OASIS SSTC Bindings Model

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1 Revision History

Revision	Date	Editor	Title
0.5	18 August 2001	Prateek Mishra	Bindings model draft
0.6	8 November 2001	Prateek Mishra	Removed SAML HTTP binding, removed artifact PUSH case, updated SOAP profile based on Blakley note
0.7	3 December 2001		Re-structured based on F2F#5 comments; separated discussion and normative language

103 2 Introduction

104 **2.1 Scope**

106 producing a specification of SAML security assertions and one or more SAML</big><big>

107 </big><big>request-response message exchanges.

- 108 </big>
- 109 **
big>**The high-level goal of this document is to specify how:
- 110 </big>
- 111

 <b
- 112 communication protocols. Such </big></big></big>mappings are called SAML
- 113 </big><big>protocol bindings. </big><big>An instance of mapping SAML request-response
- 114 message exchanges into a specific protocol <FOO> is termed a </big>SAML
- 115 <*FOO> binding*</big><big>.
- 116

117 <u>Example:</u> A SAML HTTP binding describes how SAML Query and Response message

- exchanges are mapped into HTTP message exchanges. A SAML SOAP binding describes how
- 119 SAML Query and Response message exchanges are mapped into SOAP message
- 120 exchanges.</big><big>
- 121 </big>

- of various types, protocol data units of communication protocols) by an originating party,
- 124 </big></big></big>communicated from the originating site to a destination, and
- subsequently processed at the destination. A set of rules</big><big><big>describing

how to embed and extract SAML assertions into a framework or protocol is termed a

127 </big><big>profile</big> for SAML. A set of rules for embedding and extracting SAML

- assertions into a </big></big></big>specific class of <FOO> objects is termed a
- 129 </big><big><FOO> profile</big><big> of SAML.
- 130

131 <u>Example</u>: A SOAP profile for SAML describes how SAML assertions may be added to SOAP

- messages, the interaction between SOAP headers and SAML assertions, description of SAML-
- related error states at the destination.
- 134
- 135 </big>

136 **<big>**(1) and (2) MUST be specified in sufficient detail to yield interoperability when

- independently implemented.
- 138 </big>

139 2.2 Contents

- 140 **<big>**The remainder of this document is in four sections:
- 141 </big>
- <big>Guidelines for the specification of protocol bindings and profiles. The intent here is to provide a checklist that MUST or SHOULD be filled out when developing a protocol binding or profile for a specific protocol or framework.
 </big>
- <big>A process framework for describing and registering proposed and future protocol bindings and profiles.
- 148 </big>
- <big>Protocol bindings for selected protocols. Bindings MUST be specified in enough detail to satisfy the inter-operability requirement.
 </big>
- <big>Profiles for selected protocols and frameworks. Profiles MUST be specified in enough detail to satisfy the inter-operability requirement.
 </big>

155 2.3 Guidelines for Specifying Protocol Bindings and 156 Profiles<big> </big>

- 157
- 158

 <b
- 159 </big></big></big></big></big>
- 160 </big><big>(1) Each binding or profile must be characterized as set of interactions between
- 161 parties. Any restriction on applications used by each party and the protocols involved in each
- 162 interaction must be explicitly called out.</big><big>
- 163 </big><big>
- 164 </big><big>(2) Identification of parties involved in each interaction: how many parties are
- 165 involved in the interaction? Can intermediaries be involved?
- 166 </big>
- 167 **< big>**(3) Authentication of parties involved in each interaction: Is authentication required? What
- types of authentication are acceptable?</big><big>
- 169 </big><big>
- 170 </big><big>(4) Support for message integrity: what mechanisms are used to ensure message
- 171 integrity?
- 172
- 173 (5) Support for Confidentiality: can a third party view the contents of SAML messages and
- assertions? Does the binding or profile require confidentiality? What mechanisms are
- recommended for securing confidentiality? </big></big></big>
- 176 </big><big>
- 177 </bi></bi></bi></bi></br>
- that receive and process SAML assertions or messages.</big>

- (7) Security considerations: including analysis of threats and description of counter-measures.

2.4 Process Framework for Describing and Registering **Protocol Bindings and Profiles**

184 185 186	_	When a profile or protocol binding is registered, the following information MUST be ed:
187	<big></big>	
188 189 190	1.	 <big>Identification: specify a URI that authoritatively identifies this profile or protocol binding. </big>
191 192 193	2.	 <big>Contact information: specify the postal and electronic contact information for the author of the profile or protocol binding. </big>
194 195 196	3.	 <big>Description: the description SHOULD follow the guidelines for profiles and protocol bindings given above. </big>
197 198 199	4.	 <big>Updates: references to previously registered profiles or bindings that the current entry improves or obsoletes.</big>
200 201 202 203 204	wi Pro by	e Security Services Technical Committee (SSTC) at OASIS (http://www.oasis-open.org) ll maintain a respository of submitted bindings and profiles titled "Additional Bindings and ofiles". The SSTC will also provide instructions for submission of bindings and profiles Oasis members.
205		
206		
207 208	<big></big>	Whe
200	3 F	Protocol Bindings

- 3.1 SAML Binding for SOAP

- 213 SOAP (Simple Object Access Protocol) 1.1 is a standard proposed by Microsoft, IBM, and other
- 214 contributors for RPC-like interactions using XML. It defines a mechanism for defining messages
- in XML, and for sending them over HTTP. Since its introduction, it has attracted much
- attention, and it is expected to provide the foundation for many future Web-based services.
- 217
- SOAP 1.1 [SOAP1.1] has three main parts. One is a message format that uses an envelope and
- body metaphor to wrap XML data for transmission between parties. The second is a restricted
- definition of XML data for making strict RPC-like calls through SOAP, without using a
- 221 predefined XML schema. Finally, it provides a binding for SOAP messages to HTTP and
- extended HTTP.
- 223
- This document describes how to use SOAP to send and receive SAML messages. An additional
- section of the SAML specification ("SOAP Profile") defines how to use SAML as an
- authentication mechanism for SOAP. In other words, the former describes using SAML over
- SOAP, and the latter describes using SAML for SOAP.
- 228
- Like SAML, SOAP can be used over multiple underlying transports. This document describes
- 230 protocol independent aspects of the SAML SOAP binding and calls out the use of HTTP
- 231 protocol as mandatory-to-implement. It includes recomendations for HTTP specifics, including
- HTTP headers, error reporting, authentication, message integrity, and confidentiality.
- [Issue: Bob B wanted to include: "This description is general for SOAP and may use anyprotocol". I think paragraph above says the same thing].
- 235
- SOAP over HTTP does not cover security considerations. Refer to SAML security
 considerations document [SEC-CONS] for details.
- 238 **3.1.1 Overview.**
- 239 **3.1.1.1 Referenced Namespaces**
- 240
- 241 SOAP envelope namespace:
- 242 SOAP-ENV=http://schemas.xmlsoap.org/soap/envelope
- 243
- 244 SAML core assertions namespace:
- saml=http://www.oasis-open.org/committees/security/docs/sstc-schema-assertion.xsd
- 246
- 247 SAML protocol namespace:
- 248 samlp=http://www.oasis-open.org/committees/secutiry/docs/sstc-schema-protocol.xsd

249

250 **3.1.1.2 Basic Operation**

251

SOAP messages consist of three elements: an envelope, header data, and a message body. SAML

253 messages (<samlp:Request> and <samlp:Response>) MUST be enclosed within the SOAP

254 message body.

255

SOAP 1.1 also defines an optional data encoding system. This system is not used within the
 SAML SOAP binding. This means that SAML messages can be transported using SOAP without
 re-encoding from the "standard" SAML schema to one based on SOAP encoding.

259

- 260 The system model used for SAML conversations over SOAP is a simple request-response model.
- A sender transmits a SAML <samlp:Request> within the body of a SOAP message to a receiver.
- The receiver processes the SAML request and returns a <samlp:Response> within the body of
- another SOAP message.

264

265 **3.1.2 SOAP Headers**

266

- A SAML sender in a SAML conversation over SOAP MAY add arbitrary headers to the SOAP message. SAML 1.0 does not define any additional SOAP headers.
- 269 [Rationale: some SOAP software and libraries may add headers to a SOAP message that are out
- of the control of the SAML-aware process. Also, some headers may be needed for underlying
- 271 protocols that require routing of messages.]
- A SAML receiver MUST NOT require any headers for the SOAP message.
- [Rationale: requiring extra headers will cause fragmentation of the standard and will hurt
- 274 interoperability.]

275 **3.1.3 SAML Requests**

- 276
- 277 A SAML request <samlp:Request> is stored as the (only) child of the <SOAP-ENV:body>
- element of a SOAP message. The sender MUST NOT include more than one SAML request per
- 279 SOAP message or include any additional XML elements in the SOAP body.
- 280 On receiving a SAML request as a SOAP message, the SAML receiver MUST return either a
- 281 SAML response <samlp:Response> or a SOAP fault code.

283 3.1.4 SAML Responses

- 284
- A SAML response <samlp:Response> MUST appear as the (only) child of the <SOAP-
- 286 ENV:body> element in a SOAP message. The SOAP message MUST contain exactly one
- 287 SAML response element. The SAML receiver MUST NOT include any additional XML
- elements in the SOAP body.
- On receiving a SAML response in a SOAP message, the SAML sender MUST NOT send a fault code or other error messages to the receiver.
- 291 [Rationale: The format for the message interchange is a simple request-response. Adding
- additional error conditions, notifications, etc. would needlessly complicate the protocol.]

293

294 **3.1.5 Fault Codes**

295

296 If a receiver cannot, for some reason, process a SAML request, it should return a SOAP fault

code. SOAP Fault codes MUST NOT be sent for errors within the SAML problem domain, e.g.

inability to find extension schema or as a signal that the subject is not authorized to access

- resource in an authorization query.
- 300 [Issue: If valid SAML requests can not be extracted, SOAP fault code must be returned]
- 301 Section 4.1 of [SOAP1.1] describes SOAP faults and fault codes.

302 3.1.6 Authentication

Authentication of both sender and receiver is optional and depends upon the environment of use. Authentication protocols available from the underlying substrate protocol MAY be utilized to provide authentication. Section 3.1.9.2 describes authentication in the HTTP environment.

306 3.1.7 Message Integrity

Message integrity of both request and response is optional and depends on the environment of use. The security layer in the underlying substrate protocol MAY be used to ensure message integrity.

310 **3.1.8** Confidentiality

311

312 Confidentiality of both request and response is optional and depends on the environment of use.

- 313 The security layer in the underlying substrate protocol MAY be used to ensure message
- 314 confidentiality.

317 **3.2 SAML use of the SOAP binding over HTTP.**

- 318
- Any SAML processor implementing the SAML SOAP binding MUST implement SAML overSOAP over HTTP.
- The HTTP binding for SOAP is described in Section 6.0 of [SOAP1.1]. It requires the use of a
- 322 SOAPAction header as part of a SOAP HTTP request. A SAML receiver MUST NOT depend on
- 323 the value of this header. A SAML sender MAY set the value of SOAPAction header to
- 324 "http://www.oasis-open.org/committees/security".

325 3.2.1.1 HTTP Headers.

- 326
- 327 HTTP proxies MUST NOT cache responses carrying SAML assertions.
- When using HTTP 1.1:
- (1) a SAML receiver MUST NOT include Cache-Control header field in the response UNLESS
 its value is set to no-store.
- (2) Expires response header field SHOULD NOT be included, UNLESS it is disabled by Cache Control header with the value of no-store.
- There are no other restrictions on HTTP headers.

334 **3.2.1.2 Authentication**

- 335 SAML sender and SAML receiver MUST implement following authentication methods:
- 336 1. No client authentication.
- 2. HTTP basic client authentication [rfc2617] with and without SSLv3 or TLS 1.0.
- 338 3. HTTP over SSLv3 or TLS 1.0[Appendix C] server authentication with a server-side certificate.
- 4. HTTP over SSLv3 or TLS 1.0 [Appendix C] client authentication with a client-side certificate.
- 341 Should a SAML receiver utilize SSLv3 or TLS 1.0 [Appendix C] it MUST use a server-side
- 342 certificate.
- 343

344 3.2.1.3 Message Integrity

- 345 SAML receivers MUST implement message integrity by utilizing HTTP over SSLv3 or TLS1.0
- 346 [AppendixC] with a server-side certificate.

347 **3.2.1.4 Message Confidentiality**

When message confidentiality is required, HTTP over SSLv3 or TLS 1.0 [Appendix C] with a server-side certificate MUST be used.

350 3.2.1.5 Security Considerations

- 351 Each combination of authentication-message integrity-confidentiality should be analyzed for
- vulnerability in the context of deployment environment. See the security considerationsdocument [saml-sec-cons] for detailed discussion.
- 255 document [sami-sec-cons] for detailed discussion.
- [Rfc2617] provides descriptions of possible attacks in HTTP environment using basic and
 authentication schemes.

356 **3.2.1.6 Error reporting**

- A SAML receiver that refuses to perform a SAML message exchange with the sender it should return a "403 Forbidden" response. In this case content of the HTTP body is undefined.
- As described in [SOAP1.1 section 6.2], in case of a SOAP error while processing SOAP request
- the SOAP HTTP server MUST return a "500 Internal Server Error" response and include a
- 361 SOAP message in response containing a SOAP Fault element. This type of error should be
- returned for SOAP related errors detected before control is passed to the SAML processor, or
- 363 when the SOAP processor reports an internal error. Examples include situations when soap
- namespace is incorrect, SAML schema can not be located, SOAP message signature does not
 validate, etc.
- In case of a SAML processing error the SOAP HTTP server MUST respond with "200 OK" and
 include SAML specified error description as the only child of the SOAP-ENV:Body element.
- 368 For complete list of SAML error codes see [SAML-CoreDoc].
- 369

370 **3.2.1.7 Example: SAML over SOAP/HTTP**

- 371
- 372 REQUEST:
- 373

374	POST /SamlService HTTP/1.1
375	Host: www.example.com
376	Content-Type: text/xml
377	Content-Length: nnn
378	SOAPAction: http://www.oasis-open.org/committees/security
379	<soap-env:envelope< th=""></soap-env:envelope<>
380	xmlns:SOAP-ENV="http://schemas.xmlsoap.org/soap/envelope/">
381	<soap-env:body></soap-env:body>
382	<pre><samlp:request <="" pre="" xmlns:saml="" xmlns:samlp=""></samlp:request></pre>
383	<pre>xmlns:ds=""></pre>
384	<pre></pre>
385	<pre><samlp:authenticationouerv></samlp:authenticationouerv></pre>

386 387 388 389 390	<pre> </pre>
391	
392 393	RESPONSE:
 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 	<pre>HTTP/1.1 200 OK Content-Type: text/xml Content-Length: nnnn <soap-env:envelope xmlns:SOAP-ENV="http://schemas.xmlsoap.org/soap/envelope/"> <soap-env:body> <samlp:response <br="" xmlns:saml="" xmlns:samlp="">xmlns:ds="" samlp:StatusCode="Success"></samlp:response></soap-env:body></soap-env:envelope </pre>
411	
412	
413	
414	

- 415 **4 Profiles</big>**
- 416 **4.1 Web Browser Single Sign-On**

417 **4.1.1 Overview**

418

The web browser profile utilizes terminology taken from Use Case 1 and Scenario 1-1 of the SAML Requirements document. In this use-case, a web user authenticates with a *source site*.

421 The web user then uses a secured resource at a destination site, without directly authenticating to

422 the *destination site*.

423

We assume that
big>the user is utilizing a standard commercial browser and has authenticated
to a source site. Further, the source site has some form of security engine in place that can track

locally authenticated users [WEB-SSO]. Typically, this takes the form of a session which may be

- represented by an encrypted cookie or an encoded URL or by the use of some other technology [SESSION]. This is a substantial requirement but one which is met by a large class of security
- engines.

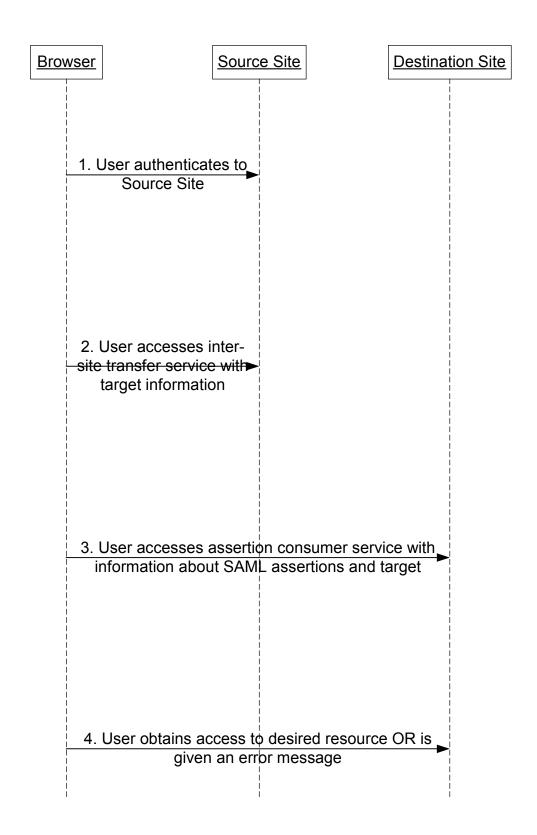


Figure 1: Web Browser Single Sign-On

At some point, the user attempts to access a *target* resource available from the destination site

and subsequently through one or more steps (e.g., re-direction) arrives at an *inter-site transfer*

435 *service*¹ at the source site. Starting from this point, the SAML web browser profiles describe a

canonical sequence of HTTP protocol exchanges that transit the user browser to a distinguished
 assertion consumer service at the destination site. Information about *SAML assertions* associated

- 437 *assertion consumer service* at the destination site. Information about *SAML* assertions associated 438 with the user and the desired target are conveyed from the source to the destination site by the
- with the user and the desired target are conveyed from the source to the destination site by theprotocol exchange.
- 440

The destination site can examine both the assertions and target information and determine

442 whether to allow access to the target resource, thereby achieving web single sign-on for

- authenticated users originating from a source site. Often, the destination site also utilizes a
 standard security engine that will create and maintain a session, possibly utilizing information
- standard security engine that will create and maintain a session, possibly utiliz
 contained in the source site assertions, for the user at the destination site.

446 4.1.1.1 Relevant Technology

We describe two HTTP-based techniques available for conveying information from one site to another via a stock commercial browser. We do not discuss the use of cookies, as these impose the limitation that both the source and destination site belong to the same "cookie domain".

- *Form POST*: SAML assertions are uploaded to the user browser within a HTML Form
 [HTML] and conveyed to the destination site as part of a HTTP POST payload when the user
 "submits" the form,
- 454
- *SAML Artifact*: A "small", bounded-size SAML artifact, which unambiguously identifies an assertion to the source site, is carried as part of a URL query string and conveyed via redirection to the destination site; the destination site must acquire the referenced assertion by some further steps. Typically, this involves the use of a registered SAML protocol binding.
- 459

The need for a "small" SAML artifact is motivated by restrictions on URL size imposed by 460 commercial web browsers. While [RFC2616] does not specify any restrictions on URL length, in 461 practice commercial web browsers and </big></big></big>application servers impose size 462 constraints on URLs (maximum size of approximately 2000 characters [Appendix A]). Further, 463 as developers will need to estimate and set aside URL "real-estate" for the artifact, it is 464 important that the artifact have a bounded size, i.e. with predefined maximum size. These 465 466 measures ensure that the artifact can be reliably carried as part of the URL query string and thereby transferred from source to destination site. 467

- 468
- 469

¹ One or more URLs may be associated with such a service.

470 4.1.2 Profile Overview

471

Two distinct web browser profiles are described: one based on use of artifacts and one based on form POST. For each type of profile, a section describing the threat model and relevant counter-

474 measures is also included.

475 4.1.3 SAML Artifact Profile

476 **4.1.3.1 SAML artifact format**

477

482

Depending on upon the level of security desired and associated profile protocol steps, many

viable architectures may be developed for the SAML artifact ([Core-Assertions-Examples, Shib-

480 Marlena]. We accommodate variability in the architecture by a mandatory two byte artifact type

481 code in the representation:

```
483
      <SAML artifact> :=
                B64 representation of <TypeCode> <RemainingArtifact>
484
485
                <TypeCode> := Byte1Byte2
486
487
      The following fixed size artifact is mandatory to implement for any implementation of the
488
      SAML artifact profile.
489
490
491
492
493
      <TypeCode> := 0x0001
494
      <RemainingArtifact> := <SourceID> <AssertionHandle>
      <SourceID> := 20 byte sequence
495
496
      <AssertionHandle> := 20 byte sequence
497
      <SourceID> is a twenty byte sequence used by the destination site to determine source site
498
      identity. We assume that the destination site will maintain a table of sourceID values as well as
499
      the URL (or address) for the corresponding SAML query service. This information is
500
      communicated between the source and destination sites using an out-of-band technique. On
501
      receiving the SAML artifact, the destination site determines if the <SourceID> belongs to a
502
      known source site, retrieves the "assertion lookup" service information and invokes the service
503
      with the <SAML artifact> and other values as an argument.
504
505
      Any two source sites with a common destination site MUST use distinct <SourceID> values.
506
```

507 Construction of <AssertionHandle> values is governed by the principle that they should have no

predictable relationship to the contents of the referenced assertion at the source site and should

also be difficult to "guess".

- 510
- 511 The following practices are RECOMMENDED for the creation of SAML artifacts at source 512 sites:
- 513
- (1) Each source site selects a single Identification URL which it communicates to all potential
- destination sites. The domain name used within the identification URL MUST be administeredby source site.
- 517
- (2) The source site constructs the <SourceID> component of the artifact by taking the SHA-1
 [SHA-1] hash of the identification URL.
- 520
- (3) The value should be constructed from a pseudo-random number sequence [RFC1750]
- 522 generated by the source site. The sequence must consist of values of size at least eight bytes.
- 523

524 **4.1.3.2 Artifact Message Flows**

525 </big>

526 **<big>**This profile consists of a single interaction between three parties (source site, user

527 equipped with a browser, destination site), with a nested sub-interaction between two parties

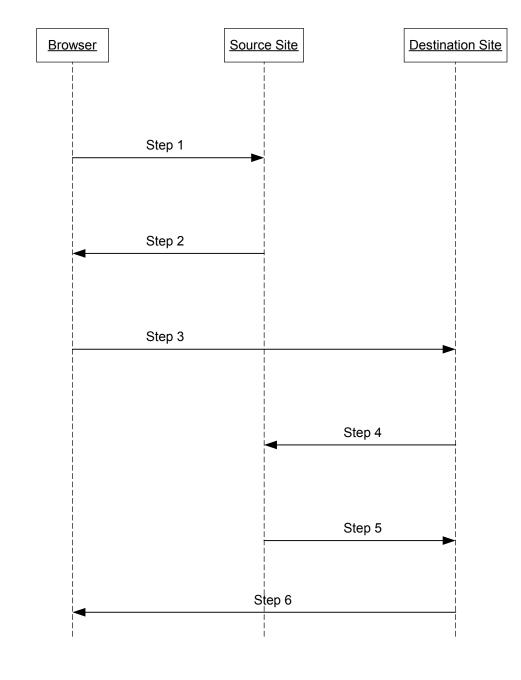
- 528 (source site, destination site). The interaction sequence is diagrammed in Figure 1.
- 530 Terminology from [RFC1738] is used to describe components of a URL. An HTTP URL has the 531 form:
- 532

529

533 534

http://<HOST>:<port>/<path>?<searchpart>

- 535
- 536 In what follows, we will specify certain portions of the searchpart component of the URL.
- 537 Ellipses will be used to indicate additional but unspecified portions of the searchpart.
- 538
- 539 HTTP requests and responses may be drawn from HTTP 1.1 [RFC2068] or HTTP 1.0
- 540 [RFC1945]. Distinctions between the two are drawn only when necessary.
- 541



549 4.1.3.2.1 Step 1: HTTP Request

550 551 552 553	No normative form is given for Step 1. It is RECOMMENDED that the HTTP request take the form:
554 555 556 557 558	GET http:// <inter-site and="" host="" name="" path="" transfer="">?…TARGET=<target>…<http-version> <other 1.0="" 1.1="" components="" http="" or=""></other></http-version></target></inter-site>
559 560	Notes:
561 562 563	1. <inter-site and="" host="" name="" path="" transfer=""> refers to the host name, port number and path components of an inter-site transfer URL of the source site.</inter-site>
564 565	2. The Target= <target> name-value pair occurs in the searchpart and is used to convey information about the desired target resource at the destination site.</target>
566	
567 568	4.1.3.2.2 Step 2: HTTP Response
569	The HTTP Response MUST take the form:
570 571 572 573 574 575 576	<pre></pre>
577	Notes:
578 579 580	1. <assertion and="" consumer="" host="" name="" path=""> refers to the host name, port number and path components of an assertion consumer URL at the destination site.</assertion>
581	2. <saml searchpart="">=TARGET=<target>SAMLart=<saml artifact=""></saml></target></saml>
582 583 584 585	A single target description MUST be included in the SAML searchpart component. At least one SAML artifact MUST be included in the SAML searchpart component; multiple SAML artifacts MAY be included. If more than one artifact is carried within <saml searchpart="">, all the artifacts MUST have the same SourceID.</saml>
586	
587 588	3. HTTP 1.1 and HTTP 1.0 recommend the use of status code 302 to indicate "the requested resource resides temporarily under a different URI". The response may also include

589 590		additional headers and an (optional) message body as described in FRC2068 and RFCXXXX.
591		
592 593	4.	Confidentiality and message integrity MUST be maintained in steps 1 and 2.
594 595 596 597	5.	It is RECOMMENDED that the inter-site transfer URL be exposed over SSLv3 or TLS 1.0 [Appendix C]. Otherwise, the artifact(s) returned in step 2 will be available in plain text to any attacker.
598	4.1	1.3.2.3 Step 3: HTTP Request:
599		
600	Th	e HTTP request MUST take the form:
601		
602 603		http:// <assertion and="" consumer="" host="" name="" path="">?<saml searchpart=""> <http-version> ther HTTP 1.0 or 1.1 request components></http-version></saml></assertion>
604		
605	No	ites:
606		
607 608 609	1.	<assertion and="" consumer="" host="" name="" path=""> refers to the host name, port number and path components of an assertion consumer URL at the destination site.</assertion>
610	2.	<saml searchpart="">=TARGET=<target>SAMLart=<saml artifact=""></saml></target></saml>
611 612 613 614 615	SA M	single target description MUST be included in the SAML searchpart component. At least one ML artifact MUST be included in the SAML searchpart component; multiple SAML artifacts AY be included. If more than one artifact is carried within <saml searchpart="">, all the ifacts MUST have the same SourceID.</saml>
616 617	3.	Confidentiality and message integrity MUST be maintained for the HTTP request in Step 5.
618 619 620	4.	It is RECOMMENDED that the assertion consumer URL be exposed over SSLv3 or TLS 1.0 [Appendix C]. Otherwise, the artifact(s) transmitted in Step 3 will be available in plain text to any attacker.
621		
622		
623	4.1	1.3.2.4 Step 6: HTTP Response
624		

- No normative form is given for the HTTP response in Step 6. Implementations SHOULD
- provide some form of helpful error-message in the case where access to resources at the
- 627 destination site is disallowed.
- 628

629 4.1.3.2.5 Steps 4 and 5

1. These steps MUST utilize a SAML protocol binding for a SAML message exchange between sourceand destination site.

632

2. The destination site MUST send a *samlp:Request>* message to the source site, querying
 against all of the SAML artifacts delivered to the destination site in step 3.

635

If the source site can find or construct the requested assertions it responds with a
 <samlp:Response> message with the requested assertions. Otherwise, it returns an
 appropriate error, as defined within the selected SAML binding, to the destination site.

4. In the case where the source site returns assertions within <samlp:Response>, it MUST
return exactly one assertion for each SAML artifact found in the corresponding
<samlp:Request> element. The case where fewer or greater number of assertions is returned
within the <samlp:Respond> element MUST be treated as an error state by the destination
site.

5. The source site MUST implement a "one-time request" property for any SAML artifact.
Many simple implementations meet this constraint, such as deleting the relevant assertion
from persistent storage at the source site after one lookup. Should a SAML artifact is
presented to the source site again, the source site MUST return the same message as when it
is queried with an unknown artifact.

651

645

6. The selected SAML protocol binding MUST provide confidentiality, message integrity and
bilateral authentication. The source site MUST implement the SAML SOAP binding with
support for confidentiality (SSLv3 or TLS 1.0 [Appendix C]); support for other protocol
bindings is not mandatory.

656

[pm1]The source site MUST return an error response if it receives a <samlp:Request>
 message from a destination site X containing an artifact issued by the source site to some
 other destination site Y. One way to implement this feature is to have source sites maintain a
 list of artifact and destination site pairs.

8. We will refer to an assertion with one or more authentication statements and a <Conditions>
element, with NotBefore and NotOnOrAfter attributes present, as a SSO (single-sign on) *assertion*. At least one of the SAML assertions returned to the destination site MUST be a
SSO assertion.

- 667 9. Authentication statements MAY be contained within one or more returned assertions.
- 668
- 10. The <saml:ConfirmationMethod> element of each assertion MUST be set to SAML Artifact
 (5.1.1 of [Core-20]).
- 671

672 4.1.3.3 Threat Model and Counter-Measures

- 673
- This section utilizes materials from [Shib-Marlena] and [Rescorla-Security].

675 4.1.3.3.1 Stolen artifact

- 676 Threat:
- 677
- If an eavesdropper (Eve) can copy the real user's SAML artifact, then the Eve could construct a
 URL with the real user's SAML artifact and be able to impersonate the user at the destination
 site.
- 681
- 682 Counter-Measure:
- 683

As indicated in Steps 1, 2, 5 and 6, confidentiality must be provided whenever an artifact is communicated between a site and the user's browser. This provides protection against an Eve gaining access to a real user's SAML artifact.

687

Should Eve defeat the measures used to ensure confidentiality, additional counter-measures are available. Recall that SAML assertions communicated through Step 5 must always include an SSO assertion. SSO assertions SHOULD have short validity periods (values for NotBefore and NotOnOrAfter attributes) consistent with successful functioning of the profile. This ensures that a stolen artifact can only be used successfully within a small time window.

693

Source and destination sites SHOULD make some reasonable effort to ensure that clock settings
 are both sites differ by at most a few minutes. Many forms of time synchronization service are
 available, both over the Internet and from proprietary sources.

- 698 RECOMMENDATIONS for the Source Site:
- 699700 (a) Source sites SHOULD track the time difference between when a SAML artifact is generated
 - and placed on a URL line and when the destination site "calls back" for an assertion. A
 - maximum time limit of a few minutes is recommended. Should an assertion be requested by a
 - destination site query beyond this time limit, a SAML error should be returned by the source site.
 - (b) SSO assertions MAY BE created by the source site either when the corresponding SAML
 artifact is created or when the destination site "calls back" for an assertion. In each of these

cases, the validity period of the assertion should be set appropriately (longer in the former case,shorter for the latter).

709

(c) values for NotBefore and NotOnOrAfter attributes of SSO assertions SHOULD have the shortest possible validity period consistent with successfully communication of the assertion

- from source to destination site. This is typically on the order of a few minutes.
- 713
- 714

715	RECOMMENDATIONS for Destination Site:

(a) The destination site MUST check the validity period of all assertions obtained from the
source site and reject expired assertions. A destination site MAY choose to implement a stricter
test of validity for SSO assertions, such as for example, requiring the IssueInstant attribute
value or AuthenticationInstant attribute value of the assertion to be within a few minutes of
the time at which the assertion is received at the destination site.

(b) Authentication statements MAY include an <AuthenticationLocality> element with the

724 IP address of the user. The destination site MAY check the browser IP address against the IP

address contained in the authentication statement.

726

727 4.1.3.3.2 Attacks on Steps 4 and 5

728

Threat: The message exchange on steps 4 and 5 may be attacked in a variety of ways, including: artifact or assertion theft, replay, message insertion or modification, MITM (man-in-the-middle attack).

732

Counter-Measure: The requirement for the use of a SAML protocol binding with the properties
 of bilateral authentication, message integrity and confidentiality obviates these attacks.

735 4.1.3.3.3 Malicious Destination Site

736

Threat: Since the destination site obtains artifacts from the user, a malicious site could
impersonate the user at some new destination site. The new destination site would obtain
assertions from the source site and believe the malicious site to be the user.

- 740 741
- 742

The new destination site will need to authenticate itself to the source site so as to obtain the SAML assertions corresponding to the SAML artifacts. There are two cases:

744 SAML assertions correspondi745

Counter-Measure:

- (a) If the new destination site has no relationship with the source site, it will be unable to
- 747 authenticate and this step will fail.

- 748
- (b) If the new destination site has an existing relationship with the source site, the source site will
- determine that artifacts are being queried against from a site other than the one to which the
- artifacts were issued. In such a case, the source site will not provide the assertions to the new destination site
- 752 destination s
- 753

754 4.1.3.3.4 Forged SAML artifact

- 755 Threat: A MAL (malicious user) could forge a SAML artifact.
- 756
- 757 Counter-Measure:
- A SAML artifact must be constructed in such a way that it is very hard to guess and Section
- 4.1.3 provides specific recommendations in this space. A MAL could attempt to repeatedly
- ⁷⁶⁰ "guess" a valid SAML artifact value (one that corresponds to an existing assertion at a source
- site) but given the size of the value space would likely require a very large number of failed
- attempts. A source site SHOULD implement measures to ensure that repeated attempts at
- 763 querying against non-existent artifacts are monitored.

764 4.1.3.3.5 Browser State Exposure

Threat: The SAML artifact profile involves "upload" of SAML artifacts to the web browser from a source site. This information is available as part of the web browser state and is usually stored

- ⁷⁶⁷ in persistent storage on the user system in a completely unsecured fashion. The threat here is that
- the artifact may be "re-used" at some later point in time.
- 769

Counter-Measure: The "one-use" property of SAML artifacts ensures that they may not be re-

used from a browser. Due to the recommended short life-times of artifacts and mandatory SSO

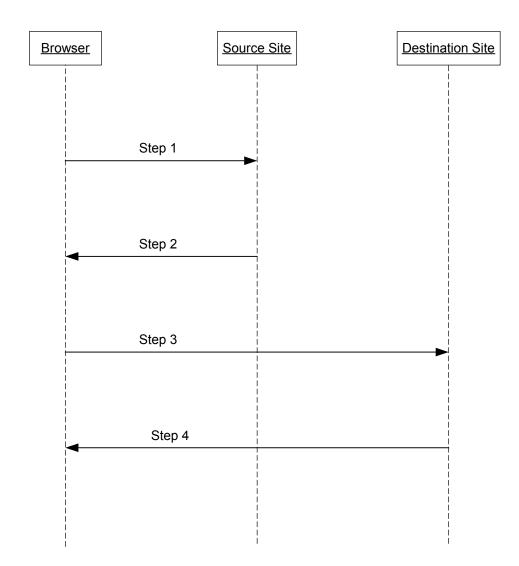
assertions, it is difficult to steal an artifact and re-use it from some other browser at a later time.

773 4.1.4 Form POST

774

Figure 2 provides a description of a web browser profile based upon the use of "POST" to

- convey SAML assertions from source to destination site [S2ML, Anders-Browser-Profile].
- 777
- 778
- 779
- 780
- 781
- 782
- 783



786 4.1.4.1.1 Step 1: HTTP Request

No normative form is given for Step 1 (HTTP request). It is RECOMMENDED that the requesttake the form:

791 792 793 794	GET http:// <inter-site and="" host="" name="" path="" transfer="">?…TARGET=<target>…<http-version> <other 1.0="" 1.1="" components="" http="" or=""></other></http-version></target></inter-site>
794 795	

796 797	Notes:
798 799 800	<inter-site and="" host="" name="" path="" transfer=""> refers to the host name, port number and path components of an inter-site transfer URL at the source site.</inter-site>
801	4.1.4.1.2 Step 2: HTTP Response
802	
803	The HTTP Response in MUST take the form:
804 805 806 807	<http-version> 200 <reason phrase=""> <additional 1.0="" 1.1="" components="" http="" or=""></additional></reason></http-version>
808	
809	Notes:
810 811 812	1. <additional 1.0="" 1.1="" components="" http="" or=""> MUST include an HTML Form [Chapter 17, HTML 4.01] with the following Form body:</additional>
813	
 814 815 816 817 818 819 820 821 	<body> <form action="<assertion consumer host name and path>" method="Post"> <input name="button" type="Submit" value="Submit"/> <input name="SAMLAssertion" type="hidden" value="B64(<assertion>)"/> <input name="TARGET" type="hidden" value="<Target>"/> </form></body>
822 823 824	2. <assertion and="" consumer="" host="" name="" path=""> refers to the host name, port number and path components of an assertion consumer URL at the destination site.</assertion>
825 826 827 828	3. At least one SAML assertion MUST be returned included within the FORM body with the control name SAMLASSERTION; multiple SAML assertion MAY be included. A single target description MUST be included with the control name TARGET.
829 830	3. Every SAML assertion MUST be digitally signed following the guidelines given in [SAML-DSIG-Profile].
831	
832 833 834 835	4. Confidentiality and message integrity MUST be maintained for steps 1 and 2. It is RECOMMENDED that the inter-site transfer URL exposed over SSLv3 or TLS 1.0 [Appendix C]. Otherwise, the assertion(s) returned on (step (2)) will be available in plain text to any attacker.

836	Step 3: HTTP Request
837	
838 839	In step 3, the browser submits a form and creates the following HTTP request. Appendix B describes a technique for form submission which avoids user input.
840	
841	The HTTP request MUST include the following components:
842	
843 844	POST http:// <assertion and="" consumer="" host="" name="" path=""> <other 1.0="" 1.1="" components="" http="" or="" request=""></other></assertion>
845	
846 847 848	Notes: 1.
849	<pre><other 1.0="" 1.1="" components="" http="" or="" request=""></other></pre>
850 851 852 853 854	Consists of the form data set derived by the browser processing of the form data received in Step 2 according to 17.13.3 of [HTML4.01]. At least one SAML assertion MUST be included within the form data set with control name SAMLAssertion; multiple SAML assertions MAY be included. A single target description MUST be included with the control name set to TARGET.
855 856 857 858	2. At least one of the SAML assertions posted to the destination site MUST be a single-sign on assertion with the additional restriction that the <target> element MUST also be included within the SSO assertion and its value set to <assertion and="" consumer="" host="" name="" path="">.</assertion></target>
859 860 861	3. The destination site MUST ensure a "single use" policy for SSO assertions communicated via form data. The implication here is that the destination site will need to be stateful. A simple implementation maintains a table of pairs:
862 863	Assertion Id, Time at which entry is to be deleted
864 865 866 867	The time at which an entry is to be deleted is based upon the SSO assertion life-time. Since SSO assertions containing authentication statements are recommended to have short life-times in the web browser context, such a table would be of manageable size.
868	
869 870 871 872	4. Confidentiality and message integrity MUST be maintained for the HTTP request in Step 3. It is RECOMMENDED that the assertion consumer URL be exposed over SSLv3 or TLS 1.0 [Appendix C]. Otherwise, the assertion(s) transmitted in Step 3 will be available in plain text to any attacker.
873	
874 875 876	5. The <saml:confirmationmethod> element of each assertion MUST be set to Assertion Bearer (5.1.2 of [Core-20]).</saml:confirmationmethod>

877

878

879 4.1.4.1.3 Step 4: HTTP Response

880

No normative form is given for the HTTP response in Step 6. Implementations SHOULD provide some form of helpful error-message in the case where access to resources at the destination site is disallowed.

4.1.4.2 Threat Model and Counter-Measures

885

886 This section utilizes materials from [Shib-Marlena] and and [Rescorla-Security].

887 4.1.4.2.1 Stolen assertion

888

Threat: If an eavesdropper (Eve) can copy the real user's SAML assertion (Form POST), then the Eve could construct an appropriate POST body and be able to impersonate the user at the destination site.

892

Counter-Measure: As indicated in Steps 1, 2, 3 and 4, confidentiality must be provided whenever
 an assertion is communicated between a site and the user's browser. This provides protection
 against an Eve gaining access to a user's SAML assertion.

896

Should Eve defeat the measures used to ensure confidentiality, additional counter-measures are
 available. Recall, that SAML assertions communicated through Step 3 must always include an
 SSO assertion. SSO assertions SHOULD have short validity periods (values for NotBefore and
 NotOnOrAfter attributes) consistent with successful functioning of the profile. This ensures that
 a stolen assertion can only be used successfully within a small time window.

Source and destination sites SHOULD make some reasonable effort to ensure that clock settings
 are both sites differ by at most a few minutes. Many forms of time synchronization service are
 available, both over the Internet and from proprietary sources.

906

902

907 RECOMMENDATIONS for the Source Site:

908

909 (a) values for NotBefore and NotOnOrAfter attributes of SSO assertions SHOULD have the

- shortest possible validity period consistent with successfully communicating the assertion from
- source to destination site. This is typically of the order of a few minutes.

912

913914 RECOMMENDATIONS for Destination Site:

- (a) The destination site MUST check the validity period of all assertions obtained from the 916 917 source site and reject expired assertions. A destination site MAY choose to implement a stricter test of validity for SSO assertions, such as for example, requiring the IssueInstant attribute 918 value or AuthenticationInstant attribute value of the assertion to be within a few minutes of 919 the time at which the assertion is received at the destination site 920 921
- 922 (b) Authentication statements MAY include an <AuthenticationLocality> element with the
- IP address of the user. The destination site MAY check the browser IP address against the IP 923 address contained in the authentication statement 924
 - 925

4.1.4.2.2 MITM Attack 926

927

928

929 Threat: Since the destination site obtains bearer SAML assertions from the user via a Form post, a malicious site could impersonate the user at some new destination site. The new destination site 930 would believe the malicious site to be the user. 931

932

933 Counter-Measure:

934

935 The destination site MUST check the <saml:Target> elements of the SSO assertion to ensure

- 936 that at least one of their values matches the <assertion consumer host name and path>. As
- the assertion is digitally signed, the <saml:Target> value cannot be altered by the malicious 937 site.
- 938

939 4.1.4.2.3 Forged Assertion

Threat: A MAL or the browser user could forge or alter a SAML assertion (form POST). 940

941

942 Counter-Measure: The POST browser profile requires SAML assertions to be signed, thus

providing both message integrity and authentication. The destination site MUST verify the 943

signature and authenticate the issuer. 944

4.1.4.2.4 Browser State Exposure 945

Threat: The POST browser profile involve upload of assertions to the web browser from a source 946 site. This information is available as part of the web browser state and is usually stored in 947 persistent storage on the user system in a completely unsecured fashion. The threat here is that 948

the assertion may be "re-used" at some later point in time. 949

- 950
- 951 Counter-Measure: Assertions communicated using FORM post must always include a SSO
- assertion. It is recommended that SSO assertions have short life-times and that destination sites 952 must ensure that they may be used only once. 953

955 **4.2 SOAP Profile of SAML**

956 4.2.1 Overview

957

The SOAP profile of SAML is a realization of User Case 3, Scenarios 3-1 and 3-3 of the SAML Requirements document in the context of SOAP. It is based on a single interaction between a *sender* and a *receiver*. The sender adds with one or more SAML assertions to a SOAP document and sends the message to the receiver. The receiver extracts the SAML assertion from the message and processes them. If it is unable to process the assertions it returns an error. Otherwise, it processes the message and assertions in a standard way. The message may be sent over any protocol for which a SOAP protocol binding is available [SOAP1.1].

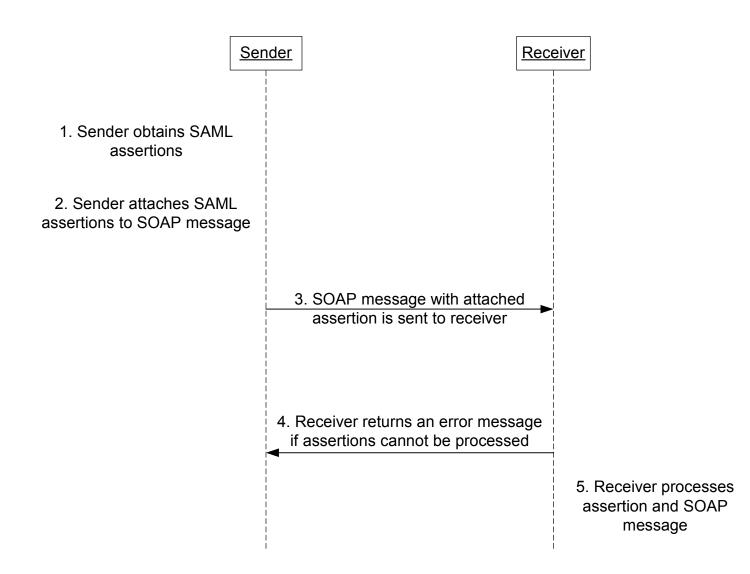


Figure 4: SOAP Profile of SAML



972 **4.2.2 SOAP Headers**

973

SOAP provides a flexible header mechanism, which may be (optionally) used for extending
SOAP payloads with additional information. Rules for SOAP headers are given in Section 4.2 of
[SOAP1.1].

977

SAML assertions MUST be contained within the SOAP <Header> element contained within the
 SOAP <Envelope> element. Two standard SOAP attributes are available for use with header
 elements: actor and mustUnderstand. Use of the actor attribute is application dependent and
 no normative use is specified herein.

982

- 983 The SOAP mustUnderstand global attribute can be used to indicate whether a header entry
- is mandatory or optional for the recipient to process. SAML assertions MUST have the
- 985 mustUnderstand attribute set to 1; this ensures that a SOAP processor to which the SAML
- header is directed must process the SAML assertions as explained in Section 4.2.3 of [SOAP1.1].
- 987

988 **4.2.3 SOAP Errors**

989

- If the receiver is able to access the SAML assertions contained in the SOAP header, but is unableto process them , the receiver SHOULD return a
- 992 SOAP message with a <Fault> element as the message body. Reasons why the
- receiver may be able to process SAML assertions, include, but are not limited to:
- 994
- 995 1. The assertion contains a <Condition> element that the receiver does not understand.
- 996 2. The signature on the assertion is invalid.
- 997 3. The receiver does not accept assertions from the issuer of the assertion in question.
- 998 4. The receiver does not have access to extension schema utilized in the assertion.

999

1000 The returned <Fault> element takes the form:

1001

1002<Fault>1003<Faultcode>Client.SAML</Faultcode>1004<Faultstring>...</Faultstring>1005</Fault>

1007 It is recommended that the <Faultstring> element contain an informative message. This 1008 specification does not specify any normative text. Sending parties MUST NOT rely on specific

1009 contents in the <Faultstring> element.

1010

1011

1012 4.2.4 Security Considerations

1013

Every assertion MUST be signed by the issuer following the guidelines in [SAML-DSIG-Profile].

1016

1017 Sender and Receiver MUST utilize means to ensure that the data integrity of SOAP messages

1018 containing assertions is assured. A number of different techniques are available for providing

1019 data integrity including use of SSL, digital signatures, IPsec etc.

1020

When a receiver processes a SOAP message with attached assertions, it MUST make an explicit determination of whether the sender has a right to possess and communicate the attached assertions. Merely obtaining a message containing assertions carries no implication about the sender's right to possess and communicate the included assertions. A variety of means can be used to make such a determination, including, for example, explicit policies at the receiver,

1026 authentication of sender, use of digital signature etc.

1027

1028 Two formats for securing the attachment of assertions to an arbitrary SOAP message are

1029 described below. Senders and receivers implementing the SOAP Profile of SAML MUST

1030 implement both models.

1031

1032 **4.2.4.1 HolderOfKey**

1033 4.2.4.1.1 Sender

In this case, the sender and subject are the same entity. The sender obtains one or more assertions

- 1035 from one or more authorities. Each assertion MUST include the following
- 1036 <SubjectConfirmation> element:
- 1037

1038 <<u>SubjectConfirmation</u>>

- 1039 <<u>ConfirmationMethod>HolderOfKey</ConfirmationMethod></u>
- 1040 ...<dsig:KeyInfo>">
- 1041 </ subjectConfirmation>

- 1043 The <SubjectConfirmation> element carries information about the sender's key within the
- about the sender's public or secret key.
- 1046
- 1047 In addition to the assertions, the sender MUST include an digital signature <dsig:Signature>
- 1048 element within the SOAP <Header> element as described in [XML-DSIG]. The 1049 <dsig:Signature> element MUST apply to all the SAML assertion elements
- total control to the second se
- in the SOAP <Header>, and all the relevant portions of the SOAP <Body>, as
- required by the application. Specific applications may require that the signature also apply toadditional elements.
- 1053

1054 *4.2.4.1.2 Receiver*

1055 The receiver MUST verify that each assertion carries a <SubjectConfirmation> element of the 1056 form:

1058 1059 1060 1061 1062	<subjectconfirmation> <confirmationmethod>HolderOfKey</confirmationmethod> <dsig:keyinfo><dsig:keyinfo> </dsig:keyinfo></dsig:keyinfo></subjectconfirmation>
1063 1064 1065 1066	The receiving party MUST check the validity of the signature found in a <soap:envelope>/<dsig:signature>sub-element of the SOAP message. Information about the sender's public or secret key may be found in the</dsig:signature></soap:envelope>
1067	<saml:subjectconfirmation>/<dsig:keyinfo></dsig:keyinfo></saml:subjectconfirmation>
1068	
1069 1070	element carried within each assertion.
1071 1072 1073 1074	Notice the <ds:keyinfo> element is used only for checking integrity of assertion attachment (message integrity). Therefore, there is no requirement that the receiver validate the key or certificate. This suggests that, if needed, a sender may generate a public/private key pair and utilize them for this purpose.</ds:keyinfo>
1075	
1076 1077 1078 1079 1080 1081	Once the above steps are complete, the receiver may further process the assertions and SOAP message contents with the assurance that portions of the SOAP message covered by the digital signature (a) have been constructed by the sender, (b) have not been altered by an intermediary, (c) the sender has provided proof of possession of the private-key component of the information included in <saml:subjectconfirmation>/<dsig:keyinfo>.</dsig:keyinfo></saml:subjectconfirmation>

1082 4.2.4.1.3 Example

1083

The following example illustrates the HolderOfKey model for securing SAML assertions to aSOAP message:

1086	<i>{PRIVATE "TYPE=PICT;ALT=Figure 3: SOAP document with inserted assertions"}</i>
1087	xml version='1.0' encoding='UTF-8'?
1088	<soap-env:envelope <="" p="" xmlns:soap-env="http://schemas.xmlsoap.org/soap/envelope/"></soap-env:envelope>
1089	xmlns:xsi="http://www.w3.org/1999/XMLSchema-instance"
1090	xmlns:xsd="http://www.w3.org/1999/XMLSchema">
1091	< <u>SOAP-ENV:Header></u>
1092	<pre><saml:assertionlist <="" mustunderstand="1" pre=""></saml:assertionlist></pre>
1093	AssertionID="192.168.2.175.1005169137985" IssueInstant="2001-11-07T21:38:57Z"
1094	Issuer="M and M Consulting" MajorVersion="1" MinorVersion="0"
1095	xmlns:saml="http:///security/docs/draft-sstc-schema-assertion-16.xsd">
1096	<pre><saml:conditions <="" notbefore="2001-11-07T21:33:57Z" pre=""></saml:conditions></pre>
1097	NotOnOrAfter="2001-11-07T21:48:57Z"> <saml:abstractcondition< td=""></saml:abstractcondition<>
1098	xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1099	xsi:type="AudienceRestrictionConditionType">
1100	<saml:audience>http://www.example.com/research_finance_agreement.xml</saml:audience>
1101	
1102	<pre></pre>
1103	
1104	<pre><saml:authenticationstatement <="" authenticationinstant="2001-11-07T21:38:57Z" pre=""></saml:authenticationstatement></pre>
1105	AuthenticationMethod="Password">
1106	<saml:subject></saml:subject>
1107	<saml:nameidentifier name="goodguy" securitydomain="www.example.com"></saml:nameidentifier>
1108	<saml:subjectconfirmation>HolderOfKey</saml:subjectconfirmation>
1109	< <u>KeyInfo xmlns="http://www.w3.org/2000/09/xmldsig#"></u>
1110	< <u>KeyValue></u>
1111	en e
1112	
1113	< <u>X509Data></u>
1114	
1115	
1116	
1117	
1118	<saml:authenticationlocality dnsaddress="some_computer" ipaddress="111.111.111.111"></saml:authenticationlocality>
1119	<pre> </pre>
1120	<pre> Signature xmlns="http://www.w3.org/2000/09/xmldsig#"> Signature xmlns="http://www.w3.org/2000/09/xmldsig#"> </pre>
1121	<pre> SignedInfo></pre>
1122	
1123	Algorithm="http://www.w3.org/TR/2000/WD-xml-c14n-20000119"/>
1124	SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#dsa-sha1"/> <reference uri=""></reference>
1125	
1126	<pre><transforms></transforms></pre>

1127	<pre><transform< pre=""></transform<></pre>
1128	Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature"/>
1129	
1130	Solution Contemporation Contemporatio Contemporation Contemporation Contemporation Contempora
1131	<digestvalue>GSUvQSPfYkAC9wpHbLSfPEjMllo=</digestvalue>
1132	\sim
1133	
1134	<signaturevalue></signaturevalue>
1135	iLJj64yusw7h4FTbiyKRvAQoALlmeCnKxhKqStrFahVXIZUXacmDJw==
1136	
1137	< <u>KeyInfo></u>
1138	<keyvalue></keyvalue>
1139	
1140	
1141	$\langle X509Data \rangle$
1142	
1143	
1144	
1145	
1146	
1147	<pre>Signature xmlns="http://www.w3.org/2000/09/xmldsig#"></pre>
1148	<pre> SignedInfo> </pre>
1149	CanonicalizationMethod Algorithm="http://www.w3.org/TR/2000/WD-xml-
1150	c14n-20000119"/>
1151	SignatureMethod
1152	Algorithm="http://www.w3.org/2000/09/xmldsig#dsa-sha1"/>
1153	<reference uri=""></reference>
1154	<transforms></transforms>
1155	<i>Contractions of the second second</i>
1156	Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature"/>
1157	
1158	Comparison of the second state of the secon
1159	<digestvalue>UYRsLhRffJagF7d+RfNt8CPKhbM=</digestvalue>
1160	
1161	
1162	SignatureValue>
1163	HJJWbvqW9E84vJVQkjjLLA6nNvBX7mY00TZhwBdFNDEIgscSXZ5Ekw==
1164	
1165	
1166	
1167	<soap-env:body></soap-env:body>
1168	< <u> < ReportRequest></u>
1169	<pre><tickersymbol>SUNW</tickersymbol></pre>
1170	
1171	
1172	

1	1	73
1	T	15

1174 **4.2.4.2 SenderVouches**

1175

1176 *4.2.4.2.1 Sender*

- In this case, the sender and subject may be distinct entities. The subject obtains one or moreassertions from one or more authorities. Each assertion MUST include the following
- 1179 <SubjectConfirmation> element:
- 1180
- 1181 <<u>SubjectConfirmation></u>
- 1182 <a href="https://www.inited-senderVouches-/ConfirmationMethod-senderV
- 1183 <a></subjectConfirmation>

1184

1185 In this model, information about the sender's key is held within the <dsig:KeyInfo> element

associated with the senders signature. The <dsig:KeyInfo> provides varied ways for describing

1187 information about the sender's public or secret key.

1188

- 1189 In addition to the assertions, the sender MUST include an digital signature <dsig:Signature>
- 1190 element within the SOAP <Header> element as described in [XML-DSIG]. The
- 1191 <dsig:Signature> element MUST apply to all the SAML assertion elements in the SOAP
- 1192 <Header>, and all the relevant portions of the SOAP <Body>, as required by the application.
- 1193 Specific applications may require that the signature also apply to additional elements.

1194

1195 The sender MUST include a <dsig:KeyInfo> element with the <dsig:Signature> element.

1196 *4.2.4.2.2 Receiver*

- 1197 The receiver MUST verify that each assertion carries a <SubjectConfirmation> element of the1198 form:
- 1199 <subjectConfirmation>
- 1200 <<u>ConfirmationMethod>SenderVouches</ConfirmationMethod></u>
- 1201 </ subjectConfirmation>
- 1202
- 1203 The receiving party MUST check the validity of the signature found in the
- 1204 <SOAP:Envelope>/<dsig:Signature> element. Information about the sender's public or secret
- 1205 key may be found in the <SOAP: Envelope>/<dsig:Signature>/<dsig:KeyInfo> element
- 1206 carried within each assertion.

Once the above steps are complete, the receiver may further process the assertions and SOAP message contents with the assurance that portions of the SOAP message covered by the digital signature (a) have been constructed by the sender, (b) have not been altered by an intermediary.

1210

1211 *4.2.4.2.3 Example*

1212

1213 The following example illustrates the SenderVouches architecture for adding SAML assertions1214 to a SOAP message:

1215

```
1216
      <SOAP-ENV:Envelope xmlns:SOAP-ENV=http://schema.xmlsoap.org/soap/envelope/>
1217
      <SOAP-ENV:Header xmlns:SAML="...">
1218
1219
               <SAML:Assertion mustUnderstand=1>...</SAML:Assertion>
1220
               <SAML:Assertion mustUnderstand=1>...</SAML:Assertion>
                     <dsig:signature>...</signature>
1221
1222
      </SOAP-ENV:Header>
1223
1224
      <SOAP-ENV:Body>
1225
          <message payload/>
1226
      </SOAP-ENV:Body>
1227
      </SOAP-ENV:Envelope>
      {PRIVATE "TYPE=PICT;ALT=Figure 3: SOAP document with inserted assertions"}
1228
```

1229

1230 4.2.4.3 Additional Security Considerations

- 1231 The model described in this section does not take into account such issues as replay attacks,
- authentication of sender by receiver and vice-versa and confidentiality. These must be addressed
- 1233 by means other than those described in this specification.

1234

1235 **5 References**

1236

1237 [Anders-Browser-Profile] A suggestion on how to implement SAML browser bindings without
 1238 using "Artifacts", http://www.x-obi.com/OBI400/andersr-browser-artifact.ppt

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- 1240 [AuthXML] AuthXML: A Specification for Authentication Information in XML.
- 1241 <u>http://www.oasis-open.org/committees/security/docs/draft-authxml-v2.pdf</u>

- 1243 [Glossary] OASIS Security Services TC: Glossary.
- 1244 http://www.oasis-open.org/committees/security/docs/draft-sstc-hodges-glossary-02.html

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1246 1247	[S2ML] S2ML: Security Services Markup Language, Version 0.8a, January 8, 2001. http://www.oasis-open.org/committees/security/docs/draft-s2ml-v08a.pdf
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1254 1255	[Shib-Marlena] Marlena Erdos, Shibboleth Architecture DRAFT v1.1, http://middleware.internet2.edu/shibboleth/docs/draft-erdos-shibboleth-architecturel-00.pdf
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1257	[RFC2616] Hypertext Transfer Protocol HTTP/1.1
1258	
1259 1260	[RFC1750] Randomness Recommendations for Security.
1261 1262	[SOAP1.1] Simple Object Access Protocol (SOAP) 1.1, W3C Note 08 May 2000
1263	[Core-Assertions-Examples] Core Assertions Architecture, Examples and Explanations,
1264	http://www.oasis-open.org/committees/security/docs/draft-sstc-core-phill-07.pdf
1265 1266 1267	[XML-DSIG] XML – Signature Syntax and Processing, available from http://www.w3.org
1268 1269 1270 1271	[WEBSSO] RL "Bob" Morgan, Interactions between Shibboleth and local-site web sign-on services, http://middleware.internet2.edu/shibboleth/docs/draft-morgan-shibboleth-websso-00.txt
1271	[SESSION] RL "Bob" Morgan, Support of target web server sessions in Shibboleth,
1273 1274 1275	http://middleware.internet2.edu/shibboleth/docs/draft-morgan-shibboleth- session-00.txt
1275	[rfc1945] Hypertext Transfer Protocol HTTP/1.0, http://www.ietf.org/rfc/rfc1945.txt
1277	[rfc2616] Hypertext Transfer Protocol HTTP/1.1, http://www.ietf.org/rfc/rfc2616.txt
1278 1279	[rfc2617] HTTP Authentication: Basic and Digest Access Authentication, http://www.ietf.org/rfc/rfc2617.txt
1280	[rfc2774] An HTTP Extension Framework, http://www.ietf.org/rfc/rfc2774.txt
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[RFC2246] The TLS Protocol Version 1.0, <u>http://www.ietf.org/rfcs/rfc2246.html</u>

- 1283 [SSLv3] The SSL Protocol Version 3.0,
- 1284 <u>http://www.mozilla.org/projects/security/pki/nss/ssl/draft302.txt</u>
- 1285
- 1286 [Rescorla-Security] E. Rescorla, B. Korver, Guidelines for Writing RFC Text on Security
- 1287 Considerations, http://www.ietf.org/internet-drafts/draft-rescorla-sec-cons-03.txt

1288 6 Appendix A

- 1289 1290 <u>http://support.microsoft.com/support/kb/articles/Q208/4/27.ASP</u>
- 1291
- 1292 The information in this article applies to:
- 1293 Microsoft Internet Explorer (Programming) versions 4.0, 4.01, 4.01 SP1, 4.01 SP2, 5, 5.01, 5.5
- 1294
- 1295 SUMMARY
- 1296 Internet Explorer has a maximum uniform resource locator (URL) length of 2,083 characters,
- with a maximum path length of 2,048 characters. This limit applies to both POST and GETrequest URLs.
- 1299 If you are using the GET method, you are limited to a maximum of 2,048 characters (minus the 1300 number of characters in the actual path, of course).
- POST, however, is not limited by the size of the URL for submitting name/value pairs, becausethey are transferred in the header and not the URL.
- 1303 RFC 2616, Hypertext Transfer Protocol -- HTTP/1.1, does not specify any requirement for URL1304 length.
- 1305
- 1306 REFERENCES
- Further breakdown of the components can be found in the Wininet header file. Hypertext
 Transfer Protocol -- HTTP/1.1 General Syntax, section 3.2.1
- 1309 Additional query words: POST GET URL length
- 1310 Keywords : kbIE kbIE400 kbie401 kbGrpDSInet kbie500 kbDSupport kbie501 kbie550

1311 kbieFAQ

- 1312 Issue type : kbinfo
- 1313 Technology :
- 1314 -----
- 1315 Issue: 19971110-3 Product: Enterprise Server

- Created: 11/10/1997 Version: 2.01 1317 Last Updated: 08/10/1998 OS: AIX, Irix, Solaris 1318 Does this article answer your question? 1319 Please let us know! 1320 1321 Question: 1322 How can I determine the maximum URL length that the Enterprise server will accept? Is this 1323 configurable and, if so, how? 1324 1325 Answer: 1326 Any single line in the headers has a limit of 4096 chars; it is not configurable. _____ 1327 1328 issue: 19971015-8 Product: Communicator, Netcaster Created: 10/15/1997 Version: all 1329 1330 Last Updated: 08/10/1998 OS: All Does this article answer your question? 1331 Please let us know! 1332 1333 Question: 1334 Is there a limit on the length of the URL string? 1335 1336 Answer: 1337 Netscape Communicator and Navigator do not have any limit. Windows 3.1 has a restriction of 32kb (characters). (Note that this is operating system limitation.) See this article for information 1338 about Netscape Enterprise Server. 1339 1340 _____
 - 1341 <map></map>

1342 7 Appendix B

1343

Javascript may be used to avoid an additional "submit" step from the user. This material is takenfrom [Anders-Browser-Profile].

1346 <HTML>
1347 <BODY Onload="javascript:document.forms[0].submit ()">
1348 <FORM METHOD="POST" ACTION="Destination-site URL">
1349 ...
1350 <INPUT TYPE="HIDDEN" NAME="SAMLAssertion" VALUE="Assertion in Base64-</pre>

 1351
 coding">

 1352
 </FORM>

 1353
 </BODY>

 1354
 </HTML>

1355

1356 8 Appendix C

In any SAML use of SSLv3 [SSLv3] or TLS 1.0 [RFC2246], servers MUST authenticate to

- 1358 clients using a X.509.v3 certificate. The client MUST establish server identity based on contents
- 1359 of the certificate (typically through examination of the certificate subject DN field).

1360 **8.1 Web Browser Profile**

- 1361 SSL-capable [SSLv3] implementations MUST implement the
- 1362 SSL_RSA_WITH_3DES_EDE_CBC_SHA ciphersuite.
- 1363 TLS-capable [RFC2246] implementations MUST implement the
- 1364 TLS_RSA_WITH_3DES_EDE_CBC_SHA ciphersuite.

1365 8.2 SAML SOAP Binding

- 1366 TLS-capable implementations MUST implement the
- 1367 TLS_RSA_WITH_3DES_EDE_CBC_SHA ciphersuite and MAY implement the
- 1368 TLS_RSA_AES_128_CBC_SHA ciphersuite [AES].
- 1369

Page: 23 [pm1]This needs to be moved elsewhere, perhaps in a mandatory-to-implement section.