



Web Services Security Core Specification

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Abstract:

This specification describes enhancements to the SOAP messaging to provide *quality of protection* through message integrity, message confidentiality, and single message authentication. These mechanisms can be used to accommodate a wide variety of security models and encryption technologies.

This specification also provides a general-purpose mechanism for associating security tokens with messages. No specific type of security token is required; it is designed to be extensible (e.g. support multiple security token formats). For example, a client might provide one format for proof of identity and provide another format for proof that they have a particular business certification.

Additionally, this specification describes how to encode binary security tokens, a framework for XML-based tokens, and describes how to include opaque encrypted keys. It also includes extensibility mechanisms that can be used to further describe the characteristics of the tokens that are included with a message.

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31 **Status:**

32 This is an interim draft. Please send comments to the editors.

33

34 Committee members should send comments on this specification to the [wss@lists.oasis-](mailto:wss@lists.oasis-open.org)
35 [open.org](mailto:wss@lists.oasis-open.org) list. Others should subscribe to and send comments to the [wss-](mailto:wss-comment@lists.oasis-open.org)
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37 [open.org/ob/adm.pl](http://lists.oasis-open.org/ob/adm.pl).

38 For information on whether any patents have been disclosed that may be essential to
39 implementing this specification, and any offers of patent licensing terms, please refer to
40 the Intellectual Property Rights section of the Security Services TC web page
41 (<http://www.oasis-open.org/who/intellectualproperty.shtml>).

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108 1 Introduction

109 | This specification proposes a standard set of SOAP extensions that can be used when building
110 | secure Web services to implement message level integrity and confidentiality. This specification
111 | refers to this set of extensions as the "Web Services Security Core Language" or "WSS-Core".

112 | This specification is flexible and is designed to be used as the basis for securing Web services
113 | within a wide variety of security models including PKI, Kerberos, and SSL. Specifically, this
114 | specification provides support for multiple security token formats, multiple trust domains, multiple
115 | signature formats, and multiple encryption technologies. The token formats and semantics for
116 | using these are defined in the associated binding documents.

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117 | This specification provides three main mechanisms: ability to send security token as part of a
118 | message, message integrity, and message confidentiality. These mechanisms by themselves do
119 | not provide a complete security solution for Web services. Instead, this specification is a building
120 | block that can be used in conjunction with other Web service extensions and higher-level
121 | application-specific protocols to accommodate a wide variety of security models and security
122 | technologies.

123 | These mechanisms can be used independently (e.g., to pass a security token) or in a tightly
124 | coupled manner (e.g., signing and encrypting a message and providing a security token hierarchy
125 | associated with the keys used for signing and encryption).

126 1.1 Goals and Requirements

127 | The goal of this specification is to enable applications to construct secure SOAP message
128 | exchanges.

129 | This specification is intended to provide a flexible set of mechanisms that can be used to
130 | construct a range of security protocols; in other words this specification intentionally does not
131 | describe explicit fixed security protocols.

132 | As with every security protocol, significant efforts must be applied to ensure that security
133 | protocols constructed using this specification are not vulnerable to a wide range of attacks.

134 | The focus of this specification is to describe a single-message security language that provides for
135 | message security that may assume an established session, security context and/or policy
136 | agreement.

137 | The requirements to support secure message exchange are listed below.

138 1.1.1 Requirements

139 | The Web services security language must support a wide variety of security models. The
140 | following list identifies the key driving requirements for this specification:

- 141 | • Multiple security token formats
- 142 | • Multiple trust domains
- 143 | • Multiple signature formats
- 144 | • Multiple encryption technologies
- 145 | • End-to-end message-level security and not just transport-level security

146 1.1.2 Non-Goals

147 | The following topics are outside the scope of this document:

- 148 | • Establishing a security context or authentication mechanisms .

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- ~~Key derivation.~~
- ~~Advertisement and exchange of security policy.~~
- How trust is established or determined.

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2 Notations and Terminology

This section specifies the notations, namespaces, and terminology used in this specification.

2.1 Notational Conventions

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC2119.

Namespace URIs (of the general form "some-URI") represent some application-dependent or context-dependent URI as defined in RFC2396.

This specification is designed to work with the general SOAP message structure and message processing model, and should be applicable to any version of SOAP. The current SOAP 1.2 namespace URI is used herein to provide detailed examples, but there is no intention to limit the applicability of this specification to a single version of SOAP.

Readers are presumed to be familiar with the terms in the Internet Security Glossary.

2.2 Namespaces

The XML namespace URIs that MUST be used by implementations of this specification are as follows (note that elements used in this specification are from various namespaces):

```
http://schemas.xmlsoap.org/ws/2002/xx/secext
http://schemas.xmlsoap.org/ws/2002/xx/utility
```

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The following namespaces are used in this document:

Prefix	Namespace
S	http://www.w3.org/2001/12/soap-envelope
ds	http://www.w3.org/2000/09/xmldsig#
xenc	http://www.w3.org/2001/04/xmlenc#
wsse	http://schemas.xmlsoap.org/ws/2002/xx/secext
wsu	http://schemas.xmlsoap.org/ws/2002/xx/utility

2.3 Terminology

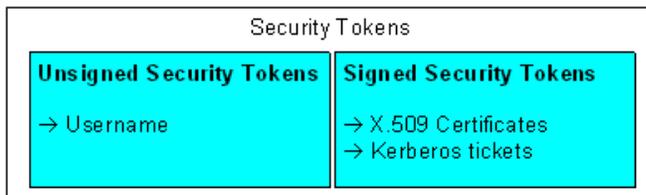
Defined below are the basic definitions for the security terminology used in this specification.

Claim – A *claim* is a statement that a client makes (e.g. name, identity, key, group, privilege, capability, etc).

Security Token – A *security token* represents a collection of claims.

Signed Security Token – A *signed security token* is a security token that is asserted and cryptographically endorsed by a specific authority (e.g. an X.509 certificate or a Kerberos ticket).

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Proof-of-Possession – *Proof-of-possession* information is data that is used in a proof process to demonstrate that a sender is acting on behalf of a (claimed) client, where the (claimed) client and the sender are the same principal, based on knowledge of information that should only be known to the client. Proof-of-possession information is used to bind a client and a sender acting on behalf of a client within a security token.

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Integrity – *Integrity* is the process by which it is guaranteed that information is not modified. .

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Confidentiality – *Confidentiality* is the process by which data is protected such that only authorized roles or security token owners can view the data

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Digest – A *digest* is a cryptographic checksum of an octet stream.

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Signature - A *signature* is a cryptographic binding of a proof-of-possession and a digest. This covers both symmetric key-based and public key-based signatures. Consequently, non-repudiation is not always achieved.

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Attachment – An *attachment* is a generic term referring to additional data that travels with a SOAP message, but is not part of the SOAP Envelope.

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Trust - *Trust* is the characteristic that one entity is willing to rely upon a second entity to execute a set of actions and/or to make set of assertions about a set of subjects and/or scopes.

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Trust Domain – A *Trust Domain* is a security space in which the target of a request can determine whether particular sets of credentials from a source satisfy the relevant security policies of the target. The target may defer trust to a third party thus including the trusted third party in the Trust Domain.

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End-To-End Message Level Security – *End-to-end message level security* is established when a message that traverses multiple applications within and between business entities, i.e. companies, divisions, business units, is secure over its full route through and between those business entities. This includes not only messages that are initiated within the entity but also those messages that originate outside the entity, whether they are Web Services or the more traditional messages.

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209 3 Message Protection Mechanisms

210 | When securing SOAP messages, various types of threats should be considered. This includes,
211 | but is not limited to: 1) the message could be modified or read by antagonists or 2) an antagonist
212 | could send messages to a service that, while well-formed, lack appropriate security claims to
213 | warrant processing.

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214 | To understand these threats this specification defines a message security model.

215 3.1 Message Security Model

216 | This document specifies an abstract *message security model* in terms of security tokens
217 | combined with digital signatures as proof of possession of the security token (key).

218 | Security tokens assert claims and signatures provide a mechanism for proving the sender's
219 | knowledge of the key. As well, the signature can be used to "bind" or "associate" the message
220 | with the claims in the security token (assuming the token is trusted). Note that such a binding is
221 | limited to those elements covered by the signature. Furthermore note that this document does
222 | not specify a particular method for authentication, it simply indicates that security tokens MAY be
223 | bound to messages.

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224 | A claim can be either endorsed or unendorsed by a trusted authority. A set of endorsed claims is
225 | usually represented as a signed security token that is digitally signed or encrypted by the
226 | authority. An X.509 certificate, claiming the binding between one's identity and public key, is an
227 | example of a signed security token. An endorsed claim can also be represented as a reference
228 | to an authority so that the receiver can "pull" the claim from the referenced authority.

229 | An unendorsed claim can be trusted if there is a trust relationship between the sender and the
230 | receiver. For example, the unendorsed claim that the sender is Bob is sufficient for a certain
231 | receiver to believe that the sender is in fact Bob, if the sender and the receiver use a trusted
232 | connection and there is an out-of-band trust relationship between them.

233 | One special type of unendorsed claim is Proof-of-Possession. Such a claim proves that the
234 | sender has a particular piece of knowledge that is verifiable by appropriate roles. For example, a
235 | username/password is a security token with this type of claim. A Proof-of-Possession claim is
236 | sometimes combined with other security tokens to prove the claims of the sender. Note that a
237 | digital signature used for message integrity can also be used as a Proof-of-Possession claim,
238 | although this specification does not consider such a digital signature as a type of security token.

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239 | It should be noted that this security model, by itself, is subject to multiple security attacks. Refer
240 | to the Security Considerations section for additional details.

241 3.2 Message Protection

242 | Protecting the message content from being intercepted (confidentiality) or illegally modified
243 | (integrity) are primary security concerns. This specification provides a means to protect a
244 | message by encrypting and/or digitally signing a body, a header, an attachment, or any
245 | combination of them (or parts of them).

246 | Message integrity is provided by leveraging XML Signature in conjunction with security tokens to
247 | ensure that messages are transmitted without modifications. The integrity mechanisms are
248 | designed to support multiple signatures, potentially by multiple roles, and to be extensible to
249 | support additional signature formats.

250 | Message confidentiality leverages XML Encryption in conjunction with security tokens to keep
251 | portions of a SOAP message confidential. The encryption mechanisms are designed to support
252 | additional encryption processes and operations by multiple roles.

253 WS-Security defines syntax and semantics of signatures within <wsse:Security> header block.
254 WS-Security does not specify any signature appearing outside of <wsse:Security>, if any.

255 3.3 Invalid or Missing Claims

256 The message receiver SHOULD reject a message with a signature determined to be invalid,
257 missing or unacceptable claims as it is an unauthorized (or malformed) message. This
258 specification provides a flexible way for the message sender to make a claim about the security
259 properties by associating zero or more security tokens with the message. An example of a
260 security claim is the identity of the sender; the sender can claim that he is Bob, known as an
261 employee of some company, and therefore he has the right to send the message.

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262 3.4 Example

263 The following example illustrates a message with a username security token. In this example the
264 password is not provided in plaintext. Instead, it is used as a "shared secret" which can be used,
265 for example, as part of an HMAC signature to authenticate messages. The exact algorithm is
266 out-of-scope of this specification, however, in the example below, the information inside the
267 <UsernameToken> element is concatenated with the key so as to include random elements
268 (nonce and timestamp). In some cases, the nonce may be provided as a challenge using some
269 out-of-band mechanism.

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```
270 (001) <?xml version="1.0" encoding="utf-8"?>
271 (002) <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
272         xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
273 (003)   <S:Header>
274 (004)     <wsse:Security
275             xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">
276 (005)       <\wsse:UsernameToken wsu:Id="MyID">
277 (006)         <wsse:Username>Zoe</wsse:Username>
278 (007)         <wsse:Nonce>FKJh...</wsse:Nonce>
279 (008)         <wsu:Created>2001-10-13T09:00:00Z</wsu:Created>
280 (009)       </wsse:UsernameToken>
281 (010)       <ds:Signature>
282 (011)         <ds:SignedInfo>
283 (012)           <ds:CanonicalizationMethod
284                   Algorithm=
285                     "http://www.w3.org/2001/10/xml-exc-c14n#" />
286 (013)           <ds:SignatureMethod
287                   Algorithm=
288                     "http://www.w3.org/2000/09/xmldsig#hmac-sha1" />
289 (014)           <ds:Reference URI="#MsgBody">
290 (015)             <ds:DigestMethod
291                   Algorithm=
292                     "http://www.w3.org/2000/09/xmldsig#sha1" />
293 (016)             <ds:DigestValue>LyLsF0Pi4wPU...</ds:DigestValue>
294 (017)           </ds:Reference>
295 (018)           </ds:SignedInfo>
296 (019)           <ds:SignatureValue>DJbchm5gK...</ds:SignatureValue>
297 (020)           <ds:KeyInfo>
298 (021)             <wsse:SecurityTokenReference>
299 (022)               <wsse:Reference URI="#MyID" />
300 (023)             </wsse:SecurityTokenReference>
301 (024)           </ds:KeyInfo>
302 (025)           </ds:Signature>
303 (026)         </wsse:Security>
304 (027)       </S:Header>
305 (028)     <S:Body wsu:Id="MsgBody">
306 (029)       <tru:StockSymbol xmlns:tru="http://fabrikaml23.com/payloads">
307         QQQ
308       </tru:StockSymbol>
```

```
309 (030) </S:Body>
310 (031) </S:Envelope>
```

311 The first two lines start the SOAP envelope. Line (003) begins the headers that are associated
312 with this SOAP message.

313 Line (004) starts the <Security> header that is defined in this specification. This header
314 contains security information for an intended receiver. This element continues until line (026)

315 Lines (005) to (009) specify a security token that is associated with the message. In this case, it
316 defines *username* of the client using the <UsernameToken>. Note that here the assumption is
317 that the service knows the password – in other words, it is a shared secret and the <Nonce> and
318 <Created> are used to generate the key

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319 Lines (010) to (025) specify a digital signature. This signature ensures the integrity of the signed
320 elements. The signature uses the XML Signature specification identified by the ds namespace
321 declaration in Line (002). In this example, the signature is based on a key generated from the
322 users' password; typically stronger signing mechanisms would be used (see the Extended
323 Example later in this document).

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324 Lines (011) to (018) describe what is being signed and the type of canonicalization being used.
325 Line (012) specifies how to canonicalize (normalize) the data that is being signed. Lines (014) to
326 (017) select the elements that are signed and how to digest them. Specifically, line (014)
327 indicates that the <S:Body> element is signed. In this example only the message body is
328 signed; typically all critical elements of the message are included in the signature (see the
329 Extended Example below).

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330 Line (019) specifies the signature value of the canonicalized form of the data that is being signed
331 as defined in the XML Signature specification.

332 Lines (020) to (024) provide a hint as to where to find the security token associated with this
333 signature. Specifically, lines (021) to (023) indicate that the security token can be found at (pulled
334 from) the specified URL.

335 Lines (028) to (030) contain the body (payload) of the SOAP message.

336

337 4 ID References

338 | There are many motivations for referencing other message elements such as signature
339 references or correlating signatures to security tokens. However, because arbitrary ID attributes
340 require the schemas to be available and processed, ID attributes which can be referenced in a
341 signature are restricted to the following list:

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- 342 • ID attributes from XML Signature
- 343 • ID attributes from XML Encryption
- 344 • wsu:Id global attribute described below

345 In addition, when signing a part of an envelope such as the body, it is RECOMMENDED that an
346 ID reference is used instead of a more general transformation, especially XPath. This is to
347 simplify processing.

348 4.1 Id Attribute

349 There are many situations where elements within SOAP messages need to be referenced. For
350 example, when signing a SOAP message, selected elements are included in the signature. XML
351 Schema Part 2 provides several built-in data types that may be used for identifying and
352 referencing elements, but their use requires that consumers of the SOAP message either to have
353 or be able to obtain the schemas where the identity or reference mechanisms are defined. In
354 some circumstances, for example, intermediaries, this can be problematic and not desirable.

355 Consequently a mechanism is required for identifying and referencing elements, based on the
356 SOAP foundation, which does not rely upon complete schema knowledge of the context in which
357 an element is used. This functionality can be integrated into SOAP processors so that elements
358 can be identified and referred to without dynamic schema discovery and processing.

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359 This section specifies a namespace-qualified global attribute for identifying an element which can
360 be applied to any element that either allows arbitrary attributes or specifically allows a particular
361 attribute.

362 4.2 Id Schema

363 To simplify the processing for intermediaries and receivers, a common attribute is defined for
364 identifying an element. This attribute utilizes the XML Schema ID type and specifies a common
365 attribute for indicating this information for elements.

366 The syntax for this attribute is as follows:

```
367 <anyElement wsu:Id="...">...</anyElement>
```

368 The following describes the attribute illustrated above:

369 *.../@wsu:Id*

370 This attribute, defined as type `xsd:ID`, provides a well-known attribute for specifying the
371 local ID of an element.

372 Two `wsu:Id` attributes within an XML document MUST NOT have the same value.
373 Implementations MAY rely on XML Schema validation to provide rudimentary enforcement for
374 intra-document uniqueness. However, applications SHOULD NOT rely on schema validation
375 alone to enforce uniqueness.

376 | This specification does not specify how this attribute will be used and it is expected that other
377 specifications MAY add additional semantics (or restrictions) for their usage of this attribute.

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378 The following example illustrates use of this attribute to identify an element:

379 `<x:myElement wsu:Id="ID1" xmlns:x="..."`
380 `xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility"/>`

381 Conformant processors that do support XML Schema MUST treat this attribute as if it was
382 defined using a global attribute declaration.

383 Conformant processors that do not support XML Schema or DTDs are strongly encouraged to
384 treat this attribute information item as if its PSVI has a [type definition] which {target namespace}
385 is "http://www.w3.org/2001/XMLSchema" and which {name} is "Id." Specifically,
386 implementations MAY support the value of the `wsu:Id` as the valid identifier for use as an
387 [XPointer](#) shorthand pointer.

5 Security Header

389 The `<wsse:Security>` header block provides a mechanism for attaching security-related
 390 information targeted at a specific receiver (SOAP role). This MAY be either the ultimate receiver
 391 of the message or an intermediary. Consequently, this header block MAY be present multiple
 392 times in a SOAP message. An intermediary on the message path MAY add one or more new
 393 sub-elements to an existing `<wsse:Security>` header block if they are targeted for the same
 394 SOAP node or it MAY add one or more new headers for additional targets.

395 As stated, a message MAY have multiple `<wsse:Security>` header blocks if they are targeted
 396 for separate receivers. However, only one `<wsse:Security>` header block can omit the
 397 `S:role` attribute and no two `<wsse:Security>` header blocks can have the same value for
 398 `S:role`. Message security information targeted for different receivers MUST appear in different
 399 `<wsse:Security>` header blocks. The `<wsse:Security>` header block without a specified
 400 `S:role` can be consumed by anyone, but MUST NOT be removed prior to the final destination or
 401 endpoint.

402 As elements are added to the `<wsse:Security>` header block, they SHOULD be prepended to
 403 the existing elements. As such, the `<wsse:Security>` header block represents the signing and
 404 encryption steps the message sender took to create the message. This prepending rule ensures
 405 that the receiving application MAY process sub-elements in the order they appear in the
 406 `<wsse:Security>` header block, because there will be no forward dependency among the sub-
 407 elements. Note that this specification does not impose any specific order of processing the sub-
 408 elements. The receiving application can use whatever policy is needed.

409 When a sub-element refers to a key carried in another sub-element (for example, a signature
 410 sub-element that refers to a binary security token sub-element that contains the X.509 certificate
 411 used for the signature), the key-bearing security token SHOULD be prepended to the key-using
 412 sub-element being added, so that the key material appears before the key-using sub-element.

413 The following illustrates the syntax of this header:

```
414 <S:Envelope>
415   <S:Header>
416     ...
417     <wsse:Security S:role="..." S:mustUnderstand="...">
418       ...
419     </wsse:Security>
420     ...
421   </S:Header>
422   ...
423 </S:Envelope>
```

424 The following describes the attributes and elements listed in the example above:

425 `/wsse:Security`

This is the header block for passing security-related message information to a receiver.

427 `/wsse:Security/@S:role`

428 This attribute allows a specific SOAP role to be identified. This attribute is optional,
 429 however, no two instances of the header block may omit a role or specify the same role.

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430 `/wsse:Security/{any}`

431 This is an extensibility mechanism to allow different (extensible) types of security
 432 information, based on a schema, to be passed.

433 `/wsse:Security/@{any}`

434 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
435 added to the header.

436 All compliant implementations MUST be able to process a `<wsse:Security>` element.

437 All compliant implementations must declare which profiles they support and MUST be able to
438 process a `<wsse:Security>` element including any sub-elements which may be defined by
439 profile.

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440 The next few sections outline elements that are expected to be used within the
441 `<wsse:Security>` header.

442 6 Security Tokens

443 This chapter discusses different types of security tokens and how they are attached to messages.

444 6.1 User Name Tokens

445 6.1.1 Usernames and Passwords

446 The `<wsse:UsernameToken>` element is introduced as a way of proving a username and
447 optional password information. This element is optionally included in the `<wsse:Security>`
448 header.

449 Within this element, a `<wsse:Password>` element **MAY** be specified. The password has an
450 associated type – either `wsse:PasswordText` or `wsse:PasswordDigest`. The
451 `wsse:PasswordText` is not limited to only the actual password. Any password equivalent such
452 as a derived password or S/KEY (one time password) can be used.

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453 The `wsse:PasswordDigest` is defined as a base64-encoded SHA1 hash value of the UTF8-
454 encoded password. However, unless this digested password is sent on a secured channel, the
455 digest offers no real additional security than `wsse:PasswordText`.

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456 To address this issue, two optional elements are introduced in the `<wsse:UsernameToken>`
457 **element**: `<wsse:Nonce>` and `<wsu:Created>`. If either of these is present, they **MUST** be
458 included in the digest value as follows:

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```
459 Password_digest = SHA1 ( nonce + created + password )
```

460 That is, concatenate the nonce, creation timestamp, and the password (or shared secret or
461 password equivalent) and include the digest of the combination. This helps obscure the
462 password and offers a basis for preventing replay attacks. It is RECOMMENDED that timestamps
463 and nonces be cached for a given period of time, as a guideline a value of five minutes can be
464 used as a minimum to detect replays, and that timestamps older than that given period of time set
465 be rejected.

466 Note that the nonce is hashed using the octet sequence of its decoded value while the timestamp
467 is hashed using the octet sequence of its UTF8 encoding as specified in the contents of the
468 element.

469 Note that password digests SHOULD NOT be used unless the plain text password, secret, or
470 password-equivalent is available to both the requestor and the receiver.

471 The following illustrates the syntax of this element:

```
472 <wsse:UsernameToken wsu:Id="...">  
473   <wsse:Username>...</wsse:Username>  
474   <wsse:Password Type="...">...</wsse:Password>  
475   <wsse:Nonce EncodingType="...">...</wsse:Nonce>  
476   <wsu:Created>...</wsu:Created>  
477 </wsse:UsernameToken>
```

478 The following describes the attributes and elements listed in the example above:

479 */wsse:UsernameToken*

480 This element is used for sending basic authentication information.

481 */wsse:UsernameToken/@wsu:Id*

482 A string label for this security token.

483 */wsse:UsernameToken/Username*

484 This required element specifies the username of the [authenticated or the party to be](#)
 485 [authenticated](#). Deleted: authenticating party
 486 */wsse:UsernameToken/Username/@{any}*
 487 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
 488 added to the header.
 489 */wsse:UsernameToken/Password*
 490 This optional element provides password information. It is RECOMMENDED that this
 491 element only be passed when a secure transport is being used.
 492 */wsse:UsernameToken/Password/@Type*
 493 This optional attribute specifies the type of password being provided. The following table
 494 identifies the pre-defined types:

Value	Description
wsse:PasswordText (default)	The actual password for the username or derived password or SKEY .
wsse:PasswordDigest	The digest of the password for the username using the algorithm described above.

495 */wsse:UsernameToken/Password/@{any}*
 496 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
 497 added to the header.
 498 */wsse:UsernameToken/wsse:Nonce*
 499 This optional element specifies a cryptographically random nonce.
 500 */wsse:UsernameToken/wsse:Nonce/@EncodingType*
 501 This optional attribute specifies the encoding type of the nonce (see definition of
 502 `<wsse:BinarySecurityToken>` for valid values). If this attribute isn't specified then
 503 the default of Base64 encoding is used.
 504 */wsse:UsernameToken/wsu:Created*
 505 This optional element which specifies a timestamp.
 506 */wsse:UsernameToken/{any}*
 507 This is an extensibility mechanism to allow different (extensible) types of security
 508 information, based on a schema, to be passed.
 509 */wsse:UsernameToken/@{any}*
 510 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
 511 added to the header.

512 All compliant implementations MUST be able to process a `<wsse:UsernameToken>` element.
 513 The following illustrates the use of this element (note that in this example the password is sent in
 514 clear text and the message should therefore be sent over a confidential channel:

```

515 <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
516           xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">
517   <S:Header>
518     ...
519     <wsse:Security>
520       <wsse:UsernameToken >
521         <wsse:Username>Zoe</wsse:Username>
522         <wsse:Password>ILoveDogs</wsse:Password>
523       </wsse:UsernameToken>
524     </wsse:Security>
525     ...
  
```

```
526 </S:Header>
527 ...
528 </S:Envelope>
```

529 The following example illustrates a hashed password using both a nonce and a timestamp with
530 the password hashed:

```
531 <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
532           xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">
533   <S:Header>
534     ...
535     <wsse:Security>
536       <wsse:UsernameToken
537         xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
538         xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility">
539         <wsse:Username>NNK</wsse:Username>
540         <wsse:Password Type="wsse:PasswordDigest">
541           FEdR...</wsse:Password>
542         <wsse:Nonce>FKJh...</wsse:Nonce>
543         <wsu:Created>2001-10-13T09:00:00Z </wsu:Created>
544       </wsse:UsernameToken>
545     </wsse:Security>
546     ...
547   </S:Header>
548   ...
549 </S:Envelope>
```

550 6.2 Binary Security Tokens

551 6.2.1 Attaching Security Tokens

552 [For binary-formatted security tokens, this specification provides a](#)
553 [<wsse:BinarySecurityToken> element that can be included in the <wsse:Security>](#)
554 [header block.](#)

Deleted: This specification defines the <wsse:Security> header as a mechanism for conveying security information with and about a SOAP message. This header is, by design, extensible to support many types of security information

555 6.2.2 Processing Rules

556 This specification describes the processing rules for using and processing [XML Signature](#) and
557 [XML Encryption](#). These rules MUST be followed when using any type of security token including
558 XML-based tokens. Note that this does NOT mean that binary security tokens MUST be signed
559 or encrypted – only that if signature or encryption is used in conjunction with binary security
560 tokens, they MUST be used in a way that conforms to the processing rules defined by this
561 specification.

562 6.2.3 Encoding Binary Security Tokens

563 Binary security tokens (e.g., [X.509](#) certificates and [Kerberos](#) tickets) or other non-XML formats
564 require a special encoding format for inclusion. This section describes a basic framework for
565 using binary security tokens. Subsequent specifications describe rules and processes for specific
566 binary security token formats.

567 The <wsse:BinarySecurityToken> element defines two attributes that are used to interpret
568 it. The ValueType attribute indicates what the security token is, for example, a [Kerberos](#) ticket.
569 The EncodingType tells how the security token is encoded, for example Base64Binary.

570 The following is an overview of the syntax:

```
571 <wsse:BinarySecurityToken wsu:Id=...
572                           EncodingType=...
573                           ValueType=.../>
```

574 The following describes the attributes and elements listed in the example above:

575 /wsse:BinarySecurityToken
 576 This element is used to include a binary-encoded security token.
 577 /wsse:BinarySecurityToken/@wsu:Id
 578 An optional string label for this security token.
 579 /wsse:BinarySecurityToken/@ValueType
 580 The ValueType attribute is used to indicate the "value space" of the encoded binary
 581 data (e.g. an X.509 certificate). The ValueType attribute allows a qualified name that
 582 defines the value type and space of the encoded binary data. This attribute is extensible
 583 using XML namespaces.
 584 /wsse:BinarySecurityToken/@EncodingType
 585 The EncodingType attribute is used to indicate, using a QName, the encoding format of
 586 the binary data (e.g., wsse:Base64Binary). A new attribute is introduced, as there are
 587 currently issues that make derivations of mixed simple and complex types difficult within
 588 XML Schema. The EncodingType attribute is interpreted to indicate the encoding
 589 format of the element. The following encoding formats are pre-defined:

QName	Description
wsse:Base64Binary	XML Schema base 64 encoding

Deleted: wsse:HexBinary [1]

590 /wsse:BinarySecurityToken/{any}
 591 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
 592 added.

593 All compliant implementations MUST be able to support a <wsse:BinarySecurityToken>
 594 element.

595 When a <wsse:BinarySecurityToken> is included in a signature—that is, it is referenced
 596 from a <ds:Signature> element—care should be taken so that the canonicalization algorithm
 597 (e.g., Exclusive XML Canonicalization) does not allow unauthorized replacement of namespace
 598 prefixes of the QNames used in the attribute or element values. In particular, it is
 599 RECOMMENDED that these namespace prefixes are declared within the
 600 <wsse:BinarySecurityToken> element if this token does not carry the validating key (and
 601 consequently it is not cryptographically bound to the signature). For example, if we wanted to
 602 sign the previous example, we need to include the consumed namespace definitions.

Deleted: used in validating

603 In the following example, a custom ValueType is used. Consequently, the namespace definition
 604 for this ValueType is included in the <wsse:BinarySecurityToken> element. Note that the
 605 definition of wsse is also included as it is used for the encoding type and the element.

```

606 <wsse:BinarySecurityToken
607   xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext "
608   wsu:Id="myToken"
609   ValueType="x:MyType" xmlns:x="http://www.fabrikaml23.com/x"
610   EncodingType="wsse:Base64Binary">
611   MIEZzCCA9CgAwIBAgIQEmtJZc0...
612 </wsse:BinarySecurityToken>
  
```

6.3 XML Tokens

614 This section presents the basic principles and framework for using XML-based security tokens.
 615 Subsequent specifications describe rules and processes for specific XML-based security token
 616 formats.

617 **6.3.1 Attaching Security Tokens**

618 This specification defines the `<wsse:Security>` header as a mechanism for conveying security
619 information with and about a SOAP message. This header is, by design, extensible to support
620 many types of security information.

621 For security tokens based on XML, the extensibility of the `<wsse:Security>` header allows for
622 these security tokens to be directly inserted into the header.

623 **6.3.2 Identifying and Referencing Security Tokens**

624 This specification also defines multiple mechanisms for identifying and referencing security
625 tokens using the `wsu:id` attribute and the `<wsse:SecurityTokenReference>` element (as well
626 as some additional mechanisms). ~~Please refer to the specific binding documents for the~~
627 ~~appropriate reference mechanism~~ However, specific extensions MAY be made to the
628 `wsse:SecurityTokenReference` element.

Deleted: Where possible, the `wsu:lc` attribute SHOULD be used to reference XML-based tokens

629 **6.3.3 Subject Confirmation**

630 This specification does not dictate if and how subject confirmation must be done, however, it does
631 define how signatures can be used and associated with security tokens (by referencing them in
632 the signature) as a form of Proof-of-Possession..

633 **6.3.4 Processing Rules**

634 This specification describes the processing rules for using and processing XML Signature and
635 XML Encryption. These rules MUST be followed when using any type of security token including
636 XML-based tokens. Note that this does NOT mean that XML-based tokens MUST be signed or
637 encrypted – only that if signature or encryption is used in conjunction with XML-based tokens,
638 they MUST be used in a way that conforms to the processing rules defined by this specification.

639 7 Token References

640 This chapter discusses and defines mechanisms for referencing security tokens.

641 7.1 SecurityTokenReference Element

642 A [security token](#) conveys a set of [claims](#). Sometimes these claims reside somewhere else and
643 need to be "pulled" by the receiving application. The `<wsse:SecurityTokenReference>`
644 element provides an extensible mechanism for referencing [security tokens](#).

645 [This element provides an open content model for referencing security tokens because not all](#)
646 [tokens support a common reference pattern. Similarly, some token for mats have closed](#)
647 [schemas and define their own reference mechanisms. The open content model allows](#)
648 [appropriate reference mechanisms to be used when referencing corresponding token types.](#)

649 The following illustrates the syntax of this element:

```
650 <wsse:SecurityTokenReference wsu:Id="..." >  
651   ...  
652 </wsse:SecurityTokenReference>
```

653 The following describes the elements defined above:

654 [/wsse:SecurityTokenReference](#)

655 This element provides a reference to a security token.

656 [/wsse:SecurityTokenReference/@wsu:Id](#)

657 A string label for this [security token](#) reference.

658 [/wsse:SecurityTokenReference/{any}](#)

659 This is an extensibility mechanism to allow different (extensible) types of security
660 references, based on a schema, to be passed.

661 [/wsse:SecurityTokenReference/@{any}](#)

662 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
663 added to the header.

664 The following illustrates the use of this element:

```
665 <wsse:SecurityTokenReference  
666   xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">  
667   <wsse:Reference  
668     URI="http://www.fabrikaml23.com/tokens/Zoe#X509token"/>  
669 </wsse:SecurityTokenReference>
```

670 All compliant implementations MUST be able to process a

671 `<wsse:SecurityTokenReference>` element.

672 This element can also be used as a direct child element of `<ds:KeyInfo>` to indicate a hint to
673 retrieve the key information from a security token placed somewhere else. In particular, it is
674 RECOMMENDED, when using [XML Signature](#) and [XML Encryption](#), that a

675 `<wsse:SecurityTokenReference>` element be placed inside a `<ds:KeyInfo>` to reference
676 the [security token](#) used for the signature or encryption.

677 7.2 Direct References

678 The `<wsse:Reference>` element provides an extensible mechanism for directly referencing
679 [security tokens](#) using URIs.

680 The following illustrates the syntax of this element:

```
681 <wsse:SecurityTokenReference wsu:Id="..." >
```

```
682 <wsse:Reference URI="..." ValueType="..." />
683 </wsse:SecurityTokenReference>
```

684 The following describes the elements defined above:

685 / [wsse:SecurityTokenReference/Reference](#)

686 This element is used to identify a URI location for locating a security token

687 / [wsse:SecurityTokenReference/Reference/@URI](#)

688 This optional attribute specifies a URI for where to find a security token.

689 / [wsse:SecurityTokenReference/Reference/@ValueType](#)

690 This optional attribute specifies a QName that is used to identify the *type* of token being
691 referenced (see `<wsse:BinarySecurityToken>`). This specification does not define
692 any processing rules around the usage of this attribute, however, specification for
693 individual token types MAY define specific processing rules and semantics around the
694 value of the URI and how it is interpreted. If this attribute is not present, the URI is
695 processed as a normal URI.

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696 / [wsse:SecurityTokenReference/Reference/{any}](#)

Deleted: ¶

697 This is an extensibility mechanism to allow different (extensible) types of security
698 references, based on a schema, to be passed.

699 / [wsse:SecurityTokenReference/Reference/@{any}](#)

700 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
701 added to the header.

702 The following illustrates the use of this element:

```
703 <wsse:SecurityTokenReference
704     xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">
705   <wsse:Reference
706     URI="http://www.fabrikaml23.com/tokens/Zoe#X509token" />
707 </wsse:SecurityTokenReference>
```

708 7.3 Key Identifiers

709 If a direct reference is not possible, then it is RECOMMENDED to use a key identifier to
710 specify/reference a security token instead of a key name. The `<wsse:KeyIdentifier>`
711 element is placed in the `<wsse:SecurityTokenReference>` element to reference a token
712 using an identifier. This element SHOULD be used for all key identifiers.

713 The processing model assumes that the key identifier for a security token is constant.
714 Consequently, processing a key identifier is simply looking for a security token whose key
715 identifier matches a given specified constant.

716 The following is an overview of the syntax:

```
717 <wsse:SecurityTokenReference>
718   <wsse:KeyIdentifier wsu:Id="..."
719     ValueType="..."
720     EncodingType="...">
721     ...
722   </wsse:KeyIdentifier>
723 </wsse:SecurityTokenReference>
```

724 The following describes the attributes and elements listed in the example above:

725 / [wsse:SecurityTokenReference/KeyIdentifier](#)

726 This element is used to include a binary-encoded key identifier.

727 / [wsse:SecurityTokenReference/KeyIdentifier/@wsu:Id](#)

728 An optional string label for this identifier.

729 / [wsse:SecurityTokenReference/KeyIdentifier/@ValueType](#)

730 The valueType attribute is used to optionally indicate the type of token with the
 731 specified identifier. If specified, this is a *hint* to the receiver. Any value specified for
 732 binary security tokens, or any XML token element QName can be specified here. If this
 733 attribute isn't specified, then the identifier applies to any type of token.

734 / `wsse:SecurityTokenReference/KeyIdentifier/@EncodingType`

735 The optional EncodingType attribute is used to indicate, using a QName, the encoding
 736 format of the binary data (e.g., `wsse:Base64Binary`). The base values defined in this
 737 specification are used:

QName	Description
<code>wsse:Base64Binary</code>	XML Schema base 64 encoding (default)

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Deleted: `wsse:HexBinary` [2]

738 / `wsse:SecurityTokenReference/KeyIdentifier/@{any}`

739 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
 740 added.

741 7.4 ds:KeyInfo

742 The `<ds:KeyInfo>` element (from [XML Signature](#)) can be used for carrying the key information
 743 and is allowed for different key types and for future extensibility. However, in this specificat ion,
 744 the use of `<wsse:BinarySecurityToken>` is the RECOMMENDED way to carry key material
 745 if the key type contains binary data. [Please refer to the specific binding documents for the](#)
 746 [appropriate way to carry key material.](#)

747 The following example illustrates use of this element to fetch a named key:

```
748 <ds:KeyInfo Id="..." xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
749   <ds:KeyName>CN=Hiroshi Maruyama, C=JP</ds:KeyName>
750 </ds:KeyInfo>
```

751 7.5 Key Names

752 It is strongly RECOMMEND to use key identifiers. However, if key names are used, then it is
 753 strongly RECOMMENDED that `<ds:KeyName>` elements conform to the attribute names in
 754 section 2.3 of RFC 2253 (this is recommended by XML Signature for `<X509SubjectName>`) for
 755 interoperability.

756 Additionally, defined are the following convention for e-mail addresses, which SHOULD conform
 757 to RFC 822:

```
758 EmailAddress=ckaler@microsoft.com
```

759 7.6 Token Reference Lookup Processing Order

760 There are a number of mechanisms described in [XML Signature](#) and this specification
 761 for referencing security tokens. To resolve possible ambiguities [when more than one](#)
 762 [of these reference constructs is included in a single KeyInfo element](#), the following
 763 processing order SHOULD be used:

- 764 1. Resolve any `<wsse:Reference>` elements (specified within
 765 `<wsse:SecurityTokenReference>`).
- 766 2. Resolve any `<wsse:KeyIdentifier>` elements (specified within
 767 `<wsse:SecurityTokenReference>`).
- 768 3. Resolve any `<ds:KeyName>` elements.

769 4. Resolve any other `<ds:KeyInfo>` elements.

8 Signatures

770

771 Message senders may want to enable message receivers to determine whether a message was
772 altered in transit and to verify that a message was sent by the possessor of a particular [security](#)
773 [token](#).

774 The validation of an [XML signature](#) that uses a SecurityTokenReference to identify the key used
775 to create the signature, supports the application (by the relying party/receiver) of any other claims
776 made within the referenced token (most notably the identity bound to the key) to the signature
777 author (that is, if the relying party trusts the authority responsible for the claims in the referenced
778 token).

779 Because of the mutability of some [SOAP](#) headers, senders SHOULD NOT use the *Enveloped*
780 *Signature Transform* defined in [XML Signature](#). Instead, messages SHOULD explicitly include
781 the desired elements to be signed. Similarly, senders SHOULD NOT use the *Enveloping*
782 *Signature* defined in [XML Signature](#).

783 This specification allows for multiple signatures and signature formats to be attached to a
784 message, each referencing different, even overlapping, parts of the message. This is important
785 for many distributed applications where messages flow through multiple processing stages. For
786 example, a sender may submit an order that contains an orderID header. The sender signs the
787 orderID header and the body of the request (the contents of the order). When this is received by
788 the order processing sub-system, it may insert a shippingID into the header. The order sub-
789 system would then sign, at a minimum, the orderID and the shippingID, and possibly the body as
790 well. Then when this order is processed and shipped by the shipping department, a shippedInfo
791 header might be appended. The shipping department would sign, at a minimum, the shippedInfo
792 and the shippingID and possibly the body and forward the message to the billing department for
793 processing. The billing department can verify the signatures and determine a valid chain of trust
794 for the order, as well as who did what.

795 All compliant implementations MUST be able to support the [XML Signature](#) standard.

8.1 Algorithms

797 This specification builds on [XML Signature](#) and therefore has the same algorithm requirements as
798 those specified in the [XML Signature](#) specification.

799 The following table outlines additional algorithms that are strongly RECOMMENDED by this
800 specification:

Algorithm Type	Algorithm	Algorithm URI
Canonicalization	Exclusive XML Canonicalization	http://www.w3.org/2001/10/xml-exc-c14n#
Transformations	XML Decryption Transformation	http://www.w3.org/2001/04/decrypt#

801 The [Exclusive XML Canonicalization](#) algorithm addresses the pitfalls of general canonicalization
802 that can occur from *leaky* namespaces with pre-existing signatures.

803 Finally, if a sender wishes to sign a message before encryption, they should use the [Decryption](#)
804 [Transformation for XML Signature](#).

805 8.2 Signing Messages

806 The <wss:Security> header block is used to carry a signature compliant with the [XML](#)
807 [Signature](#) specification within a [SOAP](#) Envelope for the purpose of signing one or more elements
808 in the [SOAP](#) Envelope. Multiple signature entries MAY be added into a single [SOAP](#) Envelope
809 within the <wss:Security> header block. Senders should take care to sign all important
810 elements of the message, but care must be taken in creating a signing policy that will not to sign
811 parts of the message that might legitimately be altered in transit.

812 [SOAP](#) applications MUST satisfy the following conditions:

- 813 1. The application MUST be capable of processing the required elements defined in the
814 [XML Signature](#) specification.
- 815 2. To add a signature to a <wss:Security> header block, a <ds:Signature> element
816 conforming to the [XML Signature](#) specification SHOULD be prepended to the existing
817 content of the <wss:Security> header block. That is, the new information would be
818 before (prepended to) the old. All the <ds:Reference> elements contained in the
819 signature SHOULD refer to a resource within the enclosing [SOAP](#) envelope, or in an
820 attachment.

821 [XPath](#) filtering can be used to specify objects to be signed, as described in the [XML Signature](#)
822 specification. However, since the [SOAP](#) message exchange model allows intermediate
823 applications to modify the Envelope (add or delete a header block; for example), [XPath](#) filtering
824 does not always result in the same objects after message delivery. Care should be taken in using
825 [XPath](#) filtering so that there is no subsequent validation failure due to such modifications.

826 The problem of modification by intermediaries is applicable to more than just [XPath](#) processing.
827 Digital signatures, because of canonicalization and [digests](#), present particularly fragile examples
828 of such relationships. If overall message processing is to remain robust, intermediaries must
829 exercise care that their transformations do not occur within the scope of a digitally signed
830 component.

831 Due to security concerns with namespaces, this specification strongly RECOMMENDS the use of
832 the "[Exclusive XML Canonicalization](#)" algorithm or another canonicalization algorithm that
833 provides equivalent or greater protection.

834 For processing efficiency it is RECOMMENDED to have the signature added and then the
835 security token pre-pended so that a processor can read and cache the token before it is used.

836

837 8.3 Signature Validation

838 The validation of a <ds:Signature> element inside an <wss:Security> header block fails if

- 839 1. the syntax of the content of the entry does not conform to this specification, or
- 840 2. the validation of the [signature](#) contained in the entry fails according to the core validation
841 of the [XML Signature](#) specification, or
- 842 3. the application applying its own validation policy rejects the message for some reason
843 (e.g., the [signature](#) is created by an untrusted key – verifying the previous two steps only
844 performs cryptographic verification of the [signature](#)).

845 If the verification of the signature entry fails, applications MAY report the failure to the sender
846 using the fault codes defined in [Section 12](#) Error Handling.

847 8.4 Example

848 The following sample message illustrates the use of integrity and security tokens. For this
849 example, we sign only the message body.

850 `<?xml version="1.0" encoding="utf-8"??>`

```

851 <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
852         xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
853         xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
854         xmlns:xenc="http://www.w3.org/2001/04/xmlenc#">
855   <S:Header>
856     <wsse:Security>
857       <wsse:BinarySecurityToken
858         ValueType="wsse:X509v3"
859         EncodingType="wsse:Base64Binary"
860         wsu:Id="X509Token">
861         MIEZzCCA9CgAwIBAgIQEmtJZc0rqrKh5i...
862       </wsse:BinarySecurityToken>
863     <ds:Signature>
864       <ds:SignedInfo>
865         <ds:CanonicalizationMethod Algorithm=
866           "http://www.w3.org/2001/10/xml-exc-c14n#" />
867         <ds:SignatureMethod Algorithm=
868           "http://www.w3.org/2000/09/xmldsig#rsa-sha1" />
869         <ds:Reference URI="#myBody">
870           <ds:Transforms>
871             <ds:Transform Algorithm=
872               "http://www.w3.org/2001/10/xml-exc-c14n#" />
873           </ds:Transforms>
874           <ds:DigestMethod Algorithm=
875             "http://www.w3.org/2000/09/xmldsig#sha1" />
876           <ds:DigestValue>EULddytSol...</ds:DigestValue>
877         </ds:Reference>
878       </ds:SignedInfo>
879       <ds:SignatureValue>
880       BL8jdfToEbl1/vXcMZNNjPOV...
881     </ds:SignatureValue>
882     <ds:KeyInfo>
883       <wsse:SecurityTokenReference>
884         <wsse:Reference URI="#X509Token" />
885       </wsse:SecurityTokenReference>
886     </ds:KeyInfo>
887   </ds:Signature>
888 </wsse:Security>
889 </S:Header>
890 <S:Body wsu:Id="myBody" >
891   <tru:StockSymbol xmlns:tru="http://www.fabrikaml23.com/payloads">
892     QQQ
893   </tru:StockSymbol>
894 </S:Body>
895 </S:Envelope>

```

9 Encryption

896

897 This specification allows encryption of any combination of body blocks, header blocks, any of
898 these sub-structures, and attachments by either a common symmetric key shared by the sender
899 and the receiver or a key carried in the message in an encrypted form.

900 In order to allow this flexibility, this specification leverages the [XML Encryption](#) standard.
901 Specifically, described is how three elements (listed below and defined in [XML Encryption](#)) can
902 be used within the `<wsse:Security>` header block. When a sender or an intermediary
903 encrypts portion(s) of a [SOAP](#) message using [XML Encryption](#) they MUST add a sub-element to
904 the `<wsse:Security>` header block. Furthermore, the encrypting party MUST prepend the
905 sub-element into the `<wsse:Security>` header block for the targeted receiver that is expected
906 to decrypt these encrypted portions. The combined process of encrypting portion(s) of a
907 message and adding one of these sub-elements referring to the encrypted portion(s) is called an
908 *encryption step* hereafter. The sub-element should have enough information for the receiver to
909 identify which portions of the message are to be decrypted by the receiver.

910 All compliant implementations MUST be able to support the [XML Encryption](#) standard.

911

9.1 xenc:ReferenceList

913 When encrypting elements or element contents within a [SOAP](#) envelope, the
914 `<xenc:ReferenceList>` element from [XML Encryption](#) MAY be used to create a manifest of
915 encrypted portion(s), which are expressed as `<xenc:EncryptedData>` elements within the
916 envelope. An element or element content to be encrypted by this encryption step MUST be
917 replaced by a corresponding `<xenc:EncryptedData>` according to [XML Encryption](#). All the
918 `<xenc:EncryptedData>` elements created by this encryption step SHOULD be listed in
919 `<xenc:DataReference>` elements inside an `<xenc:ReferenceList>` element.

920 Although in [XML Encryption](#), `<xenc:ReferenceList>` is originally designed to be used within
921 an `<xenc:EncryptedKey>` element (which implies that all the referenced
922 `<xenc:EncryptedData>` elements are encrypted by the same key), this specification allows
923 that `<xenc:EncryptedData>` elements referenced by the same `<xenc:ReferenceList>`
924 MAY be encrypted by different keys. Each encryption key can be specified in `<ds:KeyInfo>`
925 within individual `<xenc:EncryptedData>`.

926 A typical situation where the `<xenc:ReferenceList>` sub-element is useful is that the sender
927 and the receiver use a shared secret key. The following illustrates the use of this sub-element:

```
928 <S:Envelope  
929   xmlns:S="http://www.w3.org/2001/12/soap-envelope"  
930   xmlns:ds="http://www.w3.org/2000/09/xmldsig#"  
931   xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"  
932   xmlns:xenc="http://www.w3.org/2001/04/xmlenc#">  
933   <S:Header>  
934     <wsse:Security>  
935       <xenc:ReferenceList>  
936         <xenc:DataReference URI="#bodyID"/>  
937       </xenc:ReferenceList>  
938     </wsse:Security>  
939   </S:Header>  
940   <S:Body>  
941     <xenc:EncryptedData Id="bodyID">  
942       <ds:KeyInfo>  
943         <ds:KeyName>CN=Hiroshi Maruyama, C=JP</ds:KeyName>  
944       </ds:KeyInfo>
```

```

945     <xenc:CipherData>
946         <xenc:CipherValue>...</xenc:CipherValue>
947     </xenc:CipherData>
948     </xenc:EncryptedData>
949 </S:Body>
950 </S:Envelope>

```

951 9.2 xenc:EncryptedKey

952 When the encryption step involves encrypting elements or element contents within a SOAP
 953 envelope with a key, which is in turn to be encrypted by the recipient's key and embedded in the
 954 message, <xenc:EncryptedKey> MAY be used for carrying such an encrypted key. This sub-
 955 element SHOULD have a manifest, that is, an <xenc:ReferenceList> element, in order for
 956 the recipient to know the portions to be decrypted with this key (if any exist). An element or
 957 element content to be encrypted by this encryption step MUST be replaced by a corresponding
 958 <xenc:EncryptedData> according to XML Encryption. All the <xenc:EncryptedData>
 959 elements created by this encryption step SHOULD be listed in the <xenc:ReferenceList>
 960 element inside this sub-element.

961 This construct is useful when encryption is done by a randomly generated symmetric key that is
 962 in turn encrypted by the recipient's public key. The following illustrates the use of this element:

```

963 <S:Envelope
964     xmlns:S="http://www.w3.org/2001/12/soap-envelope"
965     xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
966     xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
967     xmlns:xenc="http://www.w3.org/2001/04/xmlenc#">
968     <S:Header>
969         <wsse:Security>
970             <xenc:EncryptedKey>
971                 <xenc:EncryptionMethod Algorithm="..."/>
972                 <ds:KeyInfo>
973                     <wsse:SecurityTokenReference>
974                         <wsse:KeyIdentifier EncodingType="wsse:Base64Binary"
975                             ValueType="wsse:X509v3">MIGfMa0GCSq...
976                     </wsse:KeyIdentifier>
977                     </wsse:SecurityTokenReference>
978                 </ds:KeyInfo>
979                 <xenc:CipherData>
980                     <xenc:CipherValue>...</xenc:CipherValue>
981                 </xenc:CipherData>
982                 <xenc:ReferenceList>
983                     <xenc:DataReference URI="#bodyID"/>
984                 </xenc:ReferenceList>
985             </xenc:EncryptedKey>
986         </wsse:Security>
987     </S:Header>
988     <S:Body>
989         <xenc:EncryptedData Id="bodyID">
990             <xenc:CipherData>
991                 <xenc:CipherValue>...</xenc:CipherValue>
992             </xenc:CipherData>
993         </xenc:EncryptedData>
994     </S:Body>
995 </S:Envelope>

```

Comment: A naked
wsse:KeyIdentifier would be illegal.

996 While XML Encryption specifies that <xenc:EncryptedKey> elements MAY be specified in
 997 <xenc:EncryptedData> elements, this specification strongly RECOMMENDS that
 998 <xenc:EncryptedKey> elements be placed in the <wsse:Security> header.

9.3 xenc:EncryptedData

1000 In some cases security-related information is provided in a purely encrypted form or non-XML
 1001 attachments MAY be encrypted. The `<xenc:EncryptedData>` element from [XML Encryption](#)
 1002 can be used for these scenarios. For each part of the encrypted attachment, one encryption step
 1003 is needed; that is, for each attachment to be encrypted, one `<xenc:EncryptedData>` sub-
 1004 element MUST be added with the following rules (note that steps 2-4 applies only if MIME types
 1005 are being used for attachments).

- 1006 1. The contents of the attachment MUST be replaced by the encrypted octet string.
- 1007 2. The replaced MIME part MUST have the media type `application/octet-stream`.
- 1008 3. The original media type of the attachment MUST be declared in the `MimeType` attribute
 1009 of the `<xenc:EncryptedData>` element.
- 1010 4. The encrypted MIME part MUST be referenced by an `<xenc:CipherReference>`
 1011 element with a URI that points to the MIME part with `cid:` as the scheme component of
 1012 the URI.

1013 The following illustrates the use of this element to indicate an encrypted attachment:

```

1014 <S:Envelope
1015   xmlns:S="http://www.w3.org/2001/12/soap-envelope"
1016   xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1017   xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
1018   xmlns:xenc="http://www.w3.org/2001/04/xmlenc#">
1019   <S:Header>
1020     <wsse:Security>
1021       <xenc:EncryptedData MimeType="image/png">
1022         <ds:KeyInfo>
1023           <wsse:SecurityTokenReference>
1024             <xenc:EncryptionMethod Algorithm="..." />
1025             <wsse:KeyIdentifier EncodingType="wsse:Base64Binary"
1026               ValueType="wsse:X509v3">MIGfMa0GCSq...
1027             </wsse:KeyIdentifier>
1028           </wsse:SecurityTokenReference>
1029         </ds:KeyInfo>
1030       <xenc:CipherData>
1031         <xenc:CipherReference URI="cid:image"/>
1032       </xenc:CipherData>
1033     </xenc:EncryptedData>
1034   </wsse:Security>
1035 </S:Header>
1036 <S:Body> </S:Body>
1037 </S:Envelope>
  
```

Deleted: foo:bar

Deleted: ¶

9.4 Processing Rules

1038 Encrypted parts or attachments to the [SOAP](#) message using one of the sub-elements defined
 1039 above MUST be in compliance with the [XML Encryption](#) specification. An encrypted [SOAP](#)
 1040 envelope MUST still be a valid [SOAP](#) envelope. The message creator MUST NOT encrypt the
 1041 `<S:Envelope>`, `<S:Header>`, or `<S:Body>` elements but MAY encrypt child elements of
 1042 either the `<S:Header>` and `<S:Body>` elements. Multiple steps of encryption MAY be added
 1043 into a single `<Security>` header block if they are targeted for the same recipient.
 1044

1045 When an element or element content inside a [SOAP](#) envelope (e.g. of the contents of `<S:Body>`)
 1046 is to be encrypted, it MUST be replaced by an `<xenc:EncryptedData>`, according to [XML](#)
 1047 [Encryption](#) and it SHOULD be referenced from the `<xenc:ReferenceList>` element created
 1048 by this encryption step. This specification allows placing the encrypted octet stream in an
 1049 attachment. For example, if an `<xenc:EncryptedData>` appearing inside the `<S:Body>`
 1050 element has `<xenc:CipherReference>` that refers to an attachment, then the decrypted octet

1051 stream SHALL replace the `<xenc:EncryptedData>`. However, if the `<enc:EncryptedData>`
1052 element is located in the `<Security>` header block and it refers to an attachment, then the
1053 decrypted octet stream MUST replace the encrypted octet stream in the attachment.

1054 9.4.1 Encryption

1055 The general steps (non-normative) for creating an encrypted SOAP message in compliance with
1056 this specification are listed below (note that use of `<xenc:ReferenceList>` is
1057 RECOMMENDED).

- 1058 1. Create a new SOAP envelope.
- 1059 2. Create an `<xenc:ReferenceList>` sub-element, an `<xenc:EncryptedKey>` sub-
1060 element, or an `<xenc:EncryptedData>` sub-element in the `<Security>` header
1061 block (note that if the SOAP "role" and "mustUnderstand" attributes are different, then a
1062 new header block may be necessary), depending on the type of encryption.
- 1063 3. Locate data items to be encrypted, i.e., XML elements, element contents within the target
1064 SOAP envelope, and attachments.
- 1065 4. Encrypt the data items as follows: For each XML element or element content within the
1066 target SOAP envelope, encrypt it according to the processing rules of the XML
1067 Encryption specification. Each selected original element or element content MUST be
1068 removed and replaced by the resulting `<xenc:EncryptedData>` element. For an
1069 attachment, the contents MUST be replaced by encrypted cipher data as described in
1070 section 8.3 Signature Validation.
- 1071 5. The optional `<ds:KeyInfo>` element in the `<xenc:EncryptedData>` element MAY
1072 reference another `<ds:KeyInfo>` element. Note that if the encryption is based on an
1073 attached security token, then a `<SecurityTokenReference>` element SHOULD be
1074 added to the `<ds:KeyInfo>` element to facilitate locating it.
- 1075 6. Create an `<xenc:DataReference>` element referencing the generated
1076 `<xenc:EncryptedData>` elements. Add the created `<xenc:DataReference>`
1077 element to the `<xenc:ReferenceList>`.

1078 9.4.2 Decryption

1079 On receiving a SOAP envelope with encryption header entries, for each encryption header entry
1080 the following general steps should be processed (non-normative):

- 1081 1. Locate the `<xenc:EncryptedData>` items to be decrypted (possibly using the
1082 `<xenc:ReferenceList>`).
- 1083 2. Decrypt them as follows: For each element in the target SOAP envelope, decrypt it
1084 according to the processing rules of the XML Encryption specification and the processing
1085 rules listed above.
- 1086 3. If the decrypted data is part of an attachment and MIME types were used, then revise the
1087 MIME type of the attachment to the original MIME type (if one exists).

1088 If the decryption fails for some reason, applications MAY report the failure to the sender using the
1089 fault code defined in Section 12 Error Handling.

1090 9.5 Decryption Transformation

1091 The ordering semantics of the `<wsse:Security>` header are sufficient to determine if
1092 signatures are over encrypted or unencrypted data. However, when a signature is included in
1093 one `<wsse:Security>` header and the encryption takes place in another `<wsse:Security>`
1094 header, the order may not be explicitly understood.

1095 If the sender wishes to sign a message that is subsequently encrypted by an intermediary along
1096 the transmission path, the sender MAY use the Decryption Transform for XML Signature to
1097 explicitly specify the order of decryption.
1098

1099 **10 Message Timestamps**

1100 When requestors and services are exchanging messages, it is often important to be able to
1101 understand the *freshness* of a message. In some cases, a message may be so *stale* that the
1102 receiver may decide to ignore it.

1103 This specification does not provide a mechanism for synchronizing time. The assumption is
1104 either that the receiver is using a mechanism to synchronize time (e.g. NTP) or, more likely for
1105 federated applications, that they are making assessments about time based on three factors:
1106 creation time of the message, transmission checkpoints, and transmission delays.

1107 To assist a receiver in making an assessment of staleness, a requestor may wish to indicate a
1108 suggested expiration time, beyond which the requestor recommends ignoring the message. The
1109 specification provides XML elements by which the requestor may express the expiration time of a
1110 message, the requestor's clock time at the moment the message was created, checkpoint
1111 timestamps (when a role received the message) along the communication path, and the delays
1112 introduced by transmission and other factors subsequent to creation. The quality of the delays is
1113 a function of how well they reflect the actual delays (e.g., how well they reflect transmission
1114 delays).

1115 It should be noted that this is not a protocol for making assertions or determining when, or how
1116 fast, a service produced or processed a message.

1117 This specification defines and illustrates time references in terms of the *dateTimeType* defined in
1118 XML Schema. It is RECOMMENDED that all time references use this type. It is further
1119 RECOMMENDED that all references be in UTC time. If, however, other time types are used,
1120 then the *ValueType* attribute (described below) MUST be specified to indicate the data type of the
1121 time format.

1122 **10.1 Model**

1123 This specification provides several tools for receivers to use to assess the expiration time
1124 presented by the requestor. The first is the [creation time](#). Receivers can use this value to assess
1125 possible clock synchronization issues. However, to make some assessments, the time required
1126 to go from the requestor to the receiver may also be useful in making this assessment. Two
1127 mechanisms are provided for this. The first is that [intermediaries](#) may add timestamp elements
1128 indicating when they received the message. This knowledge can be useful to get a holistic view
1129 of clocks along the message path. The second is that intermediaries can specify any delays they
1130 imposed on message delivery. It should be noted that not all [delays](#) can be accounted for, such
1131 as wire time and parties that don't report. Receivers need to take this into account when
1132 evaluating clock trust.

1133 **10.2 Timestamp Elements**

1134 This specification defines the following message timestamp elements. These elements are
1135 defined for use with the `<wsu:Timestamp>` header for SOAP messages, but they can be used
1136 anywhere within the header or body that creation, expiration, and intermediary markers are
1137 needed.

1138 **10.2.1 Expiration**

1139 The `<wsu:Expires>` element specifies the expiration timestamp. The exact meaning and
1140 processing rules for expiration depend on the context in which the element is used. The syntax
1141 for this element is as follows:

```
1142 <wsu:Expires ValueType="..." wsu:Id="...">...</wsu:Expires>
```

1143 The following describes the attributes and elements listed in the schema above:

1144 | */wsu:Expires*

1145 | This element's value represents an expiration time. The time specified SHOULD be a
1146 | UTC format as specified by the ValueType attribute (default is XML Schema type
1147 | dateTime).

1148 | | */ wsu:Expires/@ValueType*

1149 | This optional attribute specifies the type of the time data. This is specified as the XML
1150 | Schema type. If this attribute isn't specified, the default value is xsd:dateTime.

1151 | | */ wsu:Expires/@wsu:Id*

1152 | This optional attribute specifies an XML Schema ID that can be used to reference this
1153 | element.

1154 The expiration is relative to the requestor's clock. In order to evaluate the expiration time,
1155 receivers need to recognize that the requestor's clock may not be synchronized to the receiver's
1156 clock. The receiver, therefore, will need to make a assessment of the level of trust to be placed in
1157 the requestor's clock, since the receiver is called upon to evaluate whether the expiration time is
1158 in the past relative to the requestor's, not the receiver's, clock. The receiver may make a
1159 judgment of the requestor's likely current clock time by means not described in this specification,
1160 for example an out-of-band clock synchronization protocol. The receiver may also use the
1161 creation time and the delays introduced by intermediate roles to estimate the degree of clock
1162 synchronization.

1163 One suggested formula for estimating synchronization is

1164 | `skew = receiver's arrival time - creation time - transmission time`

1165 Transmission time may be estimated by summing the values of delay elements, if present. It
1166 should be noted that wire-time is only part of this if delays include it in estimates. Otherwise the
1167 transmission time will not reflect the on-wire time. If no delays are present, there are no special
1168 assumptions that need to be made about processing time.

1169 10.2.2 Creation

1170 The <wsu:Created> element specifies a creation timestamp. The exact meaning and
1171 semantics are dependent on the context in which the element is used. The syntax for this
1172 element is as follows:

1173 | `<wsu:Created ValueType="..." wsu:Id="...">...</wsu:Created>`

1174 The following describes the attributes and elements listed in the schema above:

1175 | | */ wsu:Created*

1176 | This element's value is a creation timestamp. The time specified SHOULD be a UTC
1177 | format as specified by the ValueType attribute (default is XML Schema type dateTime). **A**
1178 | conformant implementation MUST understand the UTC format.

1179 | | */ wsu:Created/@ValueType*

1180 | This optional attribute specifies the type of the time data. This is specified as the XML
1181 | Schema type. If this attribute isn't specified, the default value is xsd:dateTime.

1182 | | */ wsu:Created/@wsu:Id*

1183 | This optional attribute specifies an XML Schema ID that can be used to reference this
1184 | element.

1185

1186 10.3 Timestamp Header

1187 A `<wsu:Timestamp>` header provides a mechanism for expressing the creation and expiration
1188 times of a message introduced throughout the message path. Specifically, it uses the previously
1189 defined elements in the context of message creation, receipt, and processing.

1190 All times SHOULD be in UTC format as specified by the [XML Schema](#) type (`dateTime`). It should
1191 be noted that times support time precision as defined in the [XML Schema](#) specification.

1192 Multiple `<wsu:Timestamp>` headers can be specified if they are targeted at different roles. The
1193 ordering within the header is as illustrated below.

1194 The ordering of elements in this header is fixed and MUST be preserved by intermediaries.

1195 To preserve overall integrity of each `<wsu:Timestamp>` header, it is strongly RECOMMENDED
1196 that each role create or update the appropriate `<wsu:Timestamp>` header destined to itself.

1197 The schema outline for the `<wsu:Timestamp>` header is as follows:

```
1198 <wsu:Timestamp wsu:Id="...">  
1199   <wsu:Created>...</wsu:Created>  
1200   <wsu:Expires>...</wsu:Expires>  
1201   ...  
1202 </wsu:Timestamp>
```

1203 The following describes the attributes and elements listed in the schema above:

1204 `/wsu:Timestamp`

This is the header for indicating message timestamps.

1206 `/wsu:Timestamp/Created`

1207 This represents the [creation time](#) of the message. This element is optional, but can only
1208 be specified once in a `Timestamp` header. Within the SOAP processing model, creation
1209 is the instant that the infonet is serialized for transmission. The creation time of the
1210 message SHOULD NOT differ substantially from its transmission time. [The difference in
1211 time should be minimized.](#)

1212 `/wsu:Timestamp/Expires`

1213 This represents the [expiration](#) of the message. This is optional, but can appear at most
1214 once in a `Timestamp` header. Upon expiration, the requestor asserts that the message
1215 is no longer valid. It is strongly RECOMMENDED that receivers (anyone who processes
1216 this message) discard (ignore) any message that has passed its expiration. A Fault code
1217 (`wsu:MessageExpired`) is provided if the receiver wants to inform the requestor that its
1218 message was expired. A service MAY issue a Fault indicating the message has expired.

1219

1220 `/wsu:Timestamp/{any}`

1221 This is an extensibility mechanism to allow additional elements to be added to the
1222 header.

1223 `/wsu:Timestamp/@wsu:Id`

1224 This optional attribute specifies an XML Schema ID that can be used to reference this
1225 element.

1226 `/wsu:Timestamp/@{any}`

1227 This is an extensibility mechanism to allow additional attributes to be added to the
1228 header.

1229 The following example illustrates the use of the `<wsu:Timestamp>` element and its content.

```
1230 <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"  
1231           xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility">  
1232   <S:Header>  
1233     <wsu:Timestamp>
```

```

1234     <wsu:Created>2001-09-13T08:42:00Z</wsu:Created>
1235     <wsu:Expires>2001-10-13T09:00:00Z</wsu:Expires>
1236     </wsu:Timestamp>
1237     ...
1238 </S:Header>
1239 <S:Body>
1240     ...
1241 </S:Body>
1242 </S:Envelope>

```

1243 10.4 TimestampTrace Header

1244 A `<wsu:TimestampTrace>` header provides a mechanism for expressing the delays introduced
 1245 throughout the message path. Specifically, it uses the previously defined elements in the context
 1246 of message creation, receipt, and processing.

1247 All times SHOULD be in UTC format as specified by the [XML Schema](#) type (`dateTime`). It should
 1248 be noted that times support time precision as defined in the [XML Schema](#) specification.

1249 Multiple `<wsu:TimestampTrace>` headers can be specified if they reference a different role.

1250 The `<wsu:Received>` element specifies a receipt timestamp with an optional processing delay.
 1251 The exact meaning and semantics are dependent on the context in which the element is used.

1252 It is also strongly RECOMMENDED that each role sign its elements by referencing their ID, NOT
 1253 by signing the `TimestampTrace` header as the header is mutable.

1254 The syntax for this element is as follows:

```

1255 <wsu:TimestampTrace>
1256   <wsu:Received Role="..." Delay="..." ValueType="..."
1257     wsu:Id="..." >...</wsu:Received>
1258 </wsu:TimestampTrace>

```

1259 The following describes the attributes and elements listed in the schema above:

1260 `/wsu:Received`

1261 This element's value is a receipt timestamp. The time specified SHOULD be a UTC
 1262 format as specified by the `ValueType` attribute (default is [XML Schema](#) type `dateTime`).

1263 `/wsu:Received/@Role`

1264 A required attribute, `Role`, indicates which role is indicating receipt. Roles MUST include
 1265 this attribute, with a value matching the role value as specified as a SOAP intermediary.

1266 `/wsu:Received/@Delay`

1267 The value of this [optional](#) attribute is the delay associated with the role expressed in
 1268 milliseconds. The delay represents processing time by the `Role` after it received the
 1269 message, but before it forwarded to the next recipient.

1270 `/wsu:Received/@ValueType`

1271 This optional attribute specifies the type of the time data (the element value). This is
 1272 specified as the [XML Schema](#) type. If this attribute isn't specified, the default value is
 1273 `xsd:dateTime`.

1274 `/wsu:Received/@wsu:Id`

1275 This optional attribute specifies an [XML Schema](#) ID that can be used to reference this
 1276 element.

1277 The delay attribute indicates the time delay attributable to an role (intermediate processor). In
 1278 some cases this isn't known; for others it can be computed as *role's send time – role's receipt*
 1279 *time*.

1280 Each delay amount is indicated in units of milliseconds, without fractions. If a delay amount
1281 would exceed the maximum value expressible in the datatype, the value should be set to the
1282 maximum value of the datatype.

1283 The following example illustrates the use of the <wsu:Timestamp> header and a
1284 <wsu:TimestampTrace> header indicating a processing delay of one minute subsequent to the
1285 receipt which was two minutes after creation.

```
1286 <S:Envelope xmlns:S=" http://www.w3.org/2001/12/soap-envelope"  
1287           xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility">  
1288   <S:Header>  
1289     <wsu:Timestamp>  
1290       <wsu:Created>2001-09-13T08:42:00Z</wsu:Created>  
1291       <wsu:Expires>2001-10-13T09:00:00Z</wsu:Expires>  
1292     </wsu:Timestamp>  
1293     <wsu:TimestampTrace>  
1294       <wsu:Received Role="http://x.com/" Delay="60000">  
1295         2001-09-13T08:44:00Z</wsu:Received>  
1296     </wsu:TimestampTrace>  
1297     ...  
1298   </S:Header>  
1299   <S:Body>  
1300     ...  
1301   </S:Body>  
1302 </S:Envelope>  
1303
```

1304

11 Extended Example

1305

The following sample message illustrates the use of security tokens, signatures, and encryption.

1306

For this example, the timestamp and the message body are signed prior to encryption. The

1307

decryption transformation is not needed as the signing/encryption order is specified within the

1308

<wsse:Security> header.

1309

```
(001) <?xml version="1.0" encoding="utf-8"?>
(002) <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
1310     xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1311     xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
1312     xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility"
1313     xmlns:xenc="http://www.w3.org/2001/04/xmlenc#">
1314
1315   (003)   <S:Header>
1316   (004)     <wsu:Timestamp>
1317   (005)       <wsu:Created wsu:Id="T0">
1318   (006)         2001-09-13T08:42:00Z
1319   (007)       </wsu:Created>
1320   (008)     </wsu:Timestamp>
1321   (009)     <wsse:Security>
1322   (010)       <wsse:BinarySecurityToken
1323             ValueType="wsse:X509v3"
1324             wsu:Id="X509Token"
1325             EncodingType="wsse:Base64Binary">
1326   (011)       MIIIEZzCCA9CgAwIBAgIQEmtJZc0rqrKh5i...
1327   (012)     </wsse:BinarySecurityToken>
1328   (013)     <xenc:EncryptedKey>
1329   (014)       <xenc:EncryptionMethod Algorithm=
1330             "http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
1331   (015)       <wsse:KeyIdentifier EncodingType="wsse:Base64Binary"
1332             ValueType="wsse:X509v3">MIGfMa0GCSq...
1333   (016)       </wsse:KeyIdentifier>
1334   (017)     <xenc:CipherData>
1335   (018)       <xenc:CipherValue>d2FpbmdvbGRfE0lm4byV0...
1336   (019)       </xenc:CipherValue>
1337   (020)     </xenc:CipherData>
1338   (021)     <xenc:ReferenceList>
1339   (022)       <xenc:DataReference URI="#enc1"/>
1340   (023)     </xenc:ReferenceList>
1341   (024)     </xenc:EncryptedKey>
1342   (025)     <ds:Signature>
1343   (026)       <ds:SignedInfo>
1344   (027)         <ds:CanonicalizationMethod
1345             Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#"/>
1346   (028)         <ds:SignatureMethod
1347             Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1"/>
1348   (029)         <ds:Reference URI="#T0">
1349   (030)           <ds:Transforms>
1350   (031)             <ds:Transform
1351             Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#"/>
1352   (032)           </ds:Transforms>
1353   (033)         <ds:DigestMethod
1354             Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
1355   (034)         <ds:DigestValue>LyLsF094hPi4wPU...
1356   (035)         </ds:DigestValue>
1357   (036)       </ds:SignedInfo>
1358   (037)     </ds:Signature>
1359   (038)     <ds:Reference URI="#body">
1360   (039)       <ds:Transforms>
1360   (040)         <ds:Transform
```

```

1361           Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
1362 (041)         </ds:Transforms>
1363 (042)         <ds:DigestMethod
1364           Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
1365 (043)         <ds:DigestValue>LyLsF094hPi4wPU...
1366 (044)         </ds:DigestValue>
1367 (045)         </ds:Reference>
1368 (046)       </ds:SignedInfo>
1369 (047)       <ds:SignatureValue>
1370           Hp1ZkmFZ/2kQLXDJbchm5gK...
1371 (049)       </ds:SignatureValue>
1372 (050)       <ds:KeyInfo>
1373           <wsse:SecurityTokenReference>
1374             <wsse:Reference URI="#X509Token" />
1375 (053)           </wsse:SecurityTokenReference>
1376 (054)         </ds:KeyInfo>
1377 (055)       </ds:Signature>
1378 (056)     </wsse:Security>
1379 (057)   </S:Header>
1380 (058)   <S:Body wsu:Id="body">
1381 (059)     <xenc:EncryptedData
1382           Type="http://www.w3.org/2001/04/xmlenc#Element"
1383           wsu:Id="enc1">
1384 (060)       <xenc:EncryptionMethod
1385           Algorithm="http://www.w3.org/2001/04/xmlenc#3des-cbc" />
1386 (061)       <xenc:CipherData>
1387 (062)         <xenc:CipherValue>d2FpbmdvbGRfE0lm4byV0...
1388 (063)         </xenc:CipherValue>
1389 (064)       </xenc:CipherData>
1390 (065)     </xenc:EncryptedData>
1391 (066)   </S:Body>
1392 (067) </S:Envelope>

```

1393 Let's review some of the key sections of this example:

1394 Lines (003)-(057) contain the SOAP message headers.

1395 Lines (004)-(008) specify the timestamp information. In this case it indicates the creation time of
1396 the message.

1397 Lines (009)-(056) represent the `<wsse:Security>` header block. This contains the security-
1398 related information for the message.

1399 Lines (010)-(012) specify a [security token](#) that is associated with the message. In this case, it
1400 specifies an [X.509](#) certificate that is encoded as Base64. Line (011) specifies the actual Base64
1401 encoding of the certificate.

1402 Lines (013)-(025) specify the key that is used to encrypt the body of the message. Since this is a
1403 symmetric key, it is passed in an encrypted form. Line (014) defines the algorithm used to
1404 encrypt the key. Lines (015)-(017) specify the name of the key that was used to encrypt the
1405 symmetric key. Lines (018)-(021) specify the actual encrypted form of the symmetric key. Lines
1406 (022)-(024) identify the encryption block in the message that uses this symmetric key. In this
1407 case it is only used to encrypt the body (Id="enc1").

1408 Lines (026)-(055) specify the digital signature. In this example, the signature is based on the
1409 [X.509](#) certificate. Lines (027)-(046) indicate what is being signed. Specifically, Line (039)
1410 references the creation timestamp and line (038) references the message body.

1411 Lines (047)-(049) indicate the actual signature value – specified in Line (042).

1412 Lines (051)-(053) indicate the key that was used for the signature. In this case, it is the [X.509](#)
1413 certificate included in the message. Line (052) provides a URI link to the Lines (010)-(012).

1414 The body of the message is represented by Lines (056)-(066).

1415 Lines (059)-(065) represent the encrypted metadata and form of the body using [XML Encryption](#).
1416 Line (059) indicates that the "element value" is being replaced and identifies this encryption. Line

1417 (060) specifies the encryption algorithm – Triple-DES in this case. Lines (062)-(063) contain the
1418 actual cipher text (i.e., the result of the encryption). Note that we don't include a reference to the
1419 key as the key references this encryption – Line (023).

1420 12Error Handling

1421 There are many circumstances where an *error* can occur while processing security information.

1422 For example:

- 1423 • Invalid or unsupported type of security token, signing, or encryption
- 1424 • Invalid or unauthenticated or unauthenticatable security token
- 1425 • Invalid signature
- 1426 • Decryption failure
- 1427 • Referenced security token is unavailable

1428 These can be grouped into two *classes* of errors: unsupported and failure. For the case of
1429 unsupported errors, the receiver MAY provide a response that informs the sender of supported
1430 formats, etc. For failure errors, the receiver MAY choose not to respond, as this may be a form of
1431 Denial of Service (DOS) or cryptographic attack. We combine signature and encryption failures
1432 to mitigate certain types of attacks.

1433 If a failure is returned to a sender then the failure MUST be reported using [SOAPs](#) Fault
1434 mechanism. The following tables outline the predefined security fault codes. The "unsupported"
1435 class of errors are:

Error that occurred	faultcode
An unsupported token was provided	wsse:UnsupportedSecurityToken
An unsupported signature or encryption algorithm was used	wsse:UnsupportedAlgorithm

1436 The "failure" class of errors are:

Error that occurred	faultcode
An error was discovered processing the <wsse:Security> header.	wsse:InvalidSecurity
An invalid security token was provided	wsse:InvalidSecurityToken
The security token could not be authenticated or authorized	wsse:FailedAuthentication
The signature or decryption was invalid	wsse:FailedCheck
Referenced security token could not be retrieved	wsse:SecurityTokenUnavailable

1437

13 Security Considerations

1438

It is strongly RECOMMENDED that messages include digitally signed elements to allow message receivers to detect replays of the message when the messages are exchanged via an open network. These can be part of the message or of the headers defined from other SOAP extensions. Four typical approaches are:

1439

1440

1441

- Timestamp
- Sequence Number
- Expirations
- Message Correlation

1442

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This specification defines the use of XML Signature and XML Encryption in SOAP headers. As one of the building blocks for securing SOAP messages, it is intended to be used in conjunction with other security techniques. Digital signatures need to be understood in the context of other security mechanisms and possible threats to an entity.

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Digital signatures alone do not provide message authentication. One can record a signed message and resend it (a replay attack). To prevent this type of attack, digital signatures must be combined with an appropriate means to ensure the uniqueness of the message, such as timestamps or sequence numbers (see earlier section for additional details).

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When digital signatures are used for verifying the identity of the sending party, the sender must prove the possession of the private key. One way to achieve this is to use a challenge-response type of protocol. Such a protocol is outside the scope of this document.

1455

1456

1457

To this end, the developers can attach timestamps, expirations, and sequences to messages.

1458

1459

Implementers should also be aware of all the security implications resulting from the use of digital signatures in general and XML Signature in particular. When building trust into an application based on a digital signature there are other technologies, such as certificate evaluation, that must be incorporated, but these are outside the scope of this document.

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1462

Requestors should use digital signatures to sign security tokens that do not include signatures (or other protection mechanisms) to ensure that they have not been altered in transit.

1463

1464

Also, as described in XML Encryption, we note that the combination of signing and encryption over a common data item may introduce some cryptographic vulnerability. For example, encrypting digitally signed data, while leaving the digital signature in the clear, may allow plain text guessing attacks. The proper useage of nonce guards against replay attacks.

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1466

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In order to trust IDs and timestamps, they SHOULD be signed using the mechanisms outlined in this specification. This allows readers of the IDs and timestamps information to be certain that the IDs and timestamps haven't been forged or altered in any way. It is strongly RECOMMENDED that IDs and timestamp elements be signed.

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1471

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Timestamps can also be used to mitigate replay attacks. Signed timestamps MAY be used to keep track of messages (possibly by caching the most recent timestamp from a specific service) and detect replays of previous messages. It is RECOMMENDED that timestamps and nonces be cached for a given period of time, as a guideline a value of five minutes can be used as a minimum to detect replays, and that timestamps older than that given period of time set be rejected. in interactive scenarios.

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In one-way message authentication, it is RECOMMENDED that the sender and the receiver re-use the elements and structure defined in this specification for proving and validating freshness of a message. It is RECOMMEND that the nonce value be unique per message (never been used as a nonce before by the sender and receiver) and use the <wsse:Nonce> element within the <wsse:Security> header. Further, the <wsu:Timestamp> header SHOULD be used with a

1479

1480

1481

1482

1483 <wsu:Created> element. It is strongly RECOMMENDED that the <wsu:Created> ,
1484 <wsse:Nonce> elements be included in the signature.

Deleted: on <wsu:Timestamp>
element

1485 **14 Privacy Considerations**

1486 TBD

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- 1534
- 1535

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Appendix A: Revision History

Rev	Date	What
01	20-Sep-02	Initial draft based on input documents and editorial review
02	24-Oct-02	Update with initial comments (technical and grammatical)
<u>03</u>	<u>03-Nov-02</u>	<u>Feedback updates</u>
<u>04</u>	<u>17-Nov-02</u>	<u>Feedback updates</u>

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1568

wsse:HexBinary	XML Schema hex encoding
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wsse:HexBinary	XML Schema hex encoding
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