



Web Services Security: SAML Token Profile~~Binding~~

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Abstract:

This document describes how to use Security Assertion Markup Language (SAML) assertions with the [WS-Security](#) specification.

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This is an interim draft. Please send comments to the editors.

Committee members should send comments on this specification to wss@lists.oasis-open.org list. Others should subscribe to and send comments

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32

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1 Introduction

The [WS-Security](#) specification proposes a standard set of [SOAP](#) extensions that can be used when building secure Web services to implement message level integrity and confidentiality. This specification describes the use of Security Assertion Markup Language (SAML) assertions from the `<wsse:Security>` header block defined by the [WS-Security](#) specification.

1.1 Goals and Requirements

The goal of this specification is to define the use of SAML assertions in the context of [WS-Security](#) including for the purpose of securing [SOAP](#) message exchanges.

The requirements to be satisfied by this specification are listed below.

1.1.1 Requirements

TBS

1.1.2 Non-Goals

The following topics are outside the scope of this document:

TBS

2 Notations and Terminology

This section specifies the notations, namespaces, and terminology used in this specification.

2.1 Notational Conventions

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC2119.

Namespace URIs (of the general form "some-URI") represent some application-dependent or context-dependent URI as defined in [RFC2396](#).

This specification is designed to work with the general [SOAP](#) message structure and message processing model, and should be applicable to any version of [SOAP](#). The current SOAP 1.2 namespace URI is used herein to provide detailed examples, but there is no intention to limit the applicability of this specification to a single version of [SOAP](#).

Readers are presumed to be familiar with the terms in the [Internet Security Glossary](#).

2.2 Namespaces

The [XML namespace](#) URIs that MUST be used by implementations of this specification are as follows (note that different elements in this specification are from different namespaces):

```
http://schemas.xmlsoap.org/ws/2002/xx/secext
http://schemas.xmlsoap.org/ws/2002/xx/utility
```

The following namespaces are used in this document:

Prefix	Namespace
S	http://www.w3.org/2001/12/soap-envelope
ds	http://www.w3.org/2000/09/xmldsig#
xenc	http://www.w3.org/2001/04/xmlenc#
wsse	http://schemas.xmlsoap.org/ws/2002/xx/secext
wsu	http://schemas.xmlsoap.org/ws/2002/xx/utility

saml	urn: oasis:names:tc:SAML:1.0:assertion
samlp	urn: oasis:names:tc:SAML:1.0:protocol

2.3 Terminology

This specification employs the terminology defined in the [WS-Security Core Specification](#).

Defined below are the basic definitions for additional terminology used in this specification.

Sender

Subject~~[TBS]~~

3 Usage

This section describes the specific mechanisms and procedures for the SAML [binding profile](#) of [WS-Security](#).

Identification: urn:oasis:names:tc:WSS:1.0:[binding profiles](#):WSS-SAML-[binding profile](#)

Contact information: TBD

Description: Given below.

Updates: None.

3.1 Processing Model

The SAML [binding profile](#) of [WS-Security](#) extends the token-independent processing model defined by the core [WS-Security](#) specification.

When a receiver processes a `<wsse:Security>` header containing or referencing SAML assertions, it MUST select, based on its policy, the signatures and assertions that it will process. It is assumed that a receiver's signature selection policy may rely on semantic labeling of `<wsse:SecurityTokenReference>` elements occurring in the `<ds:KeyInfo>` elements within the signatures. It is also assumed that the assertions selected for validation and processing will include those referenced from the `<ds:KeyInfo>` and `<ds:SignedInfo>` elements of the selected signatures.

As part of its validation and processing of the selected assertions, the receiver MUST make an explicit determination of the relationship between the subject of each assertion and the sender of the message. Two methods for establishing this correspondence, `holder-of-key` and `sender-vouches` are described below. Senders and receivers implementing the SAML [binding profile](#) of [WS-Security](#) MUST implement the processing necessary to support both of these subject confirmation methods.

3.2 Attaching Security Tokens

SAML assertions are attached to SOAP messages using [WS-Security](#) by placing assertion elements or references to assertions inside a `<wsse:Security>` header. The following example illustrates a SOAP message containing a SAML assertion in a `<wsse:Security>` header.

```
<S:Envelope xmlns:S="...">
  <S:Header>
    <wsse:Security xmlns:wsse="...">
      <saml:Assertion
        MajorVersion="1"
        MinorVersion="0"
        AssertionID="SecurityToken-ef375268"
        Issuer="elliottw1"
        IssueInstant="2002-07-23T11:32:05.6228146-07:00"
```

```

138         xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
139         ...
140         </saml:Assertion>
141         ...
142     </wsse:Security>
143 </S:Header>
144 <S:Body>
145     ...
146 </S:Body>
147 </S:Envelope>

```

3.3 Identifying and Referencing Security Tokens

The [WS-Security](#) specification defines the `<wsse:SecurityTokenReference>` element for referencing security tokens. Three forms of token references are defined [by this element and the element schema includes provision for defining additional reference forms should they be necessary](#). The three forms of token references defined by the `<wsse:SecurityTokenReference>` element are defined as follows:-

~~□ An element reference — a security token specific XML element that contains an identifier and perhaps locator of a security token within the message or at some external location.~~

~~A URI reference — a generic element that conveys in its attributes, the security token URI and token type value (i.e. `ValueType`) that define the location and perhaps identifier of a security token occurring either within the message or at some external location. A URI containing only a fragment identifier is interpreted as identifying the corresponding security token within the message in which the fragment identifier occurs.~~

• A key identifier reference – [a generic element \(i.e. `<wsse:KeyIdentifier>`\) that conveys a security token identifier and indicates in its attributes \(as necessary\) the type of the token being identified \(i.e. the `ValueType`\), the identifier encoding type \(i.e. the `EncodingType`\), and any other parameters necessary to reference the security token.](#)

[When a key identifier is used to reference a SAML assertion the `ValueType` attribute must contain the value "saml:Assertion" and the `<wsse:KeyIdentifier>` element must contain as its element value the corresponding `AssertionID`.](#)

[The SAML profile of WSS-Security prescribes the use of the following attributes within a key identifier reference when the referenced assertion must be acquired from the assertion authority.](#)

[/wsse:SecurityTokenReference/KeyIdentifier/@saml:Location](#)

[This optional attribute is used to carry a URI reference describing how to locate the SAML authority. As defined by SAMLCore, the syntax of the URI will depend on the protocol binding defined by the `saml:Binding` attribute of the `<wsse:KeyIdentifier>`. For example, a binding based on HTTP will be a web URL, while a binding based on SMTP might use the "mailto" scheme.](#)

[/wsse:SecurityTokenReference/keyIdentifier/@saml:Binding](#)

181 A URI reference identifying the SAML protocol binding to use in
182 communicating with the SAML authority. SAML protocol bindings are assigned
183 a URI reference in SAMLBind.

184 { Note to TC: this mechanism should be extended to support artifact
185 references}

186 • ~~a generic element that conveys in its attributes, the security token identifier (i.e.~~
187 ~~wsu:id) and token type value (i.e. ValueType) that identifies a security token~~
188 ~~with matching wsu:id and ValueType occurring within a <wsse:Security>~~
189 ~~header of the message. Identifier references may only be used to reference~~
190 ~~security tokens that carry matching attributes, which approximately restricts their~~
191 ~~use to Binary Security Tokens attributed as a result of their encapsulation in~~
192 ~~XML. A key name reference – a <ds:KeyName> element contains a string value key~~
193 ~~identifier, and the referenced token or tokens are those that contain a matching~~
194 ~~identity value.~~

195 The syntax of SAML assertion identifiers does not facilitate their differentiation
196 from other identifier forms. For this reason, key name reference forms SHOULD
197 not be used to reference SAML assertions.

198 • A Direct or URI reference – a generic element (i.e. <wsse:Reference>) that
199 identifies a security token by URI. If only a fragment is specified, then the
200 reference is to the security token within the document whose wsu:Id attribute
201 value matches the fragment. Otherwise, the reference is to the (potentially
202 external) security token identified by the URI.

203 The SAML assertion schema does not include or provide for inclusion of the
204 wsu:Id attribute. For this reason, a URI reference cannot be used to (directly)
205 reference a SAML assertion.

206 ~~A URI reference containing a URL may be combined with a token-specific element~~
207 ~~reference to yield a location-qualified reference.~~

208 In ~~t~~he SAML ~~binding profile~~ of WS-security, a ~~referenced~~ SAML assertions may be
209 referenced in three contexts:

210 • A SAML assertion may be referenced from a <ds:KeyInfo> element of a
211 <ds:Signature> element in a <wsse:Security> header. In this case, the assertion
212 contains the key used in the signature calculation.

213 • A SAML assertion may be referenced from a <ds:Reference> element within the
214 <ds:SignedInfo> element of a <ds:Signature> element in a <wsse:Security>
215 header. In this case, the referenced assertion is being signed by the containing
216 signature.

217 • A SAML assertion may be referenced from a <wsse:Security> header or from an
218 element (other than a signature) in the header.

219 In each of these contexts, the referenced assertion may be:

220 • local – in which case, it is included in the <wsse:Security> header containing the
221 reference.

- remote – in which case it is not included in the <wsse:Security> header containing the reference, but may occur in another part of the SOAP message or may be available at the location identified by the reference which may be an assertion authority.

In the SAML profile of WS-Security, the preferred method to reference SAML assertions is by key identifier reference.

A SAML assertion that exists in a <wsse:Security> header may be referenced from the <wsse:Security> header, a header element, or from the <ds:KeyInfo> element of a <ds:Signature> element in the header by using a key identifier reference.

Methods to reference SAML assertion from a <ds:Reference> element remain to be formalized.

~~is identified by a <saml:AssertionIDReference> occurring either as an element reference or as a String value fragment identifier in a URI reference.~~

3.3.1 SAML Assertion Referenced from Header or Element~~Reference Elements~~

A SAML assertion may be referenced from a <wsse:Security> header or from an element (other than a signature) in the header. The following example demonstrates the use of a key identifier reference in a <wsse:Security> header to reference a local SAML assertion. ~~A <wsse:SecurityTokenReference> containing a <saml:AssertionIDReference> element containing a SAML assertion identifier may be used to reference a SAML assertion occurring within the <wsse:Security> header of the SOAP message in which the reference occurs. The following example illustrates the use of a <wsse:securityTokenReference> containing a <saml:AssertionIDReference> within the <keyInfo> of an XML Signature element to reference the SAML assertion (in the <wsse:Security> header) that contains the key used to compute the signature.~~

```
<S:Envelope xmlns:S="...">
  <S:Header>
    <wsse:Security xmlns:wsse="...">
      <saml:Assertion
        MajorVersion="1"
        MinorVersion="0"
        AssertionID="SecurityToken-ef375268"
        Issuer="elliottw1"
        IssueInstant="2002-07-23T11:32:05.6228146-07:00"
        xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
        ...
      </saml:Assertion>
      <wsse:SecurityTokenReference
        <wsse:KeyIdentifier wsu:id="..."
          ValueType="saml:Assertion"
          SecurityToken=ef375268
        </wsse:KeyIdentifier>
      </wsse:SecurityTokenReference>
    </wsse:Security>
    <ds:Signature xmlns:ds="...">
      ...
    </ds:Signature>
  </S:Header>
</S:Envelope>
```

```

270      <ds:KeyInfo>
271      <wsse:SecurityTokenReference>
272      <saml:AssertionIDReference>
273      SecurityToken-ef375268
274      </saml:AssertionIDReference>
275      </wsse:SecurityTokenReference>
276      </ds:KeyInfo>
277      </ds:Signature>
278      ...
279    </wsse:Security>
280  </S:Header>
281  <S:Body>
282    ...
283  </S:Body>
284 </S:Envelope>

```

A SAML assertion that exists outside of a `<wsse:Security>` header may be referenced from the `<wsse:Security>` header element by including (in the reference) `saml:Location` and `saml:Binding` attributes that define the address and protocol to use to acquire the identified assertion at a SAML assertion authority or responder.

```

289 <wsse:SecurityTokenReference>
290   <wsse:KeyIdentifier wsu:id="..."
291     ValueType="saml:Assertion"
292     saml:Location="http://www.fabrikam123.com/elliottw1
293     saml:Binding="urn:oasis:names:tc:SAML:1.0:bindings:SOAP-binding"
294     SecurityToken-ef375268
295   </wsse:KeyIdentifier>
296 </wsse:SecurityTokenReference>

```

3.3.2 ~~URI References to SAML assertion~~ referenced from KeyInfos

The following examples demonstrate the use of a key identifier reference from within a `<ds:KeyInfo>` element of a `<ds:Signature>` element in a `<wsse:Security>` header.

~~As depicted in the following example depicts the use of,~~ a key identifier reference containing a SAML AssertionID (as its value) to reference a local assertion identified by AssertionID. { It is presumed that the default encoding type is `xsi:string` }.

```

304 <ds:KeyInfo>
305   <wsse:SecurityTokenReference>
306     <wsse:KeyIdentifier wsu:id="..."
307       ValueType="saml:Assertion"
308       SecurityToken-ef375268
309     </wsse:KeyIdentifier>
310   </wsse:SecurityTokenReference>
311 </ds:KeyInfo>

```

~~URI reference containing only a fragment identifier consisting of a `<saml:AssertionIDReference>` may be used to reference a SAML assertion occurring within the `<wsse:Security>` header of the SOAP message in which the reference occurs. A URI reference containing an XML path expression can be used to reference a SAML assertion occurring anywhere within the containing SOAP message.~~

```

317 <wsse:SecurityTokenReference>
318   <wsse:Reference URI="#SecurityToken-ef375268"
319     ValueType="saml:IDReferenceType">
320   </wsse:Reference>
321 </wsse:SecurityTokenReference>

```

The following example extends the previous example with the inclusion of `saml:Location` and `saml:Binding` attributes that define the address and protocol to use to acquire the identified assertion at a SAML assertion authority or responder. ~~The following example demonstrates the use of a `_URI` reference in conjunction with a `<saml:AssertionIDReference>` to define the location of the SAML responder at which the identified assertion may be obtained.~~

```
<ds:KeyInfo>
  <wsse:SecurityTokenReference>
    <wsse:KeyIdentifier wsu:id="..."
      ValueType="saml:Assertion"
      saml:Binding="urn:oasis:names:tc:SAML:1.0:bindings:SOAP-binding"
      saml:Location="http://www.fabrikam123.com/elliottw1"
      SecurityToken=ef375268
    </wsse:KeyIdentifier>
  </wsse:SecurityTokenReference>
</ds:KeyInfo>
```

```
<wsse:SecurityTokenReference>
  <saml:AssertionIDReference>SecurityToken-ef375268
</saml:AssertionIDReference>
  <wsse:Reference URI="http://www.fabrikam123.com/elliottw1"
</wsse:Reference>
</wsse:SecurityTokenReference>
```

3.3.3 SAML assertion referenced from SignedInfo~~Identifier~~ ~~References to SAML Assertions~~

{ Note to TC: Methods to reference SAML assertions from `<ds:Reference>` elements remain to be formalized. One issue that remains to be resolved is how to differentiate whether it is the reference or the referenced assertion that is to be digested. } ~~SAML assertions may not be referenced by identifier references because the `<saml:Assertion>` element schema does not include the `wsu:id` and `ValueType` attributes.~~

3.4 Subject Confirmation~~Proof of Possession of SAML~~ Assertions~~Security Tokens~~

The SAML binding profile of WS-Security requires that message senders and receivers support the holder-of-key and sender-vouches methods of subject confirmation. It is strongly RECOMMENDED that an XML signature be used to establish the relationship between the message sender and the attached assertions. This is especially RECOMMENDED whenever the SOAP message exchange is conducted over an unprotected transport.

Any processor of SAML assertions MUST conform to the required validation and processing rules defined in the SAML specification.

The following table enumerates the mandatory subject confirmation methods and summarizes their associated processing models:

Mechanism	RECOMMENDED Processing Rules
urn:oasis:names:tc:SAML:1.0:cm:holder-of-key	The requestor includes an XML Signature that can be verified with the key information in the <saml:ConfirmationMethod> of the SAML assertion referenced by the Signature.
Urn:oasis:names:tc:SAML:1.0:cm:sender-vouches	The requestor (the sender, different from the subject) vouches for the verification of the subject. The receiver MUST have an existing trust relationship with the requestor to accept this. It is RECOMMENDED that the requestor sign the token and the message or use a secure transport.

Note that the high level processing model described in the following sections does not differentiate between message author and message sender as would be necessary to guard against replay attacks. The high-level processing model also does not take into account requirements for authentication of receiver by sender, or for message or assertion confidentiality. These concerns must be addressed by means other than those described in the high-level processing model.

3.4.1 Holder-of-key Subject Confirmation Method

The following sections describe the holder-of-key method of establishing the correspondence between a SOAP message sender and the subject of SAML assertions added to the SOAP message according to the SAML [binding profile](#) of [WS-Security](#).

3.4.1.1 Sender

A message sender uses the holder-of-key confirmation method to demonstrate that it is authorized to act as the subject of the assertions in the message. The assertions included in a message that the sender will confirm by the holder-of-key method MUST include the following <saml:SubjectConfirmation> element:

```
<saml:SubjectConfirmation>
  <saml:ConfirmationMethod>
    urn:oasis:names:tc:SAML:1.0:cm:holder-of-key
  </saml:ConfirmationMethod>
  <ds:KeyInfo>...</ds:KeyInfo>
</saml:SubjectConfirmation>
```

The `<saml:SubjectConfirmation>` element MUST include a `<ds:KeyInfo>` element that identifies the public or secret key to be used to confirm the identity of the subject.

To satisfy the associated confirmation method processing of the message receiver, the sender MUST demonstrate knowledge of the confirmation key. The sender MAY accomplish this by using the confirmation key to sign content within the message and by including the resulting `<ds:Signature>` element in the `<wsse:Security>` header.

`<ds:Signature>` elements produced for this purpose MUST conform to the canonicalization and token inclusion rules defined in the core [WS-Security](#) specification.

SAML assertions that contain a holder-of-key `<saml:SubjectConfirmation>` element SHOULD contain a `<ds:Signature>` element that protects the integrity of the confirmation `<ds:KeyInfo>` established by the assertion authority.

The canonicalization method used to produce the `<ds:Signature>` elements used to protect the integrity of SAML assertions MUST support the validation of these `<ds:Signature>` elements in contexts (such as `<wsse:Security>` header elements) other than those in which the signatures were calculated.

3.4.1.2 Receiver

Of the SAML assertions it selects for processing, a message receiver ~~MUST~~ SHOULD NOT accept assertions containing a holder-of-key `<saml:ConfirmationMethod>`, unless the receiver has validated the integrity of the assertions ~~the assertions are signed and validated as described above~~ and the message sender has demonstrated knowledge of the key identified by the `<ds:keyInfo>` element of the `<saml:SubjectConfirmation>` element. If the receiver determines that the sender has demonstrated knowledge of a subject confirmation key, then the SAML assertions containing the confirmation key MAY be attributed to the sender and any elements of the message whose integrity is protected by the subject confirmation key MAY be considered to have been authored by the subject.

3.4.1.3 Example

The following example illustrates the use of the holder-of-key subject confirmation method to establish the correspondence between the SOAP message author and the subject of the SAML assertions in the `<wsse:Security>` header:

```
<?xml:version="1.0" encoding="UTF-8"?>
<S:Envelope xmlns:S="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:xsd="http://www.w3.org/2001/XMLSchema">
  <S:Header>
    <wsse:Security>
      <saml:Assertion
        xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
```

```

430 MajorVersion="1" MinorVersion="0"
431 AssertionID="2sxJu9g/vvLG9sAN9bKp/8q0NKU="
432 Issuer="www.example.com"
433 IssueInstant="2002-06-19T16:58:33.173Z">
434 <saml:Conditions
435     NotBefore="2002-06-19T16:53:33.173Z"
436     NotOnOrAfter="2002-06-19T17:08:33.173Z"/>
437
438 <saml:AuthenticationStatement
439     AuthenticationMethod="urn:oasis:names:tc:SAML:1.0:am:password"
440     AuthenticationInstant="2002-06-19T16:57:30.000Z">
441     <saml:Subject>
442         <saml:NameIdentifier
443             NameQualifier="www.example.com"
444             Format="">
445             uid=joe,ou=people,ou=saml-demo,o=example.com
446         </saml:NameIdentifier>
447         <saml:SubjectConfirmation>
448             <saml:ConfirmationMethod>
449                 urn:oasis:names:tc:SAML:1.0:cm:holder-of-key
450             </saml:ConfirmationMethod>
451             <ds:KeyInfo>
452                 <ds:KeyValue>...</ds:KeyValue>
453             </ds:KeyInfo>
454         </saml:SubjectConfirmation>
455     </saml:Subject>
456 </saml:AuthenticationStatement>
457
458 <saml:AttributeStatement>
459     <saml:Subject>
460         <saml:NameIdentifier
461             NameQualifier="www.example.com"
462             Format="">
463             uid=joe,ou=people,ou=saml-demo,o=baltimore.com
464         </saml:NameIdentifier>
465         <saml:SubjectConfirmation>
466             <saml:ConfirmationMethod>
467                 urn:oasis:names:tc:SAML:1.0:cm:holder-of-key
468             </saml:ConfirmationMethod>
469             <ds:KeyInfo>
470                 <ds:KeyValue>...</ds:KeyValue>
471             </ds:KeyInfo>
472         </saml:SubjectConfirmation>
473     </saml:Subject>
474
475     <saml:Attribute
476         AttributeName="MemberLevel"
477         AttributeNamespace="http://www.oasis-
478 open.org/Catalyst2002/attributes">
479         <saml:AttributeValue>gold</saml:AttributeValue>
480     </saml:Attribute>
481     <saml:Attribute
482         AttributeName="E-mail"
483         AttributeNamespace="http://www.oasis-
484 open.org/Catalyst2002/attributes">
485         <saml:AttributeValue>joe@yahoo.com</saml:AttributeValue>
486     </saml:Attribute>
487 </saml:AttributeStatement>
488 <ds:Signature>...</ds:Signature>
489 </saml:Assertion>

```



```

490
491     <ds:Signature>
492       <ds:SignedInfo>
493         <ds:CanonicalizationMethod Algorithm=
494           "http://www.w3.org/2001/10/xml-exc-c14n#" />
495         <ds:SignatureMethod Algorithm=
496           "http://www.w3.org/2000/09/xmldsig#hmac-sha1" />
497         </ds:Reference>
498         <ds:Reference URI="#MsgBody">
499           <ds:DigestMethod Algorithm=
500             "http://www.w3.org/2000/09/xmldsig#sha1" />
501           <ds:DigestValue>GyGsF0Pi4xPU...</ds:DigestValue>
502         </ds:Reference>
503       </ds:SignedInfo>
504       <ds:SignatureValue>HJJWbvqW9E84vJVQk...</ds:SignatureValue>
505       <ds:KeyInfo>
506         <wsse:SecurityTokenReference>
507           <saml:wsse:KeyIdentifier ValueType=saml:Assertion
508             +AssertionIDReference>"2sxJu9g/vvLG9sAN9bKp/8q0NKU="
509           </wsse:KeyIdentifier saml:AssertionIDReference>
510         </wsse:SecurityTokenReference>
511       </ds:KeyInfo>
512     </ds:Signature>
513
514 </wsse:Security>
515 </S:Header>
516
517 <S:Body wsu:Id="MsgBody">
518   <ReportRequest>
519     <TickerSymbol>SUNW</TickerSymbol>
520   </ReportRequest>
521 </S:Body>
522 </S:Envelope>

```

3.4.2 Sender-vouches Subject Confirmation Method

The following sections describe the sender-vouches method of establishing the correspondence between a SOAP message sender and the SAML assertions added to the SOAP message according to the SAML [binding profile](#) of [WS-Security](#).

3.4.2.1 Sender

A message sender uses the sender-vouches confirmation method to assert that it is acting on behalf of the subjects of the assertions in the message. The assertions included in a message that the sender will confirm by the sender-vouches method MUST include the following `<saml:SubjectConfirmation>` element:

```

532   <saml:SubjectConfirmation>
533     <saml:ConfirmationMethod>
534       urn:oasis:names:tc:SAML:1.0:cm:sender-vouches
535     </saml:ConfirmationMethod>
536   </saml:SubjectConfirmation>

```

To satisfy the associated confirmation method processing of the receiver, the sender MUST ~~use its key to~~ integrity protect the assertions and those elements of the SOAP message that ~~it the sender~~ is vouching for. The sender MAY accomplish this by including in the corresponding `<wsse:Security>` header a `<ds:Signature>` element

that the sender prepares by using its key to sign the assertions and relevant message content. As defined by the [XML Signature](#) Specification, the sender MAY identify its key by including a `<ds:KeyInfo>` element within the `<ds:Signature>` element.

A `<ds:Signature>` element produced for this purpose MUST conform to the canonicalization and token inclusion rules defined in the core [WS-Security](#) specification.

3.4.2.2 Receiver

Of the SAML assertions it selects for processing, a message receiver ~~MUST~~ **SHOULD** NOT accept assertions containing a sender-vouches `<saml:ConfirmationMethod>` unless the assertions and SOAP message content being vouched for by the sender are integrity protected by a sender who is trusted by the receiver to act on behalf of the subject of the assertions.

3.4.2.3 Example

The following example illustrates a sender's use of the sender-vouches subject confirmation method with an associated `<ds:Signature>` element to establish its identity and to assert that it has sent message elements on behalf of the subjects of the contained assertions:

```
<?xml:version="1.0" encoding="UTF-8"?>
<S:Envelope xmlns:S="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:xsd="http://www.w3.org/2001/XMLSchema">

  <S:Header>
    <wsse:Security>

      <saml:Assertion
        xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion"
        MajorVersion="1" MinorVersion="0"
        AssertionID="2sxJu9g/vvLG9sAN9bKp/8q0NKU="
        Issuer="www.example.com"
        IssueInstant="2002-06-19T16:58:33.173Z">
        <saml:Conditions
          NotBefore="2002-06-19T16:53:33.173Z"
          NotOnOrAfter="2002-06-19T17:08:33.173Z"/>

        <saml:AuthenticationStatement
          AuthenticationMethod="urn:oasis:names:tc:SAML:1.0:am:password"
          AuthenticationInstant="2002-06-19T16:57:30.000Z">
          <saml:Subject>
            <saml:NameIdentifier
              NameQualifier="www.example.com"
              Format="">
              uid=joe,ou=people,ou=saml-demo,o=example.com
            </saml:NameIdentifier>
            <saml:SubjectConfirmation>
              <saml:ConfirmationMethod>
                urn:oasis:names:tc:SAML:1.0:cm:sender-vouches
              </saml:ConfirmationMethod>
            </saml:SubjectConfirmation>
          </saml:Subject>
        </saml:AuthenticationStatement>
      </saml:Assertion>
    </wsse:Security>
  </S:Header>
  <S:Body>
```

```

591     </saml:Subject>
592 </saml:AuthenticationStatement>
593
594 <saml:AttributeStatement>
595   <saml:Subject>
596     <saml:NameIdentifier
597       NameQualifier="www.example.com"
598       Format="">
599       uid=joe,ou=people,ou=saml-demo,o=baltimore.com
600     </saml:NameIdentifier>
601     <saml:SubjectConfirmation>
602       <saml:ConfirmationMethod>
603         urn:oasis:names:tc:SAML:1.0:cm:sender-vouches
604       </saml:ConfirmationMethod>
605     </saml:SubjectConfirmation>
606   </saml:Subject>
607
608   <saml:Attribute
609     AttributeName="MemberLevel"
610     AttributeNamespace="http://www.oasis-
611 open.org/Catalyst2002/attributes">
612     <saml:AttributeValue>gold</saml:AttributeValue>
613   </saml:Attribute>
614   <saml:Attribute
615     AttributeName="E-mail"
616     AttributeNamespace="http://www.oasis-
617 open.org/Catalyst2002/attributes">
618     <saml:AttributeValue>joe@yahoo.com</saml:AttributeValue>
619   </saml:Attribute>
620 </saml:AttributeStatement>
621 </saml:Assertion>
622
623 <ds:Signature>
624   <ds:SignedInfo>
625     <ds:CanonicalizationMethod Algorithm=
626       "http://www.w3.org/2001/10/xml-exc-c14n#" />
627     <ds:SignatureMethod Algorithm=
628       "http://www.w3.org/2000/09/xmldsig#hmac-sha1" />
629     <ds:Reference URI="#2sxJu9g/vvLG9sAN9bKp/8q0NKU="
630       Type="saml:IDReferenceType">
631       <ds:DigestMethod Algorithm=
632         "http://www.w3.org/2000/09/xmldsig#sha1" />
633       <ds:DigestValue>GyGsF0Pi4xPU...</ds:DigestValue>
634     </ds:Reference>
635     <ds:Reference URI="#MsgBody">
636       <ds:DigestMethod Algorithm=
637         "http://www.w3.org/2000/09/xmldsig#sha1" />
638       <ds:DigestValue>GyGsF0Pi4xPU...</ds:DigestValue>
639     </ds:Reference>
640   </ds:SignedInfo>
641   <ds:SignatureValue>JWbvqW94vJVQkA...</ds:SignatureValue>
642   <ds:KeyInfo>
643     <X509Data>
644       <X509SubjectName>portal@yahoo.com</X509SubjectName>
645     </X509Data>
646   </ds:KeyInfo>
647 </ds:Signature>
648
649 </wsse:Security>
650 </S:Header>

```

```

651 <S:Body wsu:Id="MsgBody">
652   <ReportRequest>
653     <TickerSymbol>SUNW</TickerSymbol>
654   </ReportRequest>
655 </S:Body>
656
657 </S:Envelope>
658

```

659 3.5 Error Codes

660 It is RECOMMENDED that systems that implement the SAML [binding profile](#) of [WS-Security](#) respond with the error codes defined in the core [WS-Security](#) specification.
661 Implementations that chose to respond with custom errors, defined in private
662 namespaces, SHOULD take care not to introduce any security vulnerabilities as a
663 result of the information returned in their error responses.

665 A receiver that is unable to process the SAML assertions contained in [or referenced from a](#) `<wsse:Security>` header ~~MUST~~[SHOULD](#) use one of the fault codes listed in
666 the core WS-Security specification to report the error. The RECOMMENDED
667 correspondence between the common assertion processing failures and the error
668 codes defined in the core [WS-security](#) specification are defined in the following table:
669

Assertion Processing Error	RECOMMENDED Error
A referenced SAML assertion could not be retrieved.	Wsse:SecurityTokenUnavailable
An assertion contains a <code><saml:Condition></code> element that the receiver does not understand.	Wsse:UnsupportedSecurityToken
A signature within an assertion or referencing an assertion is invalid.	Wsse:FailedCheck
The issuer of an assertion is not acceptable to the receiver.	Wsse:InvalidSecurityToken
The receiver does not understand the extension schema used in an assertion.	Wsse:UnsupportedSecurityToken

670 3.6 Threat Model and Countermeasures

671 This document defines the mechanisms and procedures for securely attaching SAML
672 assertions to SOAP messages. SOAP messages are used in multiple contexts,
673 specifically including cases where the message is transported without an active
674 session, the message is persisted, or the message is routed through a number of
675 intermediaries. Such a general context of use suggests that users of this
676 [binding profile](#) must be concerned with a variety of threats. The following sections

677 describe the vulnerability of the SAML token [bindingprofile](#) of WS-Security. In
678 general, the use of SAML assertions with [WS-Security](#) introduces no new threats
679 beyond those identified for SAML or by the core [WS-Security](#) specification.

680 The following sections provide an overview of the characteristics of the threat model,
681 and the countermeasures that SHOULD be adopted for each perceived threat.

682 **3.6.1 Eavesdropping**

683 Eavesdropping is a threat to the SAML token [bindingprofile](#) of WS-Security in the
684 same manner as it is a threat to any network protocol. The routing of SOAP
685 messages through intermediaries increases the potential incidences of
686 eavesdropping. Additional opportunities for eavesdropping exist when SOAP
687 messages are persisted.

688 To provide maximum protection from eavesdropping, assertions [assertion](#)
689 [references](#), and sensitive message content SHOULD be encrypted such that only the
690 intended audiences can view their content. This removes threats of eavesdropping in
691 transit, but MAY not remove risks associated with storage or poor handling -by the
692 receiver.

693 Transport-layer security MAY be used to protect the message and contained SAML
694 assertions [and/or references](#) from eavesdropping while in transport, but message
695 content MUST be encrypted above the transport if it is to be protected from
696 eavesdropping by intermediaries.

697 **3.6.2 Replay**

698 The reliance on authority [protected \(e.g. signed\)](#) assertions with a holder-of-key
699 subject confirmation mechanism precludes all but a holder of the key from binding
700 the assertions to a SOAP message. Although this mechanism affectively restricts
701 message authorship to the holder of the confirmation key, it does not preclude the
702 capture and resubmission of the message by other parties.

703 Assertions that contain a sender-vouches confirmation mechanism introduce another
704 dimension to replay vulnerability because the assertions impose no restriction on the
705 senders who may use or reuse the assertions. Any entity coming into contact with
706 such assertions could use them in a message in which they use their identity to
707 vouch for the subject of the assertions.

708 Replay attacks can be addressed by using message timestamps and caching, as well
709 as by using other application-specific tracking mechanisms.

710 **3.6.3 Message Insertion**

711 The SAML token [bindingprofile](#) of WS-Security is not vulnerable to message insertion
712 attacks.

3.6.4 Message Deletion

The SAML token ~~binding~~profile of WS-Security is not vulnerable to message deletion attacks.

3.6.5 Message Modification

The SAML token ~~binding~~profile of WS-Security is protected from message modification if the relevant message content is integrity protected ~~signed~~ by the holder of the key or by the vouching sender. Therefore, it is strongly RECOMMENDED that all relevant and immutable message content be signed by the holder of the key or by the vouching sender (as the case warrants). Receivers SHOULD only consider those portions of the document that are integrity protected by the appropriate entity ~~covered by the sender's signature~~ as being subject to the assertions in the message.

~~SAML assertions appearing in <wsse:Security> header elements SHOULD be signed by their issuing authority. To ensure so~~ that message receivers can have confidence that ~~received the~~ assertions have not been forged or altered since their issuance. SAML assertions and assertion references appearing in <wsse:Security> header elements MUST be integrity protected (e.g. signed) by their issuing authority or the vouching sender (as the case warrants). It is strongly RECOMMENDED that a message sender ~~sign~~sign any <saml:Assertion> elements that it is confirming and that are not signed by their issuing authority.

Transport-layer security MAY be used to protect the message and contained SAML assertions and/or assertion references from modification while in transport, but signatures are required to extend such protection through intermediaries.

3.6.6 Man-in-the-Middle

Assertions with a holder-of-key subject confirmation method are not vulnerable to a MITM attack. Assertions with a sender-vouches subject confirmation method are vulnerable to MITM attacks to the degree that the receiver does not have a trusted binding of key to the vouching sender's identity.

4 Acknowledgements

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744 TBD

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786

787

Appendix A: Revision History

Rev	Date	What
01	19-Sep-02	Initial draft produced by extracting SAML related content from [XML token]
02	23-Sep-02	Merged in content from SS TC submission
03	18-Nov-02	Resolved issues raised by TC
04	09-Dec-02	Refined confirmation mechanisms, and added signing example
<u>05</u>	<u>15-Dec-02</u>	<u>Results of Baltimore F2F</u>
<u>06</u>	<u>21-Feb-03</u>	<u>Changed name to profile</u>

788

Appendix B: Notices

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