouslyRejectedCPA"/>
2545 <enumeration value="ExpiredOffer"/>
2546 <enumeration value="FormatError"/>
2547 <enumeration value="UnknownSystemError"/>
2548 </restriction>
2549 </simpleType>
2550 <simpleType name="bpssBusinessDocumentName.type">
2551 <restriction base="NMTOKEN">
2552 <enumeration value="CPA Offer Doc"/>
2553 <enumeration value="CPA Accept Offer Doc"/>
2554 <enumeration value="CPA Counter Pending Offer Doc"/>
2555 <enumeration value="CPA Counter Offer Doc"/>
2556 <enumeration value="CPA Reject Offer Doc"/>
2557 <enumeration value="CPA Final Doc"/>
2558 <enumeration value="CPA Final Response Doc"/>
2559 <enumeration value="CPA Final Response Doc Signed"/>
2560 </restriction>
2561 </simpleType>
2562 </schema>
2563
2564

```



## Appendix C Negotiation CPA Example (Non-Normative)

The text file for this *NCPA* example is available at:

***THE NCPA'S PACKAGING DEFINITIONS HAVE TO BE COMPLETED AFTER THE NDD AND MESSAGE SCHEMA ARE COMPLETED.***

```
<?xml version="1.0"?>
<!-- edited with XML Spy v4.4 U (http://www.xmlspy.com) by Hima Mukkamala (Web Services Architecture WG) -->
<tp:CollaborationProtocolAgreement xmlns:tp="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xmlns:xlink="http://www.w3.org/1999/xlink"
xmlns:ds="http://www.w3.org/2000/09/xmldsig#" xmlns:xsd="http://www.w3.org/2001/XMLSchema"
xsi:schemaLocation="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd
cpp-cpa-2_0.xsd" tp:cpaId="uri:NegoInit-and-NegoResp-cpa" tp:version="2_0a">
  <tp:Status tp:value="proposed"/>
  <tp:Start>2001-05-20T07:21:00Z</tp:Start>
  <tp:End>2003-05-20T07:21:00Z</tp:End>
  <tp:ConversationConstraints tp:invocationLimit="100" tp:concurrentConversations="10"/>
  <!-- Party info for Negotiation Initiator -->
  <tp:PartyInfo tp:partyName="NegotiationInitiator" tp:defaultMshChannelId="asyncChannelA1"
tp:defaultMshPackageId="NegoInit_MshSignalPackage">
    <tp:PartyId tp:type="urn:oasis:names:tc:ebxml-cppa:partyid-type:duns">123456789</tp:PartyId>
    <tp:PartyRef xlink:href="http://NegoInit.com/about.html"/>
    <!-- This role is for Negotiation Initiator performing the role of Negotiation Initiator -->
    <tp:CollaborationRole>
      <tp:ProcessSpecification tp:version="2.0" tp:name="CPPA-Negotiation" xlink:type="simple"
xlink:href="http://www.oasis-open.org/committees/ebxml-cppa-negot/CPA_Negotiation_BPSS.xml"
tp:uuid="bpid:ebXML:CPPA-Negotiation"/>
      <tp:Role tp:name="CPA Negotiation Initiator" xlink:type="simple" xlink:href="http://www.oasis-
open.org/committees/ebxml-cppa-negot/CPA_Negotiation_BPSS.xml#CPA Negotiation Initiator"/>
      <tp:ServiceBinding>
        <tp:Service>bpid:ebXML:CPPA-Negotiation</tp:Service>
        <!-- This send is for sending the Negotiation Offer -->
        <tp:CanSend>
          <tp:ThisPartyActionBinding tp:id="NegoInit_ABID1" tp:action="CPA_Offer_BT_ReqBA"
tp:packageId="NegoInit_OfferRequestPackage">
            <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
            <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
tp:businessTransactionActivity="CPA Offer BTA" tp:requestOrResponseAction="CPA_Offer_BT_ReqBA"/>
            <tp:ChannelId>asyncChannelA1</tp:ChannelId>
          </tp:ThisPartyActionBinding>
          <tp:OtherPartyActionBinding>NegoResp_ABID1</tp:OtherPartyActionBinding>
        </tp:CanSend>
        <!-- This send is for sending the Receipt Acknowledgment -->
        <tp:CanSend>
          <tp:ThisPartyActionBinding tp:id="NegoInit_ABID2" tp:action="ReceiptAcknowledgement"
tp:packageId="NegoInit_ReceiptAcknowledgmentPackage">
            <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
            <tp:ChannelId>asyncChannelA1</tp:ChannelId>
          </tp:ThisPartyActionBinding>
          <tp:OtherPartyActionBinding>NegoResp_ABID2</tp:OtherPartyActionBinding>
        </tp:CanSend>
        <!-- This send is for send the Final message in the collaboration. This would be the double signed CPA
document or acceptance or reject of the CPA in the final Response document-->
        <tp:CanSend>
          <tp:ThisPartyActionBinding tp:id="NegoInit_FinalResponseMessageA"
tp:action="Final_CPA_BT_RespBA" tp:packageId="NegoInit_FinalMessage">
```

```

2625         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2626 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2627 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2628         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2629 tp:businessTransactionActivity="CPA Final BTA" tp:requestOrResponseAction="Final_CPA_BT_RespBA"/>
2630         <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2631         </tp:ThisPartyActionBinding>
2632         <tp:OtherPartyActionBinding>NegoResp_FinalResponseMessageB</tp:OtherPartyActionBinding>
2633     </tp:CanSend>
2634     <!-- This receive is for receiving the response for Negotiation Offer, could be acceptance, reject or counter
2635 offer-->
2636     <tp:CanReceive>
2637         <tp:ThisPartyActionBinding tp:id="Negolnit_ABID9" tp:action="CPA_Offer_BT_RespBA"
2638 tp:packageId="Negolnit_OfferResponsePackage">
2639             <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2640 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2641 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2642             <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2643 tp:businessTransactionActivity="CPA Offer BTA" tp:requestOrResponseAction="CPA_Offer_BT_RespBA"/>
2644             <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2645             </tp:ThisPartyActionBinding>
2646             <tp:OtherPartyActionBinding>NegoResp_ABID9</tp:OtherPartyActionBinding>
2647         </tp:CanReceive>
2648         <!-- This receive is for receiving the Final Response document in the final BTA -->
2649         <tp:CanReceive>
2650             <tp:ThisPartyActionBinding tp:id="Negolnit_FinalResponseA" tp:action="Final_CPA_BT_ReqBA"
2651 tp:packageId="Negolnit_FinalMessage">
2652                 <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2653 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2654 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2655                 <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2656 tp:businessTransactionActivity="CPA Final BTA" tp:requestOrResponseAction="Final_CPA_BT_ReqBA"/>
2657                 <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2658                 </tp:ThisPartyActionBinding>
2659                 <tp:OtherPartyActionBinding>NegoResp_FinalResponseB</tp:OtherPartyActionBinding>
2660             </tp:CanReceive>
2661             <!-- This Receive is for receiving the Receipt Acknowledgment -->
2662             <tp:CanReceive>
2663                 <tp:ThisPartyActionBinding tp:id="Negolnit_ABID3" tp:action="ReceiptAcknowledgment"
2664 tp:packageId="Negolnit_ReceiptAcknowledgmentPackage">
2665                     <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
2666 tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
2667 tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
2668                     <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2669                     </tp:ThisPartyActionBinding>
2670                     <tp:OtherPartyActionBinding>NegoResp_ABID3</tp:OtherPartyActionBinding>
2671                 </tp:CanReceive>
2672                 <!-- This Receive is for receiving the Exception -->
2673                 <tp:CanReceive>
2674                     <tp:ThisPartyActionBinding tp:id="Negolnit_ABID4" tp:action="Exception"
2675 tp:packageId="Negolnit_ExceptionPackage">
2676                         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
2677 tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
2678 tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
2679                         <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2680                         </tp:ThisPartyActionBinding>
2681                         <tp:OtherPartyActionBinding>NegoResp_ABID4</tp:OtherPartyActionBinding>
2682                     </tp:CanReceive>
2683                 </tp:ServiceBinding>
2684             </tp:CollaborationRole>
2685             <!-- This role is for Negotiation Initiator company performing the role of Negotiation Counter offer responder -->
2686             <tp:CollaborationRole>
2687                 <tp:ProcessSpecification tp:version="2.0" tp:name="CPPA-Negotiation" xlink:type="simple"
2688 xlink:href="http://www.oasis-open.org/committees/ebxml-cppa-negot/CPA_Negotiation_BPSS.xml"
2689 tp:uuid="bpid:ebXML:CPPA-Negotiation"/>
2690                 <tp:Role tp:name="CPA Negotiation Counter Offer Responder" xlink:type="simple" xlink:href="http://www.oasis-

```

```

2691 open.org/committees/ebxml-cppa-negot/CPA_Negotiation_BPSS.xml#CPA Negotiation Counter Offer Responder"/>
2692   <tp:ServiceBinding>
2693     <tp:Service>bpid:ebXML:CPPA-Negotiation</tp:Service>
2694     <!-- This send is for sending the Negotiation Counter Offer in "CPA Counter Offer 2 BTA"-->
2695     <tp:CanSend>
2696       <tp:ThisPartyActionBinding tp:id="Negolnit_ABID5" tp:action="CPA_Counter_Offer_BT_ReqBA"
2697 tp:packageId="Negolnit_CounterOfferRequestPackage">
2698         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2699 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2700 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2701         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2702 tp:businessTransactionActivity="CPA Counter Offer 2 BTA"
2703 tp:requestOrResponseAction="CPA_Counter_Offer_BT_ReqBA">
2704           <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
2705         </tp:ActionContext>
2706         <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2707       </tp:ThisPartyActionBinding>
2708       <tp:OtherPartyActionBinding>NegoResp_ABID5</tp:OtherPartyActionBinding>
2709     </tp:CanSend>
2710     <!-- This send is for sending the Negotiation Counter Offer Response in "CPA Counter Offer 1 BTA"-->
2711     <tp:CanSend>
2712       <tp:ThisPartyActionBinding tp:id="Negolnit_ABID6" tp:action="CPA_Counter_Offer_BT_ReqBA"
2713 tp:packageId="Negolnit_CounterOfferResponsePackage">
2714         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2715 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2716 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2717         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2718 tp:businessTransactionActivity="CPA Counter Offer 1 BTA"
2719 tp:requestOrResponseAction="CPA_Counter_Offer_BT_RespBA">
2720           <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
2721         </tp:ActionContext>
2722         <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2723       </tp:ThisPartyActionBinding>
2724       <tp:OtherPartyActionBinding>NegoResp_ABID6</tp:OtherPartyActionBinding>
2725     </tp:CanSend>
2726     <!-- This send is for sending the Final CPA Response message in CPA_Final_BTA_init_Responder"-->
2727     <tp:CanSend>
2728       <tp:ThisPartyActionBinding tp:id="NegoCOR_FinalMessageA" tp:action="Final_CPA_BT_ReqBA"
2729 tp:packageId="Negolnit_FinalMessage">
2730         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2731 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2732 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2733         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2734 tp:businessTransactionActivity="CPA_Final_BTA_init_Responder" tp:requestOrResponseAction="Final_CPA_BT_ReqBA">
2735           <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
2736         </tp:ActionContext>
2737         <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2738       </tp:ThisPartyActionBinding>
2739       <tp:OtherPartyActionBinding>NegoCOResp_FinalMessageB</tp:OtherPartyActionBinding>
2740     </tp:CanSend>
2741     <!-- This send is for sending the response to the Final CPA Response message in
2742 CPA_Final_BTA_init_Initiator"-->
2743     <tp:CanSend>
2744       <tp:ThisPartyActionBinding tp:id="NegoCOR_FinalMessageResponseA"
2745 tp:action="Final_CPA_BT_RespBA" tp:packageId="Negolnit_FinalMessage">
2746         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2747 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2748 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2749         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2750 tp:businessTransactionActivity="CPA_Final_BTA_init_Initiator" tp:requestOrResponseAction="Final_CPA_BT_RespBA">
2751           <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
2752         </tp:ActionContext>
2753         <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2754       </tp:ThisPartyActionBinding>
2755       <tp:OtherPartyActionBinding>NegoCOResp_FinalMessageResponseB</tp:OtherPartyActionBinding>
2756     </tp:CanSend>

```

```

2757      <!-- This send is for sending the Receipt Acknowledgment -->
2758      <tp:CanSend>
2759        <tp:ThisPartyActionBinding tp:id="Negolnit_ABID13" tp:action="ReceiptAcknowledgement"
2760        tp:packageId="Negolnit_ReceiptAcknowledgmentPackage">
2761          <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
2762          tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
2763          tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
2764          <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2765          </tp:ThisPartyActionBinding>
2766          <tp:OtherPartyActionBinding>NegoResp_ABID13</tp:OtherPartyActionBinding>
2767        </tp:CanSend>
2768      <!-- This receive is for receiving the Final CPA message in CPA_Final_BTA_init_Initiator-->
2769      <tp:CanReceive>
2770        <tp:ThisPartyActionBinding tp:id="NegoCOR_FinalMessageA1" tp:action="Final_CPA_BT_ReqBA"
2771        tp:packageId="Negolnit_FinalMessage">
2772          <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2773          tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2774          tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2775          <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2776          tp:businessTransactionActivity="CPA_Final_BTA_init_Initiator" tp:requestOrResponseAction="Final_CPA_BT_ReqBA">
2777            <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
2778          </tp:ActionContext>
2779          <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2780          </tp:ThisPartyActionBinding>
2781          <tp:OtherPartyActionBinding>NegoCOResp_FinalMessageB1</tp:OtherPartyActionBinding>
2782        </tp:CanReceive>
2783      <!-- This receive is for receiving the response to the Final CPA message in
2784      CPA_Final_BTA_init_Responder-->
2785      <tp:CanReceive>
2786        <tp:ThisPartyActionBinding tp:id="NegoCOR_FinalMessageResponseA2"
2787        tp:action="Final_CPA_BT_RespBA" tp:packageId="Negolnit_FinalMessage">
2788          <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2789          tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2790          tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2791          <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2792          tp:businessTransactionActivity="CPA_Final_BTA_init_Responder"
2793          tp:requestOrResponseAction="Final_CPA_BT_RespBA">
2794            <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
2795          </tp:ActionContext>
2796          <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2797          </tp:ThisPartyActionBinding>
2798          <tp:OtherPartyActionBinding>NegoCOResp_FinalMessageResponseB2</tp:OtherPartyActionBinding>
2799        </tp:CanReceive>
2800      <!-- This receive is for receiving the response forNegotiation Counter Offer, could be accept, reject or again
2801      send a counter offer This happens in "CPA Counter Offer 2 BTA"-->
2802      <tp:CanReceive>
2803        <tp:ThisPartyActionBinding tp:id="Negolnit_ABID10" tp:action="CPA_Counter_Offer_BT_RespBA"
2804        tp:packageId="Negolnit_CounterOfferResponsePackage">
2805          <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2806          tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2807          tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2808          <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2809          tp:businessTransactionActivity="CPA Counter Offer 2 BTA"
2810          tp:requestOrResponseAction="CPA_Counter_Offer_BT_RespBA">
2811            <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
2812          </tp:ActionContext>
2813          <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2814          </tp:ThisPartyActionBinding>
2815          <tp:OtherPartyActionBinding>NegoResp_ABID10</tp:OtherPartyActionBinding>
2816        </tp:CanReceive>
2817      <!-- This receive is for receiving the Negotiation Counter Offer. This happens in "CPA Counter Offer 1 BTA"--
2818      >
2819      <tp:CanReceive>
2820        <tp:ThisPartyActionBinding tp:id="Negolnit_ABID12" tp:action="CPA_Counter_Offer_BT_RespBA"
2821        tp:packageId="Negolnit_CounterOfferRequestPackage">
2822          <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"

```

```

2823 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2824 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2825 <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2826 tp:businessTransactionActivity="CPA Counter Offer 1 BTA"
2827 tp:requestOrResponseAction="CPA_Counter_Offer_BT_ReqBA">
2828 <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
2829 </tp:ActionContext>
2830 <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2831 </tp:ThisPartyActionBinding>
2832 <tp:OtherPartyActionBinding>NegoResp_ABID12</tp:OtherPartyActionBinding>
2833 </tp:CanReceive>
2834 <!-- This Receive is for receiving the Receipt Acknowledgment -->
2835 <tp:CanReceive>
2836 <tp:ThisPartyActionBinding tp:id="NegoInit_ABID7" tp:action="ReceiptAcknowledgment"
2837 tp:packageId="NegoInit_ReceiptAcknowledgmentPackage">
2838 <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
2839 tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
2840 tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
2841 <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2842 </tp:ThisPartyActionBinding>
2843 <tp:OtherPartyActionBinding>NegoResp_ABID7</tp:OtherPartyActionBinding>
2844 </tp:CanReceive>
2845 <!-- This Receive is for receiving the Exception -->
2846 <tp:CanReceive>
2847 <tp:ThisPartyActionBinding tp:id="NegoInit_ABID8" tp:action="Exception"
2848 tp:packageId="NegoInit_ExceptionPackage">
2849 <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
2850 tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
2851 tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
2852 <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2853 </tp:ThisPartyActionBinding>
2854 <tp:OtherPartyActionBinding>NegoResp_ABID8</tp:OtherPartyActionBinding>
2855 </tp:CanReceive>
2856 </tp:ServiceBinding>
2857 </tp:CollaborationRole>
2858 <!-- Certificates used by the "Negotiation Initiator" company -->
2859 <tp:Certificate tp:certId="NegoInit_AppCert">
2860 <ds:KeyInfo>
2861 <ds:KeyName>NegoInit_AppCert_Key</ds:KeyName>
2862 </ds:KeyInfo>
2863 </tp:Certificate>
2864 <tp:SecurityDetails tp:securityId="NegoInit_MessageSecurity">
2865 <tp:TrustAnchors>
2866 <tp:AnchorCertificateRef tp:certId="NegoInit_AppCert"/>
2867 </tp:TrustAnchors>
2868 </tp:SecurityDetails>
2869 <tp:DeliveryChannel tp:channelId="asyncChannelA1" tp:transportId="transportA1"
2870 tp:docExchangeId="docExchangeA1">
2871 <tp:MessagingCharacteristics tp:syncReplyMode="none" tp:ackRequested="always"
2872 tp:ackSignatureRequested="always" tp:duplicateElimination="always"/>
2873 </tp:DeliveryChannel>
2874 <tp:Transport tp:transportId="transportA1">
2875 <tp:TransportSender>
2876 <tp:TransportProtocol tp:version="1.1">HTTP</tp:TransportProtocol>
2877 <tp:AccessAuthentication>basic</tp:AccessAuthentication>
2878 </tp:TransportSender>
2879 <tp:TransportReceiver>
2880 <tp:TransportProtocol tp:version="1.1">HTTP</tp:TransportProtocol>
2881 <tp:AccessAuthentication>basic</tp:AccessAuthentication>
2882 <tp:Endpoint tp:uri="https://www.NegoInit.com/servlets/ebxmlhandler/async" tp:type="allPurpose"/>
2883 </tp:TransportReceiver>
2884 </tp:Transport>
2885 <tp:DocExchange tp:docExchangeId="docExchangeA1">
2886 <tp:ebXMLSenderBinding tp:version="2.0"/>
2887 <tp:ebXMLReceiverBinding tp:version="2.0"/>
2888 </tp:DocExchange>

```



```

2889     </tp:PartyInfo>
2890     <!-- Party info for Negotiation Responder -->
2891     <tp:PartyInfo tp:partyName="NegotiationResponder" tp:defaultMshChannelId="asyncChannelB1"
2892 tp:defaultMshPackageId="Negolnit_MshSignalPackage">
2893         <tp:PartyId tp:type="urn:oasis:names:tc:ebxml-cppa:partyid-type:duns">123456789</tp:PartyId>
2894         <tp:PartyRef xlink:href="http://NegoResp.com/about.html"/>
2895         <!-- This role is for Negotiation Responder performing the role of Negotiation Responder -->
2896         <tp:CollaborationRole>
2897             <tp:ProcessSpecification tp:version="2.0" tp:name="CPPA-Negotiation" xlink:type="simple"
2898 xlink:href="http://www.oasis-open.org/committees/ebxml-cppa-negot/CPA_Negotiation_BPSS.xml"
2899 tp:uuid="bpid:ebXML:CPPA-Negotiation"/>
2900             <tp:Role tp:name="CPA Negotiation Responder" xlink:type="simple" xlink:href="http://www.oasis-
2901 open.org/committees/ebxml-cppa-negot/CPA_Negotiation_BPSS.xml#CPA Negotiation Responder"/>
2902             <tp:ServiceBinding>
2903                 <tp:Service bpid:ebXML:CPPA-Negotiation</tp:Service>
2904                 <!-- This send is for sending the Negotiation Offer Response, this could be accept, pending, response-->
2905                 <tp:CanSend>
2906                     <tp:ThisPartyActionBinding tp:id="NegoResp_ABID9" tp:action="CPA_Offer_BT_RespBA"
2907 tp:packageId="Negolnit_OfferResponsePackage">
2908                         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2909 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2910 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2911                         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2912 tp:businessTransactionActivity="CPA Offer BTA" tp:requestOrResponseAction="CPA_Offer_BT_RespBA"/>
2913                         <tp:ChannelId>asyncChannelB1</tp:ChannelId>
2914                     </tp:ThisPartyActionBinding>
2915                     <tp:OtherPartyActionBinding>Negolnit_ABID9</tp:OtherPartyActionBinding>
2916                 </tp:CanSend>
2917                 <!-- This send is for sending the Final Response document in the final BTA -->
2918                 <tp:CanSend>
2919                     <tp:ThisPartyActionBinding tp:id="NegoResp_FinalResponseB" tp:action="Final_CPA_BT_ReqBA"
2920 tp:packageId="Negolnit_FinalMessage">
2921                         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2922 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2923 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2924                         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2925 tp:businessTransactionActivity="CPA Final BTA" tp:requestOrResponseAction="Final_CPA_BT_ReqBA"/>
2926                         <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2927                     </tp:ThisPartyActionBinding>
2928                     <tp:OtherPartyActionBinding>Negolnit_FinalResponseA</tp:OtherPartyActionBinding>
2929                 </tp:CanSend>
2930                 <!-- This send is for sending the Receipt Acknowledgment -->
2931                 <tp:CanSend>
2932                     <tp:ThisPartyActionBinding tp:id="NegoResp_ABID3" tp:action="ReceiptAcknowledgement"
2933 tp:packageId="Negolnit_ReceiptAcknowledgmentPackage">
2934                         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
2935 tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
2936 tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
2937                         <tp:ChannelId>asyncChannelB1</tp:ChannelId>
2938                     </tp:ThisPartyActionBinding>
2939                     <tp:OtherPartyActionBinding>Negolnit_ABID3</tp:OtherPartyActionBinding>
2940                 </tp:CanSend>
2941                 <!-- This receive is for receiving the Final message in the collaboration. This would be the double signed
2942 CPA document or acceptance or reject of the CPA in the final Response document-->
2943                 <tp:CanReceive>
2944                     <tp:ThisPartyActionBinding tp:id="NegoResp_FinalResponseMessageB"
2945 tp:action="Final_CPA_BT_RespBA" tp:packageId="Negolnit_FinalMessage">
2946                         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2947 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2948 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2949                         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2950 tp:businessTransactionActivity="CPA Final BTA" tp:requestOrResponseAction="Final_CPA_BT_RespBA"/>
2951                         <tp:ChannelId>asyncChannelA1</tp:ChannelId>
2952                     </tp:ThisPartyActionBinding>
2953                     <tp:OtherPartyActionBinding>Negolnit_FinalResponseMessageA</tp:OtherPartyActionBinding>
2954                 </tp:CanReceive>

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2955      <!-- This receive is for receiving the offer in the first place -->
2956      <tp:CanReceive>
2957        <tp:ThisPartyActionBinding tp:id="NegoResp_ABID1" tp:action="CPA_Offer_BT_ReqBA"
2958        tp:packageId="Negolnit_OfferRequestPackage">
2959          <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
2960          tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
2961          tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
2962          <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
2963          tp:businessTransactionActivity="CPA Offer BTA" tp:requestOrResponseAction="CPA_Offer_BT_ReqBA"/>
2964          <tp:ChannelId>asyncChannelB1</tp:ChannelId>
2965        </tp:ThisPartyActionBinding>
2966        <tp:OtherPartyActionBinding>Negolnit_ABID1</tp:OtherPartyActionBinding>
2967      </tp:CanReceive>
2968      <!-- This Receive is for receiving the Receipt Acknowledgment -->
2969      <tp:CanReceive>
2970        <tp:ThisPartyActionBinding tp:id="NegoResp_ABID2" tp:action="ReceiptAcknowledgment"
2971        tp:packageId="Negolnit_ReceiptAcknowledgmentPackage">
2972          <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
2973          tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
2974          tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
2975          <tp:ChannelId>asyncChannelB1</tp:ChannelId>
2976        </tp:ThisPartyActionBinding>
2977        <tp:OtherPartyActionBinding>Negolnit_ABID2</tp:OtherPartyActionBinding>
2978      </tp:CanReceive>
2979      <!-- This Receive is for receiving the Exception -->
2980      <tp:CanReceive>
2981        <tp:ThisPartyActionBinding tp:id="NegoResp_ABID4" tp:action="Exception"
2982        tp:packageId="Negolnit_ExceptionPackage">
2983          <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
2984          tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
2985          tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
2986          <tp:ChannelId>asyncChannelB1</tp:ChannelId>
2987        </tp:ThisPartyActionBinding>
2988        <tp:OtherPartyActionBinding>Negolnit_ABID4</tp:OtherPartyActionBinding>
2989      </tp:CanReceive>
2990    </tp:ServiceBinding>
2991  </tp:CollaborationRole>
2992  <!-- This role is for Negotiation Responder company performing the role of Negotiation Counter offer initiator -->
2993  <tp:CollaborationRole>
2994    <tp:ProcessSpecification tp:version="2.0" tp:name="CPPA-Negotiation" xlink:type="simple"
2995    xlink:href="http://www.oasis-open.org/committees/ebxml-cppa-negot/CPA_Negotiation_BPSS.xml"
2996    tp:uuid="bpid:ebXML:CPPA-Negotiation"/>
2997    <tp:Role tp:name="CPA Negotiation Counter Offer Initiator" xlink:type="simple" xlink:href="http://www.oasis-
2998    open.org/committees/ebxml-cppa-negot/CPA_Negotiation_BPSS.xml#CPA Negotiation Counter Offer Initiator"/>
2999    <tp:ServiceBinding>
3000      <tp:Service>bpid:ebXML:CPPA-Negotiation</tp:Service>
3001      <!-- This send is for sending the Negotiation Counter Offer. This happens in "CPA Counter Offer 1 BTA" -->
3002      <tp:CanSend>
3003        <tp:ThisPartyActionBinding tp:id="NegoResp_ABID12" tp:action="CPA_Counter_Offer_BT_ReqBA"
3004        tp:packageId="Negolnit_CounterOfferRequestPackage">
3005          <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
3006          tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
3007          tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
3008          <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
3009          tp:businessTransactionActivity="CPA Counter Offer 1 BTA"
3010          tp:requestOrResponseAction="CPA_Counter_Offer_BT_ReqBA">
3011            <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
3012          </tp:ActionContext>
3013          <tp:ChannelId>asyncChannelB1</tp:ChannelId>
3014        </tp:ThisPartyActionBinding>
3015        <tp:OtherPartyActionBinding>Negolnit_ABID12</tp:OtherPartyActionBinding>
3016      </tp:CanSend>
3017      <!-- This send is for sending the Negotiation Counter Offer response. This happens in "CPA Counter Offer 2
3018      BTA" -->
3019      <tp:CanSend>
3020        <tp:ThisPartyActionBinding tp:id="NegoResp_ABID10" tp:action="CPA_Counter_Offer_BT_ReqBA"

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3021 tp:packageId="Negolnit_CounterOfferResponsePackage">
3022   <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
3023   tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
3024   tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
3025   <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
3026   tp:businessTransactionActivity="CPA Counter Offer 2 BTA"
3027   tp:requestOrResponseAction="CPA_Counter_Offer_BT_RespBA">
3028     <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
3029     </tp:ActionContext>
3030     <tp:ChannelId>asyncChannelB1</tp:ChannelId>
3031     </tp:ThisPartyActionBinding>
3032     <tp:OtherPartyActionBinding>Negolnit_ABID10</tp:OtherPartyActionBinding>
3033   </tp:CanSend>
3034   <!-- This send is for sending the Receipt Acknowledgment -->
3035   <tp:CanSend>
3036     <tp:ThisPartyActionBinding tp:id="NegoResp_ABID7" tp:action="ReceiptAcknowledgement"
3037   tp:packageId="Negolnit_ReceiptAcknowledgmentPackage">
3038     <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
3039     tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
3040     tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
3041     <tp:ChannelId>asyncChannelB1</tp:ChannelId>
3042     </tp:ThisPartyActionBinding>
3043     <tp:OtherPartyActionBinding>Negolnit_ABID7</tp:OtherPartyActionBinding>
3044   </tp:CanSend>
3045   <!-- This send is for sending the Final CPA message in CPA_Final_BTA_init_Initiator"-->
3046   <tp:CanSend>
3047     <tp:ThisPartyActionBinding tp:id="NegoCOResp_FinalMessageB1" tp:action="Final_CPA_BT_ReqBA"
3048   tp:packageId="Negolnit_FinalMessage">
3049     <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
3050     tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
3051     tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
3052     <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
3053     tp:businessTransactionActivity="CPA_Final_BTA_init_Initiator" tp:requestOrResponseAction="Final_CPA_BT_ReqBA">
3054       <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
3055     </tp:ActionContext>
3056     <tp:ChannelId>asyncChannelA1</tp:ChannelId>
3057     </tp:ThisPartyActionBinding>
3058     <tp:OtherPartyActionBinding>NegoCOR_FinalMessageA1</tp:OtherPartyActionBinding>
3059   </tp:CanSend>
3060   <!-- This send is for sending the response to the Final CPA message in CPA_Final_BTA_init_Responder"-->
3061   <tp:CanSend>
3062     <tp:ThisPartyActionBinding tp:id="NegoCOResp_FinalMessageResponseB2"
3063   tp:action="Final_CPA_BT_RespBA" tp:packageId="Negolnit_FinalMessage">
3064     <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
3065     tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
3066     tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
3067     <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
3068     tp:businessTransactionActivity="CPA_Final_BTA_init_Responder"
3069     tp:requestOrResponseAction="Final_CPA_BT_RespBA">
3070       <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
3071     </tp:ActionContext>
3072     <tp:ChannelId>asyncChannelA1</tp:ChannelId>
3073     </tp:ThisPartyActionBinding>
3074     <tp:OtherPartyActionBinding>NegoCOR_FinalMessageResponseA2</tp:OtherPartyActionBinding>
3075   </tp:CanSend>
3076   <!-- This receive is for receiving the response forNegotiation Counter Offer, could be accept, reject or again
3077   send a counter offer This happens in "CPA Counter Offer 1 BTA"-->
3078   <tp:CanReceive>
3079     <tp:ThisPartyActionBinding tp:id="NegoResp_ABID6" tp:action="CPA_Counter_Offer_BT_RespBA"
3080   tp:packageId="Negolnit_CounterOfferResponsePackage">
3081     <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
3082     tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
3083     tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
3084     <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
3085     tp:businessTransactionActivity="CPA Counter Offer 1 BTA"
3086     tp:requestOrResponseAction="CPA_Counter_Offer_BT_RespBA">

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3087         <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
3088     </tp:ActionContext>
3089     <tp:ChannelId>asyncChannelB1</tp:ChannelId>
3090 </tp:ThisPartyActionBinding>
3091 <tp:OtherPartyActionBinding>Negolnit_ABID6</tp:OtherPartyActionBinding>
3092 </tp:CanReceive>
3093 <!-- This receive is for receiving Negotiation Counter Offer.This happens in "CPA Counter Offer 2 BTA"-->
3094 <tp:CanReceive>
3095     <tp:ThisPartyActionBinding tp:id="NegoResp_ABID5" tp:action="CPA_Counter_Offer_BT_ReqBA"
3096 tp:packageId="Negolnit_CounterOfferRequestPackage">
3097         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
3098 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
3099 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
3100         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
3101 tp:businessTransactionActivity="CPA Counter Offer 2 BTA"
3102 tp:requestOrResponseAction="CPA_Counter_Offer_BT_ReqBA">
3103             <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
3104         </tp:ActionContext>
3105     <tp:ChannelId>asyncChannelB1</tp:ChannelId>
3106 </tp:ThisPartyActionBinding>
3107 <tp:OtherPartyActionBinding>Negolnit_ABID5</tp:OtherPartyActionBinding>
3108 </tp:CanReceive>
3109 <!-- This receive is for receiving the Final CPA message in CPA_Final_BTA_init_Responder"-->
3110 <tp:CanReceive>
3111     <tp:ThisPartyActionBinding tp:id="NegoCOResp_FinalMessageB" tp:action="Final_CPA_BT_ReqBA"
3112 tp:packageId="Negolnit_FinalMessage">
3113         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
3114 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
3115 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
3116         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
3117 tp:businessTransactionActivity="CPA_Final_BTA_init_Responder" tp:requestOrResponseAction="Final_CPA_BT_ReqBA">
3118             <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
3119         </tp:ActionContext>
3120     <tp:ChannelId>asyncChannelA1</tp:ChannelId>
3121 </tp:ThisPartyActionBinding>
3122 <tp:OtherPartyActionBinding>NegoCOR_FinalMessageA</tp:OtherPartyActionBinding>
3123 </tp:CanReceive>
3124 <!-- This receive is for receiving the response to the Final CPA message in CPA_Final_BTA_init_Initiator"-->
3125 <tp:CanReceive>
3126     <tp:ThisPartyActionBinding tp:id="NegoCOResp_FinalMessageResponseB"
3127 tp:action="Final_CPA_BT_RespBA" tp:packageId="Negolnit_FinalMessage">
3128         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="false"
3129 tp:isNonRepudiationReceiptRequired="false" tp:isConfidential="none" tp:isAuthenticated="none" tp:isTamperProof="none"
3130 tp:isAuthorizationRequired="false" tp:timeToAcknowledgeReceipt="PT2H" tp:timeToPerform="P1D"/>
3131         <tp:ActionContext tp:binaryCollaboration="CPA Negotiation BC"
3132 tp:businessTransactionActivity="CPA_Final_BTA_init_Initiator" tp:requestOrResponseAction="Final_CPA_BT_RespBA">
3133             <tp:CollaborationActivity tp:name="CPA Counter Offer CA"/>
3134         </tp:ActionContext>
3135     <tp:ChannelId>asyncChannelA1</tp:ChannelId>
3136 </tp:ThisPartyActionBinding>
3137 <tp:OtherPartyActionBinding>NegoCOR_FinalMessageResponseA</tp:OtherPartyActionBinding>
3138 </tp:CanReceive>
3139 <!-- This Receive is for receiving the Receipt Acknowledgment -->
3140 <tp:CanReceive>
3141     <tp:ThisPartyActionBinding tp:id="NegoResp_ABID13" tp:action="ReceiptAcknowledgment"
3142 tp:packageId="Negolnit_ReceiptAcknowledgmentPackage">
3143         <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
3144 tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
3145 tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
3146         <tp:ChannelId>asyncChannelB1</tp:ChannelId>
3147 </tp:ThisPartyActionBinding>
3148 <tp:OtherPartyActionBinding>Negolnit_ABID13</tp:OtherPartyActionBinding>
3149 </tp:CanReceive>
3150 <!-- This Receive is for receiving the Exception -->
3151 <tp:CanReceive>
3152     <tp:ThisPartyActionBinding tp:id="NegoResp_ABID8" tp:action="Exception"
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3153 tp:packageId="Negolnit_ExceptionPackage">
3154   <tp:BusinessTransactionCharacteristics tp:isNonRepudiationRequired="true"
3155   tp:isNonRepudiationReceiptRequired="true" tp:isConfidential="transient" tp:isAuthenticated="persistent"
3156   tp:isTamperProof="persistent" tp:isAuthorizationRequired="true"/>
3157   <tp:ChannelId>asyncChannelB1</tp:ChannelId>
3158   </tp:ThisPartyActionBinding>
3159   <tp:OtherPartyActionBinding>Negolnit_ABID8</tp:OtherPartyActionBinding>
3160   </tp:CanReceive>
3161   </tp:ServiceBinding>
3162   </tp:CollaborationRole>
3163   <!-- Certificates used by the "Negotiation Initiator" company -->
3164   <tp:Certificate tp:certId="NegoResp_AppCert">
3165     <ds:KeyInfo>
3166       <ds:KeyName>NegoResp_AppCert_Key</ds:KeyName>
3167     </ds:KeyInfo>
3168   </tp:Certificate>
3169   <tp:SecurityDetails tp:securityId="NegoResp_MessageSecurity">
3170     <tp:TrustAnchors>
3171       <tp:AnchorCertificateRef tp:certId="NegoResp_AppCert"/>
3172     </tp:TrustAnchors>
3173   </tp:SecurityDetails>
3174   <tp:DeliveryChannel tp:channelId="asyncChannelB1" tp:transportId="transportB1"
3175   tp:docExchangeId="docExchangeB1">
3176     <tp:MessagingCharacteristics tp:syncReplyMode="none" tp:ackRequested="always"
3177     tp:ackSignatureRequested="always" tp:duplicateElimination="always"/>
3178   </tp:DeliveryChannel>
3179   <tp:Transport tp:transportId="transportB1">
3180     <tp:TransportSender>
3181       <tp:TransportProtocol tp:version="1.1">HTTP</tp:TransportProtocol>
3182       <tp:AccessAuthentication>basic</tp:AccessAuthentication>
3183     </tp:TransportSender>
3184     <tp:TransportReceiver>
3185       <tp:TransportProtocol tp:version="1.1">HTTP</tp:TransportProtocol>
3186       <tp:AccessAuthentication>basic</tp:AccessAuthentication>
3187       <tp:Endpoint tp:uri="https://www.NegoResp.com/servlets/ebxmlhandler/async" tp:type="allPurpose"/>
3188     </tp:TransportReceiver>
3189   </tp:Transport>
3190   <tp:DocExchange tp:docExchangeId="docExchangeB1">
3191     <tp:ebXMLSenderBinding tp:version="2.0"/>
3192     <tp:ebXMLReceiverBinding tp:version="2.0"/>
3193   </tp:DocExchange>
3194   </tp:PartyInfo>
3195   <!-- SimplePart corresponding to the SOAP Envelope -->
3196   <tp:SimplePart tp:id="Negolnit_MsgHdr" tp:mimetype="text/xml">
3197     <tp:NamespaceSupported tp:location="http://www.oasis-open.org/committees/ebxml-msg/schema/msg-header-
3198     2_0.xsd" tp:version="2.0">
3199       http://www.oasis-open.org/committees/ebxml-msg/schema/msg-header-2_0.xsd
3200     </tp:NamespaceSupported>
3201   </tp:SimplePart>
3202   <tp:SimplePart tp:id="NegoResp_MsgHdr" tp:mimetype="text/xml">
3203     <tp:NamespaceSupported tp:location="http://www.oasis-open.org/committees/ebxml-msg/schema/msg-header-
3204     2_0.xsd" tp:version="2.0">
3205       http://www.oasis-open.org/committees/ebxml-msg/schema/msg-header-2_0.xsd
3206     </tp:NamespaceSupported>
3207   </tp:SimplePart>
3208   <!-- SimplePart corresponding to a Receipt Acknowledgment business signal -->
3209   <tp:SimplePart tp:id="Negolnit_ReceiptAcknowledgment" tp:mimetype="application/xml">
3210     <tp:NamespaceSupported tp:location="http://www.ebxml.org/bpss/ReceiptAcknowledgment.xsd"
3211     tp:version="2.0">http://www.ebxml.org/bpss/ReceiptAcknowledgment.xsd
3212   </tp:NamespaceSupported>
3213   </tp:SimplePart>
3214   <tp:SimplePart tp:id="NegoResp_ReceiptAcknowledgment" tp:mimetype="application/xml">
3215     <tp:NamespaceSupported tp:location="http://www.ebxml.org/bpss/ReceiptAcknowledgment.xsd" tp:version="2.0">
3216       http://www.ebxml.org/bpss/ReceiptAcknowledgment.xsd
3217   </tp:NamespaceSupported>
3218   </tp:SimplePart>

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3219      <!-- SimplePart corresponding to an Exception business signal -->
3220      <tp:SimplePart tp:id="Negolnit_Exception" tp:mimetype="application/xml">
3221        <tp:NamespaceSupported tp:location="http://www.oasis-open.org/committees/ebxml-msg/schema/msg-header-
3222 2_0.xsd" tp:version="2.0">
3223          http://www.ebxml.org/bpss/Exception.xsd
3224        </tp:NamespaceSupported>
3225      </tp:SimplePart>
3226      <tp:SimplePart tp:id="NegoResp_Exception" tp:mimetype="application/xml">
3227        <tp:NamespaceSupported tp:location="http://www.oasis-open.org/committees/ebxml-msg/schema/msg-header-
3228 2_0.xsd" tp:version="2.0">
3229          http://www.ebxml.org/bpss/Exception.xsd
3230        </tp:NamespaceSupported>
3231      </tp:SimplePart>
3232      <!-- SimplePart corresponding to a negotiation offer request action -->
3233      <tp:SimplePart tp:id="Negolnit_OfferRequest" tp:mimetype="application/xml">
3234        <tp:NamespaceSupported tp:location="http://www.ebxml.org/schemas/NegotiationOffer.xsd" tp:version="1.0">
3235          http://www.ebxml.org/schemas/NegotiationOffer.xsd
3236        </tp:NamespaceSupported>
3237      </tp:SimplePart>
3238      <!-- SimplePart corresponding to a Negotiation offer response action (accept) -->
3239      <tp:SimplePart tp:id="Negolnit_OfferAccept" tp:mimetype="application/xml">
3240        <tp:NamespaceSupported tp:location="http://www.ebxml.org/schemas/OfferAccept.xsd" tp:version="1.0">
3241          http://www.ebxml.org/schemas/OfferAccept.xsd
3242        </tp:NamespaceSupported>
3243      </tp:SimplePart>
3244      <!-- SimplePart corresponding to a Negotiation offer response action (reject) -->
3245      <tp:SimplePart tp:id="Negolnit_OfferReject" tp:mimetype="application/xml">
3246        <tp:NamespaceSupported tp:location="http://www.ebxml.org/schemas/OfferReject.xsd" tp:version="1.0">
3247          http://www.ebxml.org/schemas/OfferReject.xsd
3248        </tp:NamespaceSupported>
3249      </tp:SimplePart>
3250      <!-- SimplePart corresponding to a Negotiation offer response action (counter pending) -->
3251      <tp:SimplePart tp:id="Negolnit_OfferCounterPending" tp:mimetype="application/xml">
3252        <tp:NamespaceSupported tp:location="http://www.ebxml.org/schemas/OfferCounterPending.xsd" tp:version="1.0">
3253          http://www.ebxml.org/schemas/OfferCounterPending.xsd
3254        </tp:NamespaceSupported>
3255      </tp:SimplePart>
3256      <!-- SimplePart corresponding to a Negotiation Counter offer request action -->
3257      <tp:SimplePart tp:id="Negolnit_CounterOfferRequest" tp:mimetype="application/xml">
3258        <tp:NamespaceSupported tp:location="http://www.ebxml.org/schemas/CounterOffer.xsd" tp:version="1.0">
3259          http://www.ebxml.org/schemas/CounterOfferRequest.xsd
3260        </tp:NamespaceSupported>
3261      </tp:SimplePart>
3262      <!-- SimplePart corresponding to a Negotiation Final document being sent in the negotiation process -->
3263      <tp:SimplePart tp:id="Negolnit_FinalMessage" tp:mimetype="application/xml">
3264        <tp:NamespaceSupported tp:location="http://www.ebxml.org/schemas/FinalMessage.xsd" tp:version="1.0">
3265          http://www.ebxml.org/schemas/FinalMessage.xsd
3266        </tp:NamespaceSupported>
3267      </tp:SimplePart>
3268      <!-- SimplePart corresponding to a Negotiation Counter offer request action -->
3269      <tp:SimplePart tp:id="Negolnit_FinalMessageResponse" tp:mimetype="application/xml">
3270        <tp:NamespaceSupported tp:location="http://www.ebxml.org/schemas/FinalMessageResponse.xsd"
3271 tp:version="1.0">
3272          http://www.ebxml.org/schemas/FinalMessageResponse.xsd
3273        </tp:NamespaceSupported>
3274      </tp:SimplePart>
3275      <!-- An ebXML message with a SOAP Envelope only -->
3276      <tp:Packaging tp:id="Negolnit_MshSignalPackage">
3277        <tp:ProcessingCapabilities tp:parse="true" tp:generate="true"/>
3278        <tp:CompositeList>
3279          <tp:Composite tp:id="Negolnit_MshSignal" tp:mimetype="multipart/related" tp:mimeparameters="type=text/xml">
3280            <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3281          </tp:Composite>
3282        </tp:CompositeList>
3283      </tp:Packaging>
3284      <!-- An ebXML message with a SOAP Envelope plus a Offer action payload -->

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3285     <tp:Packaging tp:id="Negolnit_OfferRequestPackage">
3286       <tp:ProcessingCapabilities tp:parse="true" tp:generate="true"/>
3287       <tp:CompositeList>
3288         <tp:Composite tp:id="Negolnit_OfferRequestMsgId" tp:mimetype="multipart/related"
3289 tp:mimeparameters="type=text/xml">
3290           <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3291           <tp:Constituent tp:idref="Negolnit_OfferRequest"/>
3292         </tp:Composite>
3293       </tp:CompositeList>
3294     </tp:Packaging>
3295     <!-- An ebXML message with a SOAP Envelope plus a offer response action payload -->
3296     <tp:Packaging tp:id="Negolnit_OfferResponsePackage">
3297       <tp:ProcessingCapabilities tp:parse="true" tp:generate="true"/>
3298       <tp:CompositeList>
3299         <tp:Composite tp:id="Negolnit_OfferResponseAcceptMsgId" tp:mimetype="multipart/related"
3300 tp:mimeparameters="type=text/xml">
3301           <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3302           <tp:Constituent tp:idref="Negolnit_OfferAccept"/>
3303         </tp:Composite>
3304       </tp:CompositeList>
3305       <tp:CompositeList>
3306         <tp:Composite tp:id="Negolnit_OfferResponseRejectMsgId" tp:mimetype="multipart/related"
3307 tp:mimeparameters="type=text/xml">
3308           <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3309           <tp:Constituent tp:idref="Negolnit_OfferReject"/>
3310         </tp:Composite>
3311       </tp:CompositeList>
3312       <tp:CompositeList>
3313         <tp:Composite tp:id="Negolnit_OfferResponsePendingMsgId" tp:mimetype="multipart/related"
3314 tp:mimeparameters="type=text/xml">
3315           <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3316           <tp:Constituent tp:idref="Negolnit_OfferCounterPending"/>
3317         </tp:Composite>
3318       </tp:CompositeList>
3319     </tp:Packaging>
3320     <!-- An ebXML message with a SOAP Envelope plus a counter offer request action payload -->
3321     <tp:Packaging tp:id="Negolnit_CounterOfferRequestPackage">
3322       <tp:ProcessingCapabilities tp:parse="true" tp:generate="true"/>
3323       <tp:CompositeList>
3324         <tp:Composite tp:id="Negolnit_CounterOfferRequestMsgId" tp:mimetype="multipart/related"
3325 tp:mimeparameters="type=text/xml">
3326           <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3327           <tp:Constituent tp:idref="Negolnit_CounterOfferRequest"/>
3328         </tp:Composite>
3329       </tp:CompositeList>
3330     </tp:Packaging>
3331     <!-- An ebXML message with a SOAP Envelope plus a counter offer response action payload -->
3332     <tp:Packaging tp:id="Negolnit_CounterOfferResponsePackage">
3333       <tp:ProcessingCapabilities tp:parse="true" tp:generate="true"/>
3334       <tp:CompositeList>
3335         <tp:Composite tp:id="Negolnit_CounterOfferResponseAcceptMsgId" tp:mimetype="multipart/related"
3336 tp:mimeparameters="type=text/xml">
3337           <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3338           <tp:Constituent tp:idref="Negolnit_OfferAccept"/>
3339         </tp:Composite>
3340       </tp:CompositeList>
3341       <tp:CompositeList>
3342         <tp:Composite tp:id="Negolnit_CounterOfferResponseRejectMsgId" tp:mimetype="multipart/related"
3343 tp:mimeparameters="type=text/xml">
3344           <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3345           <tp:Constituent tp:idref="Negolnit_OfferReject"/>
3346         </tp:Composite>
3347       </tp:CompositeList>
3348       <tp:CompositeList>
3349         <tp:Composite tp:id="Negolnit_CounterOfferResponsePendingMsgId" tp:mimetype="multipart/related"
3350 tp:mimeparameters="type=text/xml">

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3351         <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3352         <tp:Constituent tp:idref="Negolnit_OfferCounterPending"/>
3353     </tp:Composite>
3354 </tp:CompositeList>
3355 </tp:Packaging>
3356 <!-- An ebXML message with a SOAP Envelope plus a Receipt Acknowledgment payload -->
3357 <tp:Packaging tp:id="Negolnit_ReceiptAcknowledgmentPackage">
3358     <tp:ProcessingCapabilities tp:parse="true" tp:generate="true"/>
3359     <tp:CompositeList>
3360         <tp:Composite tp:id="Negolnit_ReceiptAcknowledgmentMsg" tp:mimetype="multipart/related"
3361 tp:mimeparameters="type=text/xml">
3362             <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3363             <tp:Constituent tp:idref="Negolnit_ReceiptAcknowledgment"/>
3364         </tp:Composite>
3365     </tp:CompositeList>
3366 </tp:Packaging>
3367 <tp:Packaging tp:id="NegoResp_ReceiptAcknowledgmentPackage">
3368     <tp:ProcessingCapabilities tp:parse="true" tp:generate="true"/>
3369     <tp:CompositeList>
3370         <tp:Composite tp:id="NegoResp_ReceiptAcknowledgmentMsg" tp:mimetype="multipart/related"
3371 tp:mimeparameters="type=text/xml">
3372             <tp:Constituent tp:idref="NegoResp_MsgHdr"/>
3373             <tp:Constituent tp:idref="NegoResp_ReceiptAcknowledgment"/>
3374         </tp:Composite>
3375     </tp:CompositeList>
3376 </tp:Packaging>
3377 <!-- An ebXML message with a SOAP Envelope plus an Exception payload -->
3378 <tp:Packaging tp:id="Negolnit_ExceptionPackage">
3379     <tp:ProcessingCapabilities tp:parse="true" tp:generate="true"/>
3380     <tp:CompositeList>
3381         <tp:Composite tp:id="Negolnit_ExceptionMsg" tp:mimetype="multipart/related"
3382 tp:mimeparameters="type=text/xml">
3383             <tp:Constituent tp:idref="Negolnit_MsgHdr"/>
3384             <tp:Constituent tp:idref="Negolnit_Exception"/>
3385         </tp:Composite>
3386     </tp:CompositeList>
3387 </tp:Packaging>
3388 <tp:Packaging tp:id="NegoResp_ExceptionPackage">
3389     <tp:ProcessingCapabilities tp:parse="true" tp:generate="true"/>
3390     <tp:CompositeList>
3391         <tp:Composite tp:id="NegoResp_ExceptionMsg" tp:mimetype="multipart/related"
3392 tp:mimeparameters="type=text/xml">
3393             <tp:Constituent tp:idref="NegoResp_MsgHdr"/>
3394             <tp:Constituent tp:idref="NegoResp_Exception"/>
3395         </tp:Composite>
3396     </tp:CompositeList>
3397 </tp:Packaging>
3398 <tp:Comment xml:lang="en-US">CPA negotiation between Negolnit.com and NegoResp.com</tp:Comment>
3399 </tp:CollaborationProtocolAgreement>

```

## Appendix D BPSS Instance Document for Automated Negotiation (Normative)

The text file for this example of the BPSS instance document for automated negotiation is available at:

```
<?xml version="1.0" encoding="UTF-8"?>
<ProcessSpecification xmlns="http://www.ebxml.org/BusinessProcess" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance" xsi:schemaLocation="http://www.ebxml.org/BusinessProcess ebBPSS1.04.xsd" name="CPPA-Negotiation"
uuid="bpid:ebXML:CPPA-Negotiation" version="R02.00">
  <Documentation>This business process describes CPPA negotiation process</Documentation>
  <!-- CPA Offer Document -->
  <BusinessDocument name="CPA Offer Doc" nameID="CPA_Offer_Doc"/>
  <!-- CPA Accept Offer Document -->
  <BusinessDocument name="CPA Accept Offer Doc" nameID="CPA_Accept_Offer_Doc"/>
  <!-- CPA Counter Pending Offer Document -->
  <BusinessDocument name="CPA Counter Pending Offer Doc" nameID="CPA_Counter_Pending_Offer_Doc"/>
  <!-- CPA Counter Offer Document -->
  <BusinessDocument name="CPA Counter Offer Doc" nameID="CPA_Counter_Offer_Doc"/>
  <!-- CPA Reject Offer Document -->
  <BusinessDocument name="CPA Reject Offer Doc" nameID="CPA_Reject_Offer_Doc"/>
  <!-- Changed 09/16 CPA Document. This will probably come from the CPA specification -->
  <BusinessDocument name="CPA Final Doc" nameID="CPA_Final_Doc"/>
  <!-- Changed 09/16 . Response to final CPA Document. This will probably come from the CPA specification
  This is used when the CPA is not signed just to show acceptance or denial of final CPA -->
  <BusinessDocument name="CPA Final Response DOC" nameID="CPA_Final_Response_Doc"/>
  <!-- Changed 09/16 . Response to final CPA Document which is signed and agreed to create a double signed CPA.
  Receiving party will create a certificate over the signed CPA and sent that. This will probably come from the CPA
  specification -->
  <BusinessDocument name="CPA Final Response DOC Signed" nameID="CPA_Final_Response_Doc_Signed"/>
  <!-- Changed 09/16. Business Transaction for sending the CPA. This CPA is sent by the party finally accepting the offer.
  -->
  <BusinessTransaction name="CPA Final BT" nameID="CPA_Final_BT">
    <RequestingBusinessActivity name="Final_CPA_BT_ReqBA" nameID="Final_CPA_BT_ReqBA"
    isAuthorizationRequired="false" isIntelligibleCheckRequired="false" isNonRepudiationReceiptRequired="false"
    isNonRepudiationRequired="false">
      <DocumentEnvelope businessDocument="CPA Final Doc" businessDocumentIDRef="CPA_Final_Doc"
      isAuthenticated="none" isConfidential="none" isTamperProof="none"/>
    </RequestingBusinessActivity>
    <RespondingBusinessActivity name="Final_CPA_BT_RespBA" nameID="Final_CPA_BT_RespBA"
    isAuthorizationRequired="false" isIntelligibleCheckRequired="false" isNonRepudiationRequired="false">
      <DocumentEnvelope businessDocument="CPA Final Response Doc"
      businessDocumentIDRef="CPA_Final_Response_Doc" isAuthenticated="none" isConfidential="none"
      isPositiveResponse="true" isTamperProof="none"/>
      <DocumentEnvelope businessDocument="CPA Final Response Doc Signed"
      businessDocumentIDRef="CPA_Final_Response_Doc_Signed" isAuthenticated="none" isConfidential="none"
      isPositiveResponse="true" isTamperProof="none"/>
    </RespondingBusinessActivity>
  </BusinessTransaction>
  <!-- Business Transaction for the original negotiation cppa -->
  <BusinessTransaction name="CPA Offer BT" nameID="CPA_Offer_BT">
    <RequestingBusinessActivity name="CPA_Offer_BT_ReqBA" nameID="CPA_Offer_BT_ReqBA"
    isAuthorizationRequired="false" isIntelligibleCheckRequired="false" isNonRepudiationReceiptRequired="false"
    isNonRepudiationRequired="false">
      <DocumentEnvelope businessDocument="CPA Offer Doc" businessDocumentIDRef="CPA_Offer_Doc"
      isAuthenticated="none" isConfidential="none" isTamperProof="none"/>
    </RequestingBusinessActivity>
    <RespondingBusinessActivity name="CPA_Offer_BT_RespBA" nameID="CPA_Offer_BT_RespBA"
    isAuthorizationRequired="false" isIntelligibleCheckRequired="false" isNonRepudiationRequired="false">
      <DocumentEnvelope businessDocument="CPA Accept Offer Doc"
      businessDocumentIDRef="CPA_Accept_Offer_Doc" isAuthenticated="none" isConfidential="none"
```



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3460 isPositiveResponse="true" isTamperProof="none"/>
3461 <DocumentEnvelope businessDocument="CPA Reject Offer Doc"
3462 businessDocumentIDRef="CPA_Reject_Offer_Doc" isAuthenticated="none" isConfidential="none"
3463 isPositiveResponse="false" isTamperProof="none"/>
3464 <DocumentEnvelope businessDocument="CPA Counter Pending Offer Doc"
3465 businessDocumentIDRef="CPA_Counter_Pending_Offer_Doc" isAuthenticated="none" isConfidential="none"
3466 isPositiveResponse="true" isTamperProof="none"/>
3467 </RespondingBusinessActivity>
3468 </BusinessTransaction>
3469 <!-- Business Transaction for sending the counter offer -->
3470 <BusinessTransaction name="CPA Counter Offer BT" nameID="CPA_Counter_Offer_BT">
3471 <RequestingBusinessActivity name="CPA_Counter_Offer_BT_ReqBA" nameID="CPA_Counter_Offer_BT_ReqBA"
3472 isAuthorizationRequired="false" isIntelligibleCheckRequired="false" isNonRepudiationReceiptRequired="false"
3473 isNonRepudiationRequired="false">
3474 <DocumentEnvelope businessDocument="CPA Counter Offer Doc"
3475 businessDocumentIDRef="CPA_Counter_Offer_Doc" isAuthenticated="none" isConfidential="none"
3476 isTamperProof="none"/>
3477 </RequestingBusinessActivity>
3478 <RespondingBusinessActivity name="CPA_Counter_Offer_BT_RespBA"
3479 nameID="CPA_Counter_Offer_BT_RespBA" isAuthorizationRequired="false" isIntelligibleCheckRequired="false"
3480 isNonRepudiationRequired="false">
3481 <DocumentEnvelope businessDocument="CPA Accept Offer Doc"
3482 businessDocumentIDRef="CPA_Accept_Offer_Doc" isAuthenticated="none" isConfidential="none"
3483 isPositiveResponse="true" isTamperProof="none"/>
3484 <DocumentEnvelope businessDocument="CPA Reject Offer Doc"
3485 businessDocumentIDRef="CPA_Reject_Offer_Doc" isAuthenticated="none" isConfidential="none"
3486 isPositiveResponse="false" isTamperProof="none"/>
3487 <DocumentEnvelope businessDocument="CPA Counter Pending Offer Doc"
3488 businessDocumentIDRef="CPA_Counter_Pending_Offer_Doc" isAuthenticated="none" isConfidential="none"
3489 isPositiveResponse="true" isTamperProof="none"/>
3490 </RespondingBusinessActivity>
3491 </BusinessTransaction>
3492 <!-- Main collaboration for negotiation business process -->
3493 <BinaryCollaboration name="CPA Negotiation BC" nameID="CPA_Negotiation_BC"
3494 initiatingRole="CPA_Negotiation_Initiator_Role">
3495 <!-- Role for initiator for negotiation process -->
3496 <Role name="CPA Negotiation Initiator" nameID="CPA_Negotiation_Initiator_Role"/>
3497 <!-- Role for initial responder of business collaboration -->
3498 <Role name="CPA Negotiation Responder" nameID="CPA_Negotiation_Responder_Role"/>
3499 <Start toBusinessState="CPA_Offer_BTA"/>
3500 <BusinessTransactionActivity name="CPA Offer BTA" nameID="CPA_Offer_BTA" businessTransaction="CPA Offer
3501 BT" businessTransactionIDRef="CPA_Offer_BT" fromRole="CPA Negotiation Initiator"
3502 fromRoleIDRef="CPA_Negotiation_Initiator_Role" toRole="CPA Negotiation Responder"
3503 toRoleIDRef="CPA_Negotiation_Responder_Role" isLegallyBinding="false" isConcurrent="false"/>
3504 <CollaborationActivity name="CPA Counter Offer CA" binaryCollaboration="CPA Negotiation Counter Offer BC"
3505 binaryCollaborationIDRef="CPA_Negotiation_CounterOfferBC" fromRole="CPA Negotiation Counter Offer Initiator"
3506 fromRoleIDRef="CPA_Negotiation_CounterOfferInitiator_Role" toRole="CPA Negotiation Counter Offer Responder"
3507 toRoleIDRef="CPA_Negotiation_CounterOfferResponder_Role" precondition="Initiating Role for this activity corresponds to
3508 Responding Role in CPA Offer BTA"/>
3509 <BusinessTransactionActivity name="CPA Final BTA" nameID="CPA_Final_BTA" businessTransaction="CPA Final
3510 BT" businessTransactionIDRef="CPA_Final_BT" fromRole="CPA Negotiation Responder"
3511 fromRoleIDRef="CPA_Negotiation_Responder_Role" toRole="CPA Negotiation Initiator"
3512 toRoleIDRef="CPA_Negotiation_Initiator_Role" isLegallyBinding="false" isConcurrent="false"/>
3513 <!-- If final CPA BTA goes through fine, then overall collaboration is marked success -->
3514 <Success fromBusinessState="CPA Final BTA" conditionGuard="Success"/>
3515 <!-- If inner collaboration goes through fine, then overall collaboration is marked success. Inner collaboration
3516 Would have gone through the transaction that ends up with either the final CPA (Signed if needed) -->
3517 <Success fromBusinessState="CPA Counter Offer CA" conditionGuard="Success"/>
3518 <!-- If Reject offer document is sent for offer bta collaboration is marked as failure-->
3519 <Failure fromBusinessState="CPA Offer BTA" conditionGuard="BusinessFailure">
3520 <ConditionExpression expressionLanguage="DocumentEnvelopeLanguage" expression="CPA Reject Offer
3521 Doc"/>
3522 </Failure>
3523 <!-- If Final CPA BTA fails for some reason, then collaboration is marked as failure -->
3524 <Failure fromBusinessState="CPA Final BTA" conditionGuard="Failure"/>
3525 <Failure fromBusinessState="CPA Counter Offer CA" conditionGuard="Failure"/>

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3526      <!-- Transition to Final CPA offer binary Transaction if the responder for main transaction accepts the initial offer -->
3527      <Transition fromBusinessState="CPA Offer BTA" toBusinessState="CPA Final BTA">
3528        <ConditionExpression expressionLanguage="DocumentEnvelopeLanguage" expression="CPA Accept Offer
3529      Doc"/>
3530      </Transition>
3531      <!-- Transition to counter offer binary collaboration if the responder for main transaction returns a counter offer
3532      pending message -->
3533      <Transition fromBusinessState="CPA Offer BTA" toBusinessState="CPA Counter Offer CA">
3534        <ConditionExpression expressionLanguage="DocumentEnvelopeLanguage" expression="CPA Counter Pending
3535      Offer Doc"/>
3536      </Transition>
3537    </BinaryCollaboration>
3538    <BinaryCollaboration name="CPA Negotiation Counter Offer BC" nameID="CPA_Negotiation_CounterOfferBC"
3539      initiatingRole="CPA_Negotiation_CounterOfferInitiator_Role">
3540      <Role name="CPA Negotiation Counter Offer Initiator" nameID="CPA_Negotiation_CounterOfferInitiator_Role"/>
3541      <Role name="CPA Negotiation Counter Offer Responder"
3542      nameID="CPA_Negotiation_CounterOfferResponder_Role"/>
3543      <!-- This collaboration starts with the negotiation process responder sending the counter offer -->
3544      <Start toBusinessState="CPA Counter Offer 1 BTA"/>
3545      <!-- This transaction activity is for negotiation process responder sending the counter offer -->
3546      <BusinessTransactionActivity name="CPA Counter Offer 1 BTA" nameID="CPA_Counter_Offer_1_BTA"
3547      businessTransaction="CPA Counter Offer BT" businessTransactionIDRef="CPA_Counter_Offer_BT" fromRole="CPA
3548      Negotiation Counter Offer Initiator" fromRoleIDRef="CPA_Negotiation_CounterOfferInitiator_Role" toRole="CPA Negotiation
3549      Counter Offer Non Initiator" toRoleIDRef="CPA_Negotiation_CounterOfferResponder_Role" isLegallyBinding="false"
3550      isConcurrent="false" postCondition="Parties reverse roles they play"/>
3551      <!-- This transaction activity is for negotiation process initiator sending the counter offer -->
3552      <BusinessTransactionActivity name="CPA Counter Offer 2 BTA" nameID="CPA_Counter_Offer_2_BTA"
3553      businessTransaction="CPA Counter Offer BT" businessTransactionIDRef="CPA_Counter_Offer_BT" fromRole="CPA
3554      Negotiation Counter Offer Responder" fromRoleIDRef="CPA_Negotiation_CounterOfferResponder_Role" toRole="CPA
3555      Negotiation Counter Offer Initiator" toRoleIDRef="CPA_Negotiation_CounterOfferInitiator_Role" isLegallyBinding="false"
3556      isConcurrent="false" postCondition="Parties reverse roles they play"/>
3557      <BusinessTransactionActivity name="CPA Final BTA Init Initiator" nameID="CPA_Final_BTA_init_Initiator"
3558      businessTransaction="CPA Final BT" businessTransactionIDRef="CPA_Final_BT" fromRole="CPA Negotiation Counter
3559      Offer Initiator" fromRoleIDRef="CPA_Negotiation_CounterOfferInitiator_Role" toRole="CPA Negotiation Counter Offer
3560      Responder" toRoleIDRef="CPA_Negotiation_CounterOfferResponder_Role" isLegallyBinding="false" isConcurrent="false"/>
3561      <BusinessTransactionActivity name="CPA Final BTA Init Responder" nameID="CPA_Final_BTA_init_Responder"
3562      businessTransaction="CPA Final BT" businessTransactionIDRef="CPA_Final_BT" fromRole="CPA Negotiation Counter
3563      Offer Responder" fromRoleIDRef="CPA_Negotiation_CounterOfferResponder_Role" toRole="CPA Negotiation Counter
3564      Offer Initiator" toRoleIDRef="CPA_Negotiation_CounterOfferInitiator_Role" isLegallyBinding="false" isConcurrent="false"/>
3565      <!-- Inner collaboration succeeds if the final BTA which involves sending final CPA succeeds -->
3566      <Success fromBusinessState="CPA Final BTA Init Initiator" conditionGuard="Success"/>
3567      <!-- Inner collaboration succeeds if the final BTA which involves sending final CPA succeeds. This is
3568      the same as above but the difference is this initiated by a different party -->
3569      <Success fromBusinessState="CPA Final BTA Init Responder" conditionGuard="Success"/>
3570      <!-- Inner collaboration fails if the final BTA which involves sending final CPA fails -->
3571      <Failure fromBusinessState="CPA Final BTA Init Initiator" conditionGuard="Failure"/>
3572      <!-- Inner collaboration fails if the final BTA which involves sending final CPA fails. This is
3573      the same as above but the difference is this initiated by a different party -->
3574      <Failure fromBusinessState="CPA Final BTA Init Responder" conditionGuard="Failure"/>
3575      <Failure fromBusinessState="CPA Counter Offer 1 BTA" conditionGuard="BusinessFailure">
3576        <ConditionExpression expressionLanguage="DocumentEnvelopeLanguage" expression="CPA Reject Offer
3577      Doc"/>
3578      </Failure>
3579      <Failure fromBusinessState="CPA Counter Offer 2 BTA" conditionGuard="BusinessFailure">
3580        <ConditionExpression expressionLanguage="DocumentEnvelopeLanguage" expression="CPA Reject Offer
3581      Doc"/>
3582      </Failure>
3583      <!-- If the negotiation process responder (initiator in this innercollaboration) sends an acceptance offer, negotiation
3584      process responder sends the final CPA -->
3585      <Transition fromBusinessState="CPA Counter Offer 2 BTA" toBusinessState="CPA Final BTA Init Initiator">
3586        <ConditionExpression expressionLanguage="DocumentEnvelopeLanguage" expression="CPA Accept Offer
3587      Doc"/>
3588      </Transition>
3589      <!-- If the negotiation process initiator (responder in this inner collaboration) sends an acceptance offer, negotiation
3590      process initiator sends the final CPA -->
3591      <Transition fromBusinessState="CPA Counter Offer 1 BTA" toBusinessState="CPA Final BTA Init Responder">

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```
3592         <ConditionExpression expressionLanguage="DocumentEnvelopeLanguage" expression="CPA Accept Offer
3593 Doc"/>
3594     </Transition>
3595     <!-- If the negotiation process responder sends counter offer and negotiation process initiator sends a counter offer,
3596 negotiation process initiator sends the counter offer next time -->
3597     <Transition fromBusinessState="CPA Counter Offer 1 BTA" toBusinessState="CPA Counter Offer 2 BTA">
3598         <ConditionExpression expressionLanguage="DocumentEnvelopeLanguage" expression="CPA Counter Pending
3599 Offer Doc"/>
3600     </Transition>
3601     <!-- If the negotiation process initiator sends a counter offer and negotiation process responds sends a counter offer,
3602 negotiation process responder sends the counter offer next time, hence the transition back to original BTA-->
3603     <Transition fromBusinessState="CPA Counter Offer 2 BTA" toBusinessState="CPA Counter Offer 1 BTA">
3604         <ConditionExpression expressionLanguage="DocumentEnvelopeLanguage" expression="CPA Counter Pending
3605 Offer Doc"/>
3606     </Transition>
3607     </BinaryCollaboration>
3608 </ProcessSpecification>
```

3609 **Appendix E Instance Documents for Business Signals**

3610 The XML Schemas of the business signals are defined in [ebBPSS].

3611 **Acceptance Acknowledgment**

3612 The instance document for the AcceptanceAcknowledgment business signal is available as a text  
3613 file at:

3614 **Exception**

3615 The instance document for the Exception business signal is available as a text file at:

## Appendix F Example of NDD Instance Document (Non-Normative)

The text file for this example of an *NDD* instance document for automated negotiation is available at:

```
<?xml version="1.0" encoding="UTF-8"?>
<!-- edited with XML Spy v4.4 U (http://www.xmlspy.com) by neelakantan kartha (Sterling Commerce) -->
<NegotiationDescriptor xmlns="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-negot-2_0.xsd"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://www.oasis-
  open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd
  NDD1.xsd" xmlns:xsd="http://www.w3.org/2001/XMLSchema" documentLocation="C:\Documents and
  Settings\neelakarth\My Documents\ebxml\negotiation\cpa-example-2_0a.xml">
  <!-- The value of cpaid might be negotiable, since a party might require that the cpaid conform to a particular format.
  However, automatic negotiation on the values is difficult. For instance, how does a party convey to the other party the kinds
  of cpaid that it deems o.k? Without this information being conveyed somehow, it will be difficult to come to agreement
  automatically. Fortunately, this issue pertains to the negotiation algorithm and not the NDD. For version 1, we can stipulate
  that the cpaid must be URI -->
  <NegotiableInformationItem xpath="/CollaborationProtocolAgreement/@cpaid">
    <Value/>
  </NegotiableInformationItem>
  <!-- Versions might be negotiable, since one party might have a product that conforms to an earlier version of the spec.
  By an OrderedValue, it is implied that there is a preference to the earlier values or later values, as given by the attribute
  preferenceOrder-->
  <NegotiableInformationItem xpath="/CollaborationProtocolAgreement/@version">
    <OrderedValue preference="EarlierPreferred">
      <Value> 1.0 </Value>
      <Value> 2_0.a </Value>
    </OrderedValue>
  </NegotiableInformationItem>
  <!-- The value can be one of the following three: proposed, agreed and signed. However, I do not think that this attribute
  is negotiated-rather one party might set the value of this attribute to agreed, once it is satisfied that a satisfactory CPA has
  been reached. Again, how and when this attribute is set seems part of the negotiation algorithm.
  Also, the possible values of this attribute are part of the CPPA schema-hence there is no need to repeat them here -->
  <NegotiableInformationItem xpath="/CollaborationProtocolAgreement/Status/@value">
    <Value/>
  </NegotiableInformationItem>
  <!-- Here, I am taking the (simplistic) assumption that each party specifies the earliest time for starting and the latest time
  for ending the Start element (that specifies the Starting Date and Time for the CPA). No preference function is given. See the
  next entry for an example of how one would encode a piecewise linear preference function. -->
  <NegotiableInformationItem xpath="/CollaborationProtocolAgreement/Start">
    <ValueWithPreferenceMeasure>
      <Endpoints>
        <EarliestStart> 1998-04-07T18:39:09Z </EarliestStart>
        <LatestEnd>2002-11-31T13:20:00.000-05:00 </LatestEnd>
      </Endpoints>
    </ValueWithPreferenceMeasure>
  </NegotiableInformationItem>
  <!-- Nothing new here, when compared to the previous NegotiableInformationItem except that this gives an example of a
  piecewise linear preference function. I suspect that this might be over engineering at this point -->
  <NegotiableInformationItem xpath="/CollaborationProtocolAgreement/End">
    <ValueWithPreferenceMeasure>
      <Endpoints>
        <EarliestStart> 1998-04-07T18:39:09Z </EarliestStart>
        <LatestEnd>2002-11-31T13:20:00.000-05:00 </LatestEnd>
      </Endpoints>
      <PreferenceFunction>
        <PiecewiseLinearPiece>
          <x1>1998-04-07T18:39:09Z </x1>
          <y1>15</y1>
          <x1>2000-11-31T13:20:00.000-05:00 </x1>
```

```

3676         <y1>30</y1>
3677     </PiecewiseLinearPiece>
3678     <PiecewiseLinearPiece>
3679         <x1>2000-11-31T13:20:00.000-05:00 </x1>
3680         <y1>30</y1>
3681         <x1>2002-11-31T13:20:00.000-05:00 </x1>
3682         <y1>300</y1>
3683     </PiecewiseLinearPiece>
3684 </PreferenceFunction>
3685 </ValueWithPreferenceMeasure>
3686 </NegotiableInformationItem>
3687 <!--Note the ConversationConstraints is an element that might be present or absent, and hence a party might negotiate
3688 the presence or absence of this element. There are four cases to consider A party (a) insists that an element must be
3689 present (b) insists that an element is absent (c) is ok with the element being present or absent, but has a preference for one
3690 or the other (d) is o.k with the element being present or absent, and is completely agnostic.-->
3691 <NegotiableInformationItem xpath="/CollaborationProtocolAgreement/ConversationConstraints">
3692     <PresentOrNot value="MustBePresent"/>
3693 </NegotiableInformationItem>
3694 <!-- Note that invocationLimit is an attribute of ConversationConstraints that may or may not be present. So first of all,
3695 the presence or absence of this attribute may be negotiable. Then, the value of this attribute may also be negotiable -->
3696 <NegotiableInformationItem xpath="/CollaborationProtocolAgreement/ConversationConstraints/@invocationLimit">
3697     <IntegerValues>
3698         <RangeInfo preferenceOrder="SmallerPreferred">
3699             <EndPoints>
3700                 <SmallestValue>1</SmallestValue>
3701                 <LatestValue>5</LatestValue>
3702             </EndPoints>
3703         </RangeInfo>
3704     </IntegerValues>
3705 </NegotiableInformationItem>
3706 <!--concurrentConversations is similar to invocationLimit. I am including this just for the sake of illustrating another use of
3707 the schema -->
3708 <NegotiableInformationItem
3709 xpath="/CollaborationProtocolAgreement/ConversationConstraints/@concurrentConversations">
3710     <IntegerValues>
3711         <PresentOrNot value="MustBePresent"/>
3712         <RangeInfo>
3713             <EndPoints>
3714                 <SmallestValue>2</SmallestValue>
3715                 <LatestValue>8</LatestValue>
3716             </EndPoints>
3717             <PreferenceFunction>
3718                 <FunctionDefinedByEquation> x**2-2*x+3</FunctionDefinedByEquation>
3719             </PreferenceFunction>
3720         </RangeInfo>
3721     </IntegerValues>
3722 </NegotiableInformationItem>
3723 <!--The partyInfo element raises a number of interesting issues. In the CPA, there can be exactly two partyInfo
3724 elements, hence there is no negotiation on these once a CPA has been formed. Since this NDD refers to
3725 a CPA, there can be no negotiation on this element. We will forget this for the time being and see what would be the case if
3726 the document referred to by this NDD were a CPP. A CPP can have multiple PartyInfo elements and one among these must
3727 be chosen to form the CPA. Thus the issue here is to associate a preference order between several elements at the same
3728 level. A simple way of doing this in a CPP is as follows: -->
3729 <NegotiableInformationItem xpath="/CollaborationProtocolProfile/PartyInfo[2]">
3730     <Preference value="1"/>
3731 </NegotiableInformationItem>
3732 <NegotiableInformationItem xpath="/CollaborationProtocolProfile/PartyInfo[1]">
3733     <Preference value="3"/>
3734 </NegotiableInformationItem>
3735 <NegotiableInformationItem xpath="/CollaborationProtocolProfile/PartyInfo[3]">
3736     <Preference value="2"/>
3737 </NegotiableInformationItem>
3738 <!--This is included to provide an example where the cardinality of an element may be negotiable. It might be the case
3739 that the number of PartyId elements within a partyInfo element is negotiable (because, say of limitations the underlying
3740 system has of handling a large number of partyIds) -->
3741 <NegotiableInformationItem xpath="/CollaborationProtocolAgreement/PartyInfo/PartyId">

```

```

3742     <Cardinality>
3743     <RangeInfo>
3744     <EndPoints>
3745     <SmallestValue>1</SmallestValue>
3746     <LatestValue>5</LatestValue>
3747     </EndPoints>
3748     </RangeInfo>
3749     </Cardinality>
3750   </NegotiableInformationItem>
3751   <!--Example of a boolean value-->
3752   <NegotiableInformationItem
3753   xpath="/CollaborationProtocolAgreement/PartyInfo/CollaborationRole/ServiceBinding/Service/CanSend/ThisPartyActionBind
3754   ing/BusinessTransactionCharacteristics/@isNonRepudiationRequired">
3755     <BooleanValue preference="TruePreferred">
3756     <PresentOrNot value="MustBePresent"/>
3757     </BooleanValue>
3758   </NegotiableInformationItem>
3759   <!--Example of negotiating a duration-->
3760   <NegotiableInformationItem
3761   xpath="/CollaborationProtocolAgreement/PartyInfo/CollaborationRole/ServiceBinding/Service/CanSend/ThisPartyActionBind
3762   ing/BusinessTransactionCharacteristics/@timeToAcknowledgeReceipt">
3763     <DurationWithPreference preferenceOrder="SmallerPreferred">
3764     <MinimumDuration> PT5M </MinimumDuration>
3765     <MaximumDuration>PT6M</MaximumDuration>
3766     </DurationWithPreference>
3767   </NegotiableInformationItem>
3768   <!--This is how would express that one element of an enumeration must be present. Note that the possible values of the
3769   enumeration is defined in the cpp-cpa schema and need not be repeated here-->
3770   <NegotiableInformationItem
3771   xpath="/CollaborationProtocolAgreement/PartyInfo/DeliveryChannel/MessagingCharactersits/@syncReplyMode">
3772     <OrderedValue>
3773     <PresentOrNot value="MustBePresent"/>
3774     <Value> signalsOnly</Value>
3775     </OrderedValue>
3776   </NegotiableInformationItem>
3777 </NegotiationDescriptor>
3778 <!--Notes
3779 0. The top element of an NDD document is named NegotiationDescriptor. The NegotiationDescriptor element contains
3780 NegotiationInformationItem elements for each item that is negotiable. (
3781 1. The documentLocation attribute of NegotiationDescriptor element is a uri that points to the document for which this >
3782 NDD document pertains to. In particular, the xpath attribute of a NegotiableInformationItem element is an xpath of
3783 this document. The documentLocation attribute is a required attribute.
3784
3785
3786
3787

```

#### Non-Negotiable elements and Attributes

=====

1. CollaborationProtocolAgreement
2. CollaborationProtocolAgreement/@schemaLocation
3. CollaborationProtocolAgreement/Status
4. CollaborationProtocolAgreement/PartyInfo/@partyName (Since this is set by each party, it is difficult to see how this would be negotiable. If it is, it would be similar to /CollaborationProtocolAgreement/@cpaid)
5. CollaborationProtocolAgreement/PartyInfo/@defaultMshChannelId and CollaborationProtocolAgreement/PartyInfo/@defaultMsPackageId (Again, if these are negotiable, it would be as a result of the negotiation algorithm recognizing that the default values are not reasonable. Again, only the value can be negotiated, as in /CollaborationProtocolAgreement/@cpaid)
6. CollaborationProtocolAgreement/PartyInfo/PartyRef/@xlink:type(always simple)
7. CollaborationProtocolAgreement/PartyInfo/CollaborationRole/ProcessSpecification
8. CollaborationProtocolAgreement/PartyInfo/CollaborationRole/ProcessSpecification@name
9. CollaborationProtocolAgreement/PartyInfo/CollaborationRole/ProcessSpecification@xlink:type (always simple)
10. CollaborationProtocolAgreement/PartyInfo/CollaborationRole/ProcessSpecification/ds:Reference/ds:Trasforms/ds:Transform /@ds:Algorithm (fixed by the spec)

Elements and attributes similar to others in the sample

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Negotiation.spec.20Jan03.doc

3808 =====  
3809 0. CollaborationProtocolAgreement/PartyInfo/PartyId/@type (similar to /CollaborationProtocolAgreement/@version", with an  
3810 enumeration that enumerates the range of understood naming systems)  
3811 1. CollaborationProtocolAgreement/PartyInfo/PartyRef (similar to CollaborationProtocolAgreement/PartyInfo/PartyId)  
3812 2. CollaborationProtocolAgreement/PartyInfo/PartyRef/@xlink:href (similar to /CollaborationProtocolAgreement/@cpaid)  
3813 3. CollaborationProtocolAgreement/PartyInfo/PartyRef/@type (similar to CollaborationProtocolAgreement/@version)  
3814 4. CollaborationProtocolAgreement/PartyInfo/PartyRef/@schemaLocation  
3815 (similar to /CollaborationProtocolAgreement/@cpaid)  
3816  
3817 5. CollaborationProtocolAgreement/PartyInfo/CollaborationRole (similar to negotiating the cardinality of  
3818 /CollaborationProtocolAgreement/PartyInfo/PartyId)  
3819  
3820 6. CollaborationProtocolAgreement/PartyInfo/CollaborationRole/ProcessSpecification@version (similar to  
3821 /CollaborationProtocolAgreement/@version)  
3822  
3823 7. CollaborationProtocolAgreement/PartyInfo/CollaborationRole/ProcessSpecification@xlink:href and uuid  
3824 ((similar to /CollaborationProtocolAgreement/@cpaid)  
3825  
3826  
3827 !-->

## Appendix G Examples of Negotiation-Message Instance Documents (Non-Normative)

### Example of Offer Message Instance Document

The text file for the example of the offer *Message* instance document is available at:

```
<?xml version="1.0" encoding="UTF-8"?>
<tp:NegotiationMessage xmlns:tp="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpa-negot-1_0.xsd"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:cppa="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd"
  xsi:schemaLocation="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpa-negot-1_0.xsd
  negotiationMsg.xsd"
  businessMsgId="busMsg001" binding="false" negotiationDialogId="negotDialog001" offerId="offer001"
  messageType="Offer">
  <tp:NCPA uri="http://www.companya.com/ncpa/myncpa.xml"/>
  <tp:CPATemplateId id="uri:companyA-and-companyB-CPA1" cppa:version="1.0"/>
  <cppa:SecurityDetails cppa:securityId="ID">
    <cppa:SecurityPolicy></cppa:SecurityPolicy>
  </cppa:SecurityDetails>
  <tp:InitiatingParty>
    <cppa:PartyId cppa:type="urn:oasis:names:tc:ebxml-cppa:partyid-type:duns">123456789</cppa:PartyId>
    <CPPIId id="companya-cpp123456789" cppa:version="1.0"/>
  </tp:InitiatingParty>
  <tp:RespondingParty>
    <cppa:PartyId cppa:type="urn:oasis:names:tc:ebxml-cppa:partyid-type:duns">987654321</cppa:PartyId>
    <CPPIId id="companyb-cpp987654321" cppa:version="1.0"/>
  </tp:RespondingParty>
  <tp:BPSSBusinessDocumentName name="CPA Offer Doc"></tp:BPSSBusinessDocumentName>
  <ExpirationDate>2002-12-20T00:00:00Z</ExpirationDate>
  <tp:BusinessDocuments>
    <CPATemplateDoc>
      <NDD>
        <Uri>http://www.companya.com/proposedncpa/ncpa.xml</Uri>
      </NDD>
      <CPATemplate>
        <Uri>http://www.companya.com/proposedcpa/companya-companyb-cpa1234.xml</Uri>
      </CPATemplate>
    </CPATemplateDoc>
  </tp:BusinessDocuments>
  <tp:NegotiationContent>
    <tp:AcceptedItem xpath="/" />
  </tp:NegotiationContent>
</tp:NegotiationMessage>
```

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### Example of Counter-Offer Message Instance Document

The text file for the example of the counter-offer *Message* instance document is available at:

```
<?xml version="1.0" encoding="UTF-8"?>
<tp:NegotiationMessage xmlns:tp="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpa-negot-1_0.xsd"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:cppa="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpp-cpa-2_0.xsd"
```

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```

3881  xsi:schemaLocation="http://www.oasis-open.org/committees/ebxml-cppa/schema/cpa-negot-1_0.xsd
3882  negotiationMsg.xsd"
3883  businessMsgId="busMsg002" binding="false" inresponseTo="busMsg001" negotiationDialogId="negotDialog001"
3884  offerId="offer001" messageType="CounterOffer">
3885    <tp:NCPA uri="http://www.companya.com/ncpa/myncpa.xml"/>
3886    <tp:CPATemplateId id="uri:companyA-and-companyB-CPA1" cppa:version="1.0"/>
3887    <cppa:SecurityDetails cppa:securityId="ID">
3888      <cppa:SecurityPolicy></cppa:SecurityPolicy>
3889    </cppa:SecurityDetails>
3890    <tp:InitiatingParty>
3891      <cppa:PartyId cppa:type="urn:oasis:names:tc:ebxml-cppa:partyid-type:duns">123456789</cppa:PartyId>
3892      <CPPId id="companya-cpp123456789" cppa:version="1.0"/>
3893    </tp:InitiatingParty>
3894    <tp:RespondingParty>
3895      <cppa:PartyId cppa:type="urn:oasis:names:tc:ebxml-cppa:partyid-type:duns">987654321</cppa:PartyId>
3896      <CPPId id="companyb-cpp987654321" cppa:version="1.0"/>
3897    </tp:RespondingParty>
3898    <tp:BPSSBusinessDocumentName name="CPA Counter Offer Doc"></tp:BPSSBusinessDocumentName>
3899    <ExpirationDate>2002-12-20T00:00:00Z</ExpirationDate>
3900    <tp:BusinessDocuments>
3901      <CPATemplateDoc>
3902        <NDD>
3903          <Uri>http://www.companyb.com/proposedncpa/ncpa.xml</Uri>
3904        </NDD>
3905      <CPATemplate>
3906        <Uri>http://www.companyb.com/proposedcpa/companya-companyb-cpa1234.xml</Uri>
3907      </CPATemplate>
3908    </tp:BusinessDocuments>
3909    <tp:NegotiationContent>
3910      <tp:AcceptedItem xpath="/CollaborationProtocolAgreement/PartyInfo[0]"/>
3911      <tp:AcceptedItem xpath="/CollaborationProtocolAgreement/PartyInfo[1]/Certificate"/>
3912      <tp:AcceptedItem xpath="/CollaborationProtocolAgreement/PartyInfo[1]/SecurityDetails"/>
3913      <tp:AcceptedItem xpath="/CollaborationProtocolAgreement/PartyInfo[1]/DeliveryChannel"/>
3914      <tp:AcceptedItem xpath="/CollaborationProtocolAgreement/PartyInfo[1]/Transport"/>
3915      <tp:AcceptedItem xpath="/CollaborationProtocolAgreement/PartyInfo[1]/DocExchange"/>
3916      <tp:UpdatedItem
3917        <tp:UpdatedItem
3918          xpath="/CollaborationProtocolAgreement/PartyInfo[1]/CollaborationRole/ServiceBinding/Cansend[0]/ThisPartyAction
3919          Binding/BusinessTransactionCharacteristics@IsNonRepudiationRequired"
3920          originalValue="true"
3921          proposedValue="false"/>
3922      </tp:NegotiationContent>
3923    </tp:NegotiationMessage>

```



## Appendix H Glossary of Terms

This appendix contains definitions of terms created by this specification. For definitions of terms created by the CPPA Specification[ebCPP] and related terms that are part of the general ebXML vocabulary, see [ebCPP].

**CPA Negotiation Process:** The process by which a *Collaboration Protocol Agreement (CPA)* is formed based on information provided by two *Parties* interested doing *Business*. The *Negotiation Process* includes the *Negotiation Protocol*, defined in this specification, and the private negotiation process at each *Party*.

**CPA Template:** A *CPA Template* is a *CPA* with open fields. The schema for a *CPA Template* is the normal *CPP-CPA* schema. The means of identifying open fields in the *CPA Template* is defined in this specification.

**Negotiation BPSS Instance Document:** The XML instance document that defines the *Negotiation-Protocol* choreography. This XML instance document conforms to the ebXML *Business Process Specification Schema* specification[ebBPSS].

**Negotiation CPA (NCPA):** The *CPA* that governs the *Negotiation Protocol*.

**Negotiation Descriptor Document (NDD):** A *Negotiation Descriptor Document (NDD)* describes what is negotiable in a *CPP* or a *CPA Template*.

**Negotiation Dialog:** A single instance of the *Negotiation Protocol* that negotiates one *CPA* from the initial proposal until the *CPA* is successfully completed or the negotiation terminates without success.

**Negotiation-Dialog Identifier:** A unique identifier that distinguishes each *Negotiation Dialog* from all others that may be in progress between two *Parties*.

**Negotiation Message:** The *Negotiation Protocol* consists of exchanges of *Messages* that contain the details of offers and counter offers. This specification defines the schema and semantics of each *Message*.

**Negotiation Protocol:** The *Negotiation Protocol* defines the exchange of data between both parties in the negotiation (and perhaps with a negotiation service). The format of these *Messages* and the choreography of their exchanges are defined by a *Negotiation CPA* and its corresponding BPSS instance document.

**Offer Identifier:** The *Offer Identifier* is a unique identifier associated with each offer and counter offer.