



Holder-of-Key Web Browser SSO Profile

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OASIS Security Services TC

Chair(s):

Hal Lockhart, BEA Systems, Inc.

Brian Campbell, Ping Identity Corporation

Editor(s):

Nate Klingenstein, Internet2

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This specification is an alternative to the SAML V2.0 Web Browser SSO Profile in the SAML V2.0 Profiles specification [SAML2Prof].

Declared XML Namespace(s):

urn:oasis:names:tc:SAML:2.0:profiles:SSO:browser:holder-of-key

Abstract:

This profile allows for transport and validation of holder-of-key assertions by standard HTTP user agents with no modification of client software and maximum compatibility with existing deployments. Most of the flows are as in standard Web Browser SSO, but an X.509 certificate presented by the user agent supplies a valid keypair through client TLS authentication for HTTP

35 transactions. Cryptographic data resulting from TLS authentication is used for holder-of-key
36 validation of a SAML assertion. This strengthens the assurance of the resulting authentication
37 context and protects against credential theft, giving the service provider fresh authentication and
38 attribute information without requiring it to perform successful validation of the certificate.

39 **Status:**

40 This document was last revised or approved by the SSTC on the above date. The level of
41 approval is also listed above. Check the "Latest Version" or "Latest Approved Version" location
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1 Introduction

124

125 In the scenario addressed by this profile, which is an extended version of the Web Browser SSO Profile
126 in 4.1 of [SAML2Prof], a principal uses an HTTP user agent to either access a web-based resource at a
127 service provider or access an identity provider such that the service provider and desired resource are
128 understood or implicit. In either case, the user agent needs to acquire a SAML assertion from the identity
129 provider. The user agent makes a request to the identity provider using client TLS authentication. The
130 X.509 certificate supplied in this transaction is used primarily to supply a public key that is associated with
131 the principal. The identity provider authenticates the principal by way of this TLS authentication or any
132 other method of its choice. The identity provider then produces a response containing at least an
133 assertion with holder-of-key subject confirmation and an authentication statement for the user agent to
134 transport to the service provider. This assertion is presented by the user agent to the service provider
135 over client TLS authentication to prove possession of the private key matching the holder-of-key
136 confirmation in the assertion. The service provider should rely on no information from the certificate
137 beyond the key; instead, it consumes the assertion to create a security context. The TLS key may then
138 be used to persist the security context rather than a cookie or other application-layer session.

139 To implement this scenario, a profile of the SAML Authentication Request protocol is used in conjunction
140 with the HTTP Redirect, HTTP POST and HTTP Artifact bindings. It is assumed that the user is using an
141 HTTP user agent capable of presenting client certificates during TLS session establishment, such as a
142 standard web browser.

1.1 Terminology

143

144 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD
145 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as
146 described in [RFC 2119].

147 These keywords are thus capitalized when used to unambiguously specify requirements over protocol
148 and application features and behavior that affect the interoperability and security of implementations.
149 When these words are not capitalized, they are meant in their natural-language sense.

150 Conventional XML namespace prefixes are used throughout this specification to stand for their respective
151 namespaces as follows:

Prefix	XML Namespace	Comments
md:	urn:oasis:names:tc:SAML:2.0:metadata	This is the SAML V2.0 metadata namespace defined in the SAML V2.0 metadata specification [SAML2Meta].
saml:	urn:oasis:names:tc:SAML:2.0:assertion	This is the SAML V2.0 assertion namespace defined in the SAML V2.0 core specification [SAML2Core].
samlp:	urn:oasis:names:tc:SAML:2.0:protocol	This is the SAML V2.0 protocol namespace defined in the SAML V2.0 core specification [SAML2Core].

152

153 This specification uses the following typographical conventions in text: <namespace:Element>,
154 Attribute, **Datatype**, OtherKeyword.

155 1.2 Normative References

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- 159 [IDPDisco] R. Widdowson, S. Cantor. Identity Provider Discovery Service Protocol and
160 Profile, OASIS SSTC October 2007. Document ID sstc-saml-idp-discovery. See
161 <http://www.oasis-open.org/committees/security/>.
- 162 [RFC 2119] S. Bradner. *Key words for use in RFCs to Indicate Requirement Levels*. IETF
163 RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>.
- 164 [RFC 4346] T. Dierks, E. Rescorla. *The Transport Layer Security (TLS) Protocol*. IETF RFC
165 4346, April 2006.
166 <http://www.ietf.org/rfc/rfc4346.txt>.
- 167 [SAML2Bind] S. Cantor et al. *Assertions and Protocols for the OASIS Security Assertion
168 Markup Language (SAML) V2.0*. OASIS Standard, March 2005. Document ID
169 saml-core-2.0-os. See [http://docs.oasis-open.org/security/saml/v2.0/saml-
170 bindings-2.0-os.pdf](http://docs.oasis-open.org/security/saml/v2.0/saml-bindings-2.0-os.pdf).
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177 os. See <http://docs.oasis-open.org/security/saml/v2.0/saml-metadata-2.0-os.pdf>.
- 178 [SAML2Prof] S. Cantor et al. *Profiles for the OASIS Security Assertion Markup Language
179 (SAML) V2.0*. OASIS Standard, March 2005. Document ID saml-profiles-2.0-os.
180 See [http:// docs.oasis-ope n.org/secur ity/saml/v2.0/saml-prof iles-2.0-os.pdf](http://docs.oasis-open.org/security/saml/v2.0/saml-profiles-2.0-os.pdf) .
- 181 [SAML2Secure] F. Hirsch et al. *Security and Privacy Considerations for the OASIS Security
182 Assertion Markup Language (SAML) v2.0*. OASIS SSTC, March 2005.
183 Document ID saml-sec-consider-2.0-os. See [http:// docs.oasis-
184 open.org/security/saml /v2.0/saml-sec-consi der-2.0-os.pdf](http:// docs.oasis- open.org/security/saml /v2.0/saml-sec-consi der-2.0-os.pdf) .

185 1.3 Conformance

186 1.3.1 Holder-of-Key Web Browser SSO Profile

187 A conforming implementation of a service provider and an identity provider MUST support holder-of-key
188 assertions and the acquisition of client keys from TLS connections, for validation and issuance of these
189 assertions, respectively.

2 Holder-of-Key Web Browser SSO Profile

2.1 Required Information

Identification: urn:oasis:names:tc:SAML:2.0:profiles:SSO:browser:holder-of-key

Contact information: security-services-comment@lists.oasis-open.org

SAML Confirmation Method Identifiers: The SAML V2.0 “holder-of-key” confirmation method identifier, urn:oasis:names:tc:SAML:2.0:cm:holder-of-key, is included in all assertions issued under this profile.

Description: Given below.

Updates: Provides an alternative to the SAML V2.0 Web Browser SSO Profile given in 4.1 of [SAML2Prof].

2.2 Background

This profile is designed to enhance the security of SAML assertion and message exchange without requiring modifications to client software. The amount of benefit depends on the alignment of the certificate with the discovery service and identity provider and the extent to which a service provider has been enabled. Deployments should minimize user interaction and avoid mutually conflicting CA requirements by coordinating certificate issuance and TLS configuration.

If both the identity provider and service provider use this profile, but assume no knowledge of the certificate's contents, enhanced security is the primary benefit. There is a small chance that a bearer token will be stolen in transit, as described in [SAML2Secure]. Confirming that the presenter of the token is the intended holder through public key cryptography virtually eliminates this chance, improving the viability of SAML-based HTTP SSO for sensitive applications. The session created by the service provider in the security context resulting from the Holder-of-Key Web Browser SSO Profile can be keyed by the TLS public key or session key. Application-layer sessions, such as maintained by cookies, are often poorly protected by user agents, allowing for theft of this session and impersonation of the user.

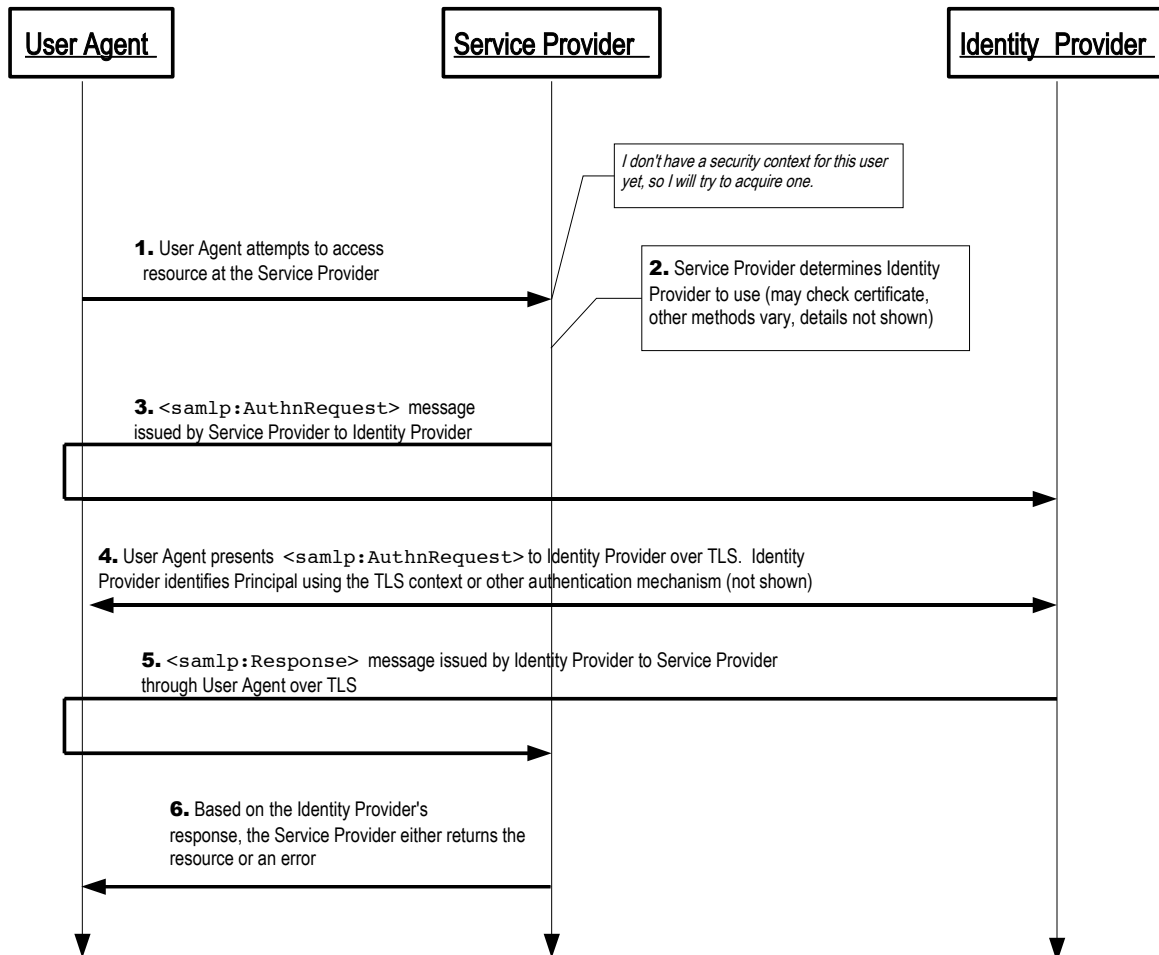
If a certificate can be used by the identity provider for principal authentication, there is no need for the user to further confirm its identity, and potentially no user interaction is needed.

Further, if the user accesses the service provider first, discovery of the user's identity provider may be performed by matching fields within the certificate presented; however, that is beyond the scope of this specification.

This profile offers meaningful advantages over traditional PKI, as well. There is no requirement for a mutually or universally trusted root, distributed OCSP or CRL-based revocation, a globally unique namespace, PKI validation (particularly by the SP), or for all participants in SSO to utilize X.509. The authentication token can be customized for every transaction, including fresh attributes and appropriate revelation of identity.

2.3 Profile Overview

Figure 1 illustrates the basic template for achieving SSO. The following steps are described by the profile. Within an individual step, there may be one or more actual message exchanges depending on the binding used for that step and other implementation-dependent behavior.



228 **1. HTTP Request to Service Provider**

229 The principal, via an HTTP user agent, makes an HTTP request for a secured resource at the service
 230 provider. The service provider determines that no security context exists, and attempts to create
 231 one.

232 **2. Service Provider Determines Identity Provider**

233 The service provider determines the proper identity provider to which to direct the user agent. This
 234 may be done through use of a discovery service as described in [IDPDisco], by examining fields in a
 235 certificate presented through client TLS authentication, such as the X.509 subject or subjectAltName, or
 236 by any other means appropriate.

237 **3. <samlp:AuthnRequest> issued by Service Provider to Identity Provider**

238 The service provider issues a <samlp:AuthnRequest> message to be delivered by the user agent
 239 to the identity provider. The HTTP Redirect, HTTP POST, or HTTP Artifact binding can be used to
 240 transport the message to the identity provider through the user agent. URL size limits when using
 241 HTTP Redirect should be considered when issuing requests including keying information.

242 **4. Identity Provider identifies Principal**

243 The principal is identified by the identity provider. The identity provider identifies the principal using
 244 any authentication method at its discretion, honoring any requirements imposed by the service
 245 provider in the <samlp:AuthnRequest>, including validation of the certificate presented in client

246 TLS authentication. However, the identity provider must establish that the private key corresponding
247 to the keying material that will be included for holder-of-key proofing is held by this user agent,
248 typically through a successful TLS handshake.

249 **5. Identity Provider issues <samlp:Response> to Service Provider**

250 The identity provider issues a <samlp:Response> message to be delivered by the user agent to the
251 service provider. Either the HTTP POST or HTTP Artifact binding can be used to transfer the
252 message to the service provider through the user agent. The message may indicate an error or will
253 include at least an authentication statement in an assertion with holder-of-key
254 <saml:SubjectConfirmation> containing keying information associated with the principal.

255 **6. Service Provider grants or denies access to Principal**

256 The response is received by the service provider, which can respond to the principal's user agent with
257 its own error, an error passed by the identity provider, or establish a security context for the principal
258 and return the requested resource.

259 Note that an identity provider can initiate this profile at step 5 by issuing a <samlp:Response> message
260 to a service provider without the preceding steps. The user agent or a third party may also initiate this
261 profile by spoofing the authentication request if there is no requirement it be signed.

262 **2.4 Profile Description**

263 If the profile is initiated by the service provider, start with Section 2.4.1. If the request is unsigned and
264 spoofed by the user agent or a third party, start with Section 2.4.4. If initiated by the identity provider,
265 start with Section 2.4.5. The descriptions refer to a Single Sign-On Service and Assertion Consumer
266 Service in accordance with their use in section 4.1.3 of [SAML2Prof].

267 **2.4.1 HTTP Request to Service Provider**

268 The profile may be initiated by an arbitrary request to the service provider. The service provider is free to
269 use any means it wishes to associate the subsequent interactions with the original request. Each of the
270 bindings provides a RelayState mechanism that the service provider MAY use to associate the profile
271 exchange with the original request. In particular, the TLS session itself MAY be used.

272 **2.4.2 Service Provider Determines Identity Provider**

273 The service provider determines the primary identity provider with which the principal is associated
274 through a variety of mechanisms as selected by the service provider implementation or deployment. The
275 service provider MAY check the certificate presented by the user agent, to attempt to use the `x.509`
276 `subject`, `subjectAltName`, or other field or extension in the certificate to determine the principal's
277 identity provider or single sign-on service endpoint. The common domain cookie approach described in
278 4.3 of [SAML2Prof], a discovery service as described in [IDPDisco], or other mechanism MAY be used if
279 the correct identity provider cannot be determined through inspection of the certificate.

280 **2.4.3 <samlp:AuthnRequest> Issued by Service Provider to Identity 281 Provider**

282 Once an identity provider is selected, the location of a single sign-on service to which to send a
283 <samlp:AuthnRequest> is determined based on the SAML binding chosen by the service provider.
284 Metadata as described in [SAML2Meta] MAY be used for this purpose. Following an HTTP request by
285 the user agent, an HTTP response is returned containing a <samlp:AuthnRequest> message or an

286 artifact, depending on the SAML binding used, to be delivered to the identity provider's single sign-on
287 service.

288 Profile-specific rules for the contents of the `<samlp:AuthnRequest>` are defined in Section 2.5.1.

289 If the HTTP Redirect or POST binding is used, the `<samlp:AuthnRequest>` message is delivered
290 directly to the identity provider in this step. If the HTTP Artifact binding is used, the Artifact Resolution
291 profile defined in Section 5 of [SAML2Prof] is used by the identity provider, which makes a callback to the
292 service provider to retrieve the `<samlp:AuthnRequest>` message using, for example, the SOAP
293 binding.

294 The `<samlp:AuthnRequest>` message SHOULD be signed if authentication of the request issuer is
295 required. If a certificate or public key is used as holder-of-key keying material in the request, the HTTP
296 Redirect binding MUST NOT be used to transport the `<samlp:AuthnRequest>` due to size limitations.

297 It is REQUIRED that the `<samlp:AuthnRequest>` be presented to the identity provider over mutually
298 authenticated TLS to supply the identity provider with keying information and establish the user agent's
299 possession of the corresponding private key.

300 **2.4.4 Identity Provider Identifies Principal and Verifies Key Possession**

301 The identity provider must perform two functions in this step: identification of the principal presenting the
302 `<samlp:AuthnRequest>`, and verification that the principal possesses the private key associated with
303 the keying information that will be included in the `<saml:SubjectConfirmation>`.

304 The identity provider MUST establish the identity of the principal (unless it will return an error) prior to the
305 issuance of the `<samlp:Response>`. If the `<samlp:AuthnRequest>` attribute `ForceAuthn` is
306 present and true, the identity provider MUST freshly establish this identity rather than relying on any
307 existing session it may have with the principal. Otherwise, and in all other respects, the identity provider
308 may use any means to authenticate the user agent, subject to any requirements included in the
309 `<samlp:AuthnRequest>`.

310 The identity provider MUST also establish that the keying information that will be included as a holder-of-
311 key `<saml:SubjectConfirmation>` in the subsequent `<samlp:Response>` matches the private key
312 presented by the user agent in step 2.4.3. The user agent MUST have demonstrated possession of this
313 key through successful TLS authentication.

314 Preferably, both of these requirements will be simultaneously addressed by validation of an x.509
315 certificate presented by the user agent in TLS authentication from an issuer trusted by the identity
316 provider, but this is not mandatory unless such an authentication context is requested by the service
317 provider.

318 **2.4.5 Identity Provider Issues `<samlp:Response>` to Service Provider**

319 Regardless of the success or failure of the `<samlp:AuthnRequest>`, the identity provider SHOULD
320 present an HTTP response to the user agent containing a `<samlp:Response>` message or an artifact,
321 depending on the SAML binding used, to be delivered to the service provider's assertion consumer
322 service.

323 The exact format of this HTTP response and the subsequent HTTP request to the assertion consumer
324 service is defined by [SAML2Bind].

- 325 ● If the HTTP POST binding is used, the `<samlp:Response>` message is delivered directly to the
326 service provider in this step.

- 327 ● If the HTTP Artifact binding is used, the Artifact Resolution profile defined in Section 5 of
328 [SAML2Prof] is used by the service provider, which makes a callback to the identity provider to
329 retrieve the <samlp:Response> message, using for example the SOAP binding. The TLS
330 session could be used to persist client state during artifact resolution, or establish state
331 afterwards by claiming a resolved assertion.
- 332 ● The HTTP Redirect binding MUST NOT be used, as the response will typically exceed the URL
333 length permitted by most user agents.

334 Profile-specific rules on the contents of the <samlp:Response> are included in section 2.5.3.

335 The location of the assertion consumer service MAY be determined using metadata defined in
336 [SAML2Meta]. The identity provider MUST have some means to establish that this location is in fact
337 controlled by the service provider. A service provider MAY indicate the SAML binding and the specific
338 assertion consumer service to use in its <samlp:AuthnRequest> and the identity provider MUST honor
339 them if it can.

340 It is REQUIRED that the HTTP requests in this step be made over mutually authenticated TLS to
341 demonstrate possession of the private key corresponding to the keying information included in the
342 assertion's <saml:SubjectConfirmation> as well as maintain confidentiality and message integrity.
343 The <saml:Assertion> element(s) in the <samlp:Response> MUST be signed, if the HTTP POST
344 binding is used, and MAY be signed if the HTTP Artifact binding is used.

345 The service provider MUST process the <samlp:Response> message and any enclosed
346 <saml:Assertion> elements as described in [SAML2Core].

347 2.4.6 Service Provider Grants or Denies Access to Principal

348 To complete the profile, the service provider processes the <samlp:Response> and
349 <saml:Assertion>(s) and grants or denies access to the resource. The service provider MAY
350 establish a security context with the user agent using any session mechanism it chooses. Any
351 subsequent use of the <saml:Assertion>(s) provided is at the discretion of the service provider and
352 other relying parties, subject to any restrictions on use contained within them.

353 2.5 Use of Authentication Request Protocol

354 This profile is based upon the Web Browser SSO Profile defined in [SAML2Prof] and the Authentication
355 Request protocol defined in [SAML2Core]. In the nomenclature of actors enumerated in Section 3.4 of
356 that document, the service provider is the request issuer and the relying party, the user agent is the
357 attesting entity and presenter, and the principal is the requested subject. There may be additional relying
358 parties at the discretion of the identity provider.

359 2.5.1 <samlp:AuthnRequest> Usage

360 A service provider MAY include any message content described in [SAML2Core], Section 3.4.1. All
361 processing rules are as defined in [SAML2Core]. The request MUST conform to the following:

- 362 ● The <saml:Issuer> element MUST be present and MUST contain the unique identifier of the
363 requesting service provider. The Format attribute MUST be omitted or have a value of
364 urn:oasis:names:tc:SAML:2.0:nameid-format:entity.
- 365 ● If the initial request was made over TLS and this message is signed, a <saml:Subject>
366 element MAY be included in the request that includes keying information presented by the user
367 agent for which the service provider wishes to receive an assertion in a holder-of-key

368 <saml:SubjectConfirmation> element. A <saml:NameID> SHOULD NOT be included, as
369 the names used by the certificate authority may differ from those used by the identity provider. If
370 the user agent fails this confirmation, then the identity provider MUST respond with a
371 <samlp:Response> message containing an error status and no assertions.

372 ● If the service provider wishes to permit the identity provider to establish a new identifier for the
373 principal if none exists, it MUST include a <saml:NameIDPolicy> element with the
374 AllowCreate attribute set to true.

375 ● The <samlp:AuthnRequest> message MAY be signed (as directed by the SAML binding
376 used). If the HTTP Artifact binding is used, authentication of the parties is OPTIONAL and any
377 mechanism permitted by the binding MAY be used.

378 2.5.2 <samlp:AuthnRequest> Message Processing Rules

379 If the identity provider cannot or will not satisfy the request, it MUST respond with a message containing
380 an appropriate error status code or codes.

381 If the <samlp:AuthnRequest> is not authenticated and/or integrity protected, the information in it
382 MUST NOT be trusted except as advisory. The <samlp:AuthnRequest> must be processed as
383 follows:

384 ● It is RECOMMENDED that any AssertionConsumerServiceURL or
385 AssertionConsumerServiceIndex attributes in the <samlp:AuthnRequest> are verified
386 as belonging to the entityID to whom the response will be sent.

387 ● It is NOT obligated to honor the requested set of <saml:Conditions> in the
388 <samlp:AuthnRequest>, if any.

389 2.5.3 <samlp:Response> Usage

390 If the identity provider wishes to return an error for this request, it MUST NOT include any assertions in
391 the <samlp:Response> message. Otherwise, if the request is successful or the response is not
392 associated with a request, the <samlp:Response> element MUST conform to the following:

393 ● The <saml:Issuer> element of the <samlp:Response> MAY be omitted, but if present it
394 MUST contain the unique identifier of the issuing identity provider; the Format attribute MUST be
395 omitted or have a value of urn:oasis:names:tc:SAML:2.0:nameid-format:entity.

396 ● It MUST contain at least one <saml:Assertion>. Each assertion's <saml:Issuer> element
397 MUST contain the unique identifier of the issuing identity provider, and the Format attribute
398 MUST be omitted or have a value of urn:oasis:names:tc:SAML:2.0:nameid-
399 format:entity.

400 ● The set of one or more assertions MUST collectively contain one <saml:AuthnStatement>
401 that reflects the authentication of the principal to the identity provider.

402 ● The assertion containing a <saml:AuthnStatement> MUST also contain a <saml:Subject>
403 element with at least one <saml:SubjectConfirmation> element with a Method of
404 urn:oasis:names:tc:SAML:2.0:cm:holder-of-key. Its
405 <saml:SubjectConfirmationData> MUST contain cryptographically secure keying material
406 associated with the user's private key that will be available to the service provider as a result of
407 TLS authentication, such as an X.509 certificate, a public key, or a collision resistant hash of the
408 public key. Additional <saml:SubjectConfirmation> elements MAY be included, though

409 deployers should be aware of the implications of allowing weaker confirmation, as the processing
410 is satisfy-any.

- 411 ● If the identity provider supports the Single Logout profile, defined in Section 4.4 of [SAML2Prof],
412 the `<saml:AuthnStatement>` MUST include a `SessionIndex` attribute to enable per-session
413 logout requests by the service provider.
- 414 ● Additional statements MAY be included in the assertion(s) at the discretion of the identity
415 provider. The `<samlp:AuthnRequest>` MAY contain an
416 `AttributeConsumingServiceIndex` XML attribute referencing information about desired or
417 required attributes in [SAML2Meta]. The identity provider MAY ignore this, or send other
418 attributes at its discretion.
- 419 ● The assertion containing the `<saml:AuthnStatement>` MUST contain a
420 `<saml:AudienceRestriction>` including the service provider's unique identifier as a
421 `<saml:Audience>`.
- 422 ● Other conditions (and other `<saml:Audience>` elements) MAY be included as requested by the
423 service provider or at the discretion of the identity provider. All such conditions MUST be
424 understood by and accepted by the service provider in order for the assertion to be considered
425 valid.

426 2.5.4 `<samlp:Response>` Message Processing Rules

427 Regardless of the SAML binding used, the service provider MUST do the following:

- 428 ● Verify any signatures present on the assertion(s) or the response.
- 429 ● Verify that cryptographic data resulting from the mutual TLS authentication to the service provider
430 matches the keying information in the holder-of-key `<saml:SubjectConfirmationData>`.
431 The service provider SHOULD NOT rely on any other data in the certificate to process the
432 assertion.
- 433 ● Verify that any assertions relied upon are valid in other respects.

434 Any assertion which is not valid, or whose subject confirmation requirements cannot be met, SHOULD be
435 discarded and SHOULD NOT be used to establish a security context for the principal.

436 2.5.4.1 Artifact-Specific `<samlp:Response>` Message Processing Rules

437 If the HTTP Artifact binding is used to deliver the `<samlp:Response>`, the dereferencing of the artifact
438 using the Artifact Resolution profile MUST be mutually authenticated, integrity protected, and confidential.

439 If the assertion is not encrypted, it is RECOMMENDED that the identity provider ensure that only the
440 service provider to whom the `<samlp:Response>` message has been issued is given the message as
441 the result of a `<samlp:ArtifactResolve>` request.

442 Either the SAML binding used to dereference the artifact or message signatures can be used to
443 authenticate the parties and protect the messages.

444 2.5.4.2 POST-Specific `<samlp:Response>` Message Processing Rules

445 If the HTTP POST binding is used to deliver the `<samlp:Response>`, the enclosed assertion(s) MUST
446 be signed.

447 2.6 Compatibility

448 This profile is based on the Web Browser SSO Profile in [SAML2Prof]. The primary difference is the
449 mandatory holder-of-key `<saml:SubjectConfirmation>` and the resulting mandate of client TLS
450 authentication for user agent interactions. Because of its satisfy-any nature, inclusion of additional (in
451 particular, bearer) `<saml:SubjectConfirmation>` must be done cautiously in order to preserve the
452 security benefits.

453 The `urn:oasis:names:tc:SAML:2.0:profiles:SSO:browser:holder-of-key` profile is
454 technically compatible with the `urn:oasis:names:tc:SAML:2.0:profiles:SSO:browser` profile,
455 but it is RECOMMENDED that separate endpoints be used to remove any potential ambiguity.

456 2.7 Security and Privacy Considerations

457 The holder-of-key assertions and protocols supporting their issuance and verification in this profile have
458 some different security and privacy characteristics from the bearer assertions used in the Web Browser
459 SSO Profile.

- 460 ● If a certificate is used by the identity provider for principal authentication, phishing is eliminated,
461 as there are greater challenges and no benefits to tricking the user into authenticating with
462 legitimate credentials to a fraudulent party.
- 463 ● There are limitations on the degree to which users can remain private under this profile, since the
464 X.509 certificate is presented to the service provider. Most end-user X.509 certificates have a
465 unique distinguished name for the subject regularly containing personally identifying information.
466 Additional information about the subject may be implicitly revealed through other fields.
467 Furthermore, unless a new keypair is issued for every transaction, the public key is a de-facto
468 persistent ID, as discussed in [SAML2Secure].
- 469 ● It is REQUIRED that the HTTP requests in this step be made over mutually authenticated TLS to
470 demonstrate possession of the private key corresponding to the keying information included in
471 the assertion's `<saml:SubjectConfirmation>` as well as maintain confidentiality and message
472 integrity.
- 473 ● Holder-of-key confirmation of the assertion issued eliminates the potential for assertion theft and
474 encryption prevents privacy loss, eliminating attacks that would have required a check of the
475 request issuer in Section 2.5.2.
- 476 ● The use of holder-of-key verification and encryption eliminate man-in-the-middle attacks. Without
477 checking `<saml:AudienceRestriction>` in Section 2.5.3, there is the possibility of collusion
478 between the principal and the intended recipient to re-encrypt and replay the assertion to another
479 service provider.
- 480 ● The `<md:IDPSSODescriptor>` element's `WantAuthnRequestsSigned` attribute MAY be
481 used by an identity provider to indicate a requirement that requests be signed. The
482 `<md:SPSSODescriptor>` element's `AuthnRequestsSigned` attribute MAY be used by a
483 service provider to indicate the intention to sign all of its requests. If one of these attributes is
484 present, the requirement SHOULD be met by counterparties.

485 **Appendix A. Acknowledgments**

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489 **Participants:**

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491 Patrick Harding, Ping Identity Corporation
492 Enrique de la Hoz, University of Alcala de Henares
493 Toshiyuki Kataoka, National Institute of Informatics
494 Chad La Joie, SWITCH
495 Diego Lopez, RedIRIS
496 Tom Scavo, NCSA
497 David Waite, Ping Identity Corporation