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# 2 SAML V2.0 Errata

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9

10 <http://docs.oasis-open.org/security/saml/v2.0/saml-bindings-2.0-os.pdf>

11 <http://docs.oasis-open.org/security/saml/v2.0/saml-conformance-2.0-os.pdf>

12 <http://docs.oasis-open.org/security/saml/v2.0/saml-core-2.0-os.pdf>

13 <http://docs.oasis-open.org/security/saml/v2.0/saml-metadata-2.0-os.pdf>

14 <http://docs.oasis-open.org/security/saml/v2.0/saml-profiles-2.0-os.pdf>

14

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15

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16

### 17 Abstract:

17

18 This document lists approved errata to the SAML V2.0 OASIS Standard.

18

### 19 Status:

19

20 This document is a Working Draft intended to be a candidate for Committee Draft status  
21 on the way to final Approved Errata status. See the [OASIS TC Process](#) for more  
22 information about errata to OASIS Standards.

22

23 Committee members should send comments and potential errata to [security-](mailto:security-services@lists.oasis-open.org)  
24 [services@lists.oasis-open.org](mailto:services@lists.oasis-open.org). Others should submit them by following the instructions at  
25 [http://www.oasis-open.org/committees/comments/index.php?wg\\_abbrev=security](http://www.oasis-open.org/committees/comments/index.php?wg_abbrev=security).

25

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# 1 Introduction

91

92 This document lists the approved errata to the SAML V2.0 OASIS Standard. Each one has been  
93 given an *Enn* designation. Numbers in the sequence are missing wherever a reported problem (a  
94 “proposed erratum”, or PE) resulted in a TC decision not to issue an erratum to any V2.0  
95 specification text.

93

This document is ultimately intended to be confirmed as a formal Approved Errata document. To  
94 see the full list of reported problems and additional background on the approved errata, see the  
95 Errata Working Document for SAML V2.0 [SAMLErrWork].

94

As required by the OASIS Technical Committee Process, the approved errata represent changes  
95 that are not “substantive”. The changes focus on clarifications to ambiguous or conflicting  
96 specification text, where different compliant implementations might have reasonably chosen  
97 different interpretations. The intent of the Security Services TC has been to resolve such issues in  
98 service of improved interoperability based on implementation and deployment experience.

95

In this document, errata change instructions are presented with surrounding context as necessary  
96 to make the intent clear. Original specification text is often presented as follows, with problem text  
97 highlighted in bold:

96

This is an original specification sentence. **The second sentence needs to be changed, removed,  
97 or replaced.**

97

New specification text is typically presented as follows, with new or changed text highlighted in  
98 bold:

98

This is a **highly** original specification sentence. **This is the wholly new content to replace the  
99 old second sentence. It runs on and on and on.**

99

In a few cases, text needs only to be struck, in which case the change is shown as follows, with  
100 text to be removed both highlighted in bold and struck through:

100

This is yet another original specification sentence which contains ~~**an inappropriately**~~ long  
101 description.

101

In addition to this normative document, non-normative “errata composite” documents have been  
102 provided that combine the prescribed corrections with the original specification text, illustrating  
103 the changes with margin change bars, struck-through original text, and highlighted new text.

102

Of the SAML V2.0 specifications, only the following have approved errata:

103

- Assertions and Protocols (original [SAMLCore], errata composite [SAMLCoreErr])

104

- Bindings (original [SAMLBind], errata composite [SAMLBindErr])

105

- Conformance Requirements (original [SAMLConf], errata composite [SAMLConfErr])

106

- Metadata (original [SAMLMeta], errata composite [SAMLMetaErr])

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- Profiles (original [SAMLProf], errata composite [SAMLProfErr])

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All cited line numbers refer to the PDF forms of the original OASIS Standard specifications in  
109 question, not to line numbers in this document or in the errata composite documents.

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## 2 Approved Errata

109

Following are the approved errata to the SAML V2.0 OASIS Standard.

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### E0: Incorrect Section Reference

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Change [SAMLCore] at line 2660 to refer to section 3.7.3 rather than 3.6.3 for Reason codes. This was a typographical error.

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### E1: Relay State for HTTP Redirect

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Change [SAMLBind] Section 3.4.3 at lines 551-553 to reflect the fact that, indeed, the RelayState parameter is covered by the query string signature described in Section 3.4.4.1 (DEFLATE encoding). Note that Section 3.5.3, which has similar original wording, remains correct for its case.

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Original:

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RelayState data MAY be included with a SAML protocol message transmitted with this binding. The value MUST NOT exceed 80 bytes in length and SHOULD be integrity protected by the entity creating the message. **Signing is not realistic given the space limitation, but because the value is exposed to third-party tampering, the entity SHOULD insure that the value has not been tampered with by using a checksum, a pseudo-random value, or similar means.**

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New:

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RelayState data MAY be included with a SAML protocol message transmitted with this binding. The value MUST NOT exceed 80 bytes in length and SHOULD be integrity protected by the entity creating the message, **either via a digital signature (see Section 3.4.4.1) or by some independent means.**

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### E2: Metadata Clarifications for HTTP Artifact Binding

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Change [SAMLBind] Section 3.6.7 at lines 1188-1191 to clarify metadata requirements on profiles using the HTTP Artifact binding.

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Original:

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Support for the HTTP Artifact binding SHOULD be reflected by indicating URL endpoints at which requests and responses for a particular protocol or profile should be sent. Either a single endpoint or distinct request and response endpoints MAY be supplied. **One or more indexed endpoints for processing <samlp:ArtifactResolve> messages SHOULD also be described.**

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New:

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Support for **receiving messages using** the HTTP Artifact binding SHOULD be reflected by indicating URL endpoints at which requests and responses for a particular protocol or profile should be sent. **Support for sending messages using this binding SHOULD be accompanied by one or more indexed <md:ArtifactResolutionService> endpoints for processing <samlp:ArtifactResolve> messages.**

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### E4: No Role for SAML V1.1 Artifacts in SAML V2.0

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Change [SAMLBind] Section 3.6.4 at line 1067 to clarify that SAML V1.1 artifacts have no role in SAML V2.0.

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New:

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The following describes the single artifact type defined by SAML V2.0. **Although the general artifact structure resembles that used in prior versions of SAML and the type code of the**

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single format described below does not conflict with previously defined formats, there is explicitly no correspondence between SAML V2.0 artifacts and those found in any previous specifications, and artifact formats not defined specifically for use with SAML V2.0 MUST NOT be used with this binding.

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## E6: Clarify Constraints on Encrypted NameID

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Change [SAMLCore] Section 3.4.1.1 at line 2139 to clarify that, if encrypted name identifiers are chosen, no further description of the type of name identifier will be available in SAML messages..

New:

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The special Format value `urn:oasis:names:tc:SAML:2.0:nameid-format:encrypted` indicates that the resulting assertion(s) MUST contain `<EncryptedID>` elements instead of plaintext. The underlying name identifier's unencrypted form can be of any type supported by the identity provider for the requested subject. **It is not possible for the service provider to specifically request that a particular kind of identifier be returned if it asks for encryption. The `<md:NameIDFormat>` metadata element (see [SAMLMeta]) or other out-of-band means MAY be used to determine what kind of identifier to encrypt and return.**

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## E7: Metadata for Agreeing to Sign Authentication Requests

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Change [SAMLMeta] Section 2.4.3 at line 710, 741-742, and 744-747 to remove ambiguity about how to accomplish signing when the IdP SSO descriptor includes the setting `WantAuthnRequestsSigned` and the SP SSO descriptor includes the setting `AuthnRequestsSigned`.

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New at line 710:

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**The `WantAuthnRequestsSigned` attribute is intended to indicate to service providers whether or not they can expect an unsigned `<AuthnRequest>` message to be accepted by the identity provider. The identity provider is not obligated to reject unsigned requests nor is a service provider obligated to sign its requests, although it might reasonably expect an unsigned request will be rejected. In some cases, a service provider may not even know which identity provider will ultimately receive and respond to its requests, so the use of this attribute in such a case cannot be strictly defined.**

**Furthermore, note that the specific method of signing that would be expected is binding dependent. The HTTP Redirect binding (see [SAMLBind]) requires that the signature be applied to the URL-encoded value rather than placed within the XML message, while other bindings generally permit the signature to be within the message in the usual fashion.**

The following schema fragment defines the `<IDPSSODescriptor>` element and its `IDPSSODescriptorType` complex type:

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New at lines 741-742:

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Optional attribute that indicates whether the `<samlp:AuthnRequest>` messages sent by this service provider will be signed. If omitted, the value is assumed to be false. **A value of false (or omission of this attribute) does not imply that the service provider will never sign its requests or that a signed request should be considered an error. However, an identity provider that receives an unsigned `<samlp:AuthnRequest>` message from a service provider whose metadata contains this attribute with a value of true MUST return a SAML error response and MUST NOT fulfill the request.**

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New at lines 744-747:

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Optional attribute that indicates a requirement for the `<saml:Assertion>` elements received by this service provider to be signed. If omitted, the value is assumed to be false. This requirement is in addition to any requirement for signing derived from the use of a particular profile/binding combination. **Note that an enclosing signature at the SAML binding or protocol layer does**

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143

not suffice to meet this requirement, for example signing a <samlp:Response> containing the assertion(s) or a TLS connection.

143

## E8: SLO and NameID Termination

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Change [SAMLCore] Section 3.6.3 at lines 2479-2480 to clarify the rules around SP single logout behavior when a name identifier has been terminated.

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145

Original:

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The receiving provider can perform any maintenance with the knowledge that the relationship represented by the name identifier has been terminated. **It can choose to invalidate the active session(s) of a principal for whom a relationship has been terminated.**

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New:

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The receiving provider can perform any maintenance with the knowledge that the relationship represented by the name identifier has been terminated. **In general it SHOULD NOT invalidate any active session(s) of the principal for whom the relationship has been terminated. If the receiving provider is an identity provider, it SHOULD NOT invalidate any active session(s) of the principal established with other service providers. A requesting provider MAY send a <LogoutRequest> message prior to initiating a name identifier termination by sending a <ManageNameIDRequest> message if that is the requesting provider's intent (e.g., the name identifier termination is initiated via an administrator who wished to terminate all user activity). The requesting provider MUST NOT send a <LogoutRequest> message after the <ManageNameIDRequest> message is sent.**

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## E10: Logout Request Reason Mismatch with Schema

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Change [SAMLCore] Section 3.7.1 at line 2540 to resolve an apparent conflict between the specification text and the schema. (Note that although in this case the schema could have been more specific, text in SAML specifications is allowed to impose further restrictions on syntactic constraints imposed by a schema, and this technique has been used here to resolve the issue without a substantive change.)

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New:

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An indication of the reason for the logout, in the form of a URI reference. **The Reason attribute is specified as a string in the schema. This specification further restricts the schema by requiring that the Reason attribute MUST be in the form of a URI reference.**

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## E11: Improperly Labeled Feature

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Change [SAMLConf] in Section 3.2 (Table 2) to make the labels in feature rows 6 through 9 consistent.

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Original labels:

156

Name Identifier Management, HTTP Redirect (IdP-initiated)

157

Name Identifier Management, SOAP (IdP-initiated)

158

Name Identifier Management, HTTP Redirect

159

Name Identifier Management, SOAP

157

New labels:

158

**Name Identifier Management (IdP-Initiated), HTTP Redirect**

159

**Name Identifier Management (IdP-Initiated), SOAP**

160

**Name Identifier Management (SP-Initiated), HTTP Redirect**

161

**Name Identifier Management (SP-Initiated), SOAP**

## E12: Clarification on ManageNameIDRequest

Change [SAMLCore] Section 3.6 at lines 2412-2413 and 2438, and change [SAMLProf] Section 4.5 at lines 1320-1321, to remove incorrect implications that the name identifier format can be changed in the course of the protocol.

New [SAMLCore] at lines 2412-2413:

After establishing a name identifier for a principal, an identity provider wishing to change the value **and/or format** of the identifier that it will use when referring to the principal, or to indicate that a name identifier will no longer be used to refer to the principal, informs service providers of the change by sending them a `<ManageNameIDRequest>` message.

New [SAMLCore] at line 2438:

If the requester is the identity provider, the new value will appear in subsequent `<NameID>` elements as the element's content. **In either case, if the `<NewEncryptedID>` is used, its encrypted content is just a `<NewID>` element containing only the new value for the identifier (format and qualifiers cannot be changed once established).**

New [SAMLProf] at lines 1320-23121:

Subsequently, the identity provider may wish to notify the service provider of a change in the **format and/or** value that it will use to identify the same principal in the future.

## E13: Inaccurate Description of Authorization Decision

Change [SAMLCore] Section 2 at lines 357-358 to complete the list of potential results from an authorization decision.

New:

Authorization Decision: A request to allow the assertion subject to access the specified resource has been granted or denied **or is indeterminate**.

## E14: AllowCreate

Change [SAMLCore] at lines 2123-2129, 2130, 2143-2147, 2419-2420, and 2480, and change [SAMLProf] at lines 521-524, to clarify the semantics of `AllowCreate`.

Original at [SAMLCore] Section 3.4.1.1, lines 2123-2129:

A Boolean value used to indicate whether the identity provider **is allowed**, in the course of fulfilling the request, to create a new identifier **to represent the principal**. Defaults to "false". **When "false", the requester constrains the identity provider to only issue an assertion to it if an acceptable identifier for the principal has already been established. Note that this does not prevent the identity provider from creating such identifiers outside the context of this specific request (for example, in advance for a large number of principals).**

New at [SAMLCore] Section 3.4.1.1, lines 2123-2129:

A Boolean value used to indicate whether the **requester grants to** the identity provider, in the course of fulfilling the request, **permission to create a new identifier or to associate an existing identifier representing the principal with the relying party**. Defaults to "false" **if not present or the entire element is omitted**.

New at [SAMLCore] Section 3.4.1.1, line 2130 (just after the above changes):

**The `AllowCreate` attribute may be used by some deployments to influence the creation of state maintained by the identity provider pertaining to the use of a name identifier (or any other persistent, uniquely identifying attributes) by a particular relying party, for purposes such as dynamic identifier or attribute creation, tracking of consent, subsequent use of the Name Identifier Management protocol (see Section 3.6), or other related purposes.**



179 **When “false”, the requester tries to constrain the identity provider to issue an assertion**  
180 **only if such state has already been established or is not deemed applicable by the identity**  
181 **provider to the use of an identifier. Thus, this does not prevent the identity provider from**  
182 **assuming such information exists outside the context of this specific request (for example,**  
183 **establishing it in advance for a large number of principals).**  
184  
185 **A value of “true” permits the identity provider to take any related actions it wishes to fulfill**  
186 **the request, subject to any other constraints imposed by the request and policy (the**  
187 **IsPassive attribute, for example).**  
188  
189 **Generally, requesters cannot assume specific behavior from identity providers regarding**  
190 **the initial creation or association of identifiers on their behalf, as these are details left to**  
191 **implementations or deployments. Absent specific profiles governing the use of this**  
192 **attribute, it might be used as a hint to identity providers about the requester’s intention to**  
193 **store the identifier or link it to a local value.**  
194  
195 **A value of “false” might be used to indicate that the requester is not prepared or able to do**  
196 **so and save the identity provider wasted effort.**  
197  
198 **Requesters that do not make specific use of this attribute SHOULD generally set it to “true”**  
199 **to maximize interoperability.**  
200  
201 **The use of the AllowCreate attribute MUST NOT be used and SHOULD be ignored in**  
202 **conjunction with requests for or assertions issued with name identifiers with a Format of**  
203 **urn:oasis:names:tc:SAML:2.0:nameid-format:transient (they preclude any such**  
204 **state in and of themselves).**

180 Original at [SAMLCore] Section 3.6, lines 2419-2420:

181 A service provider also uses this message to register or change the SPProvidedID value to be  
182 included when the underlying name identifier is used to communicate with it, or to terminate the use  
183 of a name identifier between itself and the identity provider.  
184  
185 **Note that this protocol is typically not used with “transient” name identifiers, since their**  
186 **value is not intended to be managed on a long-term basis.**

182 New at [SAMLCore] Section 3.6, lines 2419-2420:

183 A service provider also uses this message to register or change the SPProvidedID value to be  
184 included when the underlying name identifier is used to communicate with it, or to terminate the use  
185 of a name identifier between itself and the identity provider.  
186  
187 **This protocol MUST NOT be used in conjunction with the**  
188 **urn:oasis:names:tc:SAML:2.0:nameidformat:transient <NameID> Format.**

184 New at [SAMLCore] Section 3.6.3, line 2480 (note that E8 and E55 specify additional changes to  
185 the original text shown here):

185 If the <Terminate> element is included in the request, the requesting provider is indicating that (in  
186 the case of a service provider) it will no longer accept assertions from the identity provider or (in the  
187 case of an identity provider) it will no longer issue assertions to the service provider about the  
188 principal. The receiving provider can perform any maintenance with the knowledge that the  
189 relationship represented by the name identifier has been terminated. It can choose to invalidate the  
190 active session(s) of a principal for whom a relationship has been terminated.  
191  
192 **If the receiving provider is maintaining state associated with the name identifier, such as the**  
193 **value of the identifier itself (in the case of a pair-wise identifier), an SPProvidedID value,**  
194 **the sender’s consent to the identifier’s creation/use, etc., then the receiver can perform any**  
195 **maintenance with the knowledge that the relationship represented by the name identifier**  
196 **has been terminated.**  
197  
198 **Any subsequent operations performed by the receiver on behalf of the sender regarding the**  
199 **principal (for example, a subsequent <AuthnRequest>) SHOULD be carried out in a manner**

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consistent with the absence of any previous state.

Termination is potentially the cleanup step for any state management behavior triggered by the use of the `AllowCreate` attribute in the Authentication Request protocol (see Section 3.4). Deployments that do not make use of that attribute are likely to avoid the use of the `<Terminate>` element or would treat it as a purely advisory matter.

Note that in most cases (a notable exception being the rules surrounding the `SPProvidedID` attribute), there are no requirements on either identity providers or service providers regarding the creation or use of persistent state. Therefore, no explicit behavior is mandated when the `<Terminate>` element is received. However, if persistent state is present pertaining to the use of an identifier (such as if an `SPProvidedID` attribute was attached), the `<Terminate>` element provides a clear indication that this state SHOULD be deleted (or marked as obsolete in some fashion).

187 Original at [SAMLProf] Section 4.1.4.1, lines 521-524:

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If the identity provider cannot or will not satisfy the request, it MUST respond with a `<Response>` message containing an appropriate error status code or codes.

If the service provider wishes to permit the identity provider to establish a new identifier for the principal if none exists, it MUST include a `<NameIDPolicy>` element with the `AllowCreate` attribute set to "true". Otherwise, only a principal for whom the identity provider has previously established an identifier usable by the service provider can be authenticated successfully.

189 New at [SAMLProf] Section 4.1.4.1, lines 521-524:

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If the identity provider cannot or will not satisfy the request, it MUST respond with a `<Response>` message containing an appropriate error status code or codes.

This profile does not provide any guidelines for the use of `AllowCreate`; see [SAMLCore] for normative rules on using `AllowCreate`.

## 191 E15: NameID Policy Adherence

192 Change [SAMLCore] Section 3.4.1.1 at line 2139 to clarify that the expressed name identifier  
193 policy must be adhered to.

193 New (note that E6 specifies additional changes to the original text shown here):

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The special `Format` value `urn:oasis:names:tc:SAML:2.0:nameid-format:encrypted` indicates that the resulting assertion(s) MUST contain `<EncryptedID>` elements instead of plaintext. The underlying name identifier's unencrypted form can be of any type supported by the identity provider for the requested subject.

**When a `Format` defined in Section 8.3 other than**

**`urn:oasis:names:tc:SAML:2.0:nameid-format:unspecified` or**

**`urn:oasis:names:tc:SAML:2.0:nameid-format:encrypted` is used, then if the identity provider returns any assertions:**

- the `Format` value of the `<NameID>` within the `<Subject>` of any `<Assertion>` MUST be identical to the `Format` value supplied in the `<NameIDPolicy>`, and

- if `SPNameQualifier` is not omitted in `<NameIDPolicy>`, the `SPNameQualifier` value of the `<NameID>` within the `<Subject>` of any `<Assertion>` MUST be identical to the `SPNameQualifier` value supplied in the `<NameIDPolicy>`.

## 195 E17: Authentication Response IssuerName vs. Assertion 196 IssuerName

196 Change [SAMLProf] Section 4.1.4.2 at lines 541-543 to accurately reflect the conditions under  
197 which issuer information is required and how issuer information at the different levels must  
198 correlate.

197 Original:

198 **The <Issuer> element MAY be omitted, but if present it MUST** contain the unique identifier of  
199 the issuing identity provider; the `Format` attribute **MUST** be omitted or have a value of  
200 `urn:oasis:names:tc:SAML:2.0:nameid-format:entity`.

199 New:

200 **If the <Response> message is signed or if an enclosed assertion is encrypted, then the**  
201 **<Issuer> element MUST be present. Otherwise it MAY be omitted. If present it MUST**  
202 contain the unique identifier of the issuing identity provider; the `Format` attribute **MUST** be omitted  
203 or have a value of `urn:oasis:names:tc:SAML:2.0:nameid-format:entity`.

## 201 E18: Reference to Identity Provider Discovery Service in ECP 202 Profile

202 Change [SAMLProf] Section 4.2.2 at lines 725-726 to remove the incorrect implication that an  
203 ECP is a direct participant in the identity provider discovery profile.

203 New:

204 In step 3, the ECP obtains the location of an endpoint at an identity provider for the authentication  
205 request protocol that supports its preferred binding. The means by which this is accomplished is  
206 implementation-dependent. ~~The ECP MAY use the SAML identity provider discovery profile~~  
207 ~~described in Section 4.3.~~

## 205 E19: Clarification on Error Processing

206 Change [SAMLBind] Section 3.2.2.1 at lines 310-317 and Section 3.2.3.3 at line 378 to clarify  
207 SAML error processing and its relationship to SOAP error processing.

207 Original at Section 3.2.2.1, lines 310-317:

208 The SAML responder **MUST** return **either a SAML response element within the body of**  
209 **another SOAP message or generate a SOAP fault.** The SAML responder **MUST NOT** include  
210 more than one SAML response per SOAP message or include any additional XML elements in the  
211 SOAP body. **If a SAML responder cannot, for some reason, process a SAML request, it MUST**  
212 **generate a SOAP fault.** SOAP fault codes **MUST NOT** be sent for errors within the SAML problem  
213 domain, for example, inability to find an extension schema or as a signal that the subject is not  
214 authorized to access a resource in an authorization query. (SOAP 1.1 faults and fault codes are  
215 discussed in [SOAP11] Section 4.1.)

209 New at Section 3.2.2.1, lines 310-317:

210 The SAML responder **SHOULD** return **a SOAP message containing either a SAML response**  
211 **element in the body or a SOAP fault.** The SAML responder **MUST NOT** include more than one  
212 SAML response per SOAP message or include any additional XML elements in the SOAP body.  
213 SOAP fault codes **SHOULD NOT** be sent for errors within the SAML problem domain, for example,  
214 inability to find an extension schema or as a signal that the subject is not authorized to access a  
215 resource in an authorization query. **See Section 3.2.3.3 for more information about error**  
216 **handling.** (SOAP 1.1 faults and fault codes are discussed in [SOAP11] Section 4.1.)

211 Original at Section 3.2.3.3, line 378:

212 In the case of a SAML processing error, the SOAP HTTP server **MUST** respond with "200 OK"  
213 and include a SAML-specified <samlp:Status> element in the SAML response within the  
214 SOAP body.

213 New at Section 3.2.3.3, line 378:

214 In the case of a SAML processing error, the SOAP HTTP server **SHOULD** respond with "200  
215 OK" and include a SAML-specified <samlp:Status> element in the SAML response within the  
216 SOAP body.

## 215 E20: ECP SSO Profile and Metadata

216 Change [SAMLProf] at line 1081 to add a new subsection, Section 4.2.6, in order to add  
217 metadata considerations to the ECP profile.

217 New (small portion of previous subsection shown):

218 The ECP SHOULD be authenticated to the identity provider, such as by maintaining an  
219 authenticated session. Any HTTP exchanges subsequent to the delivery of the  
220 <AuthnRequest> message and before the identity provider returns a <Response> MUST be  
221 securely associated with the original request.

### 222 4.2.6 Use of Metadata

223 The rules specified in the browser SSO profile in Section 4.1.6 apply here as well.  
224 Specifically, the indexed endpoint element <md:AssertionConsumerService> with a  
225 binding of urn:oasis:names:tc:SAML:2.0:bindings:PAOS MAY be used to  
226 describe the supported binding and location(s) to which an identity provider may send  
227 responses to a service provider using this profile. IN addition, the endpoint  
228 <md:SingleSignOnService> with a binding of  
229 urn:oasis:names:tc:SAML:2.0:bindings:SOAP MAY be used to describe the  
230 supported binding and location(s) to which an service provider may send requests to an  
231 identity provider using this profile.  
232  
233

## 219 E21: PAOS Version

220 Change [SAMLBind] Section 3.3.3 at line 474 to clarify the PAOS version required. New:

221 ● The HTTP PAOS Header field MUST be present and specify the PAOS version with  
222 "urn:liberty:paos:2003-08" **at a minimum**.

## 222 E22: Error in Profile/ECP

223 Change [SAMLProf] Section 4.2.4.1 at line 907 to refer to the **AssertionConsumerServiceURL**  
224 attribute rather than the **AssertionServiceConsumerURL** attribute. This was a typographical  
225 error.

## 224 E24: HTTPS in URI Binding

225 Change [SAMLBind] Section 3.7 at lines 1349-1351 to make the HTTP support requirements  
226 more appropriate in the context of the URI binding.

226 Original:

227 Like SOAP, URI resolution can occur over multiple underlying transports. This binding has  
228 **transport-independent** aspects, but also calls out the **use of HTTP with SSL 3.0 [SSL3] or TLS**  
229 **1.0 [RFC2246] as REQUIRED (mandatory to implement)**.

228 New:

229  
230  
231

Like SOAP, URI resolution can occur over multiple underlying transports. This binding has protocol-independent aspects, but also calls out as mandatory the implementation of HTTP URIs.

## 230 E25: Metadata Feature in Conformance

231 Change [SAMLConf] in Section 3.2 (Tables 2 and 4) to add feature rows, and at line 231 to add  
232 two subsections, Sections 3.6 and 3.7, in order to reflect conformance aspects of the SAML  
233 metadata feature.

232 New in Table 2:

233	Feature	IdP	IdP Lite	SP	SP Lite	ECP
234	<b>Metadata Structures</b>	<b>OPT</b>	<b>OPT</b>	<b>OPT</b>	<b>OPT</b>	<b>N/A</b>
235	<b>Metadata Interoperation</b>	<b>OPT</b>	<b>OPT</b>	<b>OPT</b>	<b>OPT</b>	<b>N/A</b>

234 New in Table 4:

235	Feature	Authn	Attrib	Authz	Requester
236	<b>Metadata Structures</b>	<b>OPT</b>	<b>OPT</b>	<b>OPT</b>	<b>OPT</b>
237	<b>Metadata Interoperation</b>	<b>OPT</b>	<b>OPT</b>	<b>OPT</b>	<b>OPT</b>

236 New at line 231 (small portion of previous subsection shown):

237 If a SAML authority uses SSL 3.0 or TLS 1.0, it MUST use a server-side certificate.  
238

### 239 3.6 Metadata Structures

240

241 Implementations claiming conformance to SAML V2.0 may declare each operational mode's  
242 conformance to SAML V2.0 Metadata [SAMLMeta] through election of the Metadata  
243 Structures option.

244

245 With respect to each operational mode, such conformance entails the following:

246

- 247 ● Implementing SAML metadata according to the extensible SAML V2.0 Metadata format in  
248 all cases where an interoperating peer has the option, as stated in SAML V2.0  
249 specifications, of depending on the existence of SAML V2.0 Metadata. Electing the Metadata  
250 Structures option has the effect of requiring that such metadata be available to the  
251 interoperating peer. The Metadata Interoperation feature, described below, provides a  
252 means of satisfying this requirement.
- 253
- 254 ● Referencing, consuming, and adhering to the SAML metadata, according to [SAMLMeta],  
255 of an interoperating peer when the known metadata relevant to that peer and the particular  
256 operation, and the current exchange, has expired or is no longer valid in cache, provided  
257 the metadata is available and is not prohibited by policy or the particular operation and that  
258 specific exchange.
- 259

### 260 3.7 Metadata Interoperation

261

262 Election of the Metadata Interoperation option requires the implementation to offer, in  
263 addition to any other mechanism, the well-known location publication and resolution  
264 mechanism described in the SAML metadata specification [SAMLMeta].

## 238 E26: Ambiguities Around Multiple Assertions and Statements in 239 the SSO Profile

239 Change [SAMLProf] Section 4.1.4.2 at lines 541-572, Section 4.1.4.3 at lines 576-591, and  
240 Section 4.1.4.5 at lines 600-601 to resolve ambiguities around the usage of multiple assertions  
241 and multiple statements within an assertion in the SSO profile.

240 Original at Section 4.1.4.2, lines 541-572:

- 241 • The <Issuer> element MAY be omitted, but if present it MUST contain the unique identifier of  
242 the issuing identity provider; the Format attribute MUST be omitted or have a value of  
243 urn:oasis:names:tc:SAML:2.0:nameid-format:entity.
- 242 • It MUST contain at least one <Assertion>. Each assertion's <Issuer> element MUST  
243 contain the unique identifier of the **issuing** identity provider; the Format attribute MUST be  
244 omitted or have a value of urn:oasis:names:tc:SAML:2.0:nameid-format:entity.
- 243 • **The set of one or more assertions MUST contain at least one <AuthnStatement> that**  
244 **reflects the authentication of the principal to the identity provider.**
- 244 • **At least one assertion containing an <AuthnStatement> MUST contain a <Subject>**  
245 **element with at least one <SubjectConfirmation> element containing a Method of**  
246 **urn:oasis:names:tc:SAML:2.0:cm:bearer. If the identity provider supports the**  
247 **Single Logout profile, defined in Section 4.4, any such authentication statements MUST**  
248 **include a SessionIndex attribute to enable per-session logout requests by the service**  
249 **provider.**
- 245 • **The bearer <SubjectConfirmation> element described above MUST contain a**  
246 **<SubjectConfirmationData> element that contains a Recipient attribute containing**  
247 **the service provider's assertion consumer service URL and a NotOnOrAfter attribute**  
248 **that limits the window during which the assertion can be delivered. It MAY contain an**  
249 **Address attribute limiting the client address from which the assertion can be delivered.**  
250 **It MUST NOT contain a NotBefore attribute. If the containing message is in response to**  
251 **an <AuthnRequest>, then the InResponseTo attribute MUST match the request's ID.**
- 246 • Other statements and confirmation methods MAY be included in the assertion(s) at the  
247 discretion of the identity provider. In particular, <AttributeStatement> elements MAY be  
248 included. The <AuthnRequest> MAY contain an AttributeConsumingServiceIndex  
249 XML attribute referencing information about desired or required attributes in [SAMLMeta]. The  
250 identity provider MAY ignore this, or send other attributes at its discretion.
- 247 • **The assertion(s) containing a bearer subject confirmation MUST contain an**  
248 **<AudienceRestriction> including the service provider's unique identifier as an**  
249 **<Audience>.**
- 248 • Other conditions (and other <Audience> elements) MAY be included as requested by the  
249 service provider or at the discretion of the identity provider. (Of course, all such conditions  
250 MUST be understood by and accepted by the service provider in order for the assertion to be  
251 considered valid.) The identity provider is NOT obligated to honor the requested set of  
252 <Conditions> in the <AuthnRequest>, if any.
- 249 • The identity provider is NOT obligated to honor the requested set of <Conditions> in the  
250 <AuthnRequest>, if any.

250 New at Section 4.1.4.2, lines 541-572 (note that E17 specifies additional changes to the first  
251 bullet item shown here):

- 251 • The <Issuer> element MAY be omitted, but if present it MUST contain the unique identifier of  
252 the issuing identity provider; the Format attribute MUST be omitted or have a value of  
253 urn:oasis:names:tc:SAML:2.0:nameid-format:entity.
- 252 • It MUST contain at least one <Assertion>. Each assertion's <Issuer> element MUST  
253 contain the unique identifier of the **responding** identity provider; the Format attribute MUST be  
254 omitted or have a value of urn:oasis:names:tc:SAML:2.0:nameid-format:entity.  
255 **Note that this profile assumes a single responding identity provider, and all assertions in**  
256 **a response MUST be issued by the same entity.**
- 253 • **If multiple assertions are included, then each assertion's <Subject> element MUST**  
254 **refer to the same principal. It is allowable for the content of the <Subject> elements to**  
255 **differ (e.g. using different <NameID> or alternative <SubjectConfirmation>**  
256 **elements).**

- 254 • **Any assertion issued for consumption using this profile MUST contain a <Subject>**  
255 **element with at least one <SubjectConfirmation> element containing a Method of**  
256 **urn:oasis:names:tc:SAML:2.0:cm:bearer. Such an assertion is termed a**  
257 **bearer assertion. Bearer assertions MAY contain additional <SubjectConfirmation>**  
258 **elements.**
- 255 • **Assertions without a bearer <SubjectConfirmation> MAY also be included;**  
256 **processing of additional assertions or <SubjectConfirmation> elements is outside**  
257 **the scope of this profile.**
- 256 • **At least one bearer <SubjectConfirmation> element MUST contain a**  
257 **<SubjectConfirmationData> element that itself MUST contain a Recipient**  
258 **attribute containing the service provider's assertion consumer service URL and a**  
259 **NotOnOrAfter attribute that limits the window during which the assertion can be**  
260 **[PE52]confirmed by the relying party. It MAY also contain an Address attribute limiting**  
261 **the client address from which the assertion can be delivered. It MUST NOT contain a**  
262 **NotBefore attribute. If the containing message is in response to an**  
263 **<AuthnRequest>, then the InResponseTo attribute MUST match the request's ID.**
- 257 • **The set of one or more bearer assertions MUST contain at least one**  
258 **<AuthnStatement> that reflects the authentication of the principal to the identity**  
259 **provider. Multiple <AuthnStatement> elements MAY be included, but the semantics**  
260 **of multiple statements is not defined by this profile.**
- 258 • **If the identity provider supports the Single Logout profile, defined in Section , any**  
259 **authentication statements MUST include a SessionIndex attribute to enable per-**  
260 **session logout requests by the service provider.**
- 259 • **Other statements MAY be included in the bearer assertion(s) at the discretion of the identity**  
260 **provider. In particular, <AttributeStatement> elements MAY be included. The**  
261 **<AuthnRequest> MAY contain an AttributeConsumingServiceIndex XML attribute**  
262 **referencing information about desired or required attributes in [SAMLMeta]. The identity**  
263 **provider MAY ignore this, or send other attributes at its discretion.**
- 260 • **Each bearer assertion MUST contain an <AudienceRestriction> including the service**  
261 **provider's unique identifier as an <Audience>.**
- 261 • **Other conditions (and other <Audience> elements) MAY be included as requested by the**  
262 **service provider or at the discretion of the identity provider. (Of course, all such conditions**  
263 **MUST be understood by and accepted by the service provider in order for the assertion to be**  
264 **considered valid.) The identity provider is NOT obligated to honor the requested set of**  
265 **<Conditions> in the <AuthnRequest>, if any.**
- 262 • **The identity provider is NOT obligated to honor the requested set of <Conditions> in the**  
263 **<AuthnRequest>, if any.**

263 Original at Section 4.1.4.3, lines 576-591:

- 264 • **Verify that the Recipient attribute in any bearer <SubjectConfirmationData> matches the**  
265 **assertion consumer service URL to which the <Response> or artifact was delivered**  
266
- 267 • **Verify that the NotOnOrAfter attribute in any bearer <SubjectConfirmationData> has not**  
268 **passed, subject to allowable clock skew between the providers**  
269
- 270 • **Verify that the InResponseTo attribute in the bearer <SubjectConfirmationData> equals**  
271 **the ID of its original <AuthnRequest> message, unless the response is unsolicited (see Section**  
272 **4.1.5 ), in which case the attribute MUST NOT be present**
- 265 • **Verify that any assertions relied upon are valid in other respects.**
- 266 • **If any bearer <SubjectConfirmationData> includes an Address attribute, the service**  
267 **provider MAY check the user agent's client address against it.**

- 267 • Any assertion which is not valid, or whose subject confirmation requirements cannot be met  
268 SHOULD be discarded and SHOULD NOT be used to establish a security context for the principal.
- 268 • If an `<AuthnStatement>` used to establish a security context for the principal contains a  
269 `SessionNotOnOrAfter` attribute, the security context SHOULD be discarded once this time is  
270 reached, unless the service provider reestablishes the principal's identity by repeating the use of  
271 this profile.

269 New at Section 4.1.4.3, lines 576-591:

- 270 • Verify that the Recipient attribute in the bearer `<SubjectConfirmationData>` matches the  
271 assertion consumer service URL to which the `<Response>` or artifact was delivered  
272
- 273 • Verify that the `NotOnOrAfter` attribute in the bearer `<SubjectConfirmationData>` has not  
274 passed, subject to allowable clock skew between the providers  
275
- 276 • Verify that the `InResponseTo` attribute in the bearer `<SubjectConfirmationData>` equals  
277 the ID of its original `<AuthnRequest>` message, unless the response is unsolicited (see Section  
278 4.1.5 ), in which case the attribute MUST NOT be present
- 271 • Verify that any assertions relied upon are valid in other respects. **Note that while multiple bearer**  
272 **`<SubjectConfirmation>` elements may be present, the successful evaluation of a single**  
273 **such element in accordance with this profile is sufficient to confirm an assertion. However,**  
274 **each assertion, if more than one is present, MUST be evaluated independently.**
- 272 • If any the bearer `<SubjectConfirmationData>` includes an `Address` attribute, the service  
273 provider MAY check the user agent's client address against it.
- 273 • Any assertion which is not valid, or whose subject confirmation requirements cannot be met  
274 SHOULD be discarded and SHOULD NOT be used to establish a security context for the principal.
- 274 • If an `<AuthnStatement>` used to establish a security context for the principal contains a  
275 `SessionNotOnOrAfter` attribute, the security context SHOULD be discarded once this time is  
276 reached, unless the service provider reestablishes the principal's identity by repeating the use of  
277 this profile. **Note that if multiple `<AuthnStatement>` elements are present, the**  
278 **`SessionNotOnOrAfter` value closest to the present time SHOULD be honored.**

275 Original at Section 4.1.4.5, lines 600-601:

- 276 If the HTTP POST binding is used to deliver the `<Response>`, the enclosed assertion(s) MUST be  
277 signed.

277 New at Section 4.1.4.5, lines 600-601:

- 278 If the HTTP POST binding is used to deliver the `<Response>`, **each assertion MUST be**  
279 **protected by a digital signature. This can be accomplished by signing each individual**  
280 **`<Assertion>` element or by signing the `<Response>` element.**

## 279 E27: Incorrect Step Number in ECP Profile

280 Change [SAMLProf] Section 4.2.4.3 at line 947 to change the reference to the step number from  
281 5 to 7. This was a typographical error.

## 281 E28: Profile Labeling in Conformance

282 Change [SAMLConf] Section 2 at Table 1 to make its labeling and categorization of profiles more  
283 consistent.

283 Combine the profile rows labeled **Artifact Resolution**, **Authentication Query**, **Attribute Query**,  
284 and **Authorization Decision Query** into a single profile row labeled **Assertion Query/Request**  
285 in column 1, with the breakdown of these four protocol types, moved to column 2 (message flows)  
286 for that row.

284 Remove the profile rows labeled **SAML URI binding** and **Metadata**.



285 **E29: Incomplete Listing of Features in Conformance**

286 Change [SAMLConf] Section 3.2 at Table 2 to include missing feature rows. New:

287	Feature	IdP	IdP Lite	SP	SP Lite	ECP
288	<b>Request for Assertion by Identifier</b>	<b>OPT</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>
289	<b>SAML URI Binding</b>	<b>OPT</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

288 **E30: Key Replacement**

289 Change [SAMLCore] Section 6.1 at line 3110 to improve wording around key replacement.

290 Original:

290 Encrypted data and **optionally one** or more encrypted keys MUST replace the plaintext  
291 information in the same location within the XML instance.

291 New:

292 Encrypted data and **zero** or more encrypted keys MUST replace the plaintext information in the  
293 same location within the XML instance.

293 **E31: Various Minor Errors in Binding**

294 Change [SAMLBind] Section 3.3.5 at line 511, Section 3.5.3 at line 785, and Section 3.6.5 at lines  
295 1136 and 1397 to clean up various minor wording errors.

295 At Section 3.3.5, line 511, capitalize the word **RECOMMENDED**.

296 Original at Section 3.5.3, line 785:

297 If no such **value** is included with a SAML request message, or if the SAML response message is  
298 being generated without a corresponding request ...

298 New at Section 3.5.3, line 785:

299 If no such **RelayState data** is included with a SAML request message, or if the SAML response  
300 message is being generated without a corresponding request ...

300 Original at Section 3.6.5, line 1136:

301 The SAML requester determines the SAML responder by examining the artifact, and issues a  
302 <samlp:ArtifactResolve> request containing the artifact to the SAML responder using a  
303 **direct** SAML binding, as in step 3.

302 New at Section 3.6.5, line 1136:

303 The SAML requester determines the SAML responder by examining the artifact, and issues a  
304 <samlp:ArtifactResolve> request containing the artifact to the SAML responder using a  
305 **synchronous** SAML binding, as in step 3.

304 Original at Section 3.6.5, line 1397:

305 Note that the use of wildcards **is not allowed for on** such queries.

306 New at Section 3.6.5, line 1397:

307 Note that **the URI syntax does not support** the use of wildcards **in** such ID queries.

308 **E32: Missing Required Information in Profiles**

309 Change [SAMLProf] at line 1092. New subsection added at line 1092 as Section 4.3.1,  
310 incrementing the subsection numbers of the existing Sections 4.3.1 through 4.3.3:

310 **4.3.1 Required Information**

311 **Identification:** urn:oasis:names:tc:SAML:2.0:profiles:SSO:idp-discovery  
312 **Contact information:** [security-services-comment@lists.oasis-open.org](mailto:security-services-comment@lists.oasis-open.org)  
313 **Description:** Given below.  
314 **Updates:** None.

### 315 **E33: References to Assertion Request Protocol**

316 Change [SAMLMeta] Section 2.4.3 at line 700, Section 2.4.5 at line 838, Section 2.4.6 at line 871,  
317 and Section 2.4.7 at line 904 to change references to the **Assertion Request** protocol to  
318 **Assertion Query/Request**. This is just a typographical error.

### 319 **E34: RequestedAttribute Section Heading**

320 Change [SAMLMeta] at line 809 to make the Section **2.4.4.2** heading be a level below, at  
321 **2.4.4.1.1**, for consistency in reflecting element nesting in the document outline.

### 322 **E35: Response Consumer URL Rules and Example**

323 Change [SAMLProf] Section 4.2.4.1 at lines 906-908, and Section 4.2.4.3 at line 964, to make the  
324 example conform to the rules for a response consumer URL and explain these rules more clearly.

325 Original at Section 4.2.4.1, lines 906-908:

326 Specifies where the ECP is to send an error response. Also used to verify the correctness of the  
327 identity provider's response, by cross checking this location against the  
328 **AssertionServiceConsumerURL** in the ECP response header block. This value **MUST** be the  
329 same as the AssertionServiceConsumerURL (or the URL referenced in metadata) conveyed in the  
330 <AuthnRequest>.

331 New at lines Section 4.2.4.1, 906-908:

332 Specifies where the ECP is to send an error response. Also used to verify the correctness of the  
333 identity provider's response, by cross checking this location against the  
334 **AssertionConsumerServiceURL** in the ECP response header block. This value **MUST** be the  
335 same as the AssertionServiceConsumerURL (or the URL referenced in metadata) conveyed in the  
336 <AuthnRequest> **and SHOULD NOT be a relative URL**.

337 Original at Section 4.2.4.3, line 964:

```
338 <paos:Request xmlns:paos="urn:liberty:paos:2003-08"  
339   responseConsumerURL="http://identity-service.example.com/abc"
```

340 New at Section 4.2.4.3, line 964:

```
341 <paos:Request xmlns:paos="urn:liberty:paos:2003-08"  
342   responseConsumerURL="  
343   https://ServiceProvider.example.com/ecp_assertion_consumer"
```

### 344 **E36: Clarification on Action Element**

345 Change [SAMLCore] Section 2.7.4.2 at lines 1359-1363 to remove the incorrect specification text  
346 that says the action namespace is optional (the schema mandates it, and in cases of  
347 disagreement, the schema takes precedence).

348 Original:

349 Namespace [**Optional**]

350 A URI reference representing the namespace in which the name of the specified action is to be  
351 interpreted. **If this element is absent, the namespace**  
352 **urn:oasis:names:tc:SAML:1.0:action:rwedc-negation specified in Section 8.1.2 is in effect.**

353 New:

354 `Namespace` [Required]

355 A URI reference representing the namespace in which the name of the specified action is to be  
356 interpreted.

## 357 E37: Clarification in Metadata on Indexed Endpoints

358 Change [SAMLMeta] Section 2.2.3 at line 272 to clarify what it means for two endpoints to be  
359 "like".

360 Original:

361 In any such sequence of **like** endpoints **based on this type**, the default endpoint is the first such  
362 endpoint with the `isDefault` attribute set to true.

363 New:

364 In any such sequence of **indexed** endpoints **that share a common element name and**  
365 **namespace (i.e. all instances of `<md:AssertionConsumerService>` within a role)**, the  
366 default endpoint is the first such endpoint with the `isDefault` attribute set to true.

## 367 E38: Clarification Regarding Index on `<LogoutRequest>`

368 Change [SAMLCore] Section 3.7.1 at line 2546 and [SAMLProf] Section 4.4.4.1 at lines 1302-  
369 1304 to clarify requirements around session indexes in logout requests.

370 Original at [SAMLCore] Section 3.7.1, line 2546:

371 `<SessionIndex>` [Optional]

372 **The identifier that indexes this session at the message recipient.**

373 New at [SAMLCore] Section 3.7.1, line 2546:

374 `<SessionIndex>` [Optional]

375 **The index of the session between the principal identified by the `<saml:BaseID>`,**  
376 **`<saml:NameID>`, or `<saml:EncryptedID>` element, and the session authority. This must**  
377 **correlate to the `SessionIndex` attribute, if any, in the `<saml:AuthnStatement>` of the**  
378 **assertion used to establish the session that is being terminated.**

379 New at [SAMLProf] Section 4.4.4.1, lines 1302-1304:

380 If the requester is a session participant, it MUST include at least one `<SessionIndex>` element in  
381 the request. **(Note that the session participant always receives a `SessionIndex` attribute in**  
382 **the `<saml:AuthnStatement>` elements that it receives to initiate the session, per**  
383 **Section 4.1.4.2 of the Web Browser SSO Profile.)** If the requester is a session authority (or  
384 acting on its behalf), then it MAY omit any such elements to indicate the termination of all of the  
385 principal's applicable sessions.

## 386 E39: Error in SAML Profile Example

387 **Note:** E39 corrects text in a section that is affected by E53, which deprecates the  
388 entire section. Please see E53 for details.

389 Change [SAMLProf] Section 8.5.6 at lines 2095-2098 to move the `ldapprof:Encoding`  
390 attribute to the correct location.

391 Original:

392 `<saml:Attribute`  
393 `xmlns:xacmlprof="urn:oasis:names:tc:SAML:2.0:profiles:attribute:XACML"`  
394 `xmlns:ldapprof="urn:oasis:names:tc:SAML:2.0:profiles:attribute:LDAP"`

```

395     xacmlprof:DataType="http://www.w3.org/2001/XMLSchema#string"
396     ldapprof:Encoding="LDAP"
397     NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
398     Name="urn:oid:2.5.4.42" FriendlyName="givenName">
399     <saml:AttributeValue xsi:type="xs:string">By-Tor</saml:AttributeValue>
400 </saml:Attribute>

```

401 New:

```

402 <saml:Attribute
403     xmlns:xacmlprof="urn:oasis:names:tc:SAML:2.0:profiles:attribute:XACML"
404     xmlns:ldapprof="urn:oasis:names:tc:SAML:2.0:profiles:attribute:LDAP"
405     xacmlprof:DataType="http://www.w3.org/2001/XMLSchema#string"
406     NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
407     Name="urn:oid:2.5.4.42" FriendlyName="givenName">
408     <saml:AttributeValue xsi:type="xs:string"
409     ldapprof:Encoding="LDAP">By-Tor</saml:AttributeValue>
410 </saml:Attribute>

```

## 411 E40: Holder of Key

412 Change [SAMLProf] Section 3.1 at lines 335-337 to align the description of Holder of Key in the  
413 profiles specification with the language in the core specification.

414 Original:

415 As described in [XMLSig], each `<ds:KeyInfo>` element holds a key or information that enables  
416 an application to obtain a key. The holder of a specified key is considered to be **the subject of the**  
417 assertion by the asserting party.

418 New (note that E47 specifies additional changes to the original text shown here):

419 As described in [XMLSig], each `<ds:KeyInfo>` element holds a key or information that enables  
420 an application to obtain a key. The holder of a specified key is considered to be **an acceptable**  
421 **attesting entity for** the assertion by the asserting party.

## 422 E41: EndpointType ResponseLocation Clarification in Metadata

423 Change [SAMLMeta] Section 2.2.2 at line 242 to clarify correct behavior when the response  
424 location is omitted from the metadata.

425 New:

426 The `ResponseLocation` attribute is used to enable different endpoints to be specified for  
427 receiving request and response messages associated with a protocol or profile, not as a means of  
428 load-balancing or redundancy (multiple elements of this type can be included for this purpose).  
429 When a role contains an element of this type pertaining to a protocol or profile for which only a  
430 single type of message (request or response) is applicable, then the `ResponseLocation` attribute is  
431 unused. **If the `ResponseLocation` attribute is omitted, any response messages associated**  
432 **with a protocol or profile may be assumed to be handled at the URI indicated by the**  
433 **`Location` attribute.**

## 434 E42: Match Authorities to Queries in Conformance

435 Change [SAMLConf] Section 3.2 at Table 4 to indicate more precisely the relationship between  
436 SAML authorities and queries for types of assertion statements that those authorities do not  
437 specialize in producing.

438 Original:

439 Feature	Authn	Attrib	Authz	Requester
440 Authentication Query, SOAP	MUST	OPT	OPT	OPT

441	Attribute Query, SOAP	OPT	MUST	OPT	OPT
442	Authorization Decision Query, SOAP	OPT	OPT	MUST	OPT

443 New:

444	Feature	Authn	Attrib	Authz	Requester
445	Authentication Query, SOAP	MUST	N/A	N/A	OPT
446	Attribute Query, SOAP	N/A	MUST	N/A	OPT
447	Authorization Decision Query, SOAP	N/A	N/A	MUST	OPT

## 448 E43: Key Location in saml:EncryptedData

449 Change [SAMLCore] at line 3116 by replacing the existing Section 6.2 with new Sections 6.2 and  
 450 6.3 to reflect correct application and usage of the XML Encryption standard and to add several  
 451 examples to fully demonstrate this.

452 Original:

453 **6.2 Combining Signatures and Encryption**

454 Use of XML Encryption and XML Signature MAY be combined. When an assertion is to be  
 455 signed and encrypted, the following rules apply. A relying party MUST perform signature  
 456 validation and decryption in the reverse order that signing and encryption were performed.

- 457 • When a signed <Assertion> element is encrypted, the signature MUST first be calculated  
 458 and placed within the <Assertion> element before the element is encrypted.
- 459 • When a <BaseID>, <NameID>, or <Attribute> element is encrypted, the encryption MUST  
 460 be performed first and then the signature calculated over the assertion or message  
 461 containing the encrypted element.

462 New:

463 **6.2 Key and Data Referencing Guidelines**

464 If an encrypted key is NOT included in the XML instance, then the relying party must be able  
 465 to locally determine the decryption key, per [XMLEnc].

466 Implementations of SAML MAY implicitly associate keys with the corresponding data they  
 467 are used to encrypt, through the positioning of <xenc:EncryptedKey> elements next to the  
 468 associated <xenc:EncryptedData> element, within the enclosing SAML parent element.  
 469 However, the following set of explicit referencing guidelines are suggested to facilitate  
 470 interoperability.

471 If the encrypted key is included in the XML instance, then it SHOULD be referenced within  
 472 the associated <xenc:EncryptedData> element, or alternatively embedded within the  
 473 <xenc:EncryptedData> element. When an <xenc:EncryptedKey> element is used, the  
 474 <ds:KeyInfo> element within <xenc:EncryptedData> SHOULD reference the  
 475 <xenc:EncryptedKey> element using a <ds:RetrievalMethod> element of Type  
 476 <http://www.w3.org/2001/04/xmlenc#EncryptedKey>.

477 In addition, an <xenc:EncryptedKey> element SHOULD contain an  
 478 <xenc:ReferenceList> element containing a <xenc:DataReference> that references the  
 479 corresponding <xenc:EncryptedData> element(s) that the key was used to encrypt.

480 In scenarios where the encrypted element is being “multicast” to multiple recipients, and  
 481 the key used to encrypt the message must be in turn encrypted individually and  
 482 independently for each of the multiple recipients, the <xenc:CarriedKeyName> element  
 483 SHOULD be used to assign a common name to each of the <xenc:EncryptedKey>  
 484 elements so that a <ds:KeyName> can be used from within the <xenc:EncryptedData>  
 485 element’s <ds:KeyInfo> element.

486 Within the <xenc:EncryptedData> element, the <ds:KeyName> can be thought of as an  
 487 “alias” that is used for backwards referencing from the <xenc:CarriedKeyName> element  
 488 in each individual <xenc:EncryptedKey> element. While this accommodates a “multicast”

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approach, each recipient must be able to understand (at least one) <ds:KeyName>. The Recipient attribute is used to provide a hint as to which key is meant for which recipient.

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The SAML implementation has the discretion to accept or reject a message where multiple Recipient attributes or <ds:KeyName> elements are understood. It is RECOMMENDED that implementations simply use the first key they understand and ignore any additional keys.

495

### 6.3 Examples

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In the following example, the parent element (<EncryptedID>) contains <xenc:EncryptedData> and (referenced) <xenc:EncryptedKey> elements as siblings (note that the key can in fact be anywhere in the same instance, and the key references the <xenc:EncryptedData> element):

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```
<saml:EncryptedID xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion">
  <xenc:EncryptedData xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
    Id="Encrypted_DATA_ID"
    Type="http://www.w3.org/2001/04/xmlenc#Element">
    <xenc:EncryptionMethod
      Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
    <ds:KeyInfo xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
      <ds:RetrievalMethod URI="#Encrypted_KEY_ID"
        Type="http://www.w3.org/2001/04/xmlenc#EncryptedKey"/>
    </ds:KeyInfo>
    <xenc:CipherData>
      <xenc:CipherValue>Nk4W4mx...</xenc:CipherValue>
    </xenc:CipherData>
  </xenc:EncryptedData>

  <xenc:EncryptedKey xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
    Id="Encrypted_KEY_ID">
    <xenc:EncryptionMethod
      Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
    <xenc:CipherData>
      <xenc:CipherValue>PzA5X...</xenc:CipherValue>
    </xenc:CipherData>
    <xenc:ReferenceList>
      <xenc:DataReference URI="#Encrypted_DATA_ID"/>
    </xenc:ReferenceList>
  </xenc:EncryptedKey>
```

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In the following <EncryptedAttribute> example, the <xenc:EncryptedKey> element is contained within the <xenc:EncryptedData> element, so there is no explicit referencing:

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```
<saml:EncryptedAttribute
  xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion">
  <xenc:EncryptedData xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
    Id="Encrypted_DATA_ID"
    Type="http://www.w3.org/2001/04/xmlenc#Element">
    <xenc:EncryptionMethod
      Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
    <ds:KeyInfo xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
      <xenc:EncryptedKey Id="Encrypted_KEY_ID">
        <xenc:EncryptionMethod
          Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
        <xenc:CipherData>
          <xenc:CipherValue>SDFSDF...</xenc:CipherValue>
        </xenc:CipherData>
      </xenc:EncryptedKey>
    </ds:KeyInfo>
    <xenc:CipherData>
      <xenc:CipherValue>Nk4W4mx...</xenc:CipherValue>
    </xenc:CipherData>
```

```

547     </xenc:EncryptedData>
548 </saml:EncryptedAttribute>

549 The final example shows an assertion encrypted for multiple recipients, using the
550 <xenc:CarriedKeyName> approach:

551 <saml:EncryptedAssertion
552   xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion">
553   <xenc:EncryptedData xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
554     Id="Encrypted_DATA_ID"
555     Type="http://www.w3.org/2001/04/xmlenc#Element">
556     <xenc:EncryptionMethod
557       Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
558     <ds:KeyInfo xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
559       <ds:KeyName>MULTICAST_KEY_NAME</ds:KeyName>
560     </ds:KeyInfo>
561     <xenc:CipherData>
562       <xenc:CipherValue>Nk4W4mx...</xenc:CipherValue>
563     </xenc:CipherData>
564   </xenc:EncryptedData>

565   <xenc:EncryptedKey xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
566     Id="Encrypted_KEY_ID_1" Recipient="https://sp1.org">
567     <xenc:EncryptionMethod
568       Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
569     <ds:KeyInfo xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
570       <ds:KeyName>KEY_NAME_1</ds:KeyName>
571     </ds:KeyInfo>
572     <xenc:CipherData>
573       <xenc:CipherValue>xyzABC...</xenc:CipherValue>
574     </xenc:CipherData>
575     <xenc:ReferenceList>
576       <xenc:DataReference URI="#Encrypted_DATA_ID"/>
577     </xenc:ReferenceList>

578     <xenc:CarriedKeyName>MULTICAST_KEY_NAME</xenc:CarriedKeyName>
579   </xenc:EncryptedKey>

580   <xenc:EncryptedKey xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
581     Id="Encrypted_KEY_ID_2" Recipient="https://sp2.org">
582     <xenc:EncryptionMethod
583       Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
584     <ds:KeyInfo xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
585       <ds:KeyName>KEY_NAME_2</ds:KeyName>
586     </ds:KeyInfo>
587     <xenc:CipherData>
588       <xenc:CipherValue>abcXYZ...</xenc:CipherValue>
589     </xenc:CipherData>
590     <xenc:ReferenceList>
591       <xenc:DataReference URI="#Encrypted_DATA_ID"/>
592     </xenc:ReferenceList>

593     <xenc:CarriedKeyName>MULTICAST_KEY_NAME</xenc:CarriedKeyName>
594   </xenc:EncryptedKey>
595 </saml:EncryptedAssertion>

```

## 600 **E45: AuthnContext Comparison Order**

601 Change [SAMLCore] Section 3.3.2.2.1 at lines 1815-1819 and 1826 to clarify the lack of  
602 orderedness in the comparison of a set of authentication contexts.

603 Original at Section 3.3.2.2.1, lines 1815-1819:

604 Either a set of class references or a set of declaration references can be used. The set of supplied  
605 references MUST be evaluated as an ordered set, where the first element is the most preferred  
606 authentication context class or declaration. If none of the specified classes or declarations can be  
607 satisfied in accordance with the rules below, then the responder MUST return a <Response>  
608 message with a second-level <StatusCode> of  
609 urn:oasis:names:tc:SAML:2.0:status:NoAuthnContext.

610 New at Section 3.3.2.2.1, lines 1815-1819:

611 Either a set of class references or a set of declaration references can be used. **If ordering is**  
612 **relevant to the evaluation of the request, then** the set of supplied references MUST be  
613 evaluated as an ordered set, where the first element is the most preferred authentication context  
614 class or declaration. If none of the specified classes or declarations can be satisfied in accordance  
615 with the rules below, then the responder MUST return a <Response> message with a second-level  
616 <StatusCode> of urn:oasis:names:tc:SAML:2.0:status:NoAuthnContext. **For**  
617 **example, ordering is significant when using this element in an <AuthnRequest> message**  
618 **but not in an <AuthnQuery> message.**

619 Original at Section 3.3.2.2.1, line 1826:

620 If *Comparison* is set to "better", then the resulting authentication context in the authentication  
621 statement MUST be stronger (as deemed by the responder) than **any** of the authentication  
622 contexts specified.

623 New at Section 3.3.2.2.1, line 1826:

624 If *Comparison* is set to "better", then the resulting authentication context in the authentication  
625 statement MUST be stronger (as deemed by the responder) than **one** of the authentication  
626 contexts specified.

## 627 E46: AudienceRestriction Clarifications

628 Change [SAMLCore] Section 2.5.1.4 at lines 924-925 to clarify the logical sense with respect to  
629 individual audience elements within an audience-restriction condition grouping.

630 Original:

631 Note that multiple <AudienceRestriction> elements MAY be included in a single assertion,  
632 and each MUST be evaluated independently. The effect of this requirement and the preceding  
633 definition is that within a given **condition**, the **audiences** form a disjunction (an "OR") while  
634 multiple **conditions** form a conjunction (an "AND").

635 New:

636 Note that multiple <AudienceRestriction> elements MAY be included in a single assertion,  
637 and each MUST be evaluated independently. The effect of this requirement and the preceding  
638 definition is that within a given <AudienceRestrictions>, the <Audience> **elements** form a  
639 disjunction (an "OR") while multiple <AudienceRestrictions> **elements** form a conjunction (an  
640 "AND").

## 641 E47: Clarification on SubjectConfirmation

642 Change [SAMLCore] Section 2.4.1.1 at line 698, and change [SAMLProf] Section 3.1 at lines 336  
643 and 341 and Section 3.3 at lines 361-363, in order to clarify behavior around the subject  
644 confirmation element and the intent of the embedded secondary identifier.

645 New at [SAMLCore] Section 2.4.1.1, line 698 (add text just before the schema listing  
646 introduction):

647 **If the <SubjectConfirmation> element in an assertion subject contains an identifier the**  
648 **issuer authorizes the attesting entity to wield the assertion on behalf of that subject. A**  
649 **relying party MAY apply additional constraints on the use of such an assertion at its**  
650 **discretion, based upon the identities of both the subject and the attesting entity.**



651 **If an assertion is issued for use by an entity other than the subject, then that entity SHOULD**  
652 **be identified in the <SubjectConfirmation> element.**

653 The following schema fragment defines the <SubjectConfirmation> element and its  
654 SubjectConfirmationType complex type:

655 Original at [SAMLProf] Section 3.1, line 336:

656 As described in [XMLSig], each <ds:KeyInfo> element holds a key or information that enables  
657 an application to obtain a key. The holder of a **specified key** is considered to be the subject of the  
658 assertion by the asserting party.

659 New at [SAMLProf] Section 3.1, line 336 (note that E40 specified additional changes to the  
660 original text shown here):

661 As described in [XMLSig], each <ds:KeyInfo> element holds a key or information that enables  
662 an application to obtain a key. The holder of **one or more of the specified keys** is considered to  
663 be the subject of the assertion by the asserting party.

664 New at [SAMLProf] Section 3.1, line 341 (add text just before the example):

665 **If the <SubjectConfirmation> element in an assertion subject contains an identifier the**  
666 **issuer authorizes the attesting entity to wield the assertion on behalf of that subject. A**  
667 **relying party MAY apply additional constraints on the use of such an assertion at its**  
668 **discretion, based upon the identities of both the subject and the attesting entity.**

666 **If an assertion is issued for use by an entity other than the subject, then that entity SHOULD**  
667 **be identified in the <SubjectConfirmation> element.**

667 Example: The holder of the key named "By-Tor" or the holder of the key named "Snow Dog" can  
668 confirm itself as the subject.

668 Original at [SAMLProf] Section 3.3, lines 361-363:

669 The subject of the assertion is **the bearer of** the assertion, subject to optional constraints on  
670 confirmation using the attributes that MAY be present in the <SubjectConfirmationData>  
671 element, as defined by [SAMLCore].

670 New at [SAMLProf] Section 3.3, lines 361-363:

671 The subject of the assertion is **considered to be an acceptable attesting entity for** the assertion  
672 **by the asserting party**, subject to optional constraints on confirmation using the attributes that  
673 MAY be present in the <SubjectConfirmationData> element, as defined by [SAMLCore].

672 **If the intended bearer is known by the asserting party to be an entity other than the subject,**  
673 **then the asserting party SHOULD identify that entity to the relying party by including a**  
674 **SAML identifier representing it in the enclosing <SubjectConfirmation> element.**

673 **If multiple attesting entities are to be permitted to use the assertion based on bearer**  
674 **semantics, then multiple <SubjectConfirmation> elements SHOULD be included.**

## 674 **E48: Clarification on Encoding for Binary Values in LDAP Profile**

675 **Note:** E48 corrects text in a section that is affected by E53, which deprecates the  
676 entire section. Please see E53 for details.

676 Change [SAMLProf] at line 1762. Original:

677 For all other LDAP syntaxes, the attribute value is encoded, as the content of the  
678 <AttributeValue> element, by base64-encoding [RFC2045] the **encompassing** ASN.1 OCTET  
679 STRING-encoded LDAP attribute value. The xsi:type XML attribute MUST be set to  
680 xs:base64Binary. The profile-specific Encoding XML attribute is provided, with a value of  
681 "LDAP".

678 New:

679 For all other LDAP syntaxes, the attribute value is encoded, as the content of the  
680 <AttributeValue> element, by base64-encoding [RFC2045] the **contents of the ASN.1**  
681 **OCTET STRING-encoded LDAP attribute value (not including the ASN.1 OCTET STRING**  
682 **wrapper)**. The `xsi:type` XML attribute **MUST** be set to `xs:base64Binary`. The profile-specific  
683 Encoding XML attribute is provided, with a value of "LDAP".

## 684 E49: Clarification on Attribute Name Format

685 Change [SAMLCore] Section 2.7.3.1 at line 1217 to clarify the relationship between an attribute's  
686 `NameFormat` setting and its syntax.

686 New (add text to the end of the definition of <AttributeValue>):

687 <AttributeValue> [Any Number]

688 Contains a value of the attribute. If an attribute contains more than one discrete value, it is  
689 RECOMMENDED that each value appear in its own <AttributeValue> element. If more than  
690 one <AttributeValue> element is supplied for an attribute, and any of the elements have a  
691 datatype assigned through `xsi:type`, then all of the <AttributeValue> elements must have  
692 the identical datatype assigned.

689 **Attributes are identified/named by the combination of the `NameFormat` and `Name` XML**  
690 **attributes described above. Neither one in isolation can be assumed to be unique, but taken**  
691 **together, they ought to be unambiguous within a given deployment.**

690 **The SAML profiles specification [SAMLProf] includes a number of attribute profiles**  
691 **designed to improve the interoperability of attribute usage in some identified scenarios.**  
692 **Such profiles typically include constraints on attribute naming and value syntax. There is no**  
693 **explicit indicator when an attribute profile is in use, and it is assumed that deployments can**  
694 **establish this out of band, based on the combination of `NameFormat` and `Name`.**

## 691 E50: Clarification on SSL Ciphersuites

692 Change [SAMLConf] Section 4 at line 235 and Section 5 at line 257 to clarify that the named  
693 ciphersuites are not the only ones that can be supported.

693 New at Section 4, line 235:

694 SAML V2.0 uses XML Signature [XMLSig] to implement XML signing and encryption functionality  
695 for integrity, and source authentication. SAML V2.0 uses XML Encryption [XMLEnc] to implement  
696 confidentiality, including encrypted identifiers, encrypted assertions, and encrypted attributes. **The**  
697 **algorithms listed below as being required for SAML V2.0 conformance are based on the**  
698 **mandated algorithms in the W3C recommendations for XML Signature and for XML**  
699 **Encryption, but modified by the SSTC to ensure interoperability of conformant SAML**  
700 **implementations. While the SAML-defined set of algorithms is a minimal set for**  
701 **conformance, additional algorithms supported by XML Signature and XML Encryption MAY**  
702 **be used. Note, however, that the use of non-mandated algorithms may introduce**  
703 **interoperability issues if those algorithms are not widely implemented. As additional**  
704 **algorithms become mandated for use in XML Signature and XML Encryption, the set**  
705 **required for SAML conformance may be extended.**

695 New at Section 5, line 257:

696 In any SAML V2.0 use of SSL 3.0 [SSL3] or TLS 1.0 [RFC 2246], servers **MUST** authenticate to  
697 clients using a X.509 v3 certificate. The client **MUST** establish server identity based on contents of  
698 the certificate (typically through examination of the certificate's subject DN field). **The set of**  
699 **algorithms required for SAML V2.0 conformance is equivalent to that defined in SAML V1.0**  
700 **and SAML V1.1. These mandated algorithms were chosen by the SSTC because of their**  
701 **wide implementation support in the industry. While the algorithms defined below are the**  
702 **minimal set for SAML conformance, additional algorithms supported by SSL 3.0 and TLS 1.0**  
703 **MAY be used.**

697 **E51: Schema Type of Contents of <AttributeValue>**

698 Change [SAMLProf] Section 8.1.4 at line 1670 to change the reference from **Section 3.3** to  
699 **Section 3**, in order to fix a typographical error that would have improperly restricted the valid  
700 types for attribute values to derived types, rather than the larger category of built-in types.

699 **E52: Clarification on NotOnOrAfter Attribute for Subject**  
700 **Confirmation**

700 Change [SAMLProf] Section 4.1.4.2 at line 557 to correctly reflect the type of validity period that  
701 applies to subject confirmation.

701 Original:

702 The bearer <SubjectConfirmation> element described above MUST contain a  
703 <SubjectConfirmationData> element that contains a Recipient attribute containing the  
704 service provider's assertion consumer service URL and a NotOnOrAfter attribute that limits the  
705 window during which the assertion can be **delivered**. It MAY contain an Address attribute limiting  
706 the client address from which the assertion can be delivered.

703 New (note that E26 specifies additional changes to the original text shown here):

704 The bearer <SubjectConfirmation> element described above MUST contain a  
705 <SubjectConfirmationData> element that contains a Recipient attribute containing the  
706 service provider's assertion consumer service URL and a NotOnOrAfter attribute that limits the  
707 window during which the assertion can be **confirmed by the relying party**. It MAY contain an  
708 Address attribute limiting the client address from which the assertion can be delivered.

705 **E53: Correction to LDAP/X.500 Profile Attribute**

706 Deprecate [SAMLProf] Section 8.2 at lines 1677-1799 by adding a notice after line 1677.

707 New:

708 **8.2 X.500/LDAP Attribute Profile – Deprecated**  
709 **NOTE: This attribute profile is deprecated because of a flaw that makes it schema-invalid.**  
710 **The SSTC has replaced it with a separately published SAML V2.0 X.500/LDAP Attribute**  
711 **Profile specification that removes this flaw.**  
710 Directories based on the ITU-T X.500 specifications [X.500] and the related IETF Lightweight  
711 Directory Access Protocol specifications [LDAP] are widely deployed...

711 **E54: Corrections to ECP URN**

712 Change [SAMLProf] Section 4.2.3.1 at lines 757 and 763-764 to correct the usage of quotation  
713 marks in HTTP headers.

713 New at line 757 (add double quotation marks around the URN):

714 Furthermore, support for this profile MUST be specified in the HTTP PAOS Header field as a service  
715 value, with the value "urn:oasis:names:tc:SAML:2.0:profiles:SSO:ecp".

715 Original at lines 763-764 (single quotation marks are problematic):

716 GET /index HTTP/1.1  
717 Host: identity-service.example.com  
718 Accept: text/html; application/vnd.paos+xml  
719 PAOS: ver='urn:liberty:paos:2003-08' ;  
720 'urn:oasis:names:tc:SAML:2.0:profiles:SSO:ecp'

717 New at lines 763-764 (double quotation marks used instead):

```
718 GET /index HTTP/1.1
719 Host: identity-service.example.com
720 Accept: text/html; application/vnd.paos+xml
721 PAOS: ver="urn:liberty:paos:2003-08" ;
722 "urn:oasis:names:tc:SAML:2.0:profiles:SSO:ecp"
```

## 719 E55: Language Cleanup Around Name Identifier Management

720 Change [SAMLCore] Section 3.6.3 at lines 2477, 2483, and 2486-2487, and Section 8.3.7 at lines  
721 3337-3339, and change [SAMLProf] Section 4.5 at lines 1319 and 1323 to clear up ambiguities  
722 around name identifier management and its application to various name identifier formats and  
723 differing identities for a principal.

721 Original at [SAMLCore] Section 3.6.3, lines 2477, 2483, and 2486-2487:

722 If the <Terminate> element is included in the request, the requesting provider is indicating that (in  
723 the case of a service provider) it will no longer accept assertions from the identity provider or (in the  
724 case of an identity provider) it will no longer issue assertions to the service provider **about the**  
725 **principal**. The receiving provider can perform any maintenance with the knowledge that the  
726 relationship represented by the name identifier has been terminated.

723 If the service provider requests that its identifier for the principal be changed by including a  
724 <NewID> (or <NewEncryptedID>) element, the identity provider **MUST** include the element's  
725 content as the `SPProvidedID` when subsequently communicating to the service provider  
726 **regarding this principal**.

724 If the identity provider requests that its identifier for the principal be changed by including a  
725 <NewID> (or <NewEncryptedID>) element, the service provider **MUST** use the element's content  
726 as the <nameID> element content when subsequently communicating with the identity  
727 provider **regarding this principal**.

725 New at [SAMLCore] Section 3.6.3, lines 2477, 2483, and 2486-2487 (note that E8 specifies  
726 additional changes to the original text shown here):

726 If the <Terminate> element is included in the request, the requesting provider is indicating that (in  
727 the case of a service provider) it will no longer accept assertions from the identity provider or (in the  
728 case of an identity provider) it will no longer issue assertions to the service provider **using that**  
729 **identifier**. The receiving provider can perform any maintenance with the knowledge that the  
730 relationship represented by the name identifier has been terminated.

727 If the service provider requests that its identifier for the principal be changed by including a  
728 <NewID> (or <NewEncryptedID>) element, the identity provider **MUST** include the element's  
729 content as the `SPProvidedID` when subsequently communicating to the service provider **using**  
730 **the primary identifier**.

728 If the identity provider requests that its identifier for the principal be changed by including a  
729 <NewID> (or <NewEncryptedID>) element, the service provider **MUST** use the element's content  
730 as the <nameID> element content when subsequently communicating with the identity  
731 provider **in any case where the identifier being changed would have been used**.

729 New at [SAMLCore] Section 8.4.7, lines 3337-3339:

730 The element's `SPNameQualifier` attribute, if present, **MUST** contain the unique identifier of the  
731 service provider or affiliation of providers for whom the identifier was generated (see Section 8.3.6).  
732 It **MAY** be omitted if the element is contained in a message intended only for consumption directly  
733 by the service provider, and the value would be the unique identifier of that service provider.

731 **The element's `SPProvidedID` attribute **MUST** contain the alternative identifier of the**  
732 **principal most recently set by the service provider or affiliation, if any (see Section 3.6). If no**  
733 **such identifier has been established, then the attribute **MUST** be omitted.**

732 Original at [SAMLProf] Section 4.5, lines 1319 and 1323:

733 In the scenario supported by the Name Identifier Management profile, an identity provider has  
734 exchanged some form of **persistent** identifier for a principal with a service provider, allowing them

734 to share a common identifier for some length of time. Subsequently, the identity provider may wish  
735 to notify the service provider of a change in the format and/or value that it will use to identify the  
736 same principal in the future. Alternatively the service provider may wish to attach its own "alias" for  
737 the principal in order to ensure that the identity provider will include it when communicating with it in  
738 the future **about the principal**. Finally, one of the providers may wish to inform the other that it will  
739 no longer issue or accept messages using a particular identifier. To implement these scenarios, a  
740 profile of the SAML Name Identifier Management protocol is used.

735 New at [SAMLProf] Section 4.5, lines 1319 and 1323 (note that E12 specifies additional changes  
736 to the original text shown here):

736 In the scenario supported by the Name Identifier Management profile, an identity provider has  
737 exchanged some form of **long-term** identifier (**including but not limited to identifiers with a**  
738 **Format Of urn:oasis:names:tc:SAML:2.0:nameid-format:persistent**) for a principal  
739 with a service provider, allowing them to share a common identifier for some length of time.  
740 Subsequently, the identity provider may wish to notify the service provider of a change in the format  
741 and/or value that it will use to identify the same principal in the future. Alternatively the service  
742 provider may wish to attach its own "alias" for the principal in order to ensure that the identity  
743 provider will include it when communicating with it in the future **using that identifier**. Finally, one of  
744 the providers may wish to inform the other that it will no longer issue or accept messages using a  
745 particular identifier. To implement these scenarios, a profile of the SAML Name Identifier  
746 Management protocol is used.

## 737 E56: Confirmation Method Typo

738 Change [SAMLProf] Section 3 at line 326 to change the reference from **<ConfirmationMethod>**  
739 (an element that no longer exists) to **Method** (an attribute, used instead of the element beginning  
740 in V2.0 of SAML).

## 739 E57: SAMLmime Reference

740 Change [SAMLBind] Section 4 at lines 1468-1469 to replace a reference to an expired IETF I-D  
741 for the SAMLmime definition to a persistent reference for the same definition.

741 Original:

742 [SAMLmime] **application/saml+xml Media Type Registration, IETF Internet-Draft,**  
743 **<http://www.ietf.org/internet-drafts/draft-hodges-saml-mediatype-01.txt>.**

743 New:

744 [SAMLmime] **OASIS Security Services Technical Committee (SSTC),**  
745 **"application/samlassertion+xml MIME Media Type Registration", IANA**  
746 **MIME Media Types Registry [application/samlassertion+xml](http://www.iana.org/assignments/media-types/application/samlassertion+xml), December**  
747 **2004. See [http://www.iana.org/assignments/media-](http://www.iana.org/assignments/media-types/application/samlassertion+xml)**  
748 **types/application/samlassertion+xml.**

## 745 E58: KeyDescriptor Typos in Profiles

746 Change [SAMLProf] Section 4.1.6 at lines 626 and 627 to expand the keyword **sign** to **signing**  
747 and to expand the keyword **encrypt** to **encryption**. These were typographical errors.

747 Original:

748 The providers MAY document the key(s) used to sign requests, responses, and assertions with  
749 `<md:KeyDescriptor>` elements with a `use` attribute of **sign**. When encrypting SAML elements,  
750 `<md:KeyDescriptor>` elements with a `use` attribute of **encrypt** MAY be used to document  
751 supported encryption algorithms and settings, and public keys used to receive bulk encryption  
752 keys.

749 New:

750  
751  
752  
753  
754

The providers MAY document the key(s) used to sign requests, responses, and assertions with `<md:KeyDescriptor>` elements with a `use` attribute of `signing`. When encrypting SAML elements, `<md:KeyDescriptor>` elements with a `use` attribute of `encryption` MAY be used to document supported encryption algorithms and settings, and public keys used to receive bulk encryption keys.

751

## E59: SSO Response When Using HTTP-Artifact

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753  
754

Change [SAMLBind] Section 3.6.5.2 at line 1173 to observe for clarity's sake that particular message delivery mechanisms are not mandated for the "nested" message exchange that takes place as part of the HTTP-Artifact binding.

753

New:

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Note also that there is no mechanism defined to protect the integrity of the relationship between the artifact and the "RelayState" value, if any. That is, an attacker can potentially recombine a pair of valid HTTP responses by switching the "RelayState" values associated with each artifact. As a result, the producer/consumer of "RelayState" information MUST take care not to associate sensitive state information with the "RelayState" value without taking additional precautions (such as based on the information in the SAML protocol message retrieved via artifact).

760  
761  
762

**Finally, note that the use of the `Destination` attribute in the root SAML element of the protocol message is unspecified by this binding, because of the message indirection involved.**

763

## E60: Incorrect URI for Unspecified NameID Format

764  
765  
766  
767

Change [SAMLCore] Section 2.2.2 at line 460 to change the name identifier format from `urn:oasis:names:tc:SAML:1.0:nameid-format:unspecified` to `urn:oasis:names:tc:SAML:1.1:nameid-format:unspecified`. This was a typographical error.

768

## E61: Reference to Non-Existent Element

769

Change [SAMLCore] Section 7.1.2 at lines 3160. Original:

770  
771  
772

The following SAML protocol **elements** are intended specifically for use as extension points in an extension schema; **their types** are set to abstract, and are thus usable only as the base of a derived type:

773

- `<Request>` and `RequestAbstractType`

774

- `<SubjectQuery>` and `SubjectQueryAbstractType`

775

New:

776  
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778

The following SAML protocol **constructs** are intended specifically for use as extension points in an extension schema; **the types listed** are set to abstract, and are thus usable only as the base of a derived type:

779

- `RequestAbstractType`

780

- `<SubjectQuery>` and `SubjectQueryAbstractType`

781

## E62: TLS Keys in KeyDescriptor

782  
783

Change [SAMLMeta] Section 2.4.1.1 at line 624 to specify more clearly how to interpret the `KeyDescriptor` element's `use` attribute.

784

New (just after the conclusion of the definition list for `KeyDescriptorType`):

785 **A use value of "signing" means that the contained key information is applicable to both**  
786 **signing and TLS/SSL operations performed by the entity when acting in the enclosing role.**

786 **A use value of "encryption" means that the contained key information is suitable for**  
787 **use in wrapping encryption keys for use by the entity when acting in the enclosing role.**

787 **If the use attribute is omitted, then the contained key information is applicable to both of**  
788 **the above uses.**

788 The following schema fragment defines the <KeyDescriptor> element and its  
789 KeyDescriptorType complex type:

## 789 **E63: IdP Discovery Cookie Interpretation**

790 Change [SAMLProf] Section 4.3.1 at line 1105 to clear up confusion over interpretation of the  
791 contents of an IdP Discovery cookie. (Note that E32 specifies changes to Section 4 that result in  
792 a new Section 4.3.1 being inserted before the original one; E63 applies to the original Section  
793 4.3.1.)

791 New:

792 Cookie syntax should be in accordance with IETF RFC 2965 [RFC2965] or [NSCookie]. The cookie  
793 MAY be either session-only or persistent. This choice may be made within a deployment, but  
794 should apply uniformly to all identity providers in the deployment. **Note that while a session-only**  
795 **cookie can be used, the intent of this profile is not to provide a means of determining**  
796 **whether a user actually has an active session with one or more of the identity providers**  
797 **stored in the cookie. The cookie merely identifies identity providers known to have been**  
798 **used in the past. Service providers MAY instead rely on the IsPassive attribute in their**  
799 **<samlp:AuthnRequest> message to probe for active sessions.**

---

## 3 References

793

794 In general, the latest revisions of all errata-related documents will be listed and linked from the  
795 public SSTC home page at [http://www.oasis-](http://www.oasis-open.org/committees/tc_home.php?wg_abbrev=security)  
796 [open.org/committees/tc\\_home.php?wg\\_abbrev=security](http://www.oasis-open.org/committees/tc_home.php?wg_abbrev=security). Links for the latest revisions at  
797 publication time have been provided below.

- 795     **[SAMLBind]**         S. Cantor et al. *Bindings for the OASIS Security Assertion Markup*  
796                           *Language (SAML) V2.0*. OASIS SSTC, March 2005. See  
797                           <http://docs.oasis-open.org/security/saml/v2.0/saml-bindings-2.0-os.pdf>.
- 798     **[SAMLBindErr]**       S. Cantor et al. *Bindings for the OASIS Security Assertion Markup*  
799                           *Language (SAML) V2.0 – Errata Composite*. OASIS SSTC, January  
800                           2007. At publication time, the current revision is 04; see  
801                           [http://www.oasis-open.org/committees/download.php/22381/sstc-saml-](http://www.oasis-open.org/committees/download.php/22381/sstc-saml-bindings-errata-2.0-wd-04-diff.pdf)  
802                           [bindings-errata-2.0-wd-04-diff.pdf](http://www.oasis-open.org/committees/download.php/22381/sstc-saml-bindings-errata-2.0-wd-04-diff.pdf).
- 803     **[SAMLConf]**         P. Mishra et al. *Conformance Requirements for the OASIS Security*  
797                           *Assertion Mark Markup Language (SAML) V2.0*. OASIS SSTC, March  
798                           2005. See [http://docs.oasis-open.org/security/saml/v2.0/saml-](http://docs.oasis-open.org/security/saml/v2.0/saml-conformance-2.0-os.pdf)  
799                           [conformance-2.0-os.pdf](http://docs.oasis-open.org/security/saml/v2.0/saml-conformance-2.0-os.pdf).
- 800     **[SAMLConfErr]**       P. Mishra et al. *Conformance Requirements for the OASIS Security*  
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799                           OASIS SSTC, January 2007. At publication time, the current revision is  
800                           03; see [http://www.oasis-](http://www.oasis-open.org/committees/download.php/22383/sstc-saml-conformance-errata-2.0-wd-03-diff.pdf)  
801                           [open.org/committees/download.php/22383/sstc-saml-conformance-](http://www.oasis-open.org/committees/download.php/22383/sstc-saml-conformance-errata-2.0-wd-03-diff.pdf)  
802                           [errata-2.0-wd-03-diff.pdf](http://www.oasis-open.org/committees/download.php/22383/sstc-saml-conformance-errata-2.0-wd-03-diff.pdf).
- 803     **[SAMLCore]**         S. Cantor et al. *Assertions and Protocols for the OASIS Security*  
799                           *Assertion Markup Language (SAML) V2.0*. OASIS SSTC, March 2005.  
800                           See <http://docs.oasis-open.org/security/saml/v2.0/saml-core-2.0-os.pdf>.
- 801     **[SAMLCoreErr]**       S. Cantor et al. *Assertions and Protocols for the OASIS Security*  
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801                           SSTC, January 2007. At publication time, the current revision is 04; see  
802                           [http://www.oasis-open.org/committees/download.php/22385/sstc-saml-](http://www.oasis-open.org/committees/download.php/22385/sstc-saml-core-errata-2.0-wd-04-diff.pdf)  
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- 804     **[SAMLMeta]**         S. Cantor et al. *Metadata for the OASIS Security Assertion Markup*  
802                           *Language (SAML) V2.0*. OASIS SSTC, March 2005. See  
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- 804     **[SAMLMetaErr]**       S. Cantor et al. *Metadata for the OASIS Security Assertion Markup*  
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804                           2007. At publication time, the current revision is 03; see  
805                           [http://www.oasis-open.org/committees/download.php/22387/sstc-saml-](http://www.oasis-open.org/committees/download.php/22387/sstc-saml-metadata-errata-2.0-wd-03-diff.pdf)  
806                           [metadata-errata-2.0-wd-03-diff.pdf](http://www.oasis-open.org/committees/download.php/22387/sstc-saml-metadata-errata-2.0-wd-03-diff.pdf).
- 807     **[SAMLProf]**         S. Cantor et al. *Profiles for the OASIS Security Assertion Markup*  
804                           *Language (SAML) V2.0*. OASIS SSTC, March 2005. See  
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- 806     **[SAMLProfErr]**       S. Cantor et al. *Profiles for the OASIS Security Assertion Markup*  
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806                           2007. At publication time, the current revision is 05; see  
807                           [http://www.oasis-open.org/committees/download.php/22389/sstc-saml-](http://www.oasis-open.org/committees/download.php/22389/sstc-saml-profiles-errata-2.0-wd-05-diff.pdf)  
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