SAMLv2: HTTP POST “SimpleSign” Binding

Committee Draft 01, 10 October 2006

Document identifier: draft-sstc-saml-binding-simplesign-cd-01


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Abstract: This specification defines a SAML HTTP protocol binding, specifically using the HTTP POST method, and not using XML Digital Signature for SAML message data origination authentication. Rather, a "sign the BLOB" technique is employed wherein a conveyed SAML message is treated as a simple octet string if it is signed. Conveyed SAML assertions may be individually signed using XMLdsig. Security is optional in this binding.

Status: This is a Committee Draft approved by the Security Services Technical Committee on 10 October 2006.

Committee members should submit comments and potential errata to the security-services@lists.oasis-open.org list. Others should submit them by filling out the web form located at http://www.oasis-open.org/committees/comments/form.php?wg_abbrev=security.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights web page for the Security Services TC (http://www.oasis-open.org/committees/security/ipr.php).
# Table of Contents

1 Introduction .......................................................................................................................... 3  
   1.1 Protocol Binding Concepts ............................................................................................... 3  
   1.2 Notation .......................................................................................................................... 3  
2 HTTP POST Binding-SimpleSign ......................................................................................... 5  
   1.2.1 Required Information ................................................................................................. 5  
   1.2.2 Overview .................................................................................................................... 5  
   1.2.3 RelayState .................................................................................................................. 5  
   1.2.4 Message Encoding and Conveyance .......................................................................... 6  
   1.2.5 SimpleSign Signature ................................................................................................. 7  
   1.2.6 SimpleSign Signature Verification ............................................................................. 7  
   1.2.7 Message Exchange ...................................................................................................... 7  
       1.2.7.1 HTTP and Caching Considerations .................................................................... 9  
       1.2.7.2 Security Considerations ................................................................................... 9  
   1.2.8 Error Reporting .......................................................................................................... 9  
   1.2.9 Metadata Considerations ........................................................................................... 10  
   1.2.10 Note to Implementors .............................................................................................. 10  
   1.2.11 Example SAML Message Exchange Using HTTP POST-SimpleSign .................... 10  
3 References .......................................................................................................................... 13  
4 Appendix A. Acknowledgments ............................................................................................ 14  
5 Appendix B. Notices ............................................................................................................. 15
1 Introduction

This specification defines a SAML HTTP protocol binding, specifically using the HTTP POST method, and which specifically does not use XML Digital Signature (XML Sig) for SAML message data origination authentication. Rather, a “sign the BLOB” technique is employed wherein a conveyed SAML message, along with any content (e.g. SAML assertion(s)), is treated as a simple octet string if it is signed.

Additionally, it is out of the scope of this specification whether or not conveyed SAML assertions are authenticated via XML Digital Signature. Security is optional in this binding.

The next subsection gives a general overview of SAML Protocol Binding concepts, followed by notation and namespace declarations. The binding itself is defined in Section 2.

1.1 Protocol Binding Concepts

Mappings of SAML request-response message exchanges onto standard messaging or communication protocols are called SAML protocol bindings (or just bindings). An instance of mapping SAML request-response message exchanges into a specific communication protocol <FOO> is termed a <FOO> binding for SAML or a SAML <FOO> binding.

For example, a SAML SOAP binding describes how SAML request and response message exchanges are mapped into SOAP message exchanges.

The intent of this specification is to specify the given binding in sufficient detail to ensure that independently implemented SAML-conforming software can interoperate when using standard messaging or communication protocols.

Unless otherwise specified, this binding should be understood to support the transmission of any SAML protocol message derived from the samlp:RequestAbstractType and samlp:StatusResponseType types. Further, when this binding refers to “SAML requests and responses”, it should be understood to mean any protocol messages derived from those types.

For other terms and concepts that are specific to SAML, refer to the SAML glossary [SAMLGloss].

1.2 Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as described in IETF RFC 2119 [RFC2119].

Lists of productions or other normative code appear like this.

Example code listings appear like this.

Note: Notes like this are sometimes used to highlight non-normative commentary.

Conventional XML namespace prefixes are used throughout this specification to stand for their respective namespaces as follows, whether or not a namespace declaration is present in the example:

<table>
<thead>
<tr>
<th>Prefix</th>
<th>XML Namespace</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>saml:</td>
<td>urn:oasis:names:tc:SAML:2.0:assertion</td>
<td>This is the SAML V2.0 assertion namespace [SAMLCore].</td>
</tr>
<tr>
<td>samlp:</td>
<td>urn:oasis:names:tc:SAML:2.0:protocol</td>
<td>This is the SAML V2.0 protocol namespace [SAMLCore].</td>
</tr>
<tr>
<td>Prefix</td>
<td>XML Namespace</td>
<td>Comments</td>
</tr>
<tr>
<td>------------</td>
<td>---------------------------------------------------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td>SOAP-ENV:</td>
<td><a href="http://schemas.xmlsoap.org/soap/envelope">http://schemas.xmlsoap.org/soap/envelope</a></td>
<td>This namespace is defined in SOAP V1.1 [SOAP11].</td>
</tr>
</tbody>
</table>

This specification uses the following typographical conventions in text: `<ns:Element>`, `XMLAttribute`, `Datatype`, `OtherKeyword`. In some cases, angle brackets are used to indicate non-terminals, rather than XML elements; the intent will be clear from the context.
HTTP POST Binding-SimpleSign

The HTTP POST binding, defined in [SAMLBind], defines a mechanism by which SAML protocol messages may be transmitted within the base64-encoded content of an HTML form control. When using that binding, SAML protocol messages and/or SAML assertions are signed using [XMLSig], which is an XML-aware, XML-based, invasive digital signature paradigm necessitating canonicalization of the signature target.

This document specifies an alternative HTTP POST-based binding where the conveyed SAML protocol messages – including their content, i.e. any conveyed SAML assertions – are signed as simple “BLOBs” (“Binary Large Objects”, aka binary octet strings).

Note that this binding defines the conveyance of an individual SAML request or response message via HTTP POST. Thus this binding MAY be composed with the HTTP Redirect binding (see Section 3.4 of [SAMLBind]) or the HTTP Artifact binding (see Section 3.6 of [SAMLBind]) to transmit request and response messages in an overall SAML protocol exchange, the definition of which is termed a “SAML Profile” [SAMLProf], using two different bindings.

1.2.1 Required Information

Contact information: security-services-comment@lists.oasis-open.org
Description: Given below.
Updates: None. Rather, it provides an alternative to the HTTP POST Binding defined in [SAMLBind]

1.2.2 Overview

The HTTP POST-SimpleSign binding is intended for cases in which the SAML requester or responder need to communicate using an HTTP user agent (as defined in HTTP 1.1 [RFC2616] as an intermediary, and when data origination authentication and integrity protection of the SAML message is not required, or when a lighter-weight signature mechanism (as compared to [XMLSig]) is appropriate. This may be necessary, for example, if the communicating parties do not share a direct path of communication. It may also be needed if the responder requires an interaction with the user agent in order to fulfill the request, such as when the user agent must authenticate to it.

Note that some HTTP user agents may have the capacity to play a more active role in the protocol exchange and may support other bindings that use HTTP, such as the SOAP and Reverse SOAP bindings. This binding does not require such capabilities—it assumes nothing apart from the capabilities of a common web browser.

1.2.3 RelayState

RelayState data MAY be included with a SAML protocol message transmitted with this binding. The value MUST NOT exceed 80 bytes in length and SHOULD be integrity protected by the entity creating the SAML protocol message independent of any other protections that may or may not exist during message transmission. Signing is not realistic given the space limitation, but because the value is exposed to third-party tampering, the entity SHOULD ensure that the value has not been tampered with by using a checksum, a pseudo-random value, or similar means.

If a SAML request message is accompanied by RelayState data, then the SAML responder MUST return its SAML protocol response message using a binding that also supports a RelayState mechanism, and it...
MUST place the exact data it received with the request into the corresponding RelayState parameter in the response message.

If no such value is included with a SAML request message, or if the SAML response message is being generated without a corresponding request, then the SAML responder MAY include RelayState data to be interpreted by the recipient based on the use of a profile or prior agreement between the parties.

1.2.4 Message Encoding and Conveyance

This section describes how to encode a SAML protocol message, and thus any SAML assertion(s) it may contain, into HTML FORM “control(s)” [HTML401] (Section 17), thus enabling the SAML protocol message to be conveyed via the HTTP POST method.

A SAML protocol message is form-encoded by:

1. Applying the base-64 encoding rules to the XML representation of the message. The resulting base64-encoded value MAY be line-wrapped at a reasonable length in accordance with common practice.

2. Encoding the result from the prior step into a “form data set”, in the same fashion as is specified for “successful controls” in [HTML401] (Section 17.13.3), as a form “control value”. The HTML document also MUST adhere to the XHTML specification, [XHTML].

   a. If the SAML protocol message is a SAML request, then the form “control name” used to convey the SAML protocol message itself MUST be SAMLRequest.

   b. If the SAML protocol message is a SAML response, then the form “control name” used to convey the SAML protocol message itself MUST be SAMLResponse.

   c. Any additional form controls or presentation, other than those noted below for including a signature, MAY be included but MUST NOT be required in order for the recipient to nominally process the SAML protocol message itself.

SAML protocol messages, and any SAML assertions contained within the SAML protocol messages, MAY be signed using [XMLSig], and if so, any such signatures MUST remain intact. Additionally, SAML protocol messages MAY be signed using the technique given below in section 2.0.5. This technique is referred to as the “SimpleSign technique”. The SimpleSign signature value is conveyed in a form control value named Signature, and the signature algorithm is conveyed in a form control value named SigAlg. These form control values are included in the form data set constructed in step 2 above.

If the SAML protocol message is signed using SimpleSign, the Destination XML attribute in the root SAML element of the SAML protocol message MUST contain the URL to which the sender has instructed the user agent to deliver the message. The recipient MUST then verify that the value matches the location at which the SAML protocol message has been received. Also, the signer's certificate or other keying information MAY be included in a form control named KeyInfo. This form control, if present, MUST contain a base-64 encoded <ds:KeyInfo> element ([XMLSig] base-64 encoding is done as in step 1, above). This form control MUST NOT be included in the signed form data set constructed in step 2 above.

If a “RelayState” value is to accompany the SAML protocol message, it MUST be in a form control named RelayState, and included in the form data set constructed in step 2 above, and also included in any signed content if the message is signed.

The action attribute of the form MUST be the recipient's HTTP endpoint for the protocol or profile using this binding to which the SAML protocol message is to be delivered. The method attribute MUST be "POST". The enctype attribute specifies the form content type and MUST be application/x-www-form-urlencoded.

All of the above form attributes and form controls, to which values are assigned per the above discussion, comprise the form data set. The form data set is then encoded into an HTTP response message-body as a <FORM> element. The HTTP response message is then sent to the user agent.
Any technique supported by the user agent MAY be used to cause the submission of the form (to cause it to be conveyed to the SAML protocol message recipient), and any form content necessary to support this MAY be included, such as submit controls and client-side scripting commands. However, the recipient MUST be able to process the message without regard for the mechanism by which the form submission is initiated.

Note that any form control values included MUST be transformed so as to be safe to include in the XHTML document. This includes transforming characters such as quotes into HTML entities, etc.

1.2.5 SimpleSign Signature

To construct a signature of a SAML message conveyed by this binding:

1. The signature algorithm used MUST be identified by a URI, specified according to [XMLSig] or whatever specification governs the algorithm. The following signature algorithms (see [XMLSig]) and their URI representations MUST be supported with this encoding mechanism:

   • DSAwithSHA1 – http://www.w3.org/2000/09/xmldsig#dsa-sha1
   • RSAwithSHA1 – http://www.w3.org/2000/09/xmldsig#rsa-sha1

2. A string consisting of the concatenation of the RelayState (if present), SigAlg, and SAMLRequest (or SAMLResponse) values (as appropriate), as defined in section 2.0.4 above, is constructed in one of the following ways (each individually ordered as shown):

   \[ \text{SAMLRequest=value}&\text{RelayState=value}&\text{SigAlg=value} \]

   \[ \text{SAMLResponse=value}&\text{RelayState=value}&\text{SigAlg=value} \]

3. The resultant octet string is fed into the signature algorithm.

4. The value yielded by the signature algorithm is base64 encoded (see [RFC2045]), and used as the value for the Signature form control as discussed in section 2.0.4, above.

1.2.6 SimpleSign Signature Verification

To verify a received SAML protocol message, which was signed using SimpleSign and conveyed by this binding, the receiver MUST extract the form control values for the RelayState (if present), SigAlg, KeyInfo (if present), and SAMLRequest (or SAMLResponse) values (as appropriate) from the received HTTP message. Then the receiver reconstructs the string as described in section 2.0.5 step 2, above. The signature value conveyed in the Signature control value is then checked against this string per the signature algorithm given by the SigAlg control value, and using (as appropriate, see [XMLSig]) the keying material obtained via the \text{<ds:KeyInfo>} conveyed in the KeyInfo control value (if present).

Error handling and generated messages as a result of the signature not verifying are implementation-dependent.

1.2.7 Message Exchange

The system model used for SAML conversations via this binding is a request-response model. However, a SAML request message is sent to the user agent via an HTTP response message, and subsequently delivered to the SAML responder via an HTTP request message issued by the user agent. Any HTTP interactions before, between, and after the foregoing exchanges take place is unspecified. Both the SAML requester and responder are assumed to be HTTP responders. See the following diagram illustrating the messages exchanged. Note that although the diagram illustrates both the SAML request and the SAML response being conveyed via the HTTP POST-SimpleSign binding, one or the other of the SAML request or the SAML response could be conveyed via a different SAML HTTP-based binding.
1. Initially, the user agent makes an arbitrary HTTP request to a system entity. In the course of processing the request, the system entity decides to initiate a SAML protocol exchange.

2. (a) The system entity acting as a SAML requester responds to an HTTP request from the user agent by returning a SAML request. The request is returned in an XHTML document containing the form and content defined in Section 2.0.4, above. (b) The user agent delivers the SAML request by issuing an HTTP POST request to the SAML responder.

3. In general, the SAML responder MAY respond to the SAML request by immediately returning a SAML response or it MAY return arbitrary content to facilitate subsequent interaction with the user agent necessary to fulfill the request. Specific protocols and profiles may include mechanisms to indicate the requester's level of willingness to permit this kind of interaction (for example, the IsPassive attribute in <samlp:AuthnRequest> [SAMLCore].

4. Eventually the responder SHOULD (a) return a SAML response to the user agent to be (b) returned to the SAML requester. The SAML response is returned in the same fashion as described for the SAML request in step 2, if this or a similar binding is employed for this step. Otherwise, details may vary.
5. Upon receiving the SAML response, the SAML requester returns an arbitrary HTTP response to the user agent.

1.2.7.1 HTTP and Caching Considerations

HTTP proxies and the user agent intermediary should not cache SAML protocol messages. To ensure this, the following rules SHOULD be followed.

When returning SAML protocol messages using HTTP 1.1, HTTP responders SHOULD:

- Include a Cache-Control header field set to "no-cache, no-store".
- Include a Pragma header field set to "no-cache".

There are no other restrictions on the use of HTTP headers.

1.2.7.2 Security Considerations

The presence of the user agent intermediary means that the requester and responder cannot rely on the transport layer for endpoint-to-endpoint (i.e. SAML Requester to/from SAML Responder) authentication, integrity or confidentiality protection. This binding defines SimpleSign as means for signing the conveyed SAML protocol messages and optional RelayState in order to provide endpoint-to-endpoint integrity protection and data origin authentication.

This binding SHOULD NOT be used if the content of the request or response should not be exposed to the user agent intermediary. Otherwise, confidentiality of both SAML requests and SAML responses is OPTIONAL and depends on the environment of use. If on-the-wire confidentiality is necessary, SSL 3.0 [SSL3] or TLS 1.0 [RFC2246] SHOULD be used to protect the overall HTTP messages, and the conveyed SAML protocol messages, in transit between the user agent and the SAML requester and responder.

In general, this binding relies on message-level authentication and integrity protection via signing and does not support confidentiality of messages from the user agent intermediary.

NOTE: cryptographically-based security is entirely OPTIONAL in this binding. If no security mechanisms are employed, then there is essentially no runtime assurance as to the identity of any of the communicating entities.

If the SAML protocol messages are signed using [XMLSig] then the Destination XML attribute in the root SAML element of the SAML protocol message MUST contain the URL to which the sender has instructed the user agent to deliver the message. The recipient MUST then verify that the value matches the location at which the message has been received.

Note also that the SimpleSign technique, if employed, binds the RelayState value (if present) to the SAML protocol message, unlike the [XMLSig]-based technique of the HTTP POST binding [SAMLBind]. Thus, if a SAML protocol message is not signed using SimpleSign, but is signed using the [XMLSig]-based technique, then the caveats with respect to any conveyed RelayState value, presented in section 3.5.5.2 of [SAMLBind], should be taken into account.

1.2.8 Error Reporting

A SAML responder that refuses to perform a message exchange with the SAML requester SHOULD return a response message with a second-level <samlp:StatusCode> value of urn:oasis:names:tc:SAML:2.0:status:RequestDenied.

HTTP interactions during the message exchange MUST NOT use HTTP error status codes to indicate failures in SAML processing, since the user agent is not a full party to the SAML protocol exchange.
For more information about SAML status codes, see the SAML assertions and protocols specification [SAMLCore].

1.2.9 Metadata Considerations

Support for the HTTP POST-SimpleSign binding SHOULD be reflected by indicating URL endpoints at which requests and responses for a particular protocol or profile should be sent. Either a single endpoint or distinct request and response endpoints MAY be supplied [SAMLMeta]. The identification URI given in section 2.0.1 is used as the value for the Binding attribute of any endpoint elements.

1.2.10 Note to Implementors

SAML protocol message recipients can distinguish between HTTP-SAML messages constructed via this specification's HTTP POST-SimpleSign binding and ones constructed via the HTTP POST binding [SAMLBind] by examining received HTTP messages for an XHTML form field with a name attribute value of signature. If this is present, then the message MUST be processed in accordance with this specification. If not present, then the HTTP message MAY be processed in accordance with the HTTP POST binding specification.

1.2.11 Example SAML Message Exchange Using HTTP POST-SimpleSign

In this example, a <LogoutRequest> and <LogoutResponse> message pair is exchanged using the HTTP POST–SimpleSign binding. The messages are signed as described in section 2.0.5, above. If the messages were unsigned, they would be the same as shown below, except that the hidden form controls named Signature and SigAlg would be missing.

First, here are the actual SAML protocol messages being exchanged:

```xml
<?xml version="1.0" encoding="UTF-8"?>
<samlp:LogoutRequest xmlns:samlp="urn:oasis:names:tc:SAML:2.0:protocol"
 xmlns="urn:oasis:names:tc:SAML:2.0:assertion"
 ID="d2b7c388cec36fa7c39c28fd298644a8" IssueInstant="2004-01-21T19:00:49Z" Version="2.0">
  <Issuer>https://IdentityProvider.com/SAML</Issuer>
  <NameID Format="urn:oasis:names:tc:SAML:2.0:nameid-format:persistent">005a06e0-ad82-110d-a556-004005b13a2b</NameID>
  <samlp:SessionIndex>1</samlp:SessionIndex>
</samlp:LogoutRequest>

<samlp:LogoutResponse xmlns:samlp="urn:oasis:names:tc:SAML:2.0:protocol"
 xmlns="urn:oasis:names:tc:SAML:2.0:assertion"
 ID="b0730d21b628110d8b7e004005b13a2b" InResponseTo="d2b7c388cec36fa7c39c28fd298644a8"
 IssueInstant="2004-01-21T19:00:49Z" Version="2.0">
  <Issuer>https://ServiceProvider.com/SAML</Issuer>
  <samlp:Status>
  </samlp:Status>
</samlp:LogoutResponse>
```

The initial HTTP request from the user agent in step 1 is not defined by this binding. To initiate the logout protocol exchange, the SAML requester returns the following HTTP response, containing a SAML request message. The SAMLRequest parameter value is actually derived from the request message above.

HTTP/1.1 200 OK
Date: 21 Jan 2004 07:00:49 GMT
Content-Type: text/html; charset=iso-8859-1

<?xml version="1.0" encoding="UTF-8"?>
After any unspecified interactions may have taken place, the SAML responder returns the HTTP response below containing the SAML response message. Again, the SAMLResponse parameter value is actually derived from the response message above.

HTTP/1.1 200 OK
Date: 21 Jan 2004 07:00:49 GMT
Content-Type: text/html; charset=iso-8859-1

<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE html PUBLIC "-/W3C//DTD XHTML 1.1//EN"
"http://www.w3.org/TR/xhtml11/DTD/xhtml11.dtd">
<html xmlns="http://www.w3.org/1999/xhtml" xml:lang="en">
<body onload="document.forms[0].submit()">
</body>
</html>

Note: Since your browser does not support JavaScript, you must press the Continue button once to proceed.
<strong>Note:</strong> Since your browser does not support JavaScript, you must press the Continue button once to proceed.
3 References


Appendix A. Acknowledgments

The editors would like to acknowledge the contributions of the OASIS Security Services Technical Committee, whose voting members at the time of publication were:

- Christopher Laskowski, Booz Allen Hamilton
- Rebekah Metz, Booz Allen Hamilton
- Hal Lockhart, BEA Systems, Inc.
- Steve Anderson, BMC Software
- Sharon Boeyen, Entrust
- Thomas Wisniewski, Entrust
- Carolina Canales-Valenzuela, Ericsson
- Dana Kaufman, Forum Systems, Inc.
- Greg Whitehead, Hewlett-Packard
- Guy Denton, IBM
- Heather Hinton, IBM
- Anthony Nadalin, IBM
- Eric Tiffany, IEEE Industry Standards and Technology Org (IEEE-ISTO)
- Scott Cantor, Internet2
- Bob Morgan, Internet2
- Tom Scavo, National Center for Supercomputing Applications (NCSA)
- Peter Davis, Neustar, Inc.
- Jeff Hodges, Neustar, Inc.
- Frederick Hirsch, Nokia Corporation
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- Paul Madsen, NTT Corporation
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- Prateek Mishra, Oracle Corporation
- Brian Campbell, Ping Identity Corporation
- Rob Philpott, RSA Security
- Jahan Moreh, Sigaba Corp.
- Bhavna Bhatnagar, Sun Microsystems
- Eve Maler, Sun Microsystems
- Emily Xu, Sun Microsystems
- David Staggs, Veterans Health Administration

draft-sttc-saml-binding-simplesign-cd-01
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10 October 2006
Page 14 of 15
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