



# Errata Working Document for SAML V2.0

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## Abstract:

This document lists the ~~proposed~~~~reported errata and potential~~ errata against the OASIS SAML V2.0 Committee Specifications and ~~details about~~ their ~~disposition~~~~status~~. Each item describes options for resolving the issue and the resolution decided on by the SSTC, if any.

## Status:

This document is work in progress and will be updated to reflect ~~proposed~~~~reported~~ errata. This is meant to be the ~~“errata working document”~~ that records the history of each item; there is a separate ~~document for “approved errata”~~ ~~document~~ that is on an ~~OASIS-Standard formal approval~~ track, which summarizes only the errata with resolutions that ~~suggest~~~~prescribe~~ specification changes.

Committee members should send comments and potential errata to [security-services@lists.oasis-open.org](mailto:security-services@lists.oasis-open.org). Others should submit them by following the instructions at [http://www.oasis-open.org/committees/comments/index.php?wg\\_abbrev=security](http://www.oasis-open.org/committees/comments/index.php?wg_abbrev=security).

## Table of Contents

26	1 Introduction.....	4
27	2 Errata.....	4
28	E0: Incorrect section reference .....	4
29	E1: Relay State for HTTP Redirect.....	4
30	E2: Metadata clarifications.....	5
31	E4: SAML 1.1 Artifacts.....	5
32	E6: Encrypted NameID .....	5
33	E7: Metadata attributes WantAuthnRequestsSigned and AuthnRequestsSigned .....	6
34	E8: SLO and NameID termination .....	7
35	E10: Logout Request reason Mismatch with Schema .....	7
36	E11: Improperly Labeled Feature .....	7
37	E12: Clarification on ManageNameIDRequest.....	8
38	E13: Inaccurate description of Authorization Decision .....	8
39	E14: AllowCreate .....	9
40	E15: NameID Policy .....	10
41	E17: Authentication Response IssuerName vs. Assertion IssuerName .....	10
42	E18: reference to identity provider discovery service in ECP Profile.....	11
43	E19: Clarification on Error Processing.....	11
44	E20: ECP SSO Profile and Metadata.....	12
45	E21: PAOS Version.....	12
46	E22: Error in Profile/ECP.....	12
47	E24: HTTPS in URI Binding.....	13
48	E25: Metadata Structures Feature in Conformance.....	13
49	E26: Ambiguities around Multiple Assertions and Statements in the SSO Profile.....	14
50	E27: Error in ECP Profile.....	15
51	E28: Conformance Table 1.....	16
52	E29: Conformance Table 2.....	16
53	E30: Considerations for key replacement.....	17
54	E31: Various minor errors in Binding.....	17
55	E32: Missing section in Profiles.....	17
56	E33: References to Assertion Request Protocol.....	18
57	E34: Section Heading.....	18
58	E35: Example in Profiles.....	18
59	E36: Clarification on Action Element.....	19
60	E37: Clarification in Metadata on Indexed Endpoints.....	19
61	E38: Clarification regarding index on <LogoutRequest>.....	19
62	E39: Error in SAML profile example.....	20
63	E40: Holder of Key.....	20
64	E41: EndpointType ResponseLocation clarification in Metadata.....	20
65	E42: Conformance Table 4.....	21
66	E43: Key location in saml:EncryptedData.....	21

67	E45: AuthnContext comparison clarifications .....	24
68	E46: AudienceRestriction clarifications.....	25
69	E47: Clarification on SubjectConfirmation.....	25
70	E48: Clarification on encoding for binary values in LDAP profile.....	26
71	E49: Clarification on attribute name format .....	26
72	E50: Clarification SSL Ciphersuites .....	27
73	E51: Schema type of contents of <AttributeValue> .....	27
74	E52: Clarification on <NotOnOrAfter> attribute .....	28
75	E53: Correction to LDAP/X.500 profile attribute .....	28
76	E54: Correction to ECP URN .....	29
77	E55: Various Language Cleanups.....	29
78	E56: Typo in Profiles.....	29
79	E57: SAMLmime Reference.....	30
80	E58: Typos in Profiles.....	30
81	E59: SSO Response when using HTTP-Artifact.....	30
82	E60: Incorrect URI .....	31
83	E61 Reference to non-existent element.....	31
84	E62: TLS Keys in KeyDescriptor.....	31
85	E63: IdP Discovery Cookie Interpretation.....	32
86	3 PotentialProposed Errata.....	32
87	PE3: Supported URL Encoding.....	32
88	PE5: Rules for NameIDPolicy .....	32
89	PE9: Clarification on SP AuthnRequestsSigned and the IdP WantAuthnRequestsSigned SP metadata flags.....	33
90	PE16: Inaccurate data in Feature Matrix .....	33
91	PE23: Metadata for <ArtifactResolutionService>.....	33
92	PE44: Constrained Delegation.....	34
93	PE62: TLS Keys in KeyDescriptor [OPEN].....	34
94	PE63: IdP Discovery Cookie Interpretation [OPEN].....	35
95	Appendix A. Revision History.....	36
96	Appendix B. Summary of Disposition.....	39
97	Appendix C. Acknowledgments.....	42
98	Appendix D. Notices.....	43
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100		
101		

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## 1 Introduction

This document lists the ~~reported~~~~proposed~~ errata ~~and potential errata~~ against the OASIS SAML 2.0 Committee Specifications and ~~details about~~ their ~~disposition status~~. It is a working document that may change over time. See also the ~~formally approved~~ ~~Approved SAML V2.0~~ Errata ~~document to the OASIS Security Assertion Markup Language (SAML) V2.0~~ and its associated “errata composite” documents, whose latest revisions are listed and linked at the SSTC web page ([http://www.oasis-open.org/committees/tc\\_home.php?wg\\_abbrev=security](http://www.oasis-open.org/committees/tc_home.php?wg_abbrev=security)).

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## 2 Errata

The SSTC has determined that these reported problems have a solution that can be applied in erratum form. ~~Their original number designations have changed from “PE~~nn~~” to “Enn” to reflect this status.~~

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### E0: Incorrect section reference

**First reported by:** Rob Philpot, RSA

**Message:** <http://lists.oasis-open.org/archives/security-services/200503/msg00080.html>

**Document:** Core

**Description:** Line 2660 refers back to section “3.6.3” for Reason codes. This should refer to section “3.7.3”.

**Options:**

**Disposition:** During the conference call of March 28 the TC unanimously agreed to make this correction. (Note that this entry was originally number “E1” when there were separate “E” (agreed errata) and “PE” (potential errata) lists, where the “E” list had only this one entry in it. It has been renamed “E0” so that the two lists could be merged and a single number would suffice for unique identification across them.)

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### E1: Relay State for HTTP Redirect

**First reported by:** Ari Kermaier, Oracle

**Message:** <http://lists.oasis-open.org/archives/security-services/200502/msg00003.html>

**Document:** Bindings and Profiles

**Description:** Section 3.4.3 (Relay State for HTTP Redirect) lines 551-553 read

“Signing is not realistic given the space limitation, but because the value is exposed to third-party tampering, the entity SHOULD insure that the value has not been tampered with by using a checksum, a pseudo-random value, or similar means.”

This language should probably be deleted or modified, as the RelayState parameter \*is\* covered by the query string signature described in 3.4.4.1 (DEFLATE Encoding).

The same language is correctly present in 3.5.3 (Relay State for HTTP POST), as no means of signing the POST form control data is defined.

**Options:** Replace first paragraph of section 3.4.3 at line 545 with: “RelayState data MAY be included with a SAML protocol message transmitted with this binding. The value MUST NOT exceed 80 bytes in length and SHOULD be integrity protected by the entity creating the message, either via a digital signature (see section [3.4.4.1]) or by some independent means.”

137 **Disposition:** During the conference call of April 12 the TC accepted this option.

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## 138 **E2: Metadata clarifications**

139 **First reported by:** Scott Cantor, OSU

140 **Message:** <http://lists.oasis-open.org/archives/security-services/200501/msg00058.html>

141 **Document:** Bindings and Profiles

142 **Description:** Clarify metadata requirements in the various profiles. For example, it's required by  
143 implication that if you support the Artifact binding for some profile that your role descriptor also  
144 needs an ArtifactResolutionService element, but this isn't stated anywhere.

143 **Options:** In [SAMLBind] replace paragraph in section 3.6.7 at lines 1188-1191 with:

144 "Support for receiving messages using the HTTP Artifact binding SHOULD be reflected by  
145 indicating URL endpoints at which requests and responses for a particular protocol or profile  
146 should be sent. Either a single endpoint or distinct request and response endpoints MAY be  
147 supplied. Support for sending messages using this binding SHOULD be accompanied by one or  
148 more indexed <md:ArtifactResolutionService> endpoints for processing <samlp:ArtifactResolve>  
149 messages."

145 **Disposition:** A thorough disposition requires a fairly careful review of Metadata and Profiles so  
146 that the requirements can be documented in various places. This work is deferred to SAML 2.x.  
147 However, during the conference call of April 12 the TC accepted the above text as clarification for  
148 SAML 2.0.

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## 146 **E4: SAML 1.1 Artifacts**

147 **First reported by:** Scott Cantor, OSU

148 **Message:** <http://lists.oasis-open.org/archives/security-services/200501/msg00058.html>

149 **Document:** Bindings and Profiles

150 **Description:** Clarifying that SAML 1.1 artifacts have no place or use in SAML 2.0

151 **Options:** In [SAMLBind] add to line 1067:

152 "Although the general artifact structure resembles that used in prior versions of SAML and the  
153 type code of the single format described below does not conflict with previously defined formats,  
154 there is explicitly no correspondence between SAML 2.0 artifacts and those found in any previous  
155 specifications, and artifact formats not defined specifically for use with SAML 2.0 MUST NOT  
156 be used with this binding."

153 **Disposition:** During the conference call of April 12 the TC accepted this option.

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## 154 **E6: Encrypted NameID**

155 **First reported by:** Rob Philpott, RSA

156 **Message:** Communicated during TC conference call of February 1, 2005.

157 **Document:** Core

158 **Description:** When using the nameid-format:encrypted type of name identifier in SAML  
159 assertions and protocol messages, it is not possible to communicate the format of the  
160 unencrypted identifier as part of the assertion or message. This concept was derived from Liberty  
161 which only used it for persistent identifiers. Since we also support other formats in SAML 2.0, the  
162 agreement on the unencrypted form (prior to encryption/after decryption) must be done out of  
163 band.

159 **Options:** In [SAMLCore] append to paragraph ending on line 2139:

160 "It is not possible for the service provider to specifically request that a particular kind of identifier  
161 be returned if it asks for encryption. The <md:NameIDFormat> metadata element (see

161 [SAMLMeta]) or other out-of-band means MAY be used to determine what kind of identifier to  
162 encrypt and return.”

162 **Disposition:** During the conference call of April 12 the TC accepted this option.

---

163 **E7: Metadata attributes WantAuthnRequestsSigned and**  
164 **AuthnRequestsSigned**

164 **First reported by:** Rob Philpott, RSA

165 **Message:** <http://lists.oasis-open.org/archives/security-services/200502/msg00017.html>

166 **Document:** Metadata

167 **Description:** In Metadata, the IDPSSODescriptor has the setting called  
168 “WantAuthnRequestsSigned” and the SPSSODescriptor has the setting called  
169 “AuthnRequestsSigned”. But it’s ambiguous about “how” this signing is to be done.

168 Note that the SP can also define “WantAssertionsSigned”, where it means that the SP wants the  
169 IDP to sign the Assertion XML element by including a <ds:Signature> element in the assertion.  
170 That is, I do NOT believe it means that the assertion can also be “signed by inclusion” by putting  
171 it (unsigned) inside a <samlp:Response> element and signing that element. It is the Assertion  
172 XML element itself that is signed. I don’t believe the same approach is what folks expect for the  
173 AuthnRequest settings however. I think it is ambiguous and needs to be clarified.

169 At the interop, folks were using a true setting for [Want]AuthnRequestsSigned to mean that the  
170 AuthnRequest message is signed only in the context of the HTTP Redirect Binding where the  
171 total URL with parameters is signed using the mechanism specified in that binding. The  
172 AuthnRequest XML element is NOT expected to contain a <ds:Signature> element. Now I don’t  
173 think this interpretation would necessarily be the same if the message was carried in the POST or  
174 Artifact bindings. I assume that in those cases, the XML element itself would be signed and  
175 include the ds:Signature> element.

170 So the interpretation of the setting appears to be dependent on which binding is being used. This  
171 is clearly not the case for the WantAssertionsSigned setting. So we should at least clarify this for  
172 folks. That is, unless folks have a different interpretation of what the settings mean.

171 **Options:** Combine this with PE9 and in [SAMLMetadata] add text before line 710:

172 “The WantAuthnRequestsSigned attribute is intended to indicate to service providers whether or  
173 not they can expect an unsigned <AuthnRequest> message to be accepted by the identity  
174 provider. The identity provider is not obligated to reject unsigned requests nor is a service  
175 provider obligated to sign its requests, although it might reasonably expect an unsigned request  
176 will be rejected. In some cases, a service provider may not even know which identity provider will  
177 ultimately receive and respond to its requests, so the use of this attribute in such a case cannot  
178 be strictly defined.

173 Furthermore, note that the specific method of signing that would be expected is binding  
174 dependent. The HTTP Redirect binding (see [SAMLBind] sec XX) requires the signature be  
175 applied to the URL-encoded value rather than placed within the XML message, while other  
176 bindings generally permit the signature to be within the message in the usual fashion.”

174 Add text to paragraph at lines 741-742:

175 “A value of false (or omission of this attribute) does not imply that the service provider will never  
176 sign its requests or that a signed request should be considered an error. However, an identity  
177 provider that receives an unsigned <samlp:AuthnRequest> message from a service provider  
178 whose metadata contains this attribute with a value of true MUST return a SAML error response  
179 and MUST not fulfill the request.”

176 Add text to paragraph at lines 744-747:

177 “Note that an enclosing signature at the SAML binding or protocol layer does not suffice to meet  
178 this requirement, for example signing a <samlp:Response> containing the assertion(s) or a TLS  
179 connection.”

178 **Disposition:** During the conference call of September 27 the TC accepted this option.

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## 179 **E8: SLO and NameID termination**

180 **First reported by:** Thomas Wisniewski, Entrust

181 **Message:** <http://lists.oasis-open.org/archives/security-services/200503/msg00034.html>

182 **Document:** Core

183 **Description:** Combining SLO with NameID termination, we should clarify whether it's explicitly  
184 not required for the SP to continue to expect or process SLO messages for an active session  
185 following NameID termination. The spec implies pretty strongly that you don't because you can  
186 terminate your local session.

184 **Options:** Replace the last sentence in 2479-2480 (section 3.6.3) with:

185 "In general it SHOULD NOT invalidate any active session(s) of the principal for whom the  
186 relationship has been terminated. If the receiving provider is an identity provider, it SHOULD NOT  
187 invalidate any active session(s) of the principal established with other service providers. A  
188 requesting provider MAY send a <LogoutRequest> message prior to initiating a name identifier  
189 termination by sending a <ManageNameIDRequest> message if that is the requesting provider's  
190 intent (e.g., the name identifier termination is initiated via an administrator who wished to  
191 terminate all user activity). The requesting provider MUST NOT send a <LogoutRequest>  
192 message after the <ManageNameIDRequest> message is sent."

186 **Disposition:** During the conference call of April 12 the TC accepted this option.

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## 187 **E10: Logout Request reason Mismatch with Schema**

188 **First reported by:** Rob Philpott, RSA

189 **Message:** <http://lists.oasis-open.org/archives/security-services/200503/msg00080.html>

190 **Document:** Core

191 **Description:** In core line 2540 it says that "Reason" on the LogoutRequest is "in the form of a  
192 URI reference". However, in the schema, the Reason attribute is type="string", not  
193 type="anyURI". All of the reason codes that we define (in section 3.7.3 and 3.7.3.2) are actually  
194 URI's. But, since the schema defines it as a string, the text should be changed to match the  
195 schema.

192 **Options:** Change line 2540 of core as follows: The Reason attribute is specified as a string in the  
193 schema. This specification further restricts the schema by requiring that the Reason attribute  
194 MUST be in the form of a URI reference.

193 **Disposition:** During the conference call of February 14, 2006 the TC accepted the text as stated  
194 here.

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## 194 **E11: Improperly Labeled Feature**

195 **First reported by:** Rob Philpott, RSA

196 **Message:** <http://lists.oasis-open.org/archives/security-services/200503/msg00080.html>

197 **Document:** Conformance

198 **Description:** In table 2 of the conformance spec, the feature in the 8<sup>th</sup> row is improperly labeled.  
199 It currently says "Name Identifier Management, HTTP Redirect". It should say "Name Identifier  
200 Management, HTTP Redirect (SP-initiated)".

199 There are also minor inconsistencies in the labels since the parenthetical (xP-initiated) are listed  
200 with the binding in some, but with the profile in others. I suggest always listing it with the profile  
201 name.

200 **Options:** Correct the label as suggested in the description of the erratum above.

201 **Disposition:** During the conference call of June 7 the TC accepted this option.

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## 202 **E12: Clarification on ManageNameIDRequest**

203 **First reported by:** Scott Cantor, OSU/Brian Campbell, Ping Identity

204 **Message:** <http://lists.oasis-open.org/archives/security-services/200504/msg00107.html> and :  
205 <http://lists.oasis-open.org/archives/security-services/200501/msg00058.html>

205 **Document:** Bindings and Profiles

206 **Description:** The schema defines the <NewID> element of a <ManageNameIDRequest> as a  
207 string. The implication of that is that a NIM request message from IDP to SP can only be used to  
208 inform the SP of a change in identifier value (not format – format is immutable once established).  
209 There are a few places in the spec where the text implies that the format can be changed.  
210 Additionally, the text about <NewEncryptedID> should be expanded to clarify that the encrypted  
211 element is just the encrypted <NewID> element and not a full <NameID> as in the more typical  
212 <EncryptedID> element used elsewhere

207 **Options:**

208 Change the schema to allow format and potentially qualifiers to be changed and make all  
209 necessary cascading changes to the spec.

209 Update the wording in the spec to bring it inline with the schema as is and clarify that only the  
210 value of the identifier can be managed with the Name Identifier Management profile.

210 Given the complexity and scope of change involved in option 1 and the consensus that option 2 is  
211 sufficient and not too limiting, text changes consistent with option 2 are proposed below.

211 In Profiles change the text on lines 1320-21 from “Subsequently, the identity provider may wish to  
212 notify the service provider of a change in the format and/or value that it will use to identify the  
213 same principal in the future” to “Subsequently, the identity provider may wish to notify the service  
214 provider of a change in the value that it will use to identify the same principal in the future”

212 In Core change the text on lines 2412-13 from “After establishing a name identifier for a principal,  
213 an identity provider wishing to change the value and/or format of the identifier that it will use when  
214 referring to the principal,...” to “After establishing a name identifier for a principal, an identity  
215 provider wishing to change the value of the identifier that it will use when referring to the  
216 principal,...”

213 In Core add the following text after line 2438, “In either case, if the <NewEncryptedID> is used, its  
214 encrypted content is just a <NewID> element containing only the new value for the identifier  
215 (format and qualifiers cannot be changed once established).”

214 **Disposition:** During the conference call of June 7 the TC approved option 2.

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## 215 **E13: Inaccurate description of Authorization Decision**

216 **First reported by:** Jahan Moreh, Sigaba

217 **Message:** <http://lists.oasis-open.org/archives/security-services/200504/msg0125.html>

218 **Document:** Core

219 **Description:** Core 357-358 currently reads:

220 Authorization Decision: A request to allow the assertion subject to access the specified resource  
221 has been granted or denied.

221 It should say:

222 Authorization Decision: A request to allow the assertion subject to access the specified resource  
223 has been granted, denied, or is indeterminate.

223 **Options:** Make correction as described above.



224 **Disposition:** During the conference call of June 7 the TC approved the change as proposed  
225 here.

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## 225 **E14: AllowCreate**

226 **First reported by:** Brian Campbell, Ping Identity

227 **Message:** <http://lists.oasis-open.org/archives/security-services/200505/msg00014.html>

228 **Document:** Core and Profiles

229 **Description:** AllowCreate needs more clear definition.

230 **Options:** Make the following corrections

231 **In Profiles replace the current text there about AllowCreate with a statement that** “this  
232 profile does not provide additional guidelines for the use of AllowCreate” and reference this text in  
233 core as governing.

232 **In Core, replace definition of AllowCreate, lines 2123-2129:**

233 “A Boolean value used to indicate whether the requester grants to the identity provider, in the  
234 course of fulfilling the request, permission to create a new identifier or to associate an existing  
235 identifier representing the principal with the relying party. Defaults to “false” if not present or the  
236 entire element is omitted.”

234 **In Core, replace lines 2143-2147 and insert new text at line 2130 (beginning of the  
235 explanatory text):**

235 “The AllowCreate attribute may be used by some deployments to influence the creation of state  
236 maintained by the identity provider pertaining to the use of a name identifier (or any other  
237 persistent, uniquely identifying attributes) by a particular relying party, for purposes such as  
238 dynamic identifier or attribute creation, tracking of consent, subsequent use of the Name Identifier  
239 Management protocol (see section XX), or other related purposes.

236 When “false”, the requester tries to constrain the identity provider to issue an assertion only if  
237 such state has already been established or is not deemed applicable by the identity provider to  
238 the use of an identifier. Thus, this does not prevent the identity provider from assuming such  
239 information exists outside the context of this specific request (for example, establishing it in  
240 advance for a large number of principals).

237 A value of “true” permits the identity provider to take any related actions it wishes to fulfill the  
238 request, subject to any other constraints imposed by the request and policy (the IsPassive  
239 attribute, for example).

238 Generally, requesters cannot assume specific behavior from identity providers regarding the initial  
239 creation or association of identifiers on their behalf, as these are details left to implementations or  
240 deployments. Absent specific profiles governing the use of this attribute, it might be used as a hint  
241 to identity providers about the requester’s intention to store the identifier or link it to a local value.

239 A value of “false” might be used to indicate that the requester is not prepared or able to do so and  
240 save the identity provider wasted effort.

240 Requesters that do not make specific use of this attribute SHOULD generally set it to “true” to  
241 maximize interoperability.

241 The use of the AllowCreate attribute MUST NOT be used and SHOULD be ignored in conjunction  
242 with requests for or assertions issued with name identifiers

242 with a Format of urn:oasis:names:tc:SAML:2.0:nameid-format:transient (they preclude any such  
243 state in and of themselves).”

243 In Core, change lines 2419-2420 to:

244 “This protocol MUST NOT be used in conjunction with the  
245 urn:oasis:names:tc:SAML:2.0:nameidformat:transient <NameID> Format.”

245 **In Core, replace lines 2475-2479 with:**

246 “If the <Terminate> element is included in the request, the requesting provider is indicating that  
247 (in the case of a service provider) it will no longer accept assertions from the identity provider or  
248 (in the case of an identity provider) it will no longer issue assertions to the service provider about  
249 the principal.

247 If the receiving provider is maintaining state associated with the name identifier, such as the value  
248 of the identifier itself (in the case of a pair-wise identifier), an SPProvidedID value, the sender’s  
249 consent to the identifier’s creation/use, etc., then the receiver can perform any maintenance with  
250 the knowledge that the relationship represented by the name identifier has been terminated.

248 Any subsequent operations performed by the receiver on behalf of the sender regarding the  
249 principal (for example, a subsequent <AuthnRequest>) SHOULD be carried out in a manner  
250 consistent with the absence of any previous state.

249 Termination is potentially the cleanup step for any state management behavior triggered by the  
250 use of the AllowCreate attribute in the Authentication Request protocol (see section XX).  
251 Deployments that do not make use of that attribute are likely to avoid the use of the <Terminate>  
252 element or would treat it as a purely advisory matter.

250 Note that in most cases (a notable exception being the rules surrounding the SPProvidedID  
251 attribute), there are no requirements on either identity providers or service providers regarding the  
252 creation or use of persistent state. Therefore, no explicit behavior is mandated when the  
253 <Terminate> element is received. However, if persistent state is present pertaining to the use of  
254 an identifier (such as if an SPProvidedID attribute was attached), the <Terminate> element  
255 provides a clear indication that this state SHOULD be deleted (or marked as obsolete in some  
256 fashion).”

251 **Disposition:** During the conference call of June 21 the TC approved the change as proposed  
252 here.

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## 252 E15: NameID Policy

253 **First reported by:** Thomas Wisniewski, Entrust

254 **Message:** <http://lists.oasis-open.org/archives/security-services/200506/maillist.html - 00030>

255 **Document:** Core

256 **Description:** The returned assertion subject’s NameID format and/or SPNameQualifier may be  
257 different from the ones suggested in the authentication request’s NameIDPolicy. I.e., the spec  
258 does not explicitly forbid these from being different (which it should).

257 **Options:** Insert the following text between lines 2139 and 2140 in core

258 When a Format defined in Section 8.3.7 is used other than  
259 urn:oasis:names:TC:SAML:2.0:nameid-format:unspecified or  
260 urn:oasis:names:TC:SAML:2.0:nameid-format:encrypted, then if the identity provider returns any  
261 assertions:

- 259 • the Format value of the <NameID> within the <Subject> of any <Assertion> MUST be  
260 identical to the Format value supplied in the <NameIDPolicy>, and
- 260 • if SPNameQualifier is not omitted in <NameIDPolicy>, the SPNameQualifier value of the  
261 <NameID> within the <Subject> of any <Assertion> **MUST** be identical to the  
262 SPNameQualifier value supplied in the <NameIDPolicy>.”

261 **Disposition:** During the conference call of June 7 the TC approved to make the addition as  
262 stated here.

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## 262 E17: Authentication Response IssuerName vs. Assertion 263 IssuerName

263 **First reported by:** Thomas Wisniewski, Entrust

264 **Message:** <http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200506/msg00072.html>

265 **Document:** Profiles

266 **Description:** Profiles document says issuer (for an AuthnRequest Response) MAY be omitted.  
267 "the <Issuer> element MUST be present and MUST contain the unique identifier of the" The  
268 main reason is that Issuer should be a MUST in the SSO Response protocol.

267 **Options:** Change lines 541-543 of profiles to:

268 If the <Response> message is signed or if an enclosed assertion is encrypted, then the <Issuer>  
269 element MUST be present. Otherwise it MAY be omitted. If present it MUST contain the unique  
270 identifier of the issuing identity provider; the Format attribute MUST be omitted or have a value of  
271 urn:oasis:names:tc:SAML:2.0:nameid-format:entity."

269 **Disposition:** During the conference call of July 5 the TC approved to make the changes as  
270 stated here.

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## 270 **E18: reference to identity provider discovery service in ECP** 271 **Profile**

271 **First reported by:** Prateek Mishra, Principal Identity

272 **Message:**[http://www.oasis-](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00000.html)  
273 [open.org/apps/org/workgroup/security/email/archives/200507/msg00000.html](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00000.html)

273 **Document:** Profiles

274 **Description:** The ECP does not directly interact with the identity provider discovery service, it  
275 may act as an intermediary for an IdP or SP that plan to utilize the service. Current text gives the  
276 impression that it is a direct participant in the identity provider discovery service. Instead, the  
277 main issue is that it should not impede service interactions with an SP or IdP.

275 **Options:** Delete lines 725 and 726 from saml-profiles-2.0-os, starting at "The ECP MAY use...".

276 **Disposition:** During the conference call of July 19 the TC approved to make the changes as  
277 stated here.

---

## 277 **E19: Clarification on Error Processing**

278 **First reported by:** Connor P. Cahill, AOL

279 **Message:** <http://lists.oasis-open.org/archives/security-services/200507/msg00008.html>

280 **Document:** Bindings

281 **Description:** Clarification on error processing

282 **Options:** The section numbers and line numbers are all from "saml-bindings-2.0-os.pdf"  
283 Section 3.2.2.1, lines 310-317:

- 283 • Change the first sentence to read:
  - 284 ○ The SAML responder SHOULD return a SOAP message containing either a  
285 SAML response element in the body or a SOAP fault.
- 286 • Delete the 3rd sentence (If a SAML responder cannot, for some reason, process....).  
287 SOAP defines when a SOAP fault is required and SAML goes into detail about what we  
288 should return when in section 3.2.3.3 "Error Reporting".
- 289 • Change the 4th sentence to soften the "MUST NOT" and make it a "SHOULD NOT" as  
290 there can be sufficient security through obscurity reasons to do so in some cases.
- 291 • Add a new sentence at the end of the paragraph noting that details about error handling  
292 are covered in section 3.2.3.3 "Error Reporting" or something to that effect.

288 Section 3.2.3.3, lines 370-383: Change the MUST on line 378 to a SHOULD.

289 **Disposition:** During the conference call of August 2 the TC approved the changes as stated  
290 here.

---

## 290 E20: ECP SSO Profile and Metadata

291 **First reported by:** Thomas Wisniewski, Entrust

292 **Message:** <http://lists.oasis-open.org/archives/security-services/200506/msg00106.html>

293 **Document:** Profiles

294 **Description:** There is no metadata consideration in ECP profile

295 **Options:** In SAML Profiles specification add new section 4.2.6 as follows:

296 The rules specified in the browser SSO profile in Section 4.1.6 apply here as well. Specifically,  
297 the indexed endpoint element <md:AssertionConsumerService> with a binding of  
298 urn:oasis:names:tc:SAML:2.0:bindings:PAOS, MAY be used to describe the supported binding  
299 and location(s) to which an identity provider may send responses to a service provider using this  
300 profile. And, the endpoint <md:SingleSignOnService> with a binding of  
301 urn:oasis:names:tc:SAML:2.0:bindings:SOAP, MAY be used to describe the supported binding  
302 and location(s) to which an service provider may send requests to an identity provider using this  
303 profile

297 **Disposition:** During the conference call of July 19 the TC approved to make the changes as  
298 stated here.

---

## 298 E21: PAOS Version

299 **First reported by:** Thomas Wisniewski, Entrust

300 **Message:** [http://www.oasis-  
301 open.org/apps/org/workgroup/security/email/archives/200507/msg00028.html](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00028.html)

301 **Document:** Bindings

302 **Description:** It's unclear what the word minimum implies in the line "... PAOS version with  
303 "urn:liberty:paos:2003-08" at a minimum."

303 **Options:** Strike the words "at a minimum"

304 **Disposition:** During the conference call of July 19 the TC approved to make the changes as  
305 stated here.

---

## 305 E22: Error in Profile/ECP

306 **First reported by:** Rob Philpott, RSA Security

307 **Message:** [http://www.oasis-  
308 open.org/apps/org/workgroup/security/email/archives/200507/msg00040.html](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00040.html)

308 **Document:** Profiles

309 **Description:** Line 907 of Profiles says the responseConsumerURL must be the same as the  
310 "AssertionServiceConsumerURL" in an <AuthnRequest> message. The attribute's name should  
311 be "AssertionConsumerServiceURL".

310 **Options:** Make changes as specified.

311 **Disposition:** During the conference call of August 2 the TC approved the changes as stated  
312 here.

---

## 312 E24: HTTPS in URI Binding

313 **First reported by:** Nick Ragouzis, Enosis Group

314 **Message:** <http://lists.oasis-open.org/archives/security-services/200507/msg00037.html>

315 **Document:** Bindings

316 **Description:** Section 3.7, starting at line 1349 the text states:

317 “Like SOAP, URI resolution can occur over multiple underlying transports. This binding has  
318 transport-independent aspects, but also calls out the use of HTTP with SSL3.0 [SSL3] or TLS 1.0  
319 [RFC2246] as REQUIRED (mandatory to implement)”

318 **Options:** Replace the current text with the following:

319 “Like SOAP, URI resolution can occur over multiple underlying transports. This binding has  
320 protocol-independent aspects, but also calls out as mandatory the implementation of HTTP  
321 URIs.”

320 **Disposition:** During the conference call of August 2 the TC approved the changes as stated  
321 here.

321

---

## 322 E25: Metadata Structures Feature in Conformance

323 **First reported by:** Nick Ragouzis, Enosis Group

324 **Message:** <http://lists.oasis-open.org/archives/security-services/200507/msg00038.html>

325 **Document:** Conformance

326 **Description:** Conformance document does not specify any requirements with respect to  
327 metadata.

327 Change to Table 2: Feature Matrix

328

	IdP	IdPLite	SP	SPLite	ECP
--	-----	---------	----	--------	-----

330 FEATURE

331 Metadata Structures	OPT	OPT	OPT	OPT	N/A
-------------------------	-----	-----	-----	-----	-----

332 Metadata Interoperation	OPT	OPT	OPT	OPT	N/A
-----------------------------	-----	-----	-----	-----	-----

333 Change to Table 4: SAML Authority and Requester Matrix

	AuthnAuth	AttribAuth	AuthZDcsnAuth	Requester
--	-----------	------------	---------------	-----------

335 FEATURE

336 Metadata Structures	OPT	OPT	OPT	OPT
-------------------------	-----	-----	-----	-----

337 Metadata Interoperation	OPT	OPT	OPT	OPT
-----------------------------	-----	-----	-----	-----

338 New sub-sections to Section 3 (Conformance):

339 3.6 Metadata Structures

340 Implementations claiming conformance to SAMLv2.0 may declare each operational mode's  
341 conformance to SAMLv2.0 Metadata [SAMLMeta] through election of the Metadata Structures  
342 option.

341 With respect to each operational mode, such conformance entails the following:

342 \* Implementing SAML metadata according to the extensible SAMLv2.0 Metadata format in all  
343 cases where an interoperating peer has the option, as stated in SAMLv2.0 specifications, of  
344 depending on the existence of SAMLv2.0 Metadata. Electing the Metadata Structures option has

343 the effect of requiring such metadata be available to the interoperating peer. The Metadata  
344 Interoperation feature, described below, provides a means of satisfying this requirement.

344 \* Referencing, consuming, and adherence to the SAML metadata, according to [SAMLMeta], of  
345 an interoperating peer when the known metadata relevant to that peer and the particular  
346 operation, and the current exchange, has expired or is no longer valid in cache, provided the  
347 metadata is available and is not prohibited by policy or the particular operation and that specific  
348 exchange.

345 3.7 Metadata Interoperation

346 Election of the Metadata Interoperation option requires the implementation offer, in addition to  
347 any other mechanism, the well-known location publication and resolution mechanism described in  
348 SAML metadata [SAMLMeta].

347 **Options:** Make changes as suggested here

348 **Disposition:** During the TC conference call on 9/27 the TC accepted the changes as suggested  
349 here.

---

## 349 E26: Ambiguities around Multiple Assertions and Statements in 350 the SSO Profile

350 **First reported by:** Scott Cantor, OSU

351 **Message:** <http://lists.oasis-open.org/archives/security-services/200508/msg00056.html>

352 **Document:** Profiles

353 **Description:** SSO Profile need clarifications.

354 Section 4.1.4.2, <Response> Usage, replace the list at lines 541-572, with the following list:

- 355 • If the response is unsigned, the <Issuer> element MAY be omitted, but if present (or if the  
356 response is signed) it MUST contain the unique identifier of the issuing identity provider;  
357 the Format attribute MUST be omitted or have a value of  
358 urn:oasis:names:tc:SAML:2.0:nameid-format:entity
- 356 • It MUST contain at least one <Assertion>. Each assertion's <Issuer> element MUST  
357 contain the unique identifier of the responding identity provider; the Format attribute  
358 MUST be omitted or have a value of urn:oasis:names:tc:SAML:2.0:nameid-format:entity.  
359 Note that this profile assumes a single responding identity provider, and all assertions in  
360 a response MUST be issued by the same entity.
- 357 • If multiple assertions are included, then each assertion's <Subject> element MUST refer  
358 to the same principal. It is allowable for the content of the <Subject> elements to differ  
359 (e.g. using different <NameID> or alternative <SubjectConfirmation> elements).
- 358 • Any assertion issued for consumption using this profile MUST contain a <Subject>  
359 element with at least one <SubjectConfirmation> element containing a Method of  
360 urn:oasis:names:tc:SAML:2.0:cm:bearer. Such an assertion is termed a bearer assertion.  
361 Bearer assertions MAY contain additional <SubjectConfirmation> elements.
- 359 • Assertions without a bearer <SubjectConfirmation> MAY also be included; processing of  
360 additional assertions or <SubjectConfirmation> elements is outside the scope of this  
361 profile.
- 360 • At least one bearer <SubjectConfirmation> element MUST contain a  
361 <SubjectConfirmationData> element that itself MUST contain a Recipient attribute  
362 containing the service provider's assertion consumer service URL and a NotOnOrAfter  
363 attribute that limits the window during which the assertion can be delivered. It MAY also  
364 contain an Address attribute limiting the client address from which the assertion can be  
365 delivered. It MUST NOT contain a NotBefore attribute. If the containing message is in  
366 response to an <AuthnRequest>, then the InResponseTo attribute MUST match the  
367 request's ID.

- 361 • The set of one or more bearer assertions MUST contain at least one <AuthnStatement>  
362 that reflects the authentication of the principal to the identity provider. Multiple  
363 <AuthnStatement> elements MAY be included, but the semantics of multiple statements  
364 is not defined by this profile.
- 362 • If the identity provider supports the Single Logout profile, defined in Section 4.4, any  
363 authentication statements MUST include a SessionIndex attribute to enable per-session  
364 logout requests by the service provider
- 363 • Other statements MAY be included in the bearer assertion(s) at the discretion of the  
364 identity provider. In particular, <AttributeStatement> elements MAY be included. The  
365 <AuthnRequest> MAY contain an AttributeConsumingServiceIndex XML attribute  
366 referencing information about desired or required attributes in [SAMLMeta]. The identity  
367 provider MAY ignore this, or send other attributes at its discretion.
- 364 • Each bearer assertion MUST contain an <AudienceRestriction> including the service  
365 provider's unique identifier as an <Audience>
- 365 • Other conditions (and other <Audience> elements) MAY be included as requested by the  
366 service provider or at the discretion of the identity provider. (Of course, all such  
367 conditions MUST be understood by and accepted by the service provider in order for the  
368 assertion to be considered valid.
- 366 • The identity provider is NOT obligated to honor the requested set of <Conditions> in the  
367 <AuthnRequest>, if any.

367 In Section 4.1.4.3, <Response> Message Processing Rules:

- 368 • Line 576, change "any bearer" to "the bearer"
- 369 • Line 578, change "any bearer" to "the bearer"
- 370 • Line 583, change to: "Verify that any assertions relied upon are valid in other respects.  
371 Note that while multiple bearer <SubjectConfirmation> elements may be present, the  
372 successful evaluation of a single such element in accordance with this profile is sufficient  
373 to confirm an assertion. However, each assertion, if more than one is present, MUST be  
374 evaluated independently."
- 371 • Line 584, change "any bearer" to "the bearer"
- 372 • Append to paragraph ending on line 591: "Note that if multiple <AuthnStatement>  
373 elements are present, the SessionNotOnOrAfter value closest to the present time  
374 SHOULD be honored."

373 Section 4.1.4.5, POST-Specific Processing Rules:

- 374 • Replace lines 600-601 with: "If the HTTP POST binding is used to deliver the  
375 <Response>, each assertion MUST be protected by a digital signature. This can be  
376 accomplished by signing each individual <Assertion> element or by signing the  
377 <Response> element."

375 **Options:**

376 **Disposition:** During the conference call of August 30 the TC approved the changes as stated  
377 here.

---

## 377 **E27: Error in ECP Profile**

378 **First reported by:** Scott Cantor, OSU

379 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00001.html>

380 **Document:** Profiles

381 **Description:** Profiles, line 947, the ECP RelayState header definition refers to step 5 as the one  
382 in which the response is issued to the SP. It should be step 7.

382 **Options:**

383 **Disposition:** During the conference call of September 13 the TC approved the changes as  
384 stated here

---

## 384 E28: Conformance Table 1

385 **First reported by:** Rob Philpott, RSA Security

386 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

387 **Document:** Conformance

388 **Description:** The first column is labeled “Profile”, yet several of the entries are technically not  
389 “profiles”. The same applies to the section title and the paragraph above the table.

389 **Options:**

390 Column 1:

391 Combine Artifact Resolution, Authentication Query, Attribute Query, Authorization Decision Query  
392 entries into a single entry labeled:

392

393 Assertion Query/Request

394

395 Column 2

396

397 Label each set of message flows with relevant protocol description:

398 Artifact Resolution, Authentication Query, Attribute Query, Authorization Decision Query

399

400 Column 3

401

402 No change

403

404 (2) Remove the following rows from the table:

405

406 SAML URI binding

407 Metadata

408 **Disposition:** During the conference call of September 27 the TC approved the changes as  
409 stated here

---

## 409 E29: Conformance Table 2

410 **First reported by:** Rob Philpott, RSA Security

411 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

412 **Document:** Conformance

413 **Description:** The table is missing feature rows for performing a “Request for Assertion by  
414 Identifier” over SOAP and for “SAML URI Binding”. These features are clearly permissible for  
415 IDP’s, since the IDPSSODescriptor includes an element for zero or more  
416 <AssertionIDRequestService> elements.

414 **Options:** Add two rows table 2; row #1 is labeled Request for Assertion Identifier; row #2 is  
415 labeled SAML URI binding; both are optional for IdP row and N/A for all the rest.

415 **Disposition:** During the conference call of September 27 the TC as stated here.



---

## 416 E30: Considerations for key replacement

417 **First reported by:** Rob Philpott, RSA Security

418 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

419 **Document:** Core

420 **Description:** Line 3110 states: “optionally one or more encrypted keys...”

421

422 **Options:** Replace “optionally one or more” with “zero or more”.

423 **Disposition:** During the conference call of September 13 the TC approved the changes as  
424 stated here

---

## 424 E31: Various minor errors in Binding

425 **First reported by:** Rob Philpott, RSA Security

426 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

427 **Document:** Bindings

428 **Description:**

- 429 1. Line 511: “security at the SOAP message layer is recommended.” It should be  
430 capitalized as in “RECOMMENDED”.
- 430 2. Line 785: “If no such value is included with a SAML request message” – “value” is  
431 ambiguous. It’s referring to the RelayState parameter, which itself is a name/value pair.  
432 This should be changed to “If no RelayState parameter is included...”
- 431 3. Line 1136: “using a direct SAML binding”. There is no definition for what a “direct” SAML  
432 binding is. Other documents have referred to the SOAP binding as a “synchronous”  
433 binding.
- 432 4. Line 1397: “Note that use of wildcards is not allowed on such ID queries”. This should be  
433 changed to: “Note that the URI syntax does not support the use of wildcards in such  
434 queries.”

433 **Options:**

434 **Disposition:** During the conference call of September 13 the TC approved the changes for items  
435 2 and 3. During the conference call of September 27 the TC approved the changes for items 1  
436 and 4.

---

## 435 E32: Missing section in Profiles

436 **First reported by:** Rob Philpott, RSA Security

437 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

438 **Document:** Profiles

439 **Description:** Section 4.3. This profile is missing a subsection for “Required Information”, which is  
440 present in all other profiles.  
440

441 **Options:** Beginning at line 1092, insert the following text:

442 4.3.1 Required Information

443 **Identification:** urn:oasis:names:tc:SAML:2.0:profiles:SSO:idp-discovery

444 **Contact information:** [security-services-comment@lists.oasis-open.org](mailto:security-services-comment@lists.oasis-open.org)

445 **Description:** Given below.

446           **Updates:** None.

447   **Disposition:** During the conference call of December 5 the TC approved the changes.

---

## 448   **E33: References to Assertion Request Protocol**

449   **First reported by:** Rob Philpott, RSA Security

450   **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

451   **Document:** Metadata

452   **Description:** Lines 700, 871, and 904 state: “profile of the Assertion Request protocol defined in  
453 [SAMLProf]”. References to “Assertion Request” should be changed to “Assertion  
454 Query/Request”.

453   **Options:**

454   **Disposition:** During the conference call of September 13 the TC approved the changes.

---

## 455   **E34: Section Heading**

456   **First reported by:** Rob Philpott, RSA Security

457   **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

458   **Document:** Metadata

459   **Description:** Line 809: the section 2.4.4.2 should be indented so that it is 2.4.4.1.1 since  
460 <RequestedAttribute> is part of the <AttributeConsumingService> defined in section 2.4.4.1.

460   .

461

462   **Options:**

463   **Disposition:** During the conference call of September 13 the TC approved the change.

---

## 464   **E35: Example in Profiles**

465   **First reported by:** Rob Philpott, RSA Security

466   **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00023.html> and

467 <http://www.oasis-open.org/archives/security-services/200602/msg00008.html>

468   **Document:** Profiles

469   **Description:** The example on page 29 line 964 uses a ResponseConsumerURL of [http://identity-  
470 service.example.com/abc](http://identity-service.example.com/abc). Since this value must be an AssertionConsumerService at the SP and  
471 must match (according to the rules in 4.2.4.4) the value of the responseConsumerURL, the  
472 example would result in an error condition.

470   **Options:** Change the value of the responseConsumerURL in the example on page 29 line 964 to  
471 [https://ServiceProvider.example.com/ecp\\_assertion\\_consumer](https://ServiceProvider.example.com/ecp_assertion_consumer).

471   Change the sentence on page 27 lines 906-908 to: “This value **MUST** be the same as the  
472 AssertionServiceConsumerURL (or the URL referenced in metadata) conveyed in the  
473 <AuthnRequest> and **SHOULD NOT** be a relative URL.”

472   **Disposition:** During the conference call of February 28 TC approved the change as stated here.

---

## 473 E36: Clarification on Action Element

474 **First reported by:** Emily Xu, Sun Microsystems

475 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00053.html>

476 **Document:** Core

477 **Description:**

478 In section 2.7.4.2 of core spec, Namespace is marked as "Optional". It says: "If this element is  
479 absent, the namespace urn:oasis:names:tx:SAML:1.0:action:rwedc-negation specified in Section  
480 8.1.2 is in effect." But in the following schema definition, attribute Namespace is marked as  
481 required:

479 <attribute name="Namespace" type="anyURI" use="required"/>

480

481 A clarification is needed to resolve this apparent conflict.

482 **Options:** In line 1359 change "Optional" to "Required" and strike the sentence starting at line  
483 1361-1363 ("If this element is absent....")

483 **Disposition:** During the conference call of October 25 the TC approved the change.

---

## 484 E37: Clarification in Metadata on Indexed Endpoints

485 **First reported by:** Rob Philpot, RSA Security

486 **Message:** <http://lists.oasis-open.org/archives/security-services/200510/msg00025.html>

487 **Document:** Metadata

488 **Description:** Metadata line 272 says "In any such sequence of like endpoints based on this type,  
489 the default...". It is a bit ambiguous what "of like endpoints" means. Are two endpoints alike if they  
490 are of the same binding type (e.g. SOAP)? Or are they alike because they are assigned to the  
491 same service endpoint.

489 **Options:** Modify Metadata, line 272 as follows:

490 "In any such sequence of indexed endpoints that share a common element name and  
491 namespace (i.e. all instances of <md:AssertionConsumerService> within a role), the default  
492 endpoint is..."

491 **Disposition:** During the conference call of November 22 the TC approved the changes as stated  
492 here

---

## 492 E38: Clarification regarding index on <LogoutRequest>

493 **First reported by:** Conor P. Cahill, AOL

494 **Message:** <http://lists.oasis-open.org/archives/security-services/200511/msg00000.html>

495 **Document:** Core, Profiles

496 **Description:** The language surrounding session index on the <LogoutRequest> (line 2546) is  
497 unclear.

497 **Options:** The following two changes are suggested:

498 1. Change Core, line 2546 as follows:

499 The index of the session between the principal identified by the <saml:BaseID>,  
500 <saml:NameID>, or <saml:EncryptedID> element, and the session authority. This must  
501 correlate to the SessionIndex attribute, if any, in the <saml:AuthnStatement> of the assertion  
502 used to establish the session that is being terminated."

500 2. Change Profiles, line 1302-1304 to:

501 "If the requester is a session participant, it MUST include at least one <SessionIndex>  
502 element in the request. (Note that the session participant always receives a SessionIndex

502 attribute in the <saml:AuthnStatement> elements that it receives to initiate the session, per  
503 section 4.1.4.2 of the Web Browser SSO Profile.) If the requester is a session authority (or  
504 acting on its behalf), then it MAY omit any such elements to indicate the termination of all of  
505 the principal's applicable sessions."

503 **Disposition:** During the conference call of November 22 the TC approved the changes as stated  
504 here

---

## 504 **E39: Error in SAML profile example**

505 **First reported by:** Greg Whitehead, HP

506 **Message:** <http://www.oasis-open.org/archives/security-services/200601/msg00015.html>

507 **Document:** Profiles

508 **Description** In section 8.5.6 of the SAML 2.0 profiles doc the Idapprof:Encoding="LDAP"  
509 attribute should be AttributeValue not Attribute, according to section 8.2.4 of the spec.

509 **Options:**

510 **Disposition:** During the conference call of 1/17/2006 the TC approved the clarification as stated  
511 here.

---

## 511 **E40: Holder of Key**

512 **First reported by:** Prateek Mishra, Oracle

513 **Message:** <http://www.oasis-open.org/archives/security-services/200601/msg00027.html>

514 **Document:** Core

515 **Description:** HoK described a key that required proof of possession by an attesting entity vs. being  
516 held by the subject, Appropriate text does appear in lines 781-783 of saml2-core. However,  
516 lines 335-337 of saml2-profiles reads:

517 "As described in [XMLSig], each <ds:KeyInfo> element holds a key or information that enables  
518 an application to obtain a key. The holder of a specified key is considered to be the subject of the  
519 assertion by the asserting party"

518 The last sentence should be replaced by:

519 "The holder of a specified key is considered to be an acceptable attesting entity for the assertion  
520 by the asserting party"

520 **Options:**

521 **Disposition:** During the conference call of February 28th the TC approved the change as stated  
522 here.

---

## 522 **E41: EndpointType ResponseLocation clarification in Metadata**

523 **First reported by:** Eric Tiffany, Project Liberty

524 **Message:** <http://www.oasis-open.org/archives/security-services/200601/msg00034.html>

525 **Document:** Metadata

526 **Description** Implementer interpreted the metadata spec to mean that ResponseLocation should  
527 only be omitted for the SOAP binding, and that the ResponseLocation be specified in metadata  
528 for other bindings.

527 **Options:** Proposed text to resolve this:

528 At line 238 in Metadata we have now:

529 "The ResponseLocation attribute is used to enable different endpoints to be specified for  
530 receiving request and response messages associated with a protocol or profile, not as a means

530 of load-balancing or redundancy (multiple elements of this type can be included for this purpose).  
531 When a role contains an element of this type pertaining to a protocol or profile for which only a  
532 single type of message (request or response) is applicable, then the ResponseLocation attribute  
533 is unused.

531 The proposal is to add the following:

532 "If the ResponseLocation attribute is omitted, any response messages associated with a protocol  
533 or profile may be assumed to be handled at the URI indicated by the Location attribute."

533 **Disposition:** During the conference call of 1/31/06 TC voted to approve changes as stated here.

---

## 534 E42: Conformance Table 4

535 **First reported by:** Thomas Wisniewski, Entrust

536 **Message:** <http://lists.oasis-open.org/archives/security-services/200601/msg00041.html>

537 **Document:** Conformance

538 **Description:** Table 4 has a cell for SAML <x> Authority responding to an <y> Query. That is, an  
539 Attribute Authority responding to an Authentication or Authorization Decision Query. This doesn't  
540 seem to make sense as authorities should respond to their respective queries. So the OPTIONAL  
541 items under the authorities should be N/A."

539 **Options:** Change the reference from "OPTIONAL" to "N/A" under the columns SAML  
540 Authentication Authority, SAML Attribute Authority, and SAML Authorization Decision Authority in  
541 Table 4: SAML Authority and Requester Matrix.

540 **Disposition:** During the conference call of 1/31/06 TC voted to approve changes as stated here.

---

## 541 E43: Key location in saml:EncryptedData

542 **First reported by:** Heather Hinton, IBM

543 **Message:**

544 **Document:** Core

545 **Description:** The specification in core does not properly follow XML Encryption standards with  
546 respect to key location.

546 **Options:** Replace section 6 of core with the following text:

547

### 548 6.1 General Considerations

549 Encryption of the <Assertion>, <BaseID>, <NameID> and <Attribute> elements is  
550 provided by use of XML Encryption [XMLEnc]. Encrypted data and optionally one or  
551 more encrypted keys MUST replace the plaintext information in the same location within  
552 the XML instance. The <xenc:EncryptedData> element's Type attribute SHOULD be  
553 used and, if it is present, MUST have the value  
554 <http://www.w3.org/2001/04/xmlenc#Element>.

550 Any of the algorithms defined for use with XML Encryption MAY be used to perform the  
551 encryption. The SAML schema is defined so that the inclusion of the encrypted data  
552 yields a valid instance.

### 551 6.2 Key and Data Referencing Guidelines

552 If an encrypted key is NOT included in the XML instance, then the relying party must be  
553 able to locally determine the decryption key, per [XMLEnc].

553 Implementations of SAML MAY implicitly associate keys with the corresponding data  
554 they are used to encrypt, through the positioning of <xenc:EncryptedKey> elements

554 next to the associated `<xenc:EncryptedData>` element, within the enclosing SAML  
555 parent element. However, the following set of explicit referencing guidelines are  
556 suggested to facilitate interoperability.

557 If the encrypted key is included in the XML instance, then it SHOULD be referenced  
558 within the associated `<xenc:EncryptedData>` element, or alternatively embedded within  
559 the `<xenc:EncryptedData>` element. When an `<xenc:EncryptedKey>` element is used,  
560 the `<ds:KeyInfo>` element within `<xenc:EncryptedData>` SHOULD reference the  
561 `<xenc:EncryptedKey>` element using a `<ds:RetrievalMethod>` element of Type  
562 <http://www.w3.org/2001/04/xmlenc#EncryptedKey>.

556 In addition, an `<xenc:EncryptedKey>` element SHOULD contain an  
557 `<xenc:ReferenceList>` element containing a `<xenc:DataReference>` that references  
558 the corresponding `<xenc:EncryptedData>` element(s) that the key was used to encrypt.

557 In scenarios where the encrypted element is being “multicast” to multiple recipients, and  
558 the key used to encrypt the message must be in turn encrypted individually and  
559 independently for each of the multiple recipients, the `<xenc:CarriedKeyName>` element  
560 SHOULD be used to assign a common name to each of the `<xenc:EncryptedKey>`  
561 elements so that a `<ds:KeyName>` can be used from within the `<xenc:EncryptedData>`  
562 element’s `<ds:KeyInfo>` element.

558 Within the `<xenc:EncryptedData>` element, the `<ds:KeyName>` can be thought of as an  
559 “alias” that is used for backwards referencing from the `<xenc:CarriedKeyName>`  
560 element in each individual `<xenc:EncryptedKey>` element. While this accommodates a  
561 “multicast” approach, each recipient must be able to understand (at least one)  
562 `<ds:KeyName>`. The `Recipient` attribute is used to provide a hint as to which key is  
563 meant for which recipient.

559

560 The SAML implementation has the discretion to accept or reject a message where  
561 multiple `Recipient` attributes or `<ds:KeyName>` elements are understood. It is  
562 RECOMMENDED that implementations simply use the first key they understand and  
563 ignore any additional keys.

561

### 562 6.3 Examples

563 In the following example, the parent element (`<EncryptedID>`) contains  
564 `<xenc:EncryptedData>` and (referenced) `<xenc:EncryptedKey>` elements as siblings  
565 (note that the key can in fact be anywhere in the same instance, and the key references  
566 the `<xenc:EncryptedData>` element) :

```
564 <saml:EncryptedID
565   xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion">
566   <xenc:EncryptedData
567     xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
568     Id="Encrypted_DATA_ID"
569     Type="http://www.w3.org/2001/04/xmlenc#Element">
570     <xenc:EncryptionMethod
571       Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
572     <ds:KeyInfo
573       xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
574       <ds:RetrievalMethod URI="#Encrypted_KEY_ID"
575         Type="http://www.w3.org/2001/04/xmlenc#EncryptedKey"/>
576     </ds:KeyInfo>
577   </xenc:EncryptedData>
578 </saml:EncryptedID>
```

```

573         </ds:KeyInfo>
574         <xenc:CipherData>
575
576         <xenc:CipherValue>Nk4W4mx...</xenc:CipherValue>
576         </xenc:CipherData>
577     </xenc:EncryptedData>
578
579     <xenc:EncryptedKey
580 xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
580     Id="Encrypted_KEY_ID">
581     <xenc:EncryptionMethod
582 Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
583     <xenc:CipherData>
584 <xenc:CipherValue>PzA5X...</xenc:CipherValue>
585 </xenc:CipherData>
586     <xenc:ReferenceList>
587     <xenc:DataReference URI="#Encrypted_DATA_ID"/>
588     </xenc:ReferenceList>
589 </xenc:EncryptedKey>
590 </saml:EncryptedID>

```

591

592 In the following <EncryptedAttribute> example, the <xenc:EncryptedKey> element is contained  
593 within the <xenc:EncryptedData> element, so there is no explicit referencing:

```

593 <saml:EncryptedAttribute
594 xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion">
595   <xenc:EncryptedData
596     xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
596     Id="Encrypted_DATA_ID"
596     Type="http://www.w3.org/2001/04/xmlenc#Element">
597     <xenc:EncryptionMethod
598 Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
599     <ds:KeyInfo
600 xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
600     <xenc:EncryptedKey Id="Encrypted_KEY_ID">
601     <xenc:EncryptionMethod
602 Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
603 <xenc:CipherData>
604 <xenc:CipherValue>SDFSDF... </xenc:CipherValue>
605 </xenc:CipherData>
606     </xenc:EncryptedKey>
607   </ds:KeyInfo>
608   <xenc:CipherData>
609 <xenc:CipherValue>Nk4W4mx...</xenc:CipherValue>
610 </xenc:CipherData>
611 </xenc:EncryptedData>
612 </saml:EncryptedAttribute>

```

613 The final example shows an assertion encrypted for multiple recipients, using the  
614 <xenc:CarriedKeyName> approach:

```

614 <saml:EncryptedAssertion
615 xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion">
616   <xenc:EncryptedData
617     xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
617     Id="Encrypted_DATA_ID"
617     Type="http://www.w3.org/2001/04/xmlenc#Element">
618     <xenc:EncryptionMethod
619 Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
620     <ds:KeyInfo
621 xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
621 <ds:KeyName>MULTICAST_KEY_NAME</ds:KeyName>
622     </ds:KeyInfo>
623     <xenc:CipherData>

```

```

624
625     <xenc:CipherValue>Nk4W4mx...</xenc:CipherValue>
626     </xenc:CipherData>
627 </xenc:EncryptedData>
628
629     <xenc:EncryptedKey
630     xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
631     Id="Encrypted_KEY_ID_1" Recipient="https://spl.org">
632     <xenc:EncryptionMethod
633     Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
634     <ds:KeyInfo
635     xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
636     <ds:KeyName>KEY_NAME_1</ds:KeyName>
637     </ds:KeyInfo>
638     <xenc:CipherData>
639     <xenc:CipherValue>xyzABC...</xenc:CipherValue>
640     </xenc:CipherData>
641     <xenc:ReferenceList>
642     <xenc:DataReference URI="#Encrypted_DATA_ID"/>
643     </xenc:ReferenceList>
644
645     <xenc:CarriedKeyName>MULTICAST_KEY_NAME</xenc:CarriedKeyName>
646     </xenc:EncryptedKey>
647
648     <xenc:EncryptedKey xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
649     Id="Encrypted_KEY_ID_2" Recipient="https://sp2.org">
650     <xenc:EncryptionMethod
651     Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
652     <ds:KeyInfo
653     xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
654     <ds:KeyName>KEY_NAME_2</ds:KeyName>
655     </ds:KeyInfo>
656     <xenc:CipherData>
657     <xenc:CipherValue>abcXYZ...</xenc:CipherValue>
658     </xenc:CipherData>
659     <xenc:ReferenceList>
660     <xenc:DataReference URI="#Encrypted_DATA_ID"/>
661     </xenc:ReferenceList>
662
663     <xenc:CarriedKeyName>MULTICAST_KEY_NAME</xenc:CarriedKeyName>
664     </xenc:EncryptedKey>
665 </saml:EncryptedAssertion>

```

660 **Disposition:** During the TC conference call on 5/23/06, the TC approved the changes as stated  
661 here.

---

## 661 **E45: AuthnContext comparison clarifications**

662 **First reported by:** Scott Cantor, OSU

663 **Message:** <http://www.oasis-open.org/archives/security-services/200602/msg00024.html>

664 **Document:** Core

665 **Description:** In section 3.3.2.2.1 contexts are not necessarily a fully ordered set. This should be  
666 noted to aid in the interpretation of the comparison types.

666 **Options:**

667 **Replace the paragraph at 1815-1819 with:**



668 Either a set of class references or a set of declaration references can be used. If ordering is  
669 relevant to the evaluation of the request, then the set of supplied elements MUST be evaluated  
670 as an ordered set, where the first element is the most preferred authentication context class or  
671 declaration. For example, ordering is significant when using this element in an

669 <AuthnRequest> message but not in an <AuthnQuery> message.

670 If none of the specified classes or declarations can be satisfied in accordance with the rules  
671 below, then the responder MUST return a <Response> message with a second-level  
672 <StatusCode> of urn:oasis:names:tc:SAML:2.0:status:NoAuthnContext."

671 **Change current lines 1825-1827 to:**

672 If Comparison is set to "better", then the resulting authentication context in the authentication  
673 statement MUST be stronger (as deemed by the responder) than one of the authentication  
674 contexts specified."

673 **Disposition:** During the conference call of 3/28/06 TC voted to approve changes as stated here

---

## 674 **E46: AudienceRestriction clarifications**

675 **First reported by:** Connor P. Cahill, Intel

676 **Message:** <http://www.oasis-open.org/archives/security-services/200603/msg00001.html>

677 **Document:** Core

678 **Description:** On lines 922-925 in the core specification for 2.0, the sentence states:

679 The effect of this requirement and the preceding definition is that within a given condition, the  
680 audiences form a disjunction (an "OR") while multiple conditions form a conjunction (an "AND")

680 **Options:** Clarify by modifying these lines to read as follows:

681 The effect of this requirement and the preceding definition is that within a given  
682 <AudienceRestrictions>, the <Audience>s form a disjunction (an "OR") while multiple  
683 <AudienceRestrictions> form a conjunction (an "AND").

682 **Disposition:** During the conference call of 5/9/06 the TC approved the change as proposed here.

---

## 683 **E47: Clarification on SubjectConfirmation**

684 **First reported by:** Scott Cantor, OSU

685 **Message:** <http://www.oasis-open.org/archives/security-services/200603/msg00008.html>

686 **Document:** Core and profiles

687 **Description:** The language on Subject Confirmation element and the intent of the embedded  
688 secondary identifier requires clarification.

688 **Options:**

689 **Insert the following at line 698 of core**

690 If the <SubjectConfirmation> element in an assertion subject contains an identifier the issuer  
691 authorizes the attesting entity to wield the assertion on behalf of that subject. A relying party MAY  
692 apply additional constraints on the use of such an assertion at its discretion, based upon the  
693 identities of both the subject and the attesting entity.

691 If an assertion is issued for use by an entity other than the subject, then that entity SHOULD be  
692 identified in the <SubjectConfirmation> element."

692 **Replace lines 335-337 in Profiles with:**

693 As described in [XMLSig], each <ds:KeyInfo> element holds a key or information that enables an  
694 application to obtain a key. The holder of one or more of the specified keys is considered to be an  
695 acceptable attesting entity for the assertion by the asserting party.

694

695 **Insert the following at line 341 of Profiles**

696 "If the keys contained in the <SubjectConfirmationData> element belong to an entity other than  
697 the subject, then the asserting party SHOULD identify that entity to the relying party by including  
698 a SAML identifier representing it in the enclosing <SubjectConfirmation> element.

697 Note that a given <SubjectConfirmation> element using the Holder of Key method SHOULD  
698 include keys belonging to only a single attesting entity. If multiple attesting entities are to be  
699 permitted to use the assertion, then multiple <SubjectConfirmation> elements SHOULD be  
700 included.

698 **Replace lines 361-363 in Profiles with:**

699 The bearer of the assertion is considered to be an acceptable attesting entity for the assertion by  
700 the asserting party, subject to any optional constraints on confirmation using the attributes that  
701 MAY be present in the <SubjectConfirmationData> element, as defined by [SAMLCore].

700 If the intended bearer is known by the asserting party to be an entity other than the subject, then  
701 the asserting party SHOULD identify that entity to the relying party by including a SAML identifier  
702 representing it in the enclosing <SubjectConfirmation> element.

701 If multiple attesting entities are to be permitted to use the assertion based on bearer semantics,  
702 then multiple <SubjectConfirmation> elements SHOULD be included."

702 **Disposition:** During the conference call of 3/28/06 TC voted to approve changes as stated here

---

## 703 **E48: Clarification on encoding for binary values in LDAP profile**

704 **First reported by:** Greg Whitehead, HP

705 **Message:** <http://www.oasis-open.org/archives/security-services/200603/msg00034.html>

706 **Document:** Profiles

707 **Description:** In describing the encoding for binary values, the LDAP profile text is ambiguous  
708 about whether the ASN.1 OCTET STRING wrapper should be included or not.

708 **Options:**

709 Change line 1762 of Profiles to:

710 ... by base64-encoding [RFC2045] the contents of the ASN.1 OCTET STRING-encoded LDAP  
711 attribute value (not including the ASN.1 OCTET STRING wrapper)

711 **Disposition:** During the conference call of 5/09/06 TC voted to approve changes as stated here

---

## 712 **E49: Clarification on attribute name format**

713 **First reported by:** Greg Whitehead, HP

714 **Message:** <http://www.oasis-open.org/archives/security-services/200603/msg00034.html>

715 **Document:** Core

716 **Description:** The relationship between an attribute's `NameFormat` and its syntax is not clear.

717 **Options:**

718

719 **Add the following text after line 1217 of core:**

720 Attributes are identified/named by the combination of the `NameFormat` and `Name` XML attributes  
721 described above. Neither one in isolation can be assumed to be unique, but taken together, they  
722 ought to be unambiguous within a given deployment.

721 The SAML profiles specification [SAMLProf] includes a number of attribute profiles designed to  
722 improve the interoperability of attribute usage in some identified scenarios. Such profiles typically  
723 include constraints on attribute naming and value syntax. There is no explicit indicator when an  
724 attribute profile is in use, and it is assumed that deployments can establish this out of band,  
725 based on the combination of `NameFormat` and `Name`.

722 **Disposition:** During the TC conference call on 7/18 the TC approved the changes as stated here

---

## 723 E50: Clarification SSL Ciphersuites

724 **First reported by:** Eric Tiffany, Liberty Alliance

725 **Message:** <http://www.oasis-open.org/archives/security-services/200604/msg00030.html>

726 **Document:** Conformance

727 **Description:** The text needs to be clarified based on ciphersuites that were explicitly called out in  
728 the text. This is required to make it clear that:

- 728 1. these are not the only ones that are supported, and
- 729 2. this is not a minimal set that needs to be supported.

730 **Options:**

731 Change the following in the Conformance document:

- 732 1. In the intro of section 4 (XML Digital Signature and XML Encryption) after line 235, add:
  - 733 • The algorithms listed below as being required for SAML 2.0 conformance are  
734 based on the mandated algorithms in the W3C recommendations for XML  
735 Signature and for XML Encryption, but modified by the SSTC to ensure  
736 interoperability of conformant SAML implementations. While the SAML-defined  
737 set of algorithms is a minimal set for conformance, additional algorithms  
738 supported by XML Signature and XML Encryption MAY be used. Note, however,  
739 that the use of non-mandated algorithms may introduce interoperability issues if  
740 those algorithms are not widely implemented. As additional algorithms become  
741 mandated for use in XML Signature and XML Encryption, the set required for  
742 SAML conformance may be extended. [RSP: not sure about including the last  
743 sentence... opinions?]
- 734 1. In the intro of section 5 (Use of SSL 3.0 and TLS 1.0) after line 257, add:
  - 735 • The set up algorithms required for SAML 2.0 conformance is equivalent to that  
736 defined in SAML 1.0 and SAML 1.1. These mandated algorithms were chosen by  
737 the SSTC because of their wide implementation support in the industry. While the  
738 algorithms defined below are the minimal set for SAML conformance, additional  
739 algorithms supported by SSL 3.0 and TLS 1.0 MAY be used.

736 **Disposition:** During the conference call of 5/23/06 TC voted to approve changes as stated here

---

## 737 E51: Schema type of contents of <AttributeValue>

738 **First reported by:** Prateek Mishra, Oracle

739 **Message:** <http://lists.oasis-open.org/archives/security-services/200605/msg00001.html>

740 **Document:** Profiles

741 **Description:** Section 8.1 of SAML 2 Profiles state:

742 The Basic attribute profile specifies simplified, but non-unique, naming of SAML attributes  
743 together with attribute values based on the built-in XML Schema data types, eliminating the need  
744 for extension schemas to validate syntax.

743

744 Further in the document, lines (1699-70) it states:

745 The schema type of the contents of the <AttributeValue> element MUST be drawn from one of  
746 the types defined in Section 3.3 of [Schema2].

746 This appears to be in error. Section 3 of [Schema2] defines the "Built-in Datatypes" and Section  
747 3.3 is one specific sub-section within it (defines "Derived Datatypes"). With the current language  
748 both "Date" and "anyURI" are excluded; I somehow do not believe this was the original intent.

747 **Options:** Replace lines 1699-70 with:

748 The schema type of the contents of the <AttributeValue> element MUST be drawn from one of  
749 the types defined in Section 3 of [Schema 2].

749 **Disposition:** During the TC conference call on 5/9 the TC approved the changes as proposed  
750 here

---

## 750 **E52: Clarification on <NotOnOrAfter> attribute**

751 **First reported by:** Rob Philpott, RSA Security

752 **Message:** <http://lists.oasis-open.org/archives/security-services/200605/msg00007.html>

753 **Document:** Profiles

754 **Description:** Line 556-7: “a `NotOnOrAfter` attribute that limits the window during which the  
755 assertion can be delivered.”

756 The `NotOnOrAfter` in a `ConfirmationData` element isn’t about a window when the assertion can be  
757 delivered. Core defines it as being the time after which the subject cannot be confirmed. That’s  
758 independent of assertion delivery

756 **Options:**

757 Changes Profiles lines 556-7 from:

758 “a `NotOnOrAfter` attribute that limits the window during which the assertion can be delivered”  
759 to:

760 “a `NotOnOrAfter` attribute that limits the window during which the recipient can perform a  
761 confirmation of the assertion <Subject>”.

761 **Disposition:** During the TC conference call on 15 Aug 2006 the TC modified the wording to read  
762 “...during which the assertion can be confirmed by the relying party” and approved the change.

---

## 762 **E53: Correction to LDAP/X.500 profile attribute**

763 **First reported by:** Scott Cantor, OSU

764 **Message:** <http://lists.oasis-open.org/archives/security-services/200605/msg00004.html>

765 **Document:** Profiles

766 **Description:** The X.500/LDAP attribute profile is schema-invalid right now because we tell  
767 people to specify `xsi:type="xsd:string"` but then add our own `X500:Encoding` attribute into the  
768 `AttributeValue` element. That's illegal. Any fix would be a normative change to the profile, so  
769 either it has to be fixed or create a new profile and deprecate the original.

767 **Options:**

- 768 1. Remove the `xsi:type` requirement.  
769 Forces implementations to recognize string vs base64 encoding based on Attribute Name.  
770
- 771 2. Remove the `x500:Encoding` attribute.  
772 Forces implementations to trigger profile behavior based on Attribute Namespace and Name,  
773 encoding rules are implied.
- 773 3. Move the `x500:Encoding` attribute to the Attribute element.  
774 Suggests that future encoding rules will be uniform across all values of an attribute, but  
775 otherwise fully consistent with intent of profile.
- 776 4. Define an extended schema type that extends string and base64Binary with the  
777 `x500:Encoding` attribute and change the mandated `xsi:type` values to the extended types.  
778 Least change to existing profile behavior, but requires publishing and approving an additional  
779 schema document.
- 777 5. Deprecate the existing profile and define a new one incorporation whatever input can be  
778 gleaned from implementers.
- 778 6. A variation on 2 and 3, which is to:  
779 a. remove the `x500:Encoding` attribute and document that the LDAP encoding uses  
780 `xsi:type` string and base64Binary

780 b. document that other encodings should define new types  
781 **Disposition:** During the TC conference call on 6/20 the TC approved option 3 (which subsumes  
782 option 5) but subsequently decided that this would be a substantive change, such that the profile  
783 would have to be deprecated once a replacement profile could be specified. At the 16 January  
784 2007 TC telecon we agreed it's now safe to mention the (still-draft) new profile and do the  
785 deprecation.

---

## 786 E54: Correction to ECP URN

787 **First reported by:** Thomas Wisniewski, Entrust

788 **Message:** <http://lists.oasis-open.org/archives/security-services/200606/msg00019.html>

789 **Document:** Profiles

790 **Description:**

791 Line 757: The reference to the ecp urn should be in double quotes.

792 Lines 763 - 764: In the example, the reference to the ecp urn and the PAOS version should be in  
793 double quotes instead of single quotes.

793 Both of these seem incorrect based on the PAOS specification lines 95 - 100.

794 **Disposition:** During the TC conference call on 6/20 the TC approved to make the changes as  
795 stated here.

---

## 795 E55: Various Language Cleanups

796 **First reported by:** Scott Cantor, OSU

797 **Message:** <http://lists.oasis-open.org/archives/security-services/200606/msg00026.html>

798 **Document:** Core and Profiles

799 **Description:** This erratum attempts to capture all language cleanup in light of repeated  
800 questions. The goal here is to clarify these fundamental issues:

- 800 • NameIDMgmt applies to most of the formats
- 801 • NameIDMgmt affects only a given identifier for a principal, not every possible identifier  
802 that might exist for a principal (this is intended as a simplification)

802 Profiles, line 1319, change "some form of persistent identifier" to "some form of long-term  
803 identifier (including but not limited to identifiers with the Format urn....persistent)"

803 Profiles, line 1323, change "about the principal" to "using that identifier".

804 Core, lines 3337-3339, I'm inclined to say that text should be struck.

805 Core, line 2477, change "it will no longer issue assertions to the SP about the principal" to "it will  
806 no longer issue assertions to the SP using that identifier". This does step on an errata, but is a  
807 separate change from it.

806 Core, line 2483, change "regarding this principal" to "using the primary identifier".

807 Core, line 2487-8, change "regarding this principal" to "in any case where the identifier being  
808 changed would have been used".

808 **Disposition:** During the TC conference call on 8/15 the TC approved the changes as proposed  
809 here

---

## 809 E56: Typo in Profiles

810 **First reported by:** Eric Tiffany, Liberty Alliance

811 **Message:** <http://lists.oasis-open.org/archives/security-services/200606/msg00021.html>

812 **Document:** Profiles

813 **Description:** Line 326 of profiles states:  
814 "It is anticipated that profiles will define and use several different values for  
815 <ConfirmationMethod>"  
816 The last atom should be "Method" as there is not any<ConfirmationMethod> element in the SAML  
817 schema.  
818 **Disposition:** During the conference call on 7/18 the TC approved to making the changes as  
819 stated here.

---

## 817 E57: SAMLmime Reference

818 **First reported by:** Jeff Hodges, Nustar  
819 **Message:** <http://lists.oasis-open.org/archives/security-services/200606/msg00036.html>  
820 **Document:** Bindings  
821 **Description:** The [SAMLmime] reference in saml-bindings-2.0-os lines 1468-1469 reads as:  
822 [SAMLmime] application/saml+xml Media Type Registration, IETF Internet-Draft,  
823 <http://www.ietf.org/internet-drafts/draft-hodges-saml-mediatype-01.txt>.  
824 The document draft-hodges-saml-mediatype-01 expired (and thus was deleted from the I-D  
825 repository), since we ended up using the new "fast track" MIME Media Type registration process  
826 rather than publishing an RFC.  
827 **Options:** The reference should be replaced with a reference similar to  
828 [SAMLmime] OASIS Security Services Technical Committee (SSTC),  
829 "application/saml+xml MIME Media Type Registration", IANA MIME Media Types  
830 Registry application/saml+xml, December 2004.  
831 <http://www.iana.org/assignments/media-types/application/saml+xml>  
832 **Disposition:** During the TC conference call on 7/18 the TC approved the changes as stated here

---

## 827 E58: Typos in Profiles

828 **First reported by:** Tom Scavo, NCSA/University of Illinois  
829 **Message:** <http://www.oasis-open.org/archives/security-services/200607/msg00049.html>  
830 **Document:** Profiles  
831 **Description:** There are two minor errors in the profiles document on lines 626 and 627.  
832 **Options:**  
833 On line 626 change "sign" to "signing"  
834 On line 627 change "encrypt" to "encryption"  
835 **Disposition:** During the TC conference call on 8/15 the TC approved the changes as proposed  
836 here

---

## 836 E59: SSO Response when using HTTP-Artifact

837 **First reported by:** Rob Phillipot, RSA Security  
838 **Message:** <http://www.oasis-open.org/archives/security-services/200509/msg00019.html>  
839 **Document:** Bindings  
840 **Description:** The specification mandates support for the HTTP Artifact binding for a Web SSO  
841 <Response> in full and Lite versions of IDP's and SP's. However, the spec does not indicate  
842 what mechanisms (HTTP Redirect or HTTP POST) are mandated for delivery of the artifact.  
843 **Options:**  
844 Insert a clarifying paragraph after line 1173 of Bindings:

843 "Finally, note that the use of the Destination attribute in the root SAML element of the protocol  
844 message is unspecified by this binding, because of the message indirection involved."  
844 **Disposition:** During the TC conference call on 8/15 the TC approved the changes as proposed  
845 here

---

## 845 **E60: Incorrect URI**

846 **First reported by:** Tom Scavo, NCSA/University of Illinois

847 **Message:** <http://lists.oasis-open.org/archives/security-services/200608/msg00069.html>

848 **Document:** Core

849 **Description:** Line 460 references the URI

850 urn:oasis:names:tc:SAML:1.0:nameid-format:unspecified.

851 This is incorrect and should be replaced with

852 urn:oasis:names:tc:SAML:1.1:nameid-format:unspecified

853 **Options:**

854 **Disposition:** During the TC conference call on 8/29he TC approved the changes as proposed  
855 here.

---

## 855 **E61 Reference to non-existent element**

856 **First reported by:** Tom Scavo, -NCSA/University of Illinois

857 **Message:** <http://lists.oasis-open.org/archives/security-services/200608/msg00075tml>

858 **Document:** Core

859 **Description:** Line 3160 of core refers to the <Request> element. This is a non-existent element.

860 **Options:** Delete line 3160

861 **Disposition:** During the TC conference call on 8/29 the TC approved the changes as proposed  
862 here. (Additional edits proposed, in order to make sense of the text that remains. Scheduled to be  
863 brought up in 13 Feb 2007 telecon again for final approval.)

864

---

## 865 **E62: TLS Keys in KeyDescriptor**

866 **First reported by:** Scott Cantor on security-services list

867 **Message:** <http://lists.oasis-open.org/archives/security-services/200612/msg00034.html>

868 **Document:** Metadata

869 **Description:** The Metadata specification is underspecified with regard to how to interpret the  
870 KeyDescriptor element's "use" attribute and how TLS keys are expressed.

871 **Options:** Scott proposes one solution: Insert text after line 624 of Metadata:

872 A use value of "signing" means that the contained key information is applicable to  
873 both signing and TLS/SSL operations performed by the entity when acting in the  
874 enclosing role.

875 A use value of "encryption" means that the contained key information is suitable for  
876 use in wrapping encryption keys for use by the entity when acting in the enclosing  
877 role.

878 If the use attribute is omitted, then the contained key information is applicable to both  
879 of the above uses.

880 [He further comments: "If "wrapping encryption keys" isn't a precise enough term, please find](#)  
881 [some crypto experts to clarify it... It's worth noting to the TC that this doesn't even scratch the](#)  
882 [surface of the problems with KeyInfo interop, and spec and product users are starting to notice..."](#)  
883

884 [Disposition: During the TC conference call on 16 January 2007 the TC approved the changes as](#)  
885 [proposed here.](#)

---

## 886 **E63: IdP Discovery Cookie Interpretation**

887 **First reported by:** Scott Cantor on security-services list

888 **Message:** <http://lists.oasis-open.org/archives/security-services/200612/msg00035.html>

889 **Document:** Profiles

890 **Description:** There is confusion over how the contents of an IdP Discovery cookie are meant to  
891 be interpreted because of the allowance for specifying either persistent or session lifetime.

892 **Options:** Scott proposes one solution: In Profiles Section 4.3, insert the following paragraph after  
893 line 1105:

894 [Note that while a session-only cookie can be used, the intent of this profile is not to](#)  
895 [provide a means of determining whether a user actually has an active session with](#)  
896 [one or more of the identity providers stored in the cookie. The cookie merely](#)  
897 [identifies identity providers known to have been used in the past. Service providers](#)  
898 [MAY instead rely on the IsPassive attribute in their samlp:AuthnRequest message to](#)  
899 [probe for active sessions.](#)

900 [Disposition: During the TC conference call on 16 January 2007 the TC approved the changes as](#)  
901 [proposed here.](#)

---

## 902 **3 PotentialProposed Errata**

903 [These proposed errata, given a "PE~~nn~~" number designation, have either been determined by](#)  
904 [Tthe SSTC ~~has determined that these reported problems cannot be solved~~not to be resolvable](#)  
905 [with a "non-substantive" change or, in the case of PEs with "\[OPEN\]" in the title, ~~has not yet made~~](#)  
906 [a determination~~have not been considered by the SSTC yet.~~](#)

---

## 907 **PE3: Supported URL Encoding**

908 First reported by: Scott Cantor, OSU

909 Message: <http://lists.oasis-open.org/archives/security-services/200501/msg00058.html>

910 **Document:** Metadata

911 **Description:** Specify the URL encoding supported by an HTTP Redirect binding endpoint.

912 **Options:** This isn't actually an erratum, it's a missing piece that doesn't currently break anything  
913 but could in the future if alternate URL encodings for the Redirect binding emerge (for example a  
914 binary XML representation). We need an extension attribute to indicate non-default encoding  
915 support, it can just be added to our new "2.0 metadata extension schema". This should be moved  
916 to the issues list.

913 **Disposition:** During the conference call of April 12 the TC agreed to move this to the issues list.

---

## 914 **PE5: Rules for NameIDPolicy**

915 **First reported by:** Brian Campbell, Ping Identity

916 Message: <http://lists.oasis-open.org/archives/security-services/200501/msg00058.html>



917 **Document:** Binding and Profiles

918 **Description:** A *transient* nameid-format of a <NameIDPolicy> in an <AuthRequest> with  
919 *allowCreate* is meaningless.

919 **Options:** There are two options. Both involve adding text after line 2147 of [SAMLCore].

920 **1. Strict option:**

921 “Finally, note that since the urn:oasis:names:tc:SAML:2.0:nameid-format:transient Format value  
922 (see Section 8.3.8) implicitly results in a new identifier being created during the handling of most  
923 requests, the AllowCreate attribute MUST be set to true in order for such a value to be returned.”

922 **2. Optimized option:**

923 “Finally, note that since them urn:oasis:names:tc:SAML:2.0:nameid-format:transient Format value  
924 (see Section 8.3.8) implicitly results in a new identifier being created during the handling of most  
925 requests, the AllowCreate attribute MUST be ignored by the identity provider when such an  
926 identifier is requested or issued.”

924 **Disposition:** During the conference call of June 21 the TC agreed that E14 addresses this  
925 erratum and approved to dispose of this erratum as such.

925

---

## 926 **PE9: Clarification on SP AuthnRequestsSigned and the IdP 927 WantAuthnRequestsSigned SP metadata flags**

927 **First reported by:** Greg Whitehead, Trustgenix

928 **Message:** <http://lists.oasis-open.org/archives/security-services/200503/msg00005.html>.

929 **Document:** Metadata

930 **Description:** The lack of a flag at an SP was not intended to imply that an SP would never sign if  
931 it had a reason to, and the IdP flag was not intended to somehow create a conflict. One can't  
932 resolve the situation policy-wise if an SP and IdP disagree about whether to sign, the metadata  
933 simply might reflect this.

931 **Options:** See PE7

932 **Disposition:** During the conference call of April 12 the TC accepted the option of combining this  
933 with E7 and disposing of it accordingly.

---

## 933 **PE16: Inaccurate data in Feature Matrix**

934 **First reported by:** Eric Tiffany, Liberty Alliance

935 **Message:** <http://lists.oasis-open.org/archives/security-services-comment/200506/msg00000.html>

936 **Document:** Conformance

937 **Description:** The Feature Matrix (Table 2), last row, lists Identity Provider Discovery as N/A in  
938 the ECP column. However, the Profiles spec (line 725) notes that “The ECP MAY use the SAML  
939 identity provider discovery profile” to determine the IdP.”

938 **Options:** Change the cell to say OPTIONAL instead of N/A

939 **Disposition:** During the conference call of June 21 the TC approved to make no changes to the  
940 conformance document. A new erratum will be proposed to correct the Profile document to  
941 address this issue (see E18).

---

## 940 **PE23: Metadata for <ArtifactResolutionService>**

941 **First reported by:** Nick Ragouzis, Enosis Group

942 **Message:** <http://lists.oasis-open.org/archives/security-services/200507/msg00036.html>

943 **Document:** Profiles

944 **Description:** The text is not as clear as it should be. In Section 4.1.6 (Web Browser SSO Profile),  
945 at Line 639 change "MUST" to "SHOULD". Also, add the following text:

945 If the request or response message is delivered using the HTTP Artifact binding, the artifact  
946 issuer SHOULD provide at least one <md:ArtifactResolutionService> endpoint element in its  
947 metadata.

946 **Options:** Accept changes as suggested here.

947 **Disposition:** During the call on 2/28 the TC moved to close with no resolution

---

## 948 **PE44: Constrained Delegation**

949 **First reported by:** Place holder for possible erratum. Scott will provide text as necessary.

950 **Message:**

951 **Document:**

952 **Description:**

953 **Options:**

954 **Disposition:** Deactivated. Rolled into E47.

955

---

## 956 **PE62: TLS Keys in KeyDescriptor [OPEN]**

957 **First reported by:** Scott Cantor on security-services list

958 **Message:** <http://lists.oasis-open.org/archives/security-services/200612/msg00034.html>

959 **Document:** Metadata

960

961 **Description:** The Metadata specification is underspecified with regard to how to interpret the  
962 KeyDescriptor element's "use" attribute and how TLS keys are expressed.

963

964 **Options:** Scott proposes one solution: Insert text after line 624 of Metadata:

965

966 A use value of "signing" means that the contained key information is applicable to  
967 both signing and TLS/SSL operations performed by the entity when acting in the  
968 enclosing role.

969 A use value of "encryption" means that the contained key information is suitable for  
970 use in wrapping encryption keys for use by the entity when acting in the enclosing  
971 role.

972 If the use attribute is omitted, then the contained key information is applicable to both  
973 of the above uses.

974 He further comments: "If "wrapping encryption keys" isn't a precise enough term, please find  
975 some crypto experts to clarify it... It's worth noting to the TC that this doesn't even scratch the  
976 surface of the problems with KeyInfo interop, and spec and product users are starting to notice..."

977

978 **Disposition:** To be determined

979

---

980 | **PE63: IdP Discovery Cookie Interpretation [OPEN]**

981 | **First reported by:** Scott Cantor on security-services list

982 | **Message:** <http://lists.oasis-open.org/archives/security-services/200612/msg00035.html>

983 | **Document:** Profiles

984 | **Description:** There is confusion over how the contents of an IdP Discovery cookie are meant to  
985 | be interpreted because of the allowance for specifying either persistent or session lifetime.

986 |

987 | **Options:** Scott proposes one solution: In Profiles Section 4.3, insert the following paragraph after  
988 | line 1105:

989 |

990 |       Note that while a session-only cookie can be used, the intent of this profile is not to  
991 |       provide a means of determining whether a user actually has an active session with  
992 |       one or more of the identity providers stored in the cookie. The cookie merely  
993 |       identifies identity providers known to have been used in the past. Service providers  
994 |       MAY instead rely on the IsPassive attribute in their samlp:AuthnRequest message to  
995 |       probe for active sessions.

996 | **Disposition:** To be determined

997 |

## Appendix A.Revision History

<i>Rev</i>	<i>Date</i>	<i>By Whom</i>	<i>What</i>
Draft-00	2005-01-31	Jahan Moreh	Initial version based on emails to the list
Draft-01	2005-02-14	Jahan Moreh	Removed E5 as it is related to the Technical Overview document, which is work in progress. Relabeled all items as Potential Errata (PE). Added PE4 and PE5. Added E1.
Draft-02	2005-03-27	Jahan Moreh	Moved E1 to PE section. Added E2,E3 and E4. Added PE7
Draft-03	2005-03-29	Jahan Moreh	Rearranged E and PE items. The E items now are those which have been resolved and have proposed text, where required. PE items will be moved to E as they meet these requirements.
Draft-04	2005-04-11	Jahan Moreh	Incorporated proposes text all Pes based on emails to the list:
Draft-05	2005-04-12	Jahan Moreh	Minor corrections to PE5 and PE8. Accepted disposition of all items except PE5, PE7 and PE10. Decided to keep disposed Pes in the PE section (and not move them to the E section)
Draft-06	2005-04-25	Jahan Moreh	Added PE11, PE12 and PE13
Draft-07	2005-05-27	Jahan Moreh	Added PE14
Draft-08	2005-06-03	Jahan Moreh	Added PE15
Draft-09	2005-06-20	Jahan Moreh	Added PE16. Disposed PE11, PE12, PE13, and PE16 and PE17.
Draft 10	2005-07-04	Jahan Moreh	Added PE18
Draft 11	2005-07-18	Jahan Moreh	Disposed PE17, added PE19 and PE20
Draft 12	2005-08-01	Jahan Moreh	Disposed PE18, PE19 and PE20. Added PE21-PE25.
Draft 13	2005-08-15	Jahan Moreh	Closed PE19, PE22, PE24. Added PE26.
Draft 14	2005-08-29	Jahan Moreh	Updated PE26

<b>Rev</b>	<b>Date</b>	<b>By Whom</b>	<b>What</b>
Draft 15	2005-09-12	Jahan Moreh	Closed PE26, added PE27-34
Draft 16	2005-09-26	Jahan Moreh	Added PE35. Closed PE30, PE33 and PE34
Draft 17	2005-10-10	Jahan Moreh	Closed PE7, PE25, PE27-29, PE31, PE35.
Draft 18	2005-10-24	Jahan Moreh	Added PE36
Draft 19	2005-11-07	Jahan Moreh	Closed PE36
Draft 20	2005-11-21	Jahan Moreh	Added PE37 and PE38
Draft 21	2005-12-05	Jahan Moreh	Closed PE37 and PE38. Added text for PE32.
Draft 22	2006-01-30	Jahan Moreh	Added PE39, PE40, PE41, PE42 and 43
Draft 23	2006-02-13	Jahan Moreh	Closed PE39, PE41. Added PE44.
Draft 24	2006-02-27	Jahan Moreh	Closed PE10 and added PE45. Modified description and option for correcting PE 35.
Draft 24	2006-02-27	Jahan Moreh	Closed PE10 and added PE45. Modified description and option for correcting PE 35.
Draft 25	2006-03-27	Jahan Moreh	Closed PE23, PE35, PE40. Added PE46 and PE47.
Draft 26	2006-04-10	Jahan Moreh	Closed PE44, PE45 and PE47. Added PE48.
Draft 27	2006-04-24	Jahan Moreh	Split PE48 into two PEs (48 and 49).
Draft 28	2006-05-05	Jahan Moreh	Added PE50 and PE51
Draft 29	2006-05-22	Jahan Moreh	Closed PE46, PE48 and PE51. Added PE52 and PE53
Draft 30	2006-06-05	Jahan Moreh	Closed PE43 and PE50. Updated PE53
Draft 31	2006-06-19	Jahan Moreh	Added PE54
Draft 32	2006-07-17	Jahan Moreh	Added PE55, PE56, PE57 and PE58. Updated PE49
Draft 33	2006-07-31	Jahan Moreh	Replaced PE58. Closed PE49, PE56, PE57. Added PE59.
Draft 34	2006-08-28	Eve Maler and Jahan Moreh	Reformatting and clean up.

<i>Rev</i>	<i>Date</i>	<i>By Whom</i>	<i>What</i>
Draft 35	2006-09-11	Jahan Moreh	Closed PE52, PE55, PE58, and PE59. Added and closed PE60 and PE61.
Draft 36	2006-09-21	Jahan Moreh	Renamed all approved PEs as Es keeping the original numbers. Renamed E1 to E0. Changed Summary of Disposition table to reflect new E #'s.
Draft 37	2006-12-19	Eve Maler	Added PE62 and PE63.
Draft 38	2007-01-14	Eve Maler	Cleanup in accordance with final decisions made by TC (verified by review of the errata composite documents and the creation of the standards-track errata document) and to prepare for eventual final publication of the whole set of documents.
<a href="#">Draft 39</a>	2007- <del>02</del> -1219	Eve Maler	Closed PE62 (->E62) and PE63 (->E63). <a href="#">Did a little more editorial distinction around this document vs. the other errata-related documents.</a>

999

## Appendix B. Summary of Disposition

<i>Erratum #</i>	<i>Status</i>	<i>Document</i>
E0	Closed	Core
E1	Closed	Bindings
E2	Closed	Bindings
PE3	Closed	Metadata
E4	Closed	Binding
PE5	Closed	Binding/Profiles
E6	Closed	Core
E7	Closed	Metadata
E8	Closed	Core
PE9	Closed – combined with PE7	Metadata
E10	Closed	Core
E11	Closed	Conformance
E12	Closed	Core/Profiles
E13	Closed	Core
E14	Closed	Core/Profiles
E15	Closed	Core
PE16	Closed	Conformance
E17	Closed	Profiles
E18	Closed	Profiles
E19	Closed	Bindings
E20	Closed	Profiles
E21	Closed	Bindings
E22	Closed	Profiles
PE23	Closed	Profiles
E24	Closed	Bindings

<b>Erratum #</b>	<b>Status</b>	<b>Document</b>
E25	Closed	Conformance
E26	Closed	Profiles
E27	Closed	Profiles
E28	Closed	Conformance
E29	Closed	Conformance
E30	Closed	Core
E31	Closed	Bindings
E32	Closed	Profiles
E33	Closed	Metadata
E34	Closed	Metadata
E35	Closed	Profiles
E36	Closed	Core
E37	Closed	Metadata
E38	Closed	Core/Profiles
E39	Closed	Profiles
E40	Closed	Profiles
E41	Closed	Metadata
E42	Closed	Conformance
E43	Closed	Core
PE44	Closed – combined with PE47	Placeholder for Constrained Delegation
E45	Closed	Core
E46	Closed	Core
E47	Closed	Core/Profiles
E48	Closed	Profiles
E49	Closed	Core
E50	Closed	Conformance
E51	Closed	Profiles



<b>Erratum #</b>	<b>Status</b>	<b>Document</b>
E52	Closed	Profiles
E53	Closed	Profiles
E54	Closed	Profiles
E55	Closed	Core/Profiles
E56	Closed	Profiles
E57	Closed	Bindings
E58	Closed	Profiles
E59	Closed	Bindings
E60	Closed	Core
E61	Closed	Core
PE62	<del>Open</del> Closed	Metadata
PE63	<del>Open</del> Closed	Profiles

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1001 **Appendix C. Acknowledgments**

1002 The editors would like to acknowledge the contributions of the OASIS Security Services Technical  
1003 Committee, whose voting members at the time of publication were:

- 1003     • TBS

1004 The editors also would like to gratefully acknowledge Jahan Moreh of Sigaba, who during his  
1005 tenure on the SSTC was the primary editor of this errata document and who made major  
1006 substantive contributions to all of the errata materials.

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## Appendix D. Notices

1005

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