Metadata Extension for SAML V2.0 and V1.x Query Requesters

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Related Work:
This specification supplements the SAML V2.0 metadata specification [SAML2Meta].

Abstract:
This specification defines an extension to the SAML V2.0 metadata specification [SAML2Meta].
The extension defines role descriptor types that describe a standalone SAML V1.x or V2.0 query requester for each of the three predefined query types. Readers are advised to familiarize themselves with that specification before reading this one.
Status:
This document was last revised or approved by the SSTC on the above date. The level of approval is also listed above.

Technical Committee members should send comments on this specification to the Technical Committee's email list. Others should send comments to the Technical Committee by using the "Send A Comment" button on the Technical Committee's web page at http://www.oasis-open.org/committees/security.

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1 Introduction

This specification defines an extension to the SAML V2.0 metadata specification. The extension defines a set of role descriptor types that describe a standalone SAML query requester for each of the three predefined query types. The profile addresses both SAML V1.x and SAML V2.0 query requesters. Unless specifically noted, nothing in this document should be taken to conflict with the SAML V2.0 metadata specification [SAML2Meta]. Readers are advised to familiarize themselves with that specification before reading this one.

1.1 Notation

This specification uses normative text to define an extension to the SAML V2.0 metadata specification. The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as described in [RFC 2119]:

...they MUST only be used where it is actually required for interoperability or to limit behavior which has potential for causing harm (e.g., limiting retransmissions)...

These keywords are thus capitalized when used to unambiguously specify requirements over protocol and application features and behavior that affect the interoperability and security of implementations. When these words are not capitalized, they are meant in their natural-language sense.

Listings of XML schemas appear like this.

Example code listings appear like this.

Conventional XML namespace prefixes are used throughout the listings in this specification to stand for their respective namespaces as follows, whether or not a namespace declaration is present in the example:

<table>
<thead>
<tr>
<th>Prefix</th>
<th>XML Namespace</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>saml:</td>
<td>urn:oasis:names:tc:SAML:2.0:assertion</td>
<td>This is the SAML V2.0 assertion namespace defined in the SAML V2.0 core specification [SAML2Core].</td>
</tr>
<tr>
<td>md:</td>
<td>urn:oasis:names:tc:SAML:2.0:metadata</td>
<td>This is the SAML V2.0 metadata namespace defined in the SAML V2.0 metadata specification [SAML2Meta].</td>
</tr>
<tr>
<td>query:</td>
<td>urn:oasis:names:tc:SAML:metadata:ext:query</td>
<td>This is the SAML V2.0 metadata query requester extension namespace defined by this document and its accompanying schema [MDext-XSD].</td>
</tr>
<tr>
<td>xsd:</td>
<td><a href="http://www.w3.org/2001/XMLSchema">http://www.w3.org/2001/XMLSchema</a></td>
<td>This namespace is defined in the W3C XML Schema specification [Schema1]. In schema listings, this is the default namespace and no prefix is shown.</td>
</tr>
<tr>
<td>xsi:</td>
<td><a href="http://www.w3.org/2001/XMLSchema-instance">http://www.w3.org/2001/XMLSchema-instance</a></td>
<td>This is the XML Schema namespace for schema-related markup that appears in XML instances [Schema1].</td>
</tr>
<tr>
<td>ds:</td>
<td><a href="http://www.w3.org/2000/09/xmldsig#">http://www.w3.org/2000/09/xmldsig#</a></td>
<td>This is the XML Signature namespace [XMLSig].</td>
</tr>
</tbody>
</table>
This specification uses the following typographical conventions in text: `<SAMLElement>`, `<ns:ForeignElement>`, `Attribute`, `Datatype`, `OtherKeyword`.

### 1.2 Normative References

2 Metadata Extension for SAML V2.0 and V1.x Query Requesters

This extension defines new role descriptor types that support the requester role of the three predefined SAML query types: authentication, attribute, and authorization decision.

2.1 Required Information


Contact information: security-services-comment@lists.oasis-open.org

Description: Given below.

Updates: Extends the SAML V2.0 metadata specification [SAML2Meta].

2.2 Namespaces

The SAML V2.0 metadata specification [SAML2Meta] and its accompanying schema [SAML2Meta-xsd] define the following namespace:

```
urn:oasis:names:tc:SAML:2.0:metadata
```

By convention, the namespace prefix md: is used to refer to the above namespace.

This specification defines a new namespace:

```
```

The prefix query: is used here and in the accompanying schema [MDext-XSD] to refer to this new namespace. In what follows, any unqualified element or type is assumed to belong to this new namespace.

2.3 Element `<md:RoleDescriptor>`

The `<md:RoleDescriptor>` element defined in [SAML2Meta] is an abstract extension point that contains descriptive information common across various entity roles. New roles can be defined by extending its abstract `<md:RoleDescriptorType>` complex type, which is the approach taken here.

2.4 Abstract Complex Type QueryDescriptorType

Abstract complex type `<md:RoleDescriptorType>` extends complex type `<md:RoleDescriptorType>` with content generally applicable to query requesters. The type `<QueryDescriptorType>` contains the following additional attributes and elements:

WantAssertionsSigned [Optional]

Optional attribute that indicates a requirement for assertions received by this requester to be signed. If omitted, the value is assumed to be false. This requirement is in addition to any requirement for signing derived from the use of a particular profile/binding combination.

```
<md:NameIDFormat> [Zero or More]
```

Zero or more elements of type `<xsd:anyURI>` that enumerate the name identifier formats supported by this requester. See section 8.3 of [SAML2Core] for some possible values of this element.
As an abstract type, this type serves as a basis for the additional types defined in the following sections and is not used in metadata instances directly.

The following schema fragment defines the **QueryDescriptorType** complex type:

```xml
<complexType name="QueryDescriptorType" abstract="true">
  <complexContent>
    <extension base="md:RoleDescriptorType">
      <sequence>
        <element ref="md:NameIDFormat" minOccurs="0" maxOccurs="unbounded"/>
      </sequence>
      <attribute name="WantAssertionsSigned" type="boolean" use="optional"/>
    </extension>
  </complexContent>
</complexType>
```

### 2.5 Complex Type **AuthnQueryDescriptorType**

Complex type **AuthnQueryDescriptorType** extends complex type **QueryDescriptorType** into a concrete type usable to represent authentication query requesters. It contains no additional elements or attributes.

Instances of **AuthnQueryDescriptorType** are declared using the `<md:RoleDescriptor>` element with an xsi:type of **AuthnQueryDescriptorType**.

See the SAML V1.x Metadata Profile [SAML1xMeta] for specifics on the transformation and use of particular elements and attributes for use with SAML V1.x.

The following schema fragment defines the **AuthnQueryDescriptorType** complex type:

```xml
<complexType name="AuthnQueryDescriptorType">
  <complexContent>
    <extension base="query:QueryDescriptorType"/>
  </complexContent>
</complexType>
```

### 2.6 Complex Type **AttributeQueryDescriptorType**

Complex type **AttributeQueryDescriptorType** extends complex type **QueryDescriptorType** with content specific to attribute query requesters, that is, consumers of SAML attributes. The type **AttributeQueryDescriptorType** contains the following additional elements:

- `<md:AttributeConsumingService>` [Zero or More]
  - Zero or more elements that describe an application or service provided by this requester that requires or desires the use of SAML attributes. It is RECOMMENDED that deployers provide at least one such element to facilitate configuration of policy by attribute providers.

  At most one `<md:AttributeConsumingService>` element can have the attribute isDefault set to true. When multiple elements are specified and none has the attribute isDefault set to true, then the first element whose isDefault attribute is not set to false is to be used as the default. If all elements have their isDefault attribute set to false, then the first element is considered the default.

Instances of **AttributeQueryDescriptorType** are declared using the `<md:RoleDescriptor>` element with an xsi:type of **AttributeQueryDescriptorType**. See the example in section 2.8.

See the SAML V1.x Metadata Profile [SAML1xMeta] for specifics on the transformation and use of particular elements and attributes for use with SAML V1.x.

The following schema fragment defines the **AttributeQueryDescriptorType** complex type:

```xml
<complexType name="AttributeQueryDescriptorType">
  <complexContent>
    <extension base="md:RoleDescriptorType">
    </extension>
  </complexContent>
</complexType>
```
2.7 Complex Type AuthzDecisionQueryDescriptorType

Complex type AuthzDecisionQueryDescriptorType extends complex type QueryDescriptorType with content specific to authorization decision query requesters, that is, policy enforcement points. The type AuthzDecisionQueryDescriptorType contains the following additional elements:

<query:ActionNamespace> [Zero or More]

Zero or more elements of type xsd:anyURI that enumerate the action namespaces supported by this requester. See section 8.1 of [SAML2Core] for some possible values of this element.

Instances of AuthzDecisionQueryDescriptorType are declared using the <md:RoleDescriptor> element with an xsi:type of AuthzDecisionQueryDescriptorType.

See the SAML V1.x Metadata Profile [SAML1xMeta] for specifics on the transformation and use of particular elements and attributes for use with SAML V1.x.

The following schema fragment defines the AuthzDecisionQueryDescriptorType complex type:

```xml
<complexType name="AuthzDecisionQueryDescriptorType">
  <complexContent>
    <extension base="query:QueryDescriptorType">
      <sequence>
        <element ref="query:ActionNamespace" minOccurs="0" maxOccurs="unbounded"/>
      </sequence>
    </extension>
  </complexContent>
</complexType>
```

The following schema fragment defines the <query:ActionNamespace> element:

```xml
<element name="ActionNamespace" type="anyURI"/>
```

2.8 Example

Following is a metadata example for a SAML attribute query requester that supports both SAML V1.1 and SAML V2.0.

```xml
<md:EntityDescriptor
 xmlns:md="urn:oasis:names:tc:SAML:2.0:metadata"
 xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion"
 xmlns:d="http://www.w3.org/2000/09/xmldsig#
 xmlns:xsd="http://www.w3.org/2001/XMLSchema"
 xmlns:entityID="https://gs.org/gridshib">
 <!-- insert ds:Signature element here -->
<md:RoleDescriptor
 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
 xsi:type="query:AttributeQueryDescriptorType"
 protocolSupportEnumeration="urn:oasis:names:tc:SAML:1.1:protocol
 urn:oasis:names:tc:SAML:2.0:protocol">
  <md:KeyDescriptor use="signing">
```

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<ds:KeyInfo>
  <ds:KeyName>Requester Key</ds:KeyName>
</ds:KeyInfo>

<md:RoleDescriptor>
  <md:Organization>
    <md:OrganizationName xml:lang="en">GridShib Service Provider</md:OrganizationName>
    <md:OrganizationDisplayName xml:lang="en">GridShib Service Provider @ Some Location</md:OrganizationDisplayName>
  </md:Organization>
  <md:ContactPerson contactType="technical">
    <md:SurName>GridShib Support</md:SurName>
    <md:EmailAddress>mailto:gridshib-support@gs.org</md:EmailAddress>
  </md:ContactPerson>
</md:RoleDescriptor>

<md:AttributeConsumingService isDefault="true" index="0">
  <md:ServiceName xml:lang="en">Shibbolized Grid Service</md:ServiceName>
  <md:RequestedAttribute NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri" Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.9" FriendlyName="eduPersonScopedAffiliation">
  </md:RequestedAttribute>
  <md:RequestedAttribute NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri" Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.7" FriendlyName="eduPersonEntitlement">
  </md:RequestedAttribute>
</md:AttributeConsumingService>

<md:Organization>
  <md:OrganizationName xml:lang="en">GridShib Service Provider</md:OrganizationName>
  <md:OrganizationDisplayName xml:lang="en">GridShib Service Provider @ Some Location</md:OrganizationDisplayName>
</md:Organization>

<md:ContactPerson contactType="technical">
  <md:SurName>GridShib Support</md:SurName>
  <md:EmailAddress>mailto:gridshib-support@gs.org</md:EmailAddress>
</md:ContactPerson>
Appendix A. Acknowledgments

The editors would like to acknowledge the contributions of the OASIS Security Services Technical Committee, whose voting members at the time of publication were:

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