



Creating A Single Global Electronic Market

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5	OASIS/ebXML Registry Information Model v2.1
6	Approved Committee Specification
7	OASIS/ebXML Registry Technical Committee
8	June 2002
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2 OASIS/ebXML Registry Technical Committee

This document has been approved by the OASIS ebXML Registry TC as version 2.1.

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52 53	Table of Contents					
54	1 ST	TATUS OF THIS DOCUMENT	1			
55	2 OA	ASIS/EBXML REGISTRY TECHNICAL COMMITTEE	2			
56	2.1	Contributors				
57	3 IN	TRODUCTION				
58	3.1	SUMMARY OF CONTENTS OF DOCUMENT	8			
59	3.2	GENERAL CONVENTIONS				
60	3.2	2.1 Naming Conventions				
61	3.3	AUDIENCE				
62	3.4	RELATED DOCUMENTS	9			
63	4 DI	ESIGN OBJECTIVES	9			
64	4.1	Goals	9			
65	5 SY	STEM OVERVIEW	10			
66	5.1	Role of ebXML <i>Registry</i>				
67	5.2	REGISTRY SERVICES				
68	5.3	WHAT THE REGISTRY INFORMATION MODEL DOES				
69	5.4	HOW THE REGISTRY INFORMATION MODEL WORKS				
70	5.5	WHERE THE REGISTRY INFORMATION MODEL MAY BE IMPLEMENTED	10			
71	5.6	CONFORMANCE TO AN EBXML REGISTRY	11			
72	6 RI	EGISTRY INFORMATION MODEL: HIGH LEVEL PUBLIC VIEW	11			
73	6.1	RegistryObject	12			
74	6.2	SLOT	12			
75	6.3	ASSOCIATION	12			
76	6.4	ExternalIdentifier	12			
77	6.5	ExternalLink	12			
78	6.6	CLASSIFICATIONSCHEME	12			
79	6.7	CLASSIFICATIONNODE	13			
80	6.8	CLASSIFICATION				
81	6.9	REGISTRYPACKAGE	13			
82	6.10	AuditableEvent				
83	6.11	User				
84	6.12	POSTALADDRESS				
85	6.13	EmailAddress				
86	6.14	ORGANIZATION				
87	6.15	SERVICE				
88	6.16	SERVICEBINDING				
89	6.17	SPECIFICATIONLINK	14			

90	7 REGISTRY INFORMATION MODEL: DETAIL VIEW	14
91	7.1 ATTRIBUTE AND METHODS OF INFORMATION MODEL CLASSES	15
92	7.2 Data Types	
93	7.3 Internationalization (I18N) Support	16
94	7.3.1 Class InternationalString	16
95	7.3.2 Class LocalizedString	17
96	7.4 Class RegistryObject	17
97	7.4.1 Attribute Summary	18
98	7.4.2 Attribute accessControlPolicy	18
99	7.4.3 Attribute description	18
100	7.4.4 Attribute id	19
101	7.4.5 Attribute name	19
102	7.4.6 Attribute objectType	19
103	7.4.7 Method Summary	20
104	7.5 CLASS REGISTRYENTRY	21
105	7.5.1 Attribute Summary	21
106	7.5.2 Attribute expiration	22
107	7.5.3 Attribute majorVersion	22
108	7.5.4 Attribute minorVersion	22
109	7.5.5 Attribute stability	22
110	7.5.6 Attribute status	23
111	7.5.7 Attribute userVersion	23
112	7.6 Class Slot	23
113	7.6.1 Attribute Summary	23
114	7.6.2 Attribute name	24
115	7.6.3 Attribute slotType	24
116	7.6.4 Attribute values	24
117	7.7 CLASS EXTRINSICOBJECT	24
118	7.7.1 Attribute Summary	24
119	7.7.2 Attribute isOpaque	25
120	7.7.3 Attribute mimeType	25
121	7.8 CLASS REGISTRYPACKAGE	25
122	7.8.1 Attribute Summary	25
123	7.8.2 <i>Method Summary</i>	25
124	7.9 Class ExternalIdentifier	25
125	7.9.1 Attribute Summary	26
126	7.9.2 Attribute identificationScheme	26
127	7.9.3 Attribute registryObject	26
128	7.9.4 Attribute value	26
129	7.10 Class ExternalLink	26
130	7.10.1 Attribute Summary	26
131	7.10.2 Attribute externalURI	27
132	7.10.3 Method Summary	27
133	8 REGISTRY AUDIT TRAIL	27
134	8.1 Class AuditableEvent	
10-	0.1 CLASS AUDITABLELVENT	41

135	8.1.1	Attribute Summary	28
136	8.1.2	Attribute eventType	
137	8.1.3	Attribute registryObject	
138	8.1.4	Attribute timestamp	
139	8.1.5	Attribute user	
140	8.2 CI	ASS USER	29
141	8.2.1	Attribute Summary	
142	8.2.2	Attribute address	29
143	8.2.3	Attribute emailAddresses	29
144	8.2.4	Attribute organization	29
145	8.2.5	Attribute personName	
146	8.2.6	Attribute telephoneNumbers	
147	8.2.7	Attribute url	
148	8.3 CI	ASS ORGANIZATION	
149	8.3.1	Attribute Summary	
150	8.3.2	Attribute address	
151	8.3.3	Attribute parent	
152	8.3.4	Attribute primaryContact	
153	8.3.5	Attribute telephoneNumbers	
154	8.4 CI	ASS POSTALADDRESS	31
155	8.4.1	Attribute Summary	31
156	8.4.2	Attribute city	31
157	8.4.3	Attribute country	31
158	8.4.4	Attribute postalCode	31
159	8.4.5	Attribute state	31
160	8.4.6	Attribute street	31
161	8.4.7	Attribute streetNumber	31
162	8.4.8	Method Summary	32
163	8.5 CI	ASS TELEPHONENUMBER	
164	8.5.1	Attribute Summary	32
165	8.5.2	Attribute areaCode	32
166	8.5.3	Attribute countryCode	32
167	8.5.4	Attribute extension	32
168	8.5.5	Attribute number	33
169	8.5.6	Attribute phoneType	33
170	8.6 CI	ASS EMAILADDRESS	33
171	8.6.1	Attribute Summary	
172	8.6.2	Attribute address	33
173	8.6.3	Attribute type	33
174	8.7 CI	ASS PERSONNAME	
175	8.7.1	Attribute Summary	33
176	8.7.2	Attribute firstName	
177	8.7.3	Attribute lastName	
178	8.7.4	Attribute middleName	
179		ASS SERVICE	
180	881	Attribute Summary	34

181	8.8.2	Method Summary	
182	8.9 CL	ASS SERVICEBINDING	34
183	8.9.1	Attribute Summary	
184	8.9.2	Attribute accessURI	
185	8.9.3	Attribute targetBinding	
186	8.9.4	Method Summary	
187	8.10 Cl	ASS SPECIFICATIONLINK	35
188	8.10.1	Attribute Summary	
189	8.10.2	Attribute specificationObject	
190	8.10.3	Attribute usageDescription	
191	8.10.4	Attribute usageParameters	36
192	9 ASSOC	CIATION OF REGISTRY OBJECTS	37
193	9.1 Ex	AMPLE OF AN ASSOCIATION	37
194	9.2 So	URCE AND TARGET OBJECTS	37
195	9.3 As	SOCIATION TYPES	37
196	9.4 In	TRAMURAL ASSOCIATION	38
197	9.5 Ex	TRAMURAL ASSOCIATION	38
198	9.6 Co	ONFIRMATION OF AN ASSOCIATION	39
199	9.6.1	Confirmation of Intramural Associations	39
200	9.6.2	Confirmation of Extramural Associations	40
201	9.6.3	Deleting an Extramural Associations	40
202	9.7 VI	SIBILITY OF UNCONFIRMED ASSOCIATIONS	40
203		SSIBLE CONFIRMATION STATES	
204	9.9 Cl	ASS ASSOCIATION	
205	9.9.1	Attribute Summary	
206	9.9.2	Attribute associationType	
207	9.9.3	Attribute sourceObject	
208	9.9.4	Attribute targetObject	
209	9.9.5	Attribute isConfirmedBySourceOwner	
210	9.9.6	Attribute isConfirmedByTargetOwner	43
211	10 CLA	SSIFICATION OF REGISTRYOBJECT	43
212		ASS CLASSIFICATIONSCHEME	
213	10.1.1	Attribute Summary	
214	10.1.2	Attribute isInternal	
215	10.1.3	Attribute nodeType	
216		ASS CLASSIFICATIONNODE	
217	10.2.1	Attribute Summary	
218	10.2.2	Attribute parent	
219	10.2.3	Attribute code	
220	10.2.4	Attribute path	
221	10.2.5	Method Summary	
222	10.2.6	Canonical Path Syntax	
223		ASS CLASSIFICATION	
224	10.3.1	Attribute Summary	49

225	10.3.2	2 Attribute classificationScheme	50
226	10.3.3	3 Attribute classificationNode	50
227	10.3.4	4 Attribute classifiedObject	50
228	10.3.5	5 Attribute nodeRepresentation	50
229	10.3.6	6 Context Sensitive Classification	50
230	10.3.7	7 Method Summary	52
231	10.4 E	Example of <i>Classification</i> Schemes	52
232	11 INI	FORMATION MODEL: SECURITY VIEW	53
233	11.1	CLASS ACCESSCONTROLPOLICY	54
234		CLASS PERMISSION	
235		Class Privilege	
236		CLASS PRIVILEGEATTRIBUTE	
237		Class Role	
238	11.5.1		
239	11.6 C	CLASS GROUP	
240	11.6.1		
241	11.7	CLASS IDENTITY	
242	11.7.1		
243	11.8 C	CLASS PRINCIPAL	
244	12 RE	EFERENCES	58
245	13 DIS	SCLAIMER	58
246	14 CO	ONTACT INFORMATION	50
240	14 (0	JN TACT INFORMATION	39
247	COPYRIC	GHT STATEMENT	60
248	Table o	of Figures	
249	Figure 1: I	Information Model High Level Public View	11
250		Information Model Inheritance View	
251	Figure 3: I	Example of RegistryObject Association	37
252		Example of Intramural Association	
253	Figure 5: I	Example of Extramural Association	39
254	Figure 6: I	Example showing a Classification Tree	44
255		Information Model Classification View	
256	Figure 8: (Classification Instance Diagram	45
257	Figure 9: (Context Sensitive Classification	51
258	Figure 10:	: Information Model: Security View	54
250	Table of	f Tables	
259	Table of		
259 260 261		f Tables Sample Classification Schemes	53
260 261	Table 1: S		53 F

262 3 Introduction

263 3.1 Summary of Contents of Document

264 This document specifies the information model for the ebXML Registry.

265

- A separate document, ebXML Registry Services Specification [ebRS], describes how to build *Registry Services* that provide access to the information content in
- the ebXML Registry.

269 3.2 General Conventions

270 The following conventions are used throughout this document:

271

UML diagrams are used as a way to concisely describe concepts. They are not intended to convey any specific *Implementation* or methodology requirements.

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The term "repository item" is used to refer to an object that has resides in a repository for storage and safekeeping (e.g., an XML document or a DTD). Every repository item is described in the Registry by a RegistryObject instance.

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The term "RegistryEntry" is used to refer to an object that provides metadata about a repository item.

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The information model does not deal with the actual content of the repository. All *Elements* of the information model represent metadata about the content and not the content itself.

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Capitalized Italic words are defined in the ebXML Glossary.

287

The keywords MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, SHOULD, SHOULD NOT, RECOMMENDED, MAY, and OPTIONAL, when they appear in this document, are to be interpreted as described in RFC 2119 [Bra97].

291

Software practitioners MAY use this document in combination with other ebXML specification documents when creating ebXML compliant software.

294 3.2.1 Naming Conventions

295

In order to enforce a consistent capitalization and naming convention in this document, "Upper Camel Case" (*UCC*) and "Lower Camel Case" (*LCC*)
Capitalization styles are used in the following conventions:

299 300

- Element name is in UCC convention (example: <UpperCamelCaseElement/>)
- o Attribute name is in *LCC* convention

302 303 304 305 306 307 308	 (example: <uppercamelcaseelement lowercamelcaseattribute="whatEver"></uppercamelcaseelement>) Class, Interface names use UCC convention (examples: ClassificationNode, Versionable) Method name uses LCC convention (example: getName(), setName()).
309	Also, Capitalized Italics words are defined in the ebXML Glossary [ebGLOSS].
310	3.3 Audience
311	The target audience for this specification is the community of software
312 313	developers who are: o Implementers of ebXML Registry Services
314	 Implementers of ebXML Registry Clients
315	3.4 Related Documents
316	The following specifications provide some background and related information to
317	the reader:
318 319	a) ebXML Registry Services Specification [ebRS] - defines the actual
320	Registry Services based on this information model
321	b) ebXML Collaboration-Protocol Profile and Agreement Specification
322	[ebCPP] - defines how profiles can be defined for a Party and how two
323	Parties' profiles may be used to define a Party agreement
324	
325	4 Design Objectives
326	4.1 Goals
327	The goals of this version of the specification are to:
328 329	 Communicate what information is in the Registry and how that information is organized
330 331	 Leverage as much as possible the work done in the OASIS [OAS] and the ISO 11179 [ISO] Registry models
332	 Align with relevant works within other ebXML working groups
333	 Be able to evolve to support future ebXML Registry requirements
334 335	Be compatible with other ebXML specifications

336 **5 System Overview**

5.1	Role	of ebX	ML Registry
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- 339 The Registry provides a stable store where information submitted by a
- 340 Submitting Organization is made persistent. Such information is used to facilitate
- ebXML-based *Business* to *Business* (B2B) partnerships and transactions.
- 342 Submitted content may be *XML* schema and documents, process descriptions,
- 343 ebXML Core Components, context descriptions, UML models, information about
- 344 parties and even software components.

5.2 Registry Services

- 346 A set of Registry Services that provide access to Registry content to clients of the
- 347 Registry is defined in the ebXML Registry Services Specification [ebRS]. This
- 348 document does not provide details on these services but may occasionally refer
- 349 to them.

350 **5.3 What the Registry Information Model Does**

- 351 The Registry Information Model provides a blueprint or high-level schema for the
- 352 ebXML Registry. Its primary value is for implementers of ebXML Registries. It
- 353 provides these implementers with information on the type of metadata that is
- 354 stored in the Registry as well as the relationships among metadata Classes.
- 355 The Registry information model:
- o Defines what types of objects are stored in the *Registry*
- o Defines how stored objects are organized in the *Registry*

358

359

5.4 How the Registry Information Model Works

Implementers of the ebXML *Registry* MAY use the information model to determine which *Classes* to include in their *Registry Implementation* and what attributes and methods these *Classes* may have. They MAY also use it to determine what sort of database schema their *Registry Implementation* may

364 need.

365 [Note] The information model is meant to be illustrative and does not prescribe any specific Implementation choices.

368

369 5.5 Where the Registry Information Model May Be Implemented

The Registry Information Model MAY be implemented within an ebXML *Registry* in the form of a relational database schema, object database schema or some

other physical schema. It MAY also be implemented as interfaces and *Classes* within a *Registry Implementation*.

5.6 Conformance to an ebXML Registry

If an *Implementation* claims *Conformance* to this specification then it supports all required information model *Classes* and interfaces, their attributes and their semantic definitions that are visible through the ebXML *Registry Services*.

6 Registry Information Model: High Level Public View

This section provides a high level public view of the most visible objects in the *Registry*.

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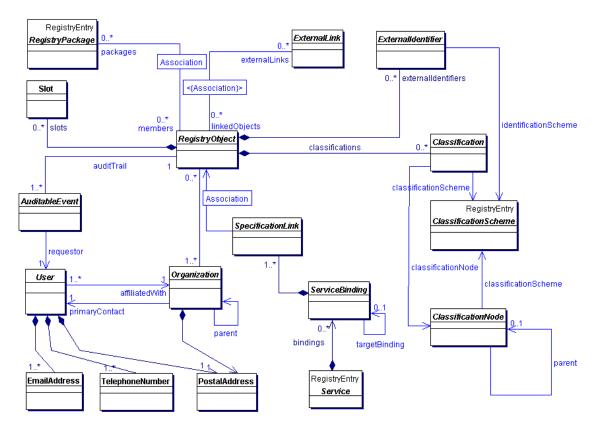
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Figure 1 shows the high level public view of the objects in the *Registry* and their relationships as a *UML Class Diagram*. It does not show *Inheritance*, *Class* attributes or *Class* methods.

The reader is again reminded that the information model is not modeling actual repository items.

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Figure 1: Information Model High Level Public View

390 **6.1 RegistryObject**

- 391 The RegistryObject class is an abstract base class used by most classes in the
- 392 model. It provides minimal metadata for registry objects. It also provides methods
- 393 for accessing related objects that provide additional dynamic metadata for the
- 394 registry object.
- 395 **6.2 Slot**
- 396 Slot instances provide a dynamic way to add arbitrary attributes to
- 397 RegistryObject instances. This ability to add attributes dynamically to
- 398 RegistryObject instances enables extensibility within the Registry Information
- 399 Model. For example, if a company wants to add a "copyright" attribute to each
- 400 RegistryObject instance that it submits, it can do so by adding a slot with name
- 401 "copyright" and value containing the copyrights statement.
- 402 **6.3 Association**
- 403 Association instances are RegistryObject instances that are used to define many-
- 404 to-many associations between objects in the information model. Associations are
- 405 described in detail in section 9.
- 406 **6.4 Externalldentifier**
- 407 Externalldentifier instances provide additional identifier information to a
- 408 RegistryObject instance, such as DUNS number, Social Security Number, or an
- 409 alias name of the organization.
- 410 **6.5 ExternalLink**
- 411 ExternalLink instances are RegistryObject instances that model a named URI to
- 412 content that is not managed by the *Registry*. Unlike managed content, such
- 413 external content may change or be deleted at any time without the knowledge of
- 414 the Registry. A RegistryObject instance may be associated with any number of
- 415 ExternalLinks.
- 416 Consider the case where a Submitting Organization submits a repository item
- 417 (e.g., a *DTD*) and wants to associate some external content to that object (e.g.,
- 418 the Submitting Organization's home page). The ExternalLink enables this
- 419 capability. A potential use of the ExternalLink capability may be in a GUI tool that
- 420 displays the ExternalLinks to a RegistryObject. The user may click on such links
- and navigate to an external web page referenced by the link.
- 422 **6.6 ClassificationScheme**
- 423 ClassificationScheme instances are RegistryEntry instances that describe a
- 424 structured way to classify or categorize RegistryObject instances. The structure
- of the classification scheme may be defined internal or external to the registry,
- 426 resulting in a distinction between internal and external classification schemes. A
- 427 very common example of a classification scheme in science is the *Classification*
- 428 of living things where living things are categorized in a tree like structure. Another

- 429 example is the Dewey Decimal system used in libraries to categorize books and
- other publications. ClassificationScheme is described in detail in section 10.

431 **6.7 ClassificationNode**

- 432 ClassificationNode instances are RegistryObject instances that are used to
- 433 define tree structures under a ClassificationScheme, where each node in the tree
- 434 is a ClassificationNode and the root is the ClassificationScheme. Classification
- 435 trees constructed with ClassificationNodes are used to define the structure of
- 436 Classification schemes or ontologies. ClassificationNode is described in detail in
- 437 section 10.

438 **6.8 Classification**

- 439 Classification instances are RegistryObject instances that are used to classify
- 440 other RegistryObject instances. A Classification instance identifies a
- 441 ClassificationScheme instance and taxonomy value defined within the
- depending on classification scheme. Classifications can be internal or external depending on
- 443 whether the referenced classification scheme is internal or external.
- 444 Classification is described in detail in section 10.

445 **6.9 RegistryPackage**

- 446 RegistryPackage instances are RegistryEntry instances that group logically
- 447 related RegistryObject instances together.

448 **6.10 AuditableEvent**

- 449 AuditableEvent instances are RegistryObject instances that are used to provide
- 450 an audit trail for RegistryObject instances. AuditableEvent is described in detail in
- 451 section 8.
- 452 **6.11 User**
- 453 User instances are RegistryObject instances that are used to provide information
- 454 about registered users within the *Registry*. User objects are used in audit trail for
- 455 RegistryObject instances. User is described in detail in section 8.

456 **6.12 PostalAddress**

- 457 PostalAddress is a simple reusable *Entity Class* that defines attributes of a postal
- 458 address.

459 **6.13 EmailAddress**

- 460 EmailAddress is a simple reusable Entity Class that defines attributes of an email
- 461 address.

462 463 464 465	6.14 Organization Organization instances are RegistryObject instances that provide information on organizations such as a <i>Submitting Organization</i> . Each Organization instance may have a reference to a parent Organization.
466	6.15 Service
467 468	Service instances are RegistryEntry instances that provide information on services (e.g., web services).
469	6.16 ServiceBinding
470 471 472 473	ServiceBinding instances are RegistryObject instances that represent technical information on a specific way to access a specific interface offered by a Service instance. A Service has a collection of ServiceBindings.
474	6.17 SpecificationLink
475 476 477 478 479 480	A SpecificationLink provides the linkage between a ServiceBinding and one of its technical specifications that describes how to use the service with that ServiceBinding. For example, a ServiceBinding may have a SpecificationLink instance that describes how to access the service using a technical specification in the form of a WSDL document or a CORBA IDL document.
481	7 Registry Information Model: Detail View
482 483 484 485	This section covers the information model <i>Classes</i> in more detail than the Public View. The detail view introduces some additional <i>Classes</i> within the model that were not described in the public view of the information model.
486 487 488 489 490	Figure 2 shows the <i>Inheritance</i> or "is a" relationships between the <i>Classes</i> in the information model. Note that it does not show the other types of relationships, such as "has a" relationships, since they have already been shown in a previous figure. <i>Class</i> attributes and <i>class</i> methods are also not shown. Detailed description of methods and attributes of most interfaces and <i>Classes</i> will be
491 492	displayed in tabular form following the description of each <i>Class</i> in the model.
493 494 495 496	The class Association will be covered in detail separately in section 9. The classes ClassificationScheme, Classification, and ClassificationNode will be covered in detail separately in section 10.

repository items.

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The reader is again reminded that the information model is not modeling actual

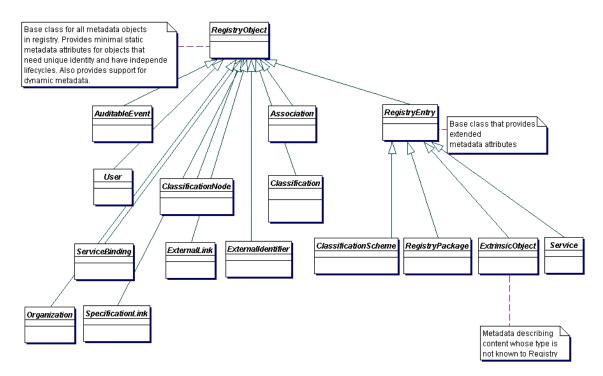


Figure 2: Information Model Inheritance View

7.1 Attribute and Methods of Information Model Classes

Information model classes are defined primarily in terms of the attributes they carry. These attributes provide state information on instances of these classes. Implementations of a registry often map class attributes to attributes in an XML store or columns in a relational store.

Information model classes may also have methods defined for them. These methods provide additional behavior for the class they are defined within. Methods are currently used in mapping to filter query and the SQL query capabilities defined in [ebRS].

Since the model supports inheritance between classes, it is usually the case that a class in the model inherits attributes and methods from its base classes, in addition to defining its own specialized attributes and methods.

7.2 Data Types

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The following table lists the various data types used by the attributes within information model classes:

Data Type	XML Schema Data Type	Description	Length
Boolean	boolean	Used for a true or false value	
String4	string	Used for 4 character long strings	4 characters
String8	string	Used for 8 character long strings	8 characters
String16	string	Used for 16 character long strings	16 characters
String32	string	Used for 32 character long strings	32 characters
String	string	Used for unbounded Strings	unbounded
ShortName	string	A short text string	64 characters
LongName	string	A long text string	128
			characters
FreeFormText	string	A very long text string for free-	256
		form text	characters
UUID	string	DCE 128 Bit Universally unique lds used for referencing another object	64 characters
URI	string	Used for URL and URN values	256
			characters
Integer	integer	Used for integer values	4 bytes
DateTime	dateTime	Used for a timestamp value such	
		as Date	

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7.3 Internationalization (I18N) Support

Some information model classes have String attributes that are I18N capable and may be localized into multiple native languages. Examples include the name and description attributes of the RegistryObject class in 7.4.

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The information model defines the InternationalString and the LocalizedString interfaces to support I18N capable attributes within the information model classes. These classes are defined below.

7.3.1 Class InternationalString

This class is used as a replacement for the String type whenever a String attribute needs to be I18N capable. An instance of the InternationalString class composes within it Collection of LocalizedString instances, where each String is specific to a particular locale. The InternationalString class provides set/get

- methods for adding or getting locale specific String values for the InternationalString instance.
- 536 7.3.1.1 Attribute Summary

Attribute	Data Type	Required	Default Value	Specified By	Mutable
localized- Strings	Collection of Localized- String	No		Client	Yes

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7.3.1.2 Attribute localizedStrings

- Each InternationalString instance may have localizedString attribute that is a
- 541 Collection of zero or more LocalizedString instances.

542 7.3.2 Class LocalizedString

- 543 This class is used as a simple wrapper class that associates a String with its
- locale. The class is needed in the International String class where a Collection of
- 545 LocalizedString instances are kept. Each LocalizedString instance has a charset
- and lang attribute as well as a value attribute of type String.

547 7.3.2.1 Attribute Summary

548

Attribute	Data Type	Required	Default	Specified By	Mutable
			Value		
lang	language	No	en-us	Client	Yes
charset	string	No	UTF-8	Client	Yes
value	string	Yes		CLient	Yes

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550

7.3.2.2 Attribute lang

- 551 Each LocalizedString instance may have a lang attribute that specifies the
- 552 language used by that LocalizedString.
- 553 7.3.2.3 Attribute charset
- Each LocalizedString instance may have a charset attribute that specifies the
- 555 name of the character set used by that LocalizedString.
- 556 7.3.2.4 Attribute value
- 557 Each LocalizedString instance must have a value attribute that specifies the
- string value used by that LocalizedString.

559 7.4 Class RegistryObject

560 Direct Known Subclasses:

- 561 <u>Association</u>, <u>AuditableEvent</u>, <u>Classification</u>, <u>ClassificationNode</u>,
- 562 <u>ExternalIdentifier</u>, <u>ExternalLink</u>, <u>Organization</u>, <u>RegistryEntry</u>, <u>User</u>,
- Service, ServiceBinding, SpecificationLink

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RegistryObject provides a common base class for almost all objects in the information model. Information model *Classes* whose instances have a unique identity are descendants of the RegistryObject *Class*.

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Note that Slot, PostalAddress, and a few other classes are not descendants of the RegistryObject Class because their instances do not have an independent existence and unique identity. They are always a part of some other Class's Instance (e.g., Organization has a PostalAddress).

573

7.4.1 Attribute Summary

The following is the first of many tables that summarize the attributes of a class. The columns in the table are described as follows:

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Column	Description
Attribute	The name of the attribute
Data Type	The data type for the attribute
Required	Specifies whether the attribute is required to be specified
Default	Specifies the default value in case the attribute is omitted
Specified By	Indicates whether the attribute is specified by the client or
	specified by the registry. In some cases it may be both
Mutable	Specifies whether an attribute may be changed once it
	has been set to a certain value

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Attribute	Data Type	Required	Default Value	Specified By	Mutable
accessControlPolicy	UUID	No		Registry	No
description	International- String	No		Client	Yes
id	UUID	Yes		Client or registry	No
name	International- String	No		Client	Yes
objectType	LongName	Yes		Registry	No

7.4.2 Attribute accessControlPolicy

Each RegistryObject instance may have an accessControlPolicy instance associated with it. An accessControlPolicy instance defines the *Security Model* associated with the RegistryObject in terms of "who is permitted to do what" with that RegistryObject.

7.4.3 Attribute description

Each RegistryObject instance may have textual description in a human readable and user-friendly manner. This attribute is I18N capable and therefore of type InternationalString.

7.4.4 Attribute id

Each RegistryObject instance must have a universally unique ID. Registry objects use the id of other RegistryObject instances for the purpose of referencing those objects.

Note that some classes in the information model do not have a need for a unique id. Such classes do not inherit from RegistryObject class. Examples include Entity classes such as TelephoneNumber, PostalAddress, EmailAddress and PersonName.

All classes derived from RegistryObject have an id that is a Universally Unique ID as defined by [UUID]. Such UUID based id attributes may be specified by the client. If the UUID based id is not specified, then it must be generated by the registry when a new RegistryObject instance is first submitted to the registry.

7.4.5 Attribute name

Each RegistryObject instance may have human readable name. The name does not need to be unique with respect to other RegistryObject instances. This attribute is I18N capable and therefore of type InternationalString.

7.4.6 Attribute objectType

Each RegistryObject instance has an objectType. The objectType for almost all objects in the information model is the name of their class. For example the objectType for a Classification is "Classification". The only exception to this rule is that the objectType for an ExtrinsicObject instance is user defined and indicates the type of repository item associated with the ExtrinsicObject.

7.4.6.1 Pre-defined Object Types

The following table lists pre-defined object types. Note that for an ExtrinsicObject there are many types defined based on the type of repository item the ExtrinsicObject catalogs. In addition there are object types defined for all leaf sub-classes of RegistryObject.

These pre-defined object types are defined as a *ClassificationScheme*. While the scheme may easily be extended a *Registry* MUST support the object types listed below.

Name	description
Unknown	An ExtrinsicObject that catalogues content whose type is unspecified or unknown.
CPA	An ExtrinsicObject of this type catalogues an XML document Collaboration Protocol Agreement (CPA) representing a

technical agreement between two parties on how the to communicate with each other using a specific protect of this type catalogues an document called Collaboration Protocol Profile (CPP provides information about a Party participating in a Business transaction. See [ebCPP] for details. Process An ExtrinsicObject of this type catalogues a process description document. SoftwareComponent An ExtrinsicObject of this type catalogues a software component (e.g., an EJB or Class library).	ocol.
document called Collaboration Protocol Profile (CPP provides information about a Party participating in a Business transaction. See [ebCPP] for details. Process An ExtrinsicObject of this type catalogues a process description document. SoftwareComponent An ExtrinsicObject of this type catalogues a software	that
description document. SoftwareComponent	
The Extinoioobject of this type datalogues a contware	
<u> </u>	
UMLModel An ExtrinsicObject of this type catalogues a UML model	del.
An ExtrinsicObject of this type catalogues an XML sc (DTD, XML Schema, RELAX grammar, etc.).	hema
RegistryPackage object	
ExternalLink Object An ExternalLink object	
ExternalIdentifier An ExternalIdentifier object	
Association Association object	
ClassificationSche A ClassificationScheme object	
Classification A Classification object	
ClassificationNode object	
AuditableEvent Object	
User A User object	
Organization An Organization object	
Service A Service object	
7 Colvido object	
ServiceBinding A ServiceBinding object SpecificationLink A SpecificationLink object	

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7.4.7 Method Summary

In addition to its attributes, the RegistryObject class also defines the following methods. These methods are used to navigate relationship links from a RegistryObject instance to other objects.

Method Summary for RegistryObject			
Collection	getAuditTrail()		
	Gets the complete audit trail of all requests that effected a		
	state change in this object as an ordered Collection of		
	AuditableEvent objects.		
Collection	getClassifications()		
	Gets the Classification that classify this object.		

Collection	getExternalldentifiers()
	Gets the collection of ExternalIdentifiers associated with this
	object.
Collection	getExternalLinks()
	Gets the ExternalLinks associated with this object.
Collection	getRegistryPackages()
	Gets the RegistryPackages that this object is a member of.
Collection	getSlots()
	Gets the Slots associated with this object.

7.5 Class RegistryEntry

631 Super Classes:

RegistryObject

Direct Known Subclasses:

ClassificationScheme, ExtrinsicObject, RegistryPackage, Service

RegistryEntry is a common base *Class* for classes in the information model that require additional metadata beyond the minimal metadata provided by RegistryObject class. RegistryEntry is used as a base class for high level coarse grained objects in the registry. Their life cycle typically requires more management (e.g. may require approval, deprecation). They typically have relatively fewer instances but serve as a root of a composition hierarchy consisting of numerous objects that are sub-classes of RegistryObject but not RegistryEntry.

The additional metadata is described by the attributes of the RegistryEntry class below.

7.5.1 Attribute Summary

Attribute	Data Type	Required	Default Value	Specified By	Mutable
expiration	DateTime	No		Client	Yes
majorVersion	Integer	Yes	1	Registry	Yes
minorVersion	Integer	Yes	0	Registry	Yes
stability	LongName	No		Client	Yes
status	LongName	Yes		Registry	Yes
userVersion	ShortName	No		Client	Yes

Note that attributes inherited by RegistryEntry class from the RegistryObject class are not shown in the table above.

653 **7.5.2 Attribute expiration**

- 654 Each RegistryEntry instance may have an expirationDate. This attribute defines a
- 655 time limit upon the stability indication provided by the stability attribute. Once the
- expirationDate has been reached the stability attribute in effect becomes
- 657 STABILITY_DYNAMIC implying that the repository item can change at any time
- and in any manner. A null value implies that there is no expiration on stability
- 659 attribute.

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7.5.3 Attribute majorVersion

- 661 Each RegistryEntry instance must have a major revision number for the current
- version of the RegistryEntry instance. This number is assigned by the registry
- when the object is created. This number may be updated by the registry when an
- object is updated.

7.5.4 Attribute minorVersion

- 666 Each RegistryEntry instance must have a minor revision number for the current
- version of the RegistryEntry instance. This number is assigned by the registry
- when the object is created. This number may be updated by the registry when an
- 669 object is updated.

7.5.5 Attribute stability

- 671 Each RegistryEntry instance may have a stability indicator. The stability indicator
- 672 is provided by the submitter as an indication of the level of stability for the
- 673 repository item.

674 7.5.5.1 Pre-defined RegistryEntry Stability Enumerations

- The following table lists pre-defined choices for RegistryEntry stability attribute.
- These pre-defined stability types are defined as a *ClassificationScheme*. While
- the scheme may easily be extended, a *Registry* MAY support the stability types
- 678 listed below.

6	7	9	

Name	Description
Dynamic	Stability of a RegistryEntry that indicates that the content is dynamic and may be changed arbitrarily by submitter at any time.
DynamicCompatible	Stability of a RegistryEntry that indicates that the content is dynamic and may be changed in a backward compatible way by submitter at any time.
Static	Stability of a RegistryEntry that indicates that the content is static and will not be changed by submitter.

7.5.6 Attribute status

Each RegistryEntry instance must have a life cycle status indicator. The status is assigned by the registry.

7.5.6.1 Pre-defined RegistryObject Status Types

The following table lists pre-defined choices for RegistryObject status attribute.

These pre-defined status types are defined as a *ClassificationScheme*.

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Name	Description
Submitted	Status of a RegistryObject that catalogues content that has been submitted to the <i>Registry</i> .
Approved	Status of a RegistryObject that catalogues content that has been submitted to the <i>Registry</i> and has been subsequently approved.
Deprecated	Status of a RegistryObject that catalogues content that has been submitted to the <i>Registry</i> and has been subsequently deprecated.
Withdrawn	Status of a RegistryObject that catalogues content that has been withdrawn from the <i>Registry</i> .

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7.5.7 Attribute userVersion

690 Each RegistryEntry instance may have a userVersion. The userVersion is similar 691 to the majorVersion-minorVersion tuple. They both provide an indication of the 692 version of the object. The majorVersion-minorVersion tuple is provided by the 693 registry while userVersion provides a user specified version for the object.

694 **7.6 Class Slot**

Slot instances provide a dynamic way to add arbitrary attributes to RegistryObject instances. This ability to add attributes dynamically to RegistryObject instances enables extensibility within the information model.

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700

A RegistryObject may have 0 or more Slots. A slot is composed of a name, a slotType and a collection of values.

7.6.1 Attribute Summary

702

Attribute	Data Type	Required	Default Value	Specified By	Mutable
name	LongName	Yes		Client	No
slotType	LongName	No		Client	No
values	Collection of LongName	Yes		Client	No

704

7.6.2 Attribute name

- 705 Each Slot instance must have a name. The name is the primary means for
- 706 identifying a Slot instance within a RegistryObject. Consequently, the name of a
- 707 Slot instance must be locally unique within the RegistryObject *Instance*.

708 7.6.3 Attribute slotType

- 709 Each Slot instance may have a slotType that allows different slots to be grouped
- 710 together.

711 7.6.4 Attribute values

- 712 A Slot instance must have a Collection of values. The collection of values may be
- 713 empty. Since a Slot represent an extensible attribute whose value may be a
- 714 collection, therefore a Slot is allowed to have a collection of values rather than a
- 715 single value.

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7.7 Class ExtrinsicObject

Super Classes:

RegistryEntry, RegistryObject

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ExtrinsicObjects provide metadata that describes submitted content whose type is not intrinsically known to the *Registry* and therefore MUST be described by means of additional attributes (e.g., mime type).

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Since the registry can contain arbitrary content without intrinsic knowledge about that content, ExtrinsicObjects require special metadata attributes to provide some knowledge about the object (e.g., mime type).

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Examples of content described by ExtrinsicObject include *Collaboration Protocol Profiles* [eb*CPP*], *Business Process* descriptions, and schemas.

732 7.7.1 Attribute Summary

733

Attribute	Data Type	Required	Default Value	Specified By	Mutable
isOpaque	Boolean	No		Client	No
mimeType	LongName	No		Client	No

734

Note that attributes inherited from RegistryEntry and RegistryObject are not shown in the table above.

Each ExtrinsicObject instance may have an isOpaque attribute defined. This attribute determines whether the content catalogued by this ExtrinsicObject opaque to (not readable by) the <i>Registry</i> . In some situations, a <i>Submitting Organization</i> may submit content that is encrypted and not even readable by <i>Registry</i> . 7.7.3 Attribute mimeType Each ExtrinsicObject instance may have a mimeType attribute defined. The mimeType provides information on the type of repository item catalogued by ExtrinsicObject instance.	is
Each ExtrinsicObject instance may have a mimeType attribute defined. The mimeType provides information on the type of repository item catalogued by	
mimeType provides information on the type of repository item catalogued by	
Extinological instance.	
7.8 Class RegistryPackage	
Super Classes:	
RegistryEntry, RegistryObject	
RegistryPackage instances allow for grouping of logically related RegistryObinstances even if individual member objects belong to different Submitting Organizations.	oject
7.8.1 Attribute Summary	
The RegistryPackage class defines no new attributes other than those that a inherited from RegistryEntry and RegistryObject base classes. The inherited attributes are not shown here.	
7.8.2 Method Summary	
In addition to its attributes, the RegistryPackage class also defines the follow methods.	ving
Method Summary of RegistryPackage	
Get the collection of RegistryObject instances that are members of this RegistryPackage.	
7.9 Class Externalldentifier	
Super Classes: RegistryObject	
Externalldentifier instances provide the additional identifier information to RegistryObject such as DUNS number, Social Security Number, or an alias	

OASIS/ebXML Registry Information Model

- 771 name of the organization. The attribute *identificationScheme* is used to
- reference the identification scheme (e.g., "DUNS", "Social Security #"), and the
- attribute value contains the actual information (e.g., the DUNS number, the social
- 774 security number). Each RegistryObject may contain 0 or more ExternalIdentifier
- 775 instances.

7.9.1 Attribute Summary

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Attribute	Data Type	Required	Default Value	Specified By	Mutable
identificationScheme	UUID	Yes		Client	Yes
registryObject	UUID	Yes		Client	No
value	ShortName	Yes		Client	Yes

- Note that attributes inherited from the base classes of this class are not shown.
- 779 7.9.2 Attribute identificationScheme
- 780 Each ExternalIdentifier instance must have an identificationScheme attribute that
- 781 references a ClassificationScheme. This ClassificationScheme defines the
- 782 namespace within which an identifier is defined using the value attribute for the
- 783 RegistryObject referenced by the RegistryObject attribute.
- 784 7.9.3 Attribute registryObject
- 785 Each ExternalIdentifier instance must have a RegistryObject attribute that
- references the parent RegistryObject for which this is an ExternalIdentifier.
- 787 7.9.4 Attribute value
- 788 Each ExternalIdentifier instance must have a value attribute that provides the
- 789 identifier value for this External Identifier (e.g., the actual social security number).
- 790 **7.10 Class ExternalLink**
- 791 Super Classes:
 - RegistryObject

793 794 795

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ExternalLinks use URIs to associate content in the *Registry* with content that may reside outside the *Registry*. For example, an organization submitting a *DTD* could use an ExternalLink to associate the *DTD* with the organization's home

797 page.

798 **7.10.1 Attribute Summary**

799

Attribute	Data Type	Required	Default Value	Specified By	Mutable
externalURI	URI	Yes		Client	Yes

801 **7.10.2 Attribute externalURI**

802 Each ExternalLink instance must have an externalURI attribute defined. The

803 external URI attribute provides a URI to the external resource pointed to by this

804 ExternalLink instance. If the URI is a URL then a registry must validate the URL

to be resolvable at the time of submission before accepting an ExternalLink

806 submission to the registry.

7.10.3 Method Summary

In addition to its attributes, the ExternalLink class also defines the following methods.

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Method Summary of ExternalLink Collection Gets the collection of RegistryObjects that are linked by this ExternalLink to content outside the registry.

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8 Registry Audit Trail

This section describes the information model *Elements* that support the audit trail capability of the *Registry*. Several *Classes* in this section are *Entity Classes* that are used as wrappers to model a set of related attributes. They are analogous to the "struct" construct in the C programming language.

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The getAuditTrail() method of a RegistryObject returns an ordered Collection of AuditableEvents. These AuditableEvents constitute the audit trail for the RegistryObject. AuditableEvents include a timestamp for the *Event*. Each AuditableEvent has a reference to a User identifying the specific user that performed an action that resulted in an AuditableEvent. Each User is affiliated

performed an action that resulted in an AuditableEvent. Each User with an Organization, which is usually the *Submitting Organization*.

8.1 Class AuditableEvent

Super Classes:

RegistryObject

827 828 829

AuditableEvent instances provide a long-term record of *Events* that effect a change in a RegistryObject. A RegistryObject is associated with an ordered Collection of AuditableEvent instances that provide a complete audit trail for that RegistryObject.

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AuditableEvents are usually a result of a client-initiated request. AuditableEvent instances are generated by the *Registry Service* to log such *Events*.

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Often such *Events* effect a change in the life cycle of a RegistryObject. For example a client request could Create, Update, Deprecate or Delete a

- RegistryObject. An AuditableEvent is created if and only if a request creates or alters the content or ownership of a RegistryObject. Read-only requests do not
- 840 generate an AuditableEvent. No AuditableEvent is generated for a
- 841 RegistryObject when it is classified, assigned to a RegistryPackage or associated
- with another RegistryObject.

8.1.1 Attribute Summary

844

843

Attribute	Data Type	Required	Default Value	Specified By	Mutable
eventType	LongName	Yes		Registry	No
registryObject	UUID	Yes		Registry	No
timestamp	DateTime	Yes		Registry	No
user	UUID	Yes		Registry	No

845

846

8.1.2 Attribute eventType

- Each AuditableEvent must have an eventType attribute which identifies the type of event recorded by the AuditableEvent.
- 849 8.1.2.1 Pre-defined Auditable Event Types
- The following table lists pre-defined auditable event types. These pre-defined event types are defined as a pre-defined *ClassificationScheme* with name "EventType". A *Registry* MUST support the event types listed below.

853

Name	description
Created	An Event that created a RegistryObject.
Deleted	An <i>Event</i> that deleted a RegistryObject.
Deprecated	An Event that deprecated a RegistryObject.
Updated	An Event that updated the state of a RegistryObject.
Versioned	An Event that versioned a RegistryObject.

854 8.1.3 Attribute registryObject

- 855 Each AuditableEvent must have a registryObject attribute that identifies the
- 856 RegistryObject instance that was affected by this event.

857 8.1.4 Attribute timestamp

- 858 Each AuditableEvent must have a timestamp attribute that records the date and
- 859 time that this event occurred.

860 8.1.5 Attribute user

- 861 Each AuditableEvent must have a user attribute that identifies the User that sent
- the request that generated this event affecting the RegistryObject instance.

> 865 866

> 867

8.2 Class User

Super Classes:

RegistryObject

868 869

870

User instances are used in an AuditableEvent to keep track of the identity of the requestor that sent the request that generated the AuditableEvent.

871 8.2.1 Attribute Summary

872

Attribute	Data Type	Required	Default Value	Specified By	Mutable
address	PostalAddress	Yes	1 0.1010	Client	Yes
emailAddresses	Collection of	Yes		Client	Yes
	EmailAddress				
organization	UUID	Yes		Client	No
personName	PersonName	Yes		Client	No
telephoneNumbers	Collection of	Yes		Client	Yes
	TelephoneNumber				
url	URI	No		Client	Yes

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8.2.2 Attribute address

Each User instance must have an address attribute that provides the postal address for that user.

877 8.2.3 Attribute emailAddresses

- 878 Each User instance has an attribute emailAddresses that is a Collection of
- 879 EmailAddress instances. Each EmailAddress provides an email address for that
- 880 user. A User must have at least one email address.

8.2.4 Attribute organization

- 882 Each User instance must have an organization attribute that references the
- Organization instance for the organization that the user is affiliated with.

884 8.2.5 Attribute personName

- 885 Each User instance must have a personName attribute that provides the human
- 886 name for that user.

887 8.2.6 Attribute telephoneNumbers

- 888 Each User instance must have a telephoneNumbers attribute that contains the
- 889 Collection of TelephoneNumber instances for each telephone number defined for
- that user. A User must have at least one telephone number.

891 **8.2.7 Attribute url**

- 892 Each User instance may have a url attribute that provides the URL address for the web
- page associated with that user.

8.3 Class Organization

895 Super Classes:

RegistryObject

896 897 898

899 900

894

Organization instances provide information on organizations such as a Submitting Organization. Each Organization Instance may have a reference to a parent Organization.

8.3.1 Attribute Summary

902

901

Attribute	Data Type	Required	Default	Specified	Mutable
			Value	Ву	
address	PostalAddress	Yes		Client	Yes
parent	UUID	No		Client	Yes
primaryContact	UUID	Yes		Client	No
telephoneNumbers	Collection of	Yes		Client	Yes
	TelephoneNumber				

903

904

8.3.2 Attribute address

- 905 Each Organization instance must have an address attribute that provides the 906 postal address for that organization.
- 907 8.3.3 Attribute parent
- 908 Each Organization instance may have a parent attribute that references the
- 909 parent Organization instance, if any, for that organization.
- 910 8.3.4 Attribute primaryContact
- 911 Each Organization instance must have a primaryContact attribute that references
- 912 the User instance for the user that is the primary contact for that organization.
- 913 8.3.5 Attribute telephoneNumbers
- 914 Each Organization instance must have a telephoneNumbers attribute that
- 915 contains the Collection of TelephoneNumber instances for each telephone

OASIS/ebXML Registry Information Model

Page 30

- 916 number defined for that organization. An Organization must have at least one telephone number.
- 918 **8.4 Class Postal Address**
- 919 PostalAddress is a simple reusable *Entity Class* that defines attributes of a postal 920 address.

921 **8.4.1 Attribute Summary**

922

Attribute	Data Type	Required	Default Value	Specified By	Mutable
			Value		
city	ShortName	No		Client	Yes
country	ShortName	No		Client	Yes
postalCode	ShortName	No		Client	Yes
state	ShortName	No		Client	Yes
street	ShortName	No		Client	Yes
streetNumber	String32	No		Client	Yes

- 924 **8.4.2** Attribute city
- 925 Each PostalAddress may have a city attribute identifying the city for that address.
- 926 8.4.3 Attribute country
- 927 Each PostalAddress may have a country attribute identifying the country for that
- 928 address.
- 929 8.4.4 Attribute postalCode
- 930 Each PostalAddress may have a postalCode attribute identifying the postal code
- 931 (e.g., zip code) for that address.
- 932 8.4.5 Attribute state
- 933 Each PostalAddress may have a state attribute identifying the state, province or
- 934 region for that address.
- 935 8.4.6 Attribute street
- 936 Each PostalAddress may have a street attribute identifying the street name for
- 937 that address.
- 938 **8.4.7** Attribute streetNumber
- 939 Each PostalAddress may have a streetNumber attribute identifying the street
- 940 number (e.g., 65) for the street address.

941 **8.4.8 Method Summary**

In addition to its attributes, the PostalAddress class also defines the following methods.

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942

Method Sum	mary of ExternalLink
Collection	getSlots()
	Gets the collection of Slots for this object. Each
	PostalAddress may have multiple Slot instances where a Slot is a
	dynamically defined attribute. The use of Slots allows the client to
	extend PostalAddress class by defining additional dynamic
	attributes using slots to handle locale specific needs.

945

946

8.5 Class TelephoneNumber

947 A simple reusable *Entity Class* that defines attributes of a telephone number.

8.5.1 Attribute Summary

949

948

Attribute	Data Type	Required	Default Value	Specified By	Mutable
areaCode	String4	No		Client	Yes
countryCode	String4	No		Client	Yes
extension	String8	No		Client	Yes
number	String16	No		Client	Yes
phoneType	String32	No		Client	Yes
url	URI	No		Client	Yes

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8.5.2 Attribute areaCode

Each TelephoneNumber instance may have an areaCode attribute that providesthe area code for that telephone number.

954 8.5.3 Attribute countryCode

955 Each TelephoneNumber instance may have an countryCode attribute that provides the country code for that telephone number.

957 8.5.4 Attribute extension

Each TelephoneNumber instance may have an extension attribute that provides the extension number, if any, for that telephone number.

960 8.5.5 Attribute number

- 961 Each TelephoneNumber instance may have a number attribute that provides the
- local number (without area code, country code and extension) for that telephone
- 963 number.

964 8.5.6 Attribute phoneType

- 965 Each TelephoneNumber instance may have phoneType attribute that provides
- the type for the TelephoneNumber. Some examples of phoneType are "home",
- 967 "office".

968 8.6 Class EmailAddress

969 A simple reusable *Entity Class* that defines attributes of an email address.

970 **8.6.1 Attribute Summary**

Attribute	Data Type	Required	Default Value	Specified By	Mutable
address	ShortName	Yes		Client	Yes
type	String32	No		Client	Yes

971 8.6.2 Attribute address

- 972 Each EmailAddress instance must have an address attribute that provides the
- 973 actual email address.

974 **8.6.3** Attribute type

- 975 Each EmailAddress instance may have a type attribute that provides the type for
- 976 that email address. This is an arbitrary value. Examples include "home", "work"
- 977 etc.

978 8.7 Class PersonName

979 A simple *Entity Class* for a person's name.

980 **8.7.1 Attribute Summary**

981

Attribute	Data Type	Required	Default Value	Specified By	Mutable
firstName	ShortName	No		Client	Yes
lastName	ShortName	No		Client	Yes
middleName	ShortName	No		Client	Yes

982 8.7.2 Attribute firstName

983 Each PersonName may have a firstName attribute that is the first name of the

984 person.

985	8.7.3 Attribute lastName							
986 987	,							
988	8.7.4 Attribute middleName							
989 990	•							
991	8.8 Class Service							
992 Super Classes: 993 RegistryEntry, RegistryObject 994								
995	Service instances provide information on services, such as web services.							
996	8.8.1 Attribute Summary							
997 998	, 1							
999	9 8.8.2 Method Summary							
1000 1001	In addition to its attributes, the Service class also defines the following methods.							
	Method Summary of Service							
	Collection Gets the collection of ServiceBinding instances defined for this Service.							
1002	8.9 Class ServiceBinding Super Classes:							
1004 1005	RegistryObject							
1006 1007 1008 1009 1010 1011 1012 1013 1014 1015	ServiceBinding instances are RegistryObjects that represent technical information on a specific way to access a specific interface offered by a Service instance. A Service has a Collection of ServiceBindings. The description attribute of ServiceBinding provides details about the relationship between several specification links comprising the Service Binding. This description can be useful for human understanding such that the runtime system can be appropriately configured by the human being. There is possibility of enforcing a structure on this description for enabling machine processing of the Service Binding, which is however not addressed by the current document.							

1017 8.9.1 Attribute Summary

1018

Attribute	Data Type	Required	Default Value	Specified By	Mutable
accessURI	URI	No		Client	Yes
targetBinding	UUID	No		Client	Yes

1019

1020

8.9.2 Attribute accessURI

- 1021 A ServiceBinding may have an accessURI attribute that defines the URI to
- 1022 access that ServiceBinding. This attribute is ignored if a targetBinding attribute is
- specified for the ServiceBinding. If the URI is a URL then a registry must validate
- the URL to be resolvable at the time of submission before accepting a
- 1025 ServiceBinding submission to the registry.

1026 8.9.3 Attribute targetBinding

- 1027 A ServiceBinding may have a targetBinding attribute defined which references
- 1028 another ServiceBinding. A targetBinding may be specified when a service is
- being redirected to another service. This allows the rehosting of a service by
- 1030 another service provider.

8.9.4 Method Summary

1032 In addition to its attributes, the ServiceBinding class also defines the following

1033 methods.

1034

1031

Method Summary of ServiceBinding

Collection getSpecificationLinks()

Get the collection of SpecificationLink instances defined for this ServiceBinding.

1035

1036 1037

1038

8.10 Class SpecificationLink

1039 Super Classes:

1040 <u>RegistryObject</u>

1041 1042

1043

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A SpecificationLink provides the linkage between a ServiceBinding and one of its technical specifications that describes how to use the service using the ServiceBinding. For example, a ServiceBinding may have a SpecificationLink

instances that describe how to access the service using a technical specification

1046 in form of a WSDL document or a CORBA IDL document.

1047 8.10.1 Attribute Summary

1048

Attribute	Data Type	Required	Default Value	Specified By	Mutable
specificationObject	UUID	Yes		Client	Yes
usageDescription	InternationalString	No		Client	Yes
usageParameters	Collection of	No		Client	Yes
	FreeFormText				

1049

1050

8.10.2 Attribute specificationObject

A SpecificationLink instance must have a specificationObject attribute that provides a reference to a RegistryObject instance that provides a technical specification for the parent ServiceBinding. Typically, this is an ExtrinsicObject instance representing the technical specification (e.g., a WSDL document).

1055 **8.10.3 Attribute usageDescription**

A SpecificationLink instance may have a usageDescription attribute that provides a textual description of how to use the optional usageParameters attribute described next. The usageDescription is of type InternationalString, thus allowing the description to be in multiple languages.

8.10.4 Attribute usageParameters

A SpecificationLink instance may have a usageParameters attribute that provides a collection of Strings representing the instance specific parameters needed to use the technical specification (e.g., a WSDL document) specified by this SpecificationLink object.

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1065 9 Association of Registry Objects

1066 A RegistryObject instance may be associated with zero or more RegistryObject

instances. The information model defines an Association class, an instance of

1068 which may be used to associate any two RegistryObject instances.

9.1 Example of an Association

1070 One example of such an association is between two ClassificationScheme

instances, where one ClassificationScheme supersedes the other

1072 ClassificationScheme as shown in Figure 3. This may be the case when a new

1073 version of a ClassificationScheme is submitted.

1074 In Figure 3, we see how an Association is defined between a new version of the

1075 NAICS ClassificationScheme and an older version of the NAICS

1076 ClassificationScheme.

NAICS2001-NAICS1997-Association:Association

[associationType = Supersedes]

sourceObject targetObject

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Figure 3: Example of RegistryObject Association

NAICS1997:ClassificationScheme

1080 9.2 Source and Target Objects

1081 An Association instance represents an association between a source

NAICS2001:ClassificationScheme

RegistryObject and a *target* RegistryObject. These are referred to as

1083 sourceObject and targetObject for the Association instance. It is important which

object is the sourceObject and which is the targetObject as it determines the

1085 directional semantics of an Association.

1086 In the example in Figure 3, it is important to make the newer version of NAICS

1087 ClassificationScheme be the sourceObject and the older version of NAICS be the

targetObject because the associationType implies that the sourceObject

supersedes the targetObject (and not the other way around).

9.3 Association Types

1091 Each Association must have an associationType attribute that identifies the type

1092 of that association.

9.4 Intramural Association

A common use case for the Association class is when a User "u" creates an Association "a" between two RegistryObjects "o1" and "o2" where association "a" and RegistryObjects "o1" and "o2" are objects that were created by the same User "u." This is the simplest use case, where the association is between two objects that are owned by the same User that is defining the Association. Such associations are referred to as *intramural associations*.

Figure 4 below, extends the previous example in Figure 3 for the intramural association case.

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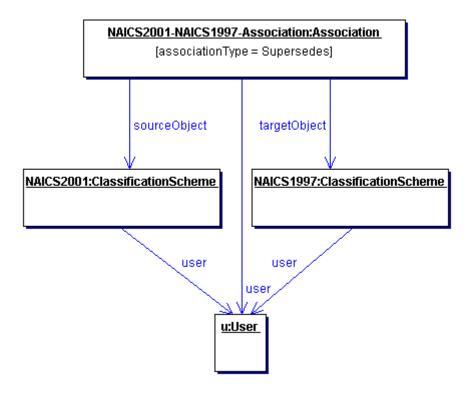
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Figure 4: Example of Intramural Association

9.5 Extramural Association

1106 The information model also allows more sophisticated use cases. For example, a 1107 User "u1" creates an Association "a" between two RegistryObjects "o1" and "o2" where association "a" is owned by User "u1", but RegistryObjects "o1" and "o2" 1108 1109 are owned by User "u2" and User "u3" respectively. 1110 In this use case an Association is defined where either or both objects that are 1111 being associated are owned by a User different from the User defining the 1112 Association. Such associations are referred to as extramural associations. The 1113 Association class provides a convenience method called isExtramural that

returns "true" if the Association instance is an extramural Association.

Figure 5 below, extends the previous example in Figure 3 for the extramural association case. Note that it is possible for an extramural association to have two distinct Users rather than three distinct Users as shown in Figure 5. In such case, one of the two users owns two of the three objects involved (Association, sourceObject and targetObject).

NAICS2001-NAICS1997-Association:Association

[associationType = Supersedes]

sourceObject targetObject

NAICS2001:ClassificationScheme

user

user

user

user

user

user

user

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Figure 5: Example of Extramural Association

1123 9.6 Confirmation of an Association

- An association may need to be confirmed by the parties whose objects are involved in that Association as the sourceObject or targetObject. This section
- describes the semantics of confirmation of an association by the parties involved.

9.6.1 Confirmation of Intramural Associations

- 1128 Intramural associations may be viewed as declarations of truth and do not require any explicit steps to confirm that Association as being true. In other
- words, intramural associations are implicitly considered confirmed.

1121	062	Confirmation	of Extramural	Accociations
บเงเ	9.0.Z	Commination	oi Extramurai	ASSOCIATIONS

- 1132 An extramural association may be thought of as a unilateral assertion that may
- 1133 not be viewed as truth until it has been confirmed by the other (extramural)
- parties involved (Users "u2" and "u3" in the example in section 9.5).
- 1135 To confirm an extramural association, each of the extramural parties (parties that
- own the source or target object but do not own the Association) must submit an
- 1137 identical Association (clone Association) as the Association they are intending to
- 1138 confirm using a SubmitObjectsRequest. The clone Association must have the
- 1139 same id as the original Association.

1140 9.6.3 Deleting an Extramural Associations

- 1141 An Extramural Association is deleted like any other type of RegistryObject, using
- the RemoveObjectsRequest as defined in [ebRS]. However, in some cases
- deleting an extramural Association may not actually delete it but instead only
- 1144 revert a confirmed association to unconfirmed state.
- 1145
- 1146 An Association must always be deleted when deleted by the owner of that
- 1147 Association, irrespective of its confirmation state. An extramural Association must
- 1148 become unconfirmed by the owner of its source/target object when deleted by
- the owner of its source/target object when the requestor is not the owner of the
- 1150 Association itself.

1151 9.7 Visibility of Unconfirmed Associations

- 1152 Extramural associations require each extramural party to confirm the assertion
- being made by the extramural Association before the Association is visible to
- third parties that are not involved in the Association. This ensures that
- 1155 unconfirmed Associations are not visible to third party registry clients.

1156 **9.8 Possible Confirmation States**

- 1157 Assume the most general case where there are three distinct User instances as
- shown in Figure 5 for an extramural Association. The extramural Association
- needs to be confirmed by both the other (extramural) parties (Users "u2" and "u3"
- in example) in order to be fully confirmed. The methods
- 1161 isConfirmedBySourceOwner and isConfirmedByTargetOwner in the
- 1162 Association class provide access to the confirmation state for both the
- 1163 sourceObject and targetObject. A third convenience method called
- 1164 isConfirmed provides a way to determine whether the Association is fully
- 1165 confirmed or not. So there are the following four possibilities related to the
- 1166 confirmation state of an extramural Association:
- 1167 o The Association is confirmed neither by the owner of the sourceObject nor by the owner of the targetObject.
- o The Association is confirmed by the owner of the sourceObject but it is not confirmed by the owner of the targetObject.
- 1171 o The Association is not confirmed by the owner of the sourceObject but it is confirmed by the owner of the targetObject.

1173 o The Association is confirmed by both the owner of the sourceObject and the owner of the targetObject. This is the only state where the Association is fully confirmed.

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9.9 Class Association

Super Classes:

RegistryObject

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1182 Association instances are used to define many-to-many associations among RegistryObjects in the information model.

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An *Instance* of the Association *Class* represents an association between two RegistryObjects.

1187 9.9.1 Attribute Summary

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Attribute	Data Type	Required	Default Value	Specified By	Mutable
associationType	LongName	Yes		Client	No
sourceObject	UUID	Yes		Client	No
targetObject	UUID	Yes		Client	No
IsConfirmedBy- SourceOwner	boolean	No	false	Registry	No
IsConfirmedBy- TargetOwner	boolean	No	false	Registry	No

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9.9.2 Attribute associationType

Each Association must have an associationType attribute that identifies the type of that association.

1193 9.9.2.1 Pre-defined Association Types

The following table lists pre-defined association types. These pre-defined association types are defined as a *Classification* scheme. While the scheme may easily be extended a *Registry* MUST support the association types listed below.

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name	description
RelatedTo	Defines that source RegistryObject is related to target RegistryObject.
HasMember	Defines that the source RegistryPackage object has the target RegistryObject object as a member. Reserved for use in Packaging of RegistryEntries.

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ExternallyLinks	Defines that the source ExternalLink object externally links the target RegistryObject object. Reserved for use in associating ExternalLinks with RegistryEntries.
Contains	Defines that source RegistryObject contains the target RegistryObject. The details of the containment relationship are specific to the usage. For example a parts catalog may define an Engine object to have a contains relationship with a Transmission object.
EquivalentTo	Defines that source RegistryObject is equivalent to the target RegistryObject.
Extends	Defines that source RegistryObject inherits from or specializes the target RegistryObject.
Implements	Defines that source RegistryObject implements the functionality defined by the target RegistryObject.
InstanceOf	Defines that source RegistryObject is an <i>Instance</i> of target RegistryObject.
Supersedes	Defines that the source RegistryObject supersedes the target RegistryObject.
Uses	Defines that the source RegistryObject uses the target RegistryObject in some manner.
Replaces	Defines that the source RegistryObject replaces the target RegistryObject in some manner.
SubmitterOf	Defines that the source Organization is the submitter of the target RegistryObject.
ResponsibleFor	Defines that the source Organization is responsible for the ongoing maintainence of the target RegistryObject.
OffersService	Defines that the source Organization object offers the target Service object as a service. Reserved for use in indicating that an Organization offers a Service.

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9.9.3 Attribute sourceObject

- 1200 Each Association must have a sourceObject attribute that references the
- 1201 RegistryObject instance that is the source of that association.

1202 9.9.4 Attribute targetObject

- 1203 Each Association must have a targetObject attribute that references the
- 1204 RegistryObject instance that is the target of that association.

1205 9.9.5 Attribute isConfirmedBySourceOwner

- 1206 Each Association may have an isConfirmedBySourceOwner attribute that is set
- by the registry to be true if the association has been confirmed by the owner of

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- the sourceObject. For intramural Associations this attribute is always true. This attribute must be present when the object is retrieved from the registry. This attribute must be ignored if specified by the client when the object is submitted to the registry.
 - 9.9.6 Attribute isConfirmedByTargetOwner
- Each Association may have an isConfirmedByTargetOwner attribute that is set by the registry to be true if the association has been confirmed by the owner of the targetObject. For intramural Associations this attribute is always true. This attribute must be present when the object is retrieved from the registry. This attribute must be ignored if specified by the client when the object is submitted to the registry.

| Boolean | isConfirmed() | Returns true if isConfirmedBySourceOwner and isConfirmedByTargetOwner attributes are both true. For intramural Associations always return true. An association should only be visible to third parties (not involved with the Association) if isConfirmed returns true.

| Boolean | isExtramural() | Returns true if the sourceObject and/or the targetObject are owned by a User that is different from the User that created the Association.

10 Classification of RegistryObject

- This section describes the how the information model supports *Classification* of RegistryObject. It is a simplified version of the *OASIS* classification model [OAS].
- 1225 A RegistryObject may be classified in many ways. For example the 1226 RegistryObject for the same *Collaboration Protocol Profile* (*CPP*) may be 1227 classified by its industry, by the products it sells and by its geographical location.
- 1228
 1229 A general *ClassificationScheme* can be viewed as a *Classification* tree. In the
 1230 example shown in Figure 6, RegistryObject instances representing *Collaboration*
- 1231 Protocol Profiles are shown as shaded boxes. Each Collaboration Protocol
- 1232 Profile represents an automobile manufacturer. Each Collaboration Protocol
- 1233 Profile is classified by the ClassificationNode named "Automotive" under the
- 1234 ClassificationScheme instance with name "Industry." Furthermore, the US
- 1235 Automobile manufacturers are classified by the US ClassificationNode under the
- 1236 ClassificationScheme with name "Geography." Similarly, a European automobile
- 1237 manufacturer is classified by the "Europe" ClassificationNode under the
- 1238 ClassificationScheme with name "Geography." 1239

The example shows how a RegistryObject may be classified by multiple ClassificationNode instances under multiple ClassificationScheme instances (e.g., Industry, Geography).

Industry:ClassificationScheme Health Care:ClassificationNode Automotive:ClassificationNode Retail:ClassificationNode classifiedBy classifiedBy classifiedBy classifiedBy gasGuzzlerInc:RegistryEntry yourDadsCarInc:RegistryEntry bigBadAuto:RegistryEntry yuppyEuroAutoInc:RegistryEntry classifiedBy classifiedBy classifiedBy classifiedBy US:ClassificationNode Europe:ClassificationNode Geography:ClassificationScheme

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Figure 6: Example showing a Classification Tree

[Note]It is important to point out that the dark nodes (gasGuzzlerInc, yourDadsCarInc etc.) are not part of the Classification tree. The leaf nodes of the Classification tree are Health Care, Automotive, Retail, US and Europe. The dark nodes are associated with the Classification tree via a Classification Instance that is not shown in the picture

1253 1254 1255

1256 1257 In order to support a general *Classification* scheme that can support single level as well as multi-level *Classifications*, the information model defines the *Classes* and relationships shown in Figure 7.

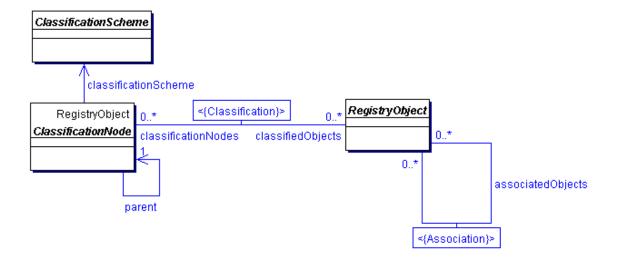


Figure 7: Information Model Classification View

 A Classification is somewhat like a specialized form of an Association. Figure 8 shows an example of an ExtrinsicObject *Instance* for a *Collaboration Protocol Profile* (*CPP*) object that is classified by a ClassificationNode representing the Industry that it belongs to.

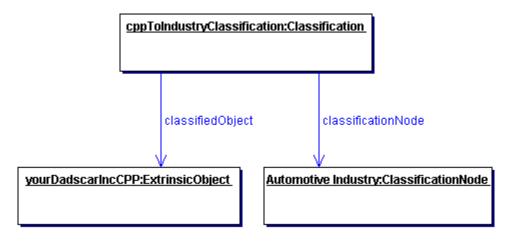


Figure 8: Classification Instance Diagram

10.1 Class ClassificationScheme

Base classes:

RegistryEntry, RegistryObject

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A ClassificationScheme instance is metadata that describes a registered taxonomy. The taxonomy hierarchy may be defined internally to the Registry by instances of ClassificationNode or it may be defined externally to the Registry, in which case the structure and values of the taxonomy elements are not known to the Registry.

1283 elements are not known to the Registry.
1284 In the first case the classification scheme is defined to be *internal* and in the second case the classification scheme is defined to be *external*.

The ClassificationScheme class inherits attributes and methods from the

RegistryObject and RegistryEntry classes.

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10.1.1 Attribute Summary

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Attribute	Data Type	Required	Default Value	Specified By	Mutable
isInternal	Boolean	Yes		Client	No
nodeType	String32	Yes		Client	No

Note that attributes inherited by ClassificationScheme class from the RegistryEntry class are not shown.

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10.1.2 Attribute isInternal

When submitting a ClassificationScheme instance the Submitting Organization needs to declare whether the ClassificationScheme instance represents an internal or an external taxonomy. This allows the registry to validate the subsequent submissions of ClassificationNode and Classification instances in order to maintain the type of ClassificationScheme consistent throughout its lifecycle.

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10.1.3 Attribute nodeType

When submitting a ClassificationScheme instance the Submitting Organization needs to declare what is the structure of taxonomy nodes that this ClassificationScheme instance will represent. This attribute is an enumeration with the following values:

- UniqueCode. This value says that each node of the taxonomy has

- 1306 with the follow 1307 -1308
 - a unique code assigned to it.
 EmbeddedPath. This value says that a unique code assigned to each node of the taxonomy at the same time encodes its path. This is the case in the NAICS taxonomy.
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- NonUniqueCode. In some cases nodes are not unique, and it is necessary to nominate the full path in order to identify the node. For example, in a geography taxonomy Moscow could be under both Russia and the USA, where there are five cities of that name in different states.

This enumeration might expand in the future with some new values. An example

This enumeration might expand in the future with some new values. An example for possible future values for this enumeration might be NamedPathElements for support of Named-Level taxonomies such as Genus/Species.

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10.2 Class ClassificationNode

Base classes:

RegistryObject

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1327 1328 ClassificationNode instances are used to define tree structures where each node in the tree is a ClassificationNode. Such *Classification* trees are constructed with ClassificationNode instances under a ClassificationScheme instance, and are used to define *Classification* schemes or ontologies.

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10.2.1 Attribute Summary

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Attribute	Data Type	Required	Default Value	Specified By	Mutable
parent	UUID	No		Client	No
code	ShortName	No		Client	No
path	String	No		Registry	No

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10.2.2 Attribute parent

Each ClassificationNode may have a parent attribute. The parent attribute either references a parent ClassificationNode or a ClassificationScheme instance in case of first level ClassificationNode instances.

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10.2.3 Attribute code

Each ClassificationNode may have a code attribute. The code attribute contains a code within a standard coding scheme.

1342 10.2.4 Attribute path

Each ClassificationNode may have a path attribute. The path attribute must be present when a ClassificationNode is retrieved from the registry. The path attribute must be ignored when the path is specified by the client when the object

is submitted to the registry. The path attribute contains the canonical path from the ClassificationScheme of this ClassificationNode. The path syntax is defined in 10.2.6.

10.2.5 Method Summary

In addition to its attributes, the ClassificationNode class also defines the following methods.

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Method Summary of C	classificationNode			
ClassificationScheme	getClassificationScheme()			
	Get the ClassificationScheme that this			
	ClassificationNode belongs to.			
Collection	getClassifiedObjects()			
	Get the collection of RegistryObjects classified by			
	this ClassificationNode.			
Integer	<pre>getLevelNumber()</pre>			
	Gets the level number of this ClassificationNode in the			
classification scheme hierarchy. This method returns a				
	positive integer and is defined for every node instance.			

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In Figure 6, several instances of ClassificationNode are defined (all light colored boxes). A ClassificationNode has zero or one parent and zero or more ClassificationNodes for its immediate children. The parent of a ClassificationNode may be another ClassificationNode or a ClassificationScheme

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1360 10.2.6 Canonical Path Syntax

in case of first level ClassificationNodes.

The path attribute of the ClassificationNode class contains an absolute path in a canonical representation that uniquely identifies the path leading from the ClassificationScheme to that ClassificationNode.

The canonical path representation is defined by the following BNF grammar:

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```
canonicalPath ::= '/' schemeld nodePath
nodePath ::= '/' nodeCode
| '/' nodeCode ( nodePath )?
```

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In the above grammar, schemeld is the id attribute of the ClassificationScheme instance, and nodeCode is defined by NCName production as defined by http://www.w3.org/TR/REC-xml-names/#NT-NCName.

1374 10.2.6.1 Example of Canonical Path Representation

The following canonical path represents what the path attribute would contain for the ClassificationNode with code 'United States' in the sample Geography scheme in section 10.2.6.2.

/Geography-id/NorthAmerica/UnitedStates

10.2.6.2 Sample Geography Scheme

Note that in the following examples, the ID attributes have been chosen for ease of readability and are therefore not valid URN or UUID values.

```
<ClassificationScheme id='Geography-id' name="Geography"/>
```

```
<ClassificationNode id="NorthAmerica-id" parent="Geography-id" code=NorthAmerica" /> <ClassificationNode id="UnitedStates-id" parent="NorthAmerica-id" code="UnitedStates" />
```

```
<ClassificationNode id="Asia-id" parent="Geography-id" code="Asia" />
```

1390 <ClassificationNode id="Japan-id" parent="Asia-id" code="Japan" />

1391 <ClassificationNode id="Tokyo-id" parent="Japan-id" code="Tokyo" />

10.3 Class Classification

Base Classes:

RegistryObject

 A Classification instance classifies a RegistryObject instance by referencing a node defined within a particular classification scheme. An internal classification will always reference the node directly, by its id, while an external classification will reference the node indirectly by specifying a representation of its value that is unique within the external classification scheme.

The attributes and methods for the Classification class are intended to allow for representation of both internal and external classifications in order to minimize the need for a submission or a query to distinguish between internal and external classifications.

In Figure 6, Classification instances are not explicitly shown but are implied as associations between the RegistryObject instances (shaded leaf node) and the associated ClassificationNode.

1411 10.3.1 Attribute Summary

Attribute	Data Type	Required	Default Value	Specified By	Mutable
classificationScheme	UUID	for external classifications	null	Client	No
classificationNode	UUID	for internal	null	Client	No

		classifications			
classifiedObject	UUID	Yes		Client	No
nodeRepresentation	LongN	for external	null	Client	No
	ame	classifications			

1413 Note that attributes inherited from the base classes of this class are not shown.

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10.3.2 Attribute classificationScheme

1416 If the Classification instance represents an external classification, then the classificationScheme attribute is required. The classificationScheme value must 1417 1418 reference a ClassificationScheme instance.

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10.3.3 Attribute classificationNode

- 1421 If the Classification instance represents an internal classification, then the
- 1422 classificationNode attribute is required. The classificationNode value must
- 1423 reference a ClassificationNode instance.

10.3.4 Attribute classifiedObject

- 1425 For both internal and external classifications, the ClassifiedObject attribute is
- 1426 required and it references the RegistryObject instance that is classified by this
- 1427 Classification.

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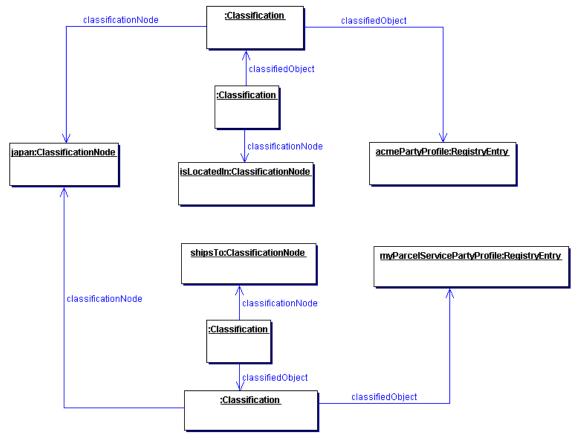
10.3.5 Attribute nodeRepresentation

- 1430 If the Classification instance represents an external classification, then the
- 1431 nodeRepresentation attribute is required. It is a representation of a taxonomy
- 1432 element from a classification scheme. It is the responsibility of the registry to
- 1433 distinguish between different types of nodeRepresentation, like between the
- 1434 classification scheme node code and the classification scheme node canonical
- 1435 path. This allows client to transparently use different syntaxes for
- 1436 nodeRepresentation.

10.3.6 Context Sensitive Classification

- 1438 Consider the case depicted in Figure 9 where a Collaboration Protocol Profile for
- ACME Inc. is classified by the Japan ClassificationNode under the Geography 1439
- 1440 Classification scheme. In the absence of the context for this Classification its
- 1441 meaning is ambiguous. Does it mean that ACME is located in Japan, or does it
- 1442 mean that ACME ships products to Japan, or does it have some other meaning?
- 1443 To address this ambiguity a Classification may optionally be associated with
- 1444 another ClassificationNode (in this example named isLocatedIn) that provides the
- 1445 missing context for the Classification. Another Collaboration Protocol Profile for
- 1446 MyParcelService may be classified by the Japan ClassificationNode where this

Classification is associated with a different ClassificationNode (e.g., named 1447 1448 shipsTo) to indicate a different context than the one used by ACME Inc.



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Figure 9: Context Sensitive Classification

Thus, in order to support the possibility of Classification within multiple contexts, a Classification is itself classified by any number of Classifications that bind the

first Classification to ClassificationNodes that provide the missing contexts.

In summary, the generalized support for *Classification* schemes in the

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o A RegistryObject to be classified by defining an internal Classification that associates it with a ClassificationNode in a ClassificationScheme.

- A RegistryObject to be classified by defining an external Classification that associates it with a value in an external ClassificationScheme.
- A RegistryObject to be classified along multiple facets by having multiple Classifications that associate it with multiple ClassificationNodes or value within a ClassificationScheme.
- A Classification defined for a RegistryObject to be qualified by the contexts in which it is being classified.

OASIS/ebXML Registry Information Model

information model allows:

Page 51

10.3.7 Method Summary

In addition to its attributes, the Classification class also defines the following methods:

Return Type	Method
UUII	getClassificationScheme()
	For an external classification, returns the scheme
	identified by the classificationScheme attribute.
	For an internal classification, returns the scheme identified by
	the same method applied to the ClassificationNode instance
String	getPath()
	For an external classification returns a string that
	conforms to the canonical path syntax as specified in 10.2.6.
	For an internal classification, returns the value contained in
	the path attribute of the ClassificationNode instance identified
	by the classificationNode attribute.
ShortName	getCode()
	For an external classification, returns a string that
	represents the declared value of the taxonomy element. It will
	not necessarily uniquely identify that node.
	For an internal classification, returns the value of the code
	attribute of the ClassificationNode instance identified by the
	classificationNode attribute.

10.4 Example of *Classification* Schemes

The following table lists some examples of possible *Classification* schemes enabled by the information model. These schemes are based on a subset of contextual concepts identified by the ebXML Business Process and Core Components Project Teams. This list is meant to be illustrative not prescriptive.

Classification Scheme	Usage Example	Standard Classification Schemes
Industry	Find all Parties in Automotive industry	NAICS
Process	Find a ServiceInterface that implements a Process	
Product / Services	Find a <i>Business</i> that sells a product or offers a service	UNSPSC
Locale	Find a Supplier located in Japan	ISO 3166
Temporal	Find Supplier that can ship with 24 hours	
Role	Find All Suppliers that have a <i>Role</i> of "Seller"	

Table 1: Sample Classification Schemes

11 Information Model: Security View

This section describes the aspects of the information model that relate to the security features of the *Registry*.

 Figure 10 shows the view of the objects in the *Registry* from a security perspective. It shows object relationships as a *UML Class* diagram. It does not show *Class* attributes or *Class* methods that will be described in subsequent sections. It is meant to be illustrative not prescriptive.

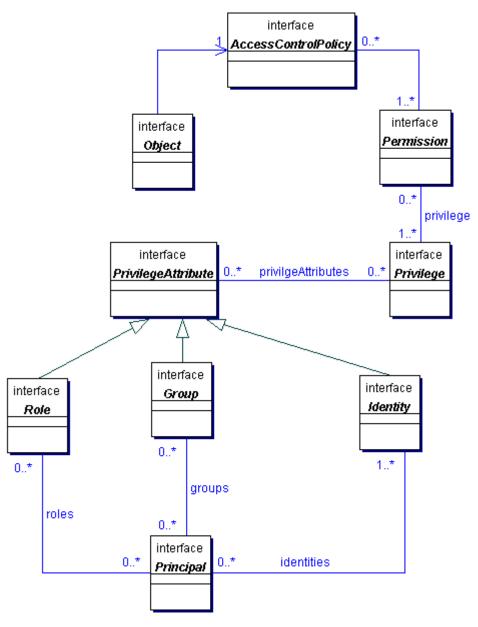


Figure 10: Information Model: Security View

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11.1 Class AccessControlPolicy

Every RegistryObject may be associated with exactly one AccessControlPolicy, which defines the policy rules that govern access to operations or methods performed on that RegistryObject. Such policy rules are defined as a collection of Permissions.

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Method Summary of AccessControlPolicy					
Collection	Collection getPermissions()				
	Gets the Permissions defined for this AccessControlPolicy.				
	Maps to attribute named permissions.				

11.2 Class Permission

The Permission object is used for authorization and access control to RegistryObjects in the *Registry*. The Permissions for a RegistryObject are defined in an AccessControlPolicy object.

A Permission object authorizes access to a method in a RegistryObject if the requesting Principal has any of the Privileges defined in the Permission.

See Also:

Privilege, AccessControlPolicy

| String | | GetMethodName() | Gets the method name that is accessible to a Principal with specified Privilege by this Permission. Maps to attribute named methodName. | Collection | GetPrivileges() | Gets the Privileges associated with this Permission. Maps to attribute named privileges.

11.3 Class Privilege

A Privilege object contains zero or more PrivilegeAttributes. A PrivilegeAttribute can be a Group, a Role, or an Identity.

A requesting Principal MUST have all of the PrivilegeAttributes specified in a Privilege in order to gain access to a method in a protected RegistryObject. Permissions defined in the RegistryObject's AccessControlPolicy define the Privileges that can authorize access to specific methods.

This mechanism enables the flexibility to have object access control policies that are based on any combination of Roles, Identities or Groups.

See Also:

PrivilegeAttribute, Permission

OASIS/ebXML Registry Information Model

Page 55

Method Summary of Privilege		
Collection getPrivilegeAttributes()		
Gets the PrivilegeAttributes associated with this Privilege.		
	Maps to attribute named privilegeAttributes.	

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11.4 Class PrivilegeAttribute

All Known Subclasses:

Group, Identity, Role

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1561 1562 PrivilegeAttribute is a common base *Class* for all types of security attributes that are used to grant specific access control privileges to a Principal. A Principal may have several different types of PrivilegeAttributes. Specific combination of PrivilegeAttributes may be defined as a Privilege object.

See Also:

Principal, Privilege

1563 **11.5 Class Role**

1564 All Superclasses:

PrivilegeAttribute

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11.5.1 A security Role PrivilegeAttribute

For example a hospital may have *Roles* such as Nurse, Doctor, Administrator etc. Roles are used to grant Privileges to Principals. For example a Doctor *Role* may be allowed to write a prescription but a Nurse *Role* may not.

11.6 Class Group

All Superclasses:

PrivilegeAttribute

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11.6.1 A security Group PrivilegeAttribute

A Group is an aggregation of users that may have different Roles. For example a hospital may have a Group defined for Nurses and Doctors that are participating in a specific clinical trial (e.g., AspirinTrial group). Groups are used to grant Privileges to Principals. For example the members of the AspirinTrial group may be allowed to write a prescription for Aspirin (even though Nurse Role as a rule may not be allowed to write prescriptions).

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1584 11.7 Class Identity

All Superclasses:

<u>PrivilegeAttribute</u>

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11.7.1 A security Identity PrivilegeAttribute

This is typically used to identify a person, an organization, or software service.

1590 Identity attribute may be in the form of a digital certificate.

11.8 Class Principal

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Principal is a generic term used by the security community to include both people and software systems. The Principal object is an entity that has a set of PrivilegeAttributes. These PrivilegeAttributes include at least one identity, and optionally a set of role memberships, group memberships or security clearances. A principal is used to authenticate a requestor and to authorize the requested action based on the PrivilegeAttributes associated with the Principal.

See Also:

1598 1599 1600

PrivilegeAttributes, Privilege, Permission

1601

Method Summary of Frincipal		
Collection	getGroups()	
	Gets the Groups associated with this Principal. Maps to	
	attribute named groups.	

Collection getIdentities()

Gets the Identities associated with this Principal. Maps to attribute named identities.

Collection getRoles()

Gets the Roles associated with this Principal. Maps to attribute named roles.

1602

1603	12 References		
1604	[ebGLOSS] ebXML Glossary,		
1605	http://www.ebxml.org/documents/199909/terms_of_reference.htm		
1606	[OAS] OASIS Information Model		
1607	http://xsun.sdct.itl.nist.gov/regrep/OasisRegrepSpec.pdf		
1608	[ISO] ISO 11179 Information Model		
1609 1610	http://208.226.167.205/SC32/jtc1sc32.nsf/576871ad2f11bba78525662100 5419d7/b83fc7816a6064c68525690e0065f913?OpenDocument		
1611 1612	[BRA97] IETF (Internet Engineering Task Force). RFC 2119: Key words for use in RFCs to Indicate Requirement Levels		
1613	http://www.cis.ohio-state.edu/cgi-bin/rfc/rfc2119.html		
1614	[ebRS] ebXML Registry Services Specification		
1615 1616	http://www.oasisopen.org/committees/regrep/documents/2.1/specs/ebRS.pdf		
1617	[ebCPP] ebXML Collaboration-Protocol Profile and Agreement Specification		
1618 1619	http://www.ebxml.org/specfrafts/		
1620 1621 1622 1623	[UUID] DCE 128 bit Universal Unique Identifier http://www.opengroup.org/onlinepubs/009629399/apdxa.htm#tagcjh_20 http://www.opengroup.org/publications/catalog/c706.htmttp://www.w3.org/TR/REC-xml		
1624	INVEC-XIII		
1625 1626 1627	[XPATH] XML Path Language (XPath) Version 1.0 http://www.w3.org/TR/xpath		
1628 1629	[NCName] Namespaces in XML 19990114 http://www.w3.org/TR/REC-xml-names/#NT-NCName .		
1630	13 Disclaimer		
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