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Errata Working Document for SAML V2.0

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23 **Related Work:**

24 This specification is related to:
25 Security Assertion Markup Language (SAML) Version 2.0

26 **Abstract:**

27 This document lists the proposed errata against the OASIS SAML V2.0 Committee
28 Specifications and details about their disposition. Each item describes options for
29 resolving the issue and the resolution decided on by the SSTC, if any.

30 **Status:**

31 This document is work in progress and will be updated over time to reflect newly
32 proposed errata. This is meant to be the working document that records the history of
33 each item; there is a separate document for approved errata that is on a formal approval
34 track, which summarizes only the errata with resolutions that prescribe specification
35 changes.

36 Technical Committee members should send comments on this specification and
37 proposed errata to security-services@lists.oasis-open.org. Others should send
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39 Technical Committee’s web page at [http://www.oasis-](http://www.oasis-open.org/committees/comments/index.php?wg_abbrev=security)
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1 Introduction

173

174 This document lists the proposed errata against the OASIS SAML 2.0 Committee Specifications
175 and details about their disposition. It is a working document that may change over time. See also
176 the formally approved SAML V2.0 Errata document and its associated “errata composite”
177 documents, whose latest revisions are listed and linked at the SSTC web page ([http://www.oasis-
178 open.org/committees/tc_home.php?wg_abbrev=security](http://www.oasis-open.org/committees/tc_home.php?wg_abbrev=security)).

2 Errata

179

180 The SSTC has determined that these reported problems have a solution that can be applied in
181 erratum form. Their original number designations have changed from “PE_{nn}” to “E_{nn}” to reflect
182 this status.

E0: Incorrect section reference

183

184 **First reported by:** Rob Philpot, RSA

185 **Message:** <http://lists.oasis-open.org/archives/security-services/200503/msg00080.html>

186 **Document:** Core

187 **Description:** Line 2660 refers back to section “3.6.3” for Reason codes. This should refer to
188 section “3.7.3”.

189 **Options:**

190 **Disposition:** During the conference call of March 28 the TC unanimously agreed to make this
191 correction. (Note that this entry was originally number “E1” when there were separate “E” (agreed
192 errata) and “PE” (potential errata) lists, where the “E” list had only this one entry in it. It has been
193 renamed “E0” so that the two lists could be merged and a single number would suffice for unique
194 identification across them.)

E1: Relay State for HTTP Redirect

195

196 **First reported by:** Ari Kermaier, Oracle

197 **Message:** <http://lists.oasis-open.org/archives/security-services/200502/msg00003.html>

198 **Document:** Bindings and Profiles

199 **Description:** Section 3.4.3 (Relay State for HTTP Redirect) lines 551-553 read

200 “Signing is not realistic given the space limitation, but because the value is exposed to third-party
201 tampering, the entity SHOULD insure that the value has not been tampered with by using a
202 checksum, a pseudo-random value, or similar means.”

203 This language should probably be deleted or modified, as the RelayState parameter *is* covered
204 by the query string signature described in 3.4.4.1 (DEFLATE Encoding).

205 The same language is correctly present in 3.5.3 (Relay State for HTTP POST), as no means of
206 signing the POST form control data is defined.

207 **Options:** Replace first paragraph of section 3.4.3 at line 545 with: “RelayState data MAY be
208 included with a SAML protocol message transmitted with this binding. The value MUST NOT
209 exceed 80 bytes in length and SHOULD be integrity protected by the entity creating the message,
210 either via a digital signature (see section [3.4.4.1]) or by some independent means.”

211 **Disposition:** During the conference call of April 12 the TC accepted this option.

212 E2: Metadata clarifications

213 **First reported by:** Scott Cantor, OSU

214 **Message:** <http://lists.oasis-open.org/archives/security-services/200501/msg00058.html>

215 **Document:** Bindings and Profiles

216 **Description:** Clarify metadata requirements in the various profiles. For example, it's required by
217 implication that if you support the Artifact binding for some profile that your role descriptor also
218 needs an ArtifactResolutionService element, but this isn't stated anywhere.

219 **Options:** In [SAMLBind] replace paragraph in section 3.6.7 at lines 1188-1191 with:

220 "Support for receiving messages using the HTTP Artifact binding SHOULD be reflected by
221 indicating URL endpoints at which requests and responses for a particular protocol or profile
222 should be sent. Either a single endpoint or distinct request and response endpoints MAY be
223 supplied. Support for sending messages using this binding SHOULD be accompanied by one or
224 more indexed <md:ArtifactResolutionService> endpoints for processing <samlp:ArtifactResolve>
225 messages."

226 **Disposition:** A thorough disposition requires a fairly careful review of Metadata and Profiles so
227 that the requirements can be documented in various places. This work is deferred to SAML 2.x.
228 However, during the conference call of April 12 the TC accepted the above text as clarification for
229 SAML 2.0.

230 E4: SAML 1.1 Artifacts

231 **First reported by:** Scott Cantor, OSU

232 **Message:** <http://lists.oasis-open.org/archives/security-services/200501/msg00058.html>

233 **Document:** Bindings and Profiles

234 **Description:** Clarifying that SAML 1.1 artifacts have no place or use in SAML 2.0

235 **Options:** In [SAMLBind] add to line 1067:

236 "Although the general artifact structure resembles that used in prior versions of SAML and the
237 type code of the single format described below does not conflict with previously defined formats,
238 there is explicitly no correspondence between SAML 2.0 artifacts and those found in any previous
239 specifications, and artifact formats not defined specifically for use with SAML 2.0 MUST NOT
240 be used with this binding."

241 **Disposition:** During the conference call of April 12 the TC accepted this option.

242 E6: Encrypted NameID

243 **First reported by:** Rob Philpott, RSA

244 **Message:** Communicated during TC conference call of February 1, 2005.

245 **Document:** Core

246 **Description:** When using the nameid-format:encrypted type of name identifier in SAML
247 assertions and protocol messages, it is not possible to communicate the format of the
248 unencrypted identifier as part of the assertion or message. This concept was derived from Liberty
249 which only used it for persistent identifiers. Since we also support other formats in SAML 2.0, the
250 agreement on the unencrypted form (prior to encryption/after decryption) must be done out of
251 band.

252 **Options:** In [SAMLCore] append to paragraph ending on line 2139:

253 "It is not possible for the service provider to specifically request that a particular kind of identifier
254 be returned if it asks for encryption. The <md:NameIDFormat> metadata element (see
255 [SAMLMeta]) or other out-of-band means MAY be used to determine what kind of identifier to
256 encrypt and return."

257 **Disposition:** During the conference call of April 12 the TC accepted this option.

258 **E7: Metadata attributes WantAuthnRequestsSigned and**
259 **AuthnRequestsSigned**

260 **First reported by:** Rob Philpott, RSA

261 **Message:** <http://lists.oasis-open.org/archives/security-services/200502/msg00017.html>

262 **Document:** Metadata

263 **Description:** In Metadata, the IDPSSODescriptor has the setting called
264 "WantAuthnRequestsSigned" and the SPSSODescriptor has the setting called
265 "AuthnRequestsSigned". But it's ambiguous about "how" this signing is to be done.

266 Note that the SP can also define "WantAssertionsSigned", where it means that the SP wants the
267 IDP to sign the Assertion XML element by including a <ds:Signature> element in the assertion.
268 That is, I do NOT believe it means that the assertion can also be "signed by inclusion" by putting
269 it (unsigned) inside a <samlp:Response> element and signing that element. It is the Assertion
270 XML element itself that is signed. I don't believe the same approach is what folks expect for the
271 AuthnRequest settings however. I think it is ambiguous and needs to be clarified.

272 At the interop, folks were using a true setting for [Want]AuthnRequestsSigned to mean that the
273 AuthnRequest message is signed only in the context of the HTTP Redirect Binding where the
274 total URL with parameters is signed using the mechanism specified in that binding. The
275 AuthnRequest XML element is NOT expected to contain a <ds:Signature> element. Now I don't
276 think this interpretation would necessarily be the same if the message was carried in the POST or
277 Artifact bindings. I assume that in those cases, the XML element itself would be signed and
278 include the ds:Signature> element.

279 So the interpretation of the setting appears to be dependent on which binding is being used. This
280 is clearly not the case for the WantAssertionsSigned setting. So we should at least clarify this for
281 folks. That is, unless folks have a different interpretation of what the settings mean.

282 **Options:** Combine this with PE9 and in [SAMLMetadata] add text before line 710:

283 "The WantAuthnRequestsSigned attribute is intended to indicate to service providers whether or
284 not they can expect an unsigned <AuthnRequest> message to be accepted by the identity
285 provider. The identity provider is not obligated to reject unsigned requests nor is a service
286 provider obligated to sign its requests, although it might reasonably expect an unsigned request
287 will be rejected. In some cases, a service provider may not even know which identity provider will
288 ultimately receive and respond to its requests, so the use of this attribute in such a case cannot
289 be strictly defined.

290 Furthermore, note that the specific method of signing that would be expected is binding
291 dependent. The HTTP Redirect binding (see [SAMLBind] sec XX) requires the signature be
292 applied to the URL-encoded value rather than placed within the XML message, while other
293 bindings generally permit the signature to be within the message in the usual fashion."

294 Add text to paragraph at lines 741-742:

295 "A value of false (or omission of this attribute) does not imply that the service provider will never
296 sign its requests or that a signed request should be considered an error. However, an identity
297 provider that receives an unsigned <samlp:AuthnRequest> message from a service provider
298 whose metadata contains this attribute with a value of true MUST return a SAML error response
299 and MUST not fulfill the request."

300 Add text to paragraph at lines 744-747:

301 "Note that an enclosing signature at the SAML binding or protocol layer does not suffice to meet
302 this requirement, for example signing a <samlp:Response> containing the assertion(s) or a TLS
303 connection."

304 **Disposition:** During the conference call of September 27 the TC accepted this option.

305 E8: SLO and NameID termination

306 **First reported by:** Thomas Wisniewski, Entrust

307 **Message:** <http://lists.oasis-open.org/archives/security-services/200503/msg00034.html>

308 **Document:** Core

309 **Description:** Combining SLO with NameID termination, we should clarify whether it's explicitly
310 not required for the SP to continue to expect or process SLO messages for an active session
311 following NameID termination. The spec implies pretty strongly that you don't because you can
312 terminate your local session.

313 **Options:** Replace the last sentence in 2479-2480 (section 3.6.3) with:

314 "In general it SHOULD NOT invalidate any active session(s) of the principal for whom the
315 relationship has been terminated. If the receiving provider is an identity provider, it SHOULD NOT
316 invalidate any active session(s) of the principal established with other service providers. A
317 requesting provider MAY send a <LogoutRequest> message prior to initiating a name identifier
318 termination by sending a <ManageNameIDRequest> message if that is the requesting provider's
319 intent (e.g., the name identifier termination is initiated via an administrator who wished to
320 terminate all user activity). The requesting provider MUST NOT send a <LogoutRequest>
321 message after the <ManageNameIDRequest> message is sent."

322 **Disposition:** During the conference call of April 12 the TC accepted this option.

323 E10: Logout Request *reason* Mismatch with Schema

324 **First reported by:** Rob Philpott, RSA

325 **Message:** <http://lists.oasis-open.org/archives/security-services/200503/msg00080.html>

326 **Document:** Core

327 **Description:** In core line 2540 it says that "Reason" on the LogoutRequest is "in the form of a
328 URI reference". However, in the schema, the Reason attribute is type="string", not
329 type="anyURI". All of the reason codes that we define (in section 3.7.3 and 3.7.3.2) are actually
330 URI's. But, since the schema defines it as a string, the text should be changed to match the
331 schema.

332 **Options:** Change line 2540 of core as follows: The Reason attribute is specified as a string in the
333 schema. This specification further restricts the schema by requiring that the Reason attribute
334 MUST be in the form of a URI reference.

335 **Disposition:** During the conference call of February 14, 2006 the TC accepted the text as stated
336 here.

337 E11: Improperly Labeled Feature

338 **First reported by:** Rob Philpott, RSA

339 **Message:** <http://lists.oasis-open.org/archives/security-services/200503/msg00080.html>

340 **Document:** Conformance

341 **Description:** In table 2 of the conformance spec, the feature in the 8th row is improperly labeled.
342 It currently says "Name Identifier Management, HTTP Redirect". It should say "Name Identifier
343 Management, HTTP Redirect (SP-initiated)".

344 There are also minor inconsistencies in the labels since the parenthetical (xP-initiated) are listed
345 with the binding in some, but with the profile in others. I suggest always listing it with the profile
346 name.

347 **Options:** Correct the label as suggested in the description of the erratum above.

348 **Disposition:** During the conference call of June 7 the TC accepted this option.

E12: Clarification on ManageNameIDRequest

349

350 **First reported by:** Scott Cantor, OSU/Brian Campbell, Ping Identity

351 **Message:** <http://lists.oasis-open.org/archives/security-services/200504/msg00107.html> and :
352 <http://lists.oasis-open.org/archives/security-services/200501/msg00058.html>

353 **Document:** Bindings and Profiles

354 **Description:** The schema defines the <NewID> element of a <ManageNameIDRequest> as a
355 string. The implication of that is that a NIM request message from IDP to SP can only be used to
356 inform the SP of a change in identifier value (not format – format is immutable once established).
357 There are a few places in the spec where the text implies that the format can be changed.
358 Additionally, the text about <NewEncryptedID> should be expanded to clarify that the encrypted
359 element is just the encrypted <NewID> element and not a full <NameID> as in the more typical
360 <EncryptedID> element used elsewhere

361 **Options:**

362 Change the schema to allow format and potentially qualifiers to be changed and make all
363 necessary cascading changes to the spec.

364 Update the wording in the spec to bring it inline with the schema as is and clarify that only the
365 value of the identifier can be managed with the Name Identifier Management profile.

366 Given the complexity and scope of change involved in option 1 and the consensus that option 2 is
367 sufficient and not too limiting, text changes consistent with option 2 are proposed below.

368 In Profiles change the text on lines 1320-21 from “Subsequently, the identity provider may wish to
369 notify the service provider of a change in the format and/or value that it will use to identify the
370 same principal in the future” to “Subsequently, the identity provider may wish to notify the service
371 provider of a change in the value that it will use to identify the same principal in the future”

372 In Core change the text on lines 2412-13 from “After establishing a name identifier for a principal,
373 an identity provider wishing to change the value and/or format of the identifier that it will use when
374 referring to the principal,...” to “After establishing a name identifier for a principal, an identity
375 provider wishing to change the value of the identifier that it will use when referring to the principal,
376 ...”

377 In Core add the following text after line 2438, “In either case, if the <NewEncryptedID> is used, its
378 encrypted content is just a <NewID> element containing only the new value for the identifier
379 (format and qualifiers cannot be changed once established).”

380 **Disposition:** During the conference call of June 7 the TC approved option 2.

E13: Inaccurate description of Authorization Decision

381

382 **First reported by:** Jahan Moreh, Sigaba

383 **Message:** <http://lists.oasis-open.org/archives/security-services/200504/msg0125.html>

384 **Document:** Core

385 **Description:** Core 357-358 currently reads:

386 Authorization Decision: A request to allow the assertion subject to access the specified resource
387 has been granted or denied.

388 It should say:

389 Authorization Decision: A request to allow the assertion subject to access the specified resource
390 has been granted, denied, or is indeterminate.

391 **Options:** Make correction as described above.

392 **Disposition:** During the conference call of June 7 the TC approved the change as proposed
393 here.

E14: AllowCreate

394

395 **First reported by:** Brian Campbell, Ping Identity

396 **Message:** <http://lists.oasis-open.org/archives/security-services/200505/msg00014.html>

397 **Document:** Core and Profiles

398 **Description:** AllowCreate needs more clear definition.

399 **Options:** Make the following corrections

400 **In Profiles replace the current text there about AllowCreate with a statement that** “this
401 profile does not provide additional guidelines for the use of AllowCreate” and reference this text in
402 core as governing.

403 **In Core, replace definition of AllowCreate, lines 2123-2129:**

404 “A Boolean value used to indicate whether the requester grants to the identity provider, in the
405 course of fulfilling the request, permission to create a new identifier or to associate an existing
406 identifier representing the principal with the relying party. Defaults to “false” if not present or the
407 entire element is omitted.”

408 **In Core, replace lines 2143-2147 and insert new text at line 2130 (beginning of the**
409 **explanatory text):**

410 “The AllowCreate attribute may be used by some deployments to influence the creation of state
411 maintained by the identity provider pertaining to the use of a name identifier (or any other
412 persistent, uniquely identifying attributes) by a particular relying party, for purposes such as
413 dynamic identifier or attribute creation, tracking of consent, subsequent use of the Name Identifier
414 Management protocol (see section XX), or other related purposes.

415 When “false”, the requester tries to constrain the identity provider to issue an assertion only if
416 such state has already been established or is not deemed applicable by the identity provider to
417 the use of an identifier. Thus, this does not prevent the identity provider from assuming such
418 information exists outside the context of this specific request (for example, establishing it in
419 advance for a large number of principals).

420 A value of “true” permits the identity provider to take any related actions it wishes to fulfill the
421 request, subject to any other constraints imposed by the request and policy (the IsPassive
422 attribute, for example).

423 Generally, requesters cannot assume specific behavior from identity providers regarding the initial
424 creation or association of identifiers on their behalf, as these are details left to implementations or
425 deployments. Absent specific profiles governing the use of this attribute, it might be used as a hint
426 to identity providers about the requester’s intention to store the identifier or link it to a local value.

427 A value of “false” might be used to indicate that the requester is not prepared or able to do so and
428 save the identity provider wasted effort.

429 Requesters that do not make specific use of this attribute SHOULD generally set it to “true” to
430 maximize interoperability.

431 The use of the AllowCreate attribute MUST NOT be used and SHOULD be ignored in conjunction
432 with requests for or assertions issued with name identifiers

433 with a Format of urn:oasis:names:tc:SAML:2.0:nameid-format:transient (they preclude any such
434 state in and of themselves).”

435 In Core, change lines 2419-2420 to:

436 “This protocol MUST NOT be used in conjunction with the
437 urn:oasis:names:tc:SAML:2.0:nameidformat:transient <NameID> Format.”

438 **In Core, replace lines 2475-2479 with:**

439 “If the <Terminate> element is included in the request, the requesting provider is indicating that
440 (in the case of a service provider) it will no longer accept assertions from the identity provider or

441 (in the case of an identity provider) it will no longer issue assertions to the service provider about
442 the principal.

443 If the receiving provider is maintaining state associated with the name identifier, such as the value
444 of the identifier itself (in the case of a pair-wise identifier), an SPProvidedID value, the sender's
445 consent to the identifier's creation/use, etc., then the receiver can perform any maintenance with
446 the knowledge that the relationship represented by the name identifier has been terminated.

447 Any subsequent operations performed by the receiver on behalf of the sender regarding the
448 principal (for example, a subsequent <AuthnRequest>) SHOULD be carried out in a manner
449 consistent with the absence of any previous state.

450 Termination is potentially the cleanup step for any state management behavior triggered by the
451 use of the AllowCreate attribute in the Authentication Request protocol (see section XX).
452 Deployments that do not make use of that attribute are likely to avoid the use of the <Terminate>
453 element or would treat it as a purely advisory matter.

454 Note that in most cases (a notable exception being the rules surrounding the SPProvidedID
455 attribute), there are no requirements on either identity providers or service providers regarding the
456 creation or use of persistent state. Therefore, no explicit behavior is mandated when the
457 <Terminate> element is received. However, if persistent state is present pertaining to the use of
458 an identifier (such as if an SPProvidedID attribute was attached), the <Terminate> element
459 provides a clear indication that this state SHOULD be deleted (or marked as obsolete in some
460 fashion)."

461 **Disposition:** During the conference call of June 21 the TC approved the change as proposed
462 here.

463 **E17: Authentication Response IssuerName vs. Assertion** 464 **IssuerName**

465 **First reported by:** Thomas Wisniewski, Entrust

466 **Message:** <http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200506/msg00072.html>

467 **Document:** Profiles

468 **Description:** Profiles document says issuer (for an AuthnRequest Response) MAY be omitted.
469 "the <Issuer> element MUST be present and MUST contain the unique identifier of the" The
470 main reason is that Issuer should be a MUST in the SSO Response protocol.

471 **Options:** Change lines 541-543 of profiles to:

472 If the <Response> message is signed or if an enclosed assertion is encrypted, then the <Issuer>
473 element MUST be present. Otherwise it MAY be omitted. If present it MUST contain the unique
474 identifier of the issuing identity provider; the Format attribute MUST be omitted or have a value of
475 urn:oasis:names:tc:SAML:2.0:nameid-format:entity."

476 **Disposition:** During the conference call of July 5 the TC approved to make the changes as
477 stated here.

478 **E18: reference to identity provider discovery service in ECP** 479 **Profile**

480 **First reported by:** Prateek Mishra, Principal Identity

481 **Message:** [http://www.oasis-](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00000.html)
482 [open.org/apps/org/workgroup/security/email/archives/200507/msg00000.html](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00000.html)

483 **Document:** Profiles

484 **Description:** The ECP does not directly interact with the identity provider discovery service, it
485 may act as an intermediary for an IdP or SP that plan to utilize the service. Current text gives the

486 impression that it is a direct participant in the identity provider discovery service. Instead, the
487 main issue is that it should not impede service interactions with an SP or IdP.
488 **Options:** Delete lines 725 and 726 from saml-profiles-2.0-os, starting at "The ECP MAY use...".
489 **Disposition:** During the conference call of July 19 the TC approved to make the changes as
490 stated here.

491 **E19: Clarification on Error Processing**

492 **First reported by:** Connor P. Cahill, AOL

493 **Message:** <http://lists.oasis-open.org/archives/security-services/200507/msg00008.html>

494 **Document:** Bindings

495 **Description:** Clarification on error processing

496 **Options:** The section numbers and line numbers are all from "saml-bindings-2.0-os.pdf"
497 Section 3.2.2.1, lines 310-317:

- 498 • Change the first sentence to read:
 - 499 ○ The SAML responder SHOULD return a SOAP message containing either a
 - 500 SAML response element in the body or a SOAP fault.
- 501 • Delete the 3rd sentence (If a SAML responder cannot, for some reason, process....).
502 SOAP defines when a SOAP fault is required and SAML goes into detail about what we
503 should return when in section 3.2.3.3 "Error Reporting".
- 504 • Change the 4th sentence to soften the "MUST NOT" and make it a "SHOULD NOT" as
505 there can be sufficient security through obscurity reasons to do so in some cases.
- 506 • Add a new sentence at the end of the paragraph noting that details about error handling
507 are covered in section 3.2.3.3 "Error Reporting" or something to that effect.

508 Section 3.2.3.3, lines 370-383: Change the MUST on line 378 to a SHOULD.

509 **Disposition:** During the conference call of August 2 the TC approved the changes as stated
510 here.

511 **E20: ECP SSO Profile and Metadata**

512 **First reported by:** Thomas Wisniewski, Entrust

513 **Message:** <http://lists.oasis-open.org/archives/security-services/200506/msg00106.html>

514 **Document:** Profiles

515 **Description:** There is no metadata consideration in ECP profile

516 **Options:** In SAML Profiles specification add new section 4.2.6 as follows:

517 The rules specified in the browser SSO profile in Section 4.1.6 apply here as well. Specifically,
518 the indexed endpoint element <md:AssertionConsumerService> with a binding of
519 urn:oasis:names:tc:SAML:2.0:bindings:PAOS, MAY be used to describe the supported binding
520 and location(s) to which an identity provider may send responses to a service provider using this
521 profile. And, the endpoint <md:SingleSignOnService> with a binding of
522 urn:oasis:names:tc:SAML:2.0:bindings:SOAP, MAY be used to describe the supported binding
523 and location(s) to which an service provider may send requests to an identity provider using this
524 profile

525 **Disposition:** During the conference call of July 19 the TC approved to make the changes as
526 stated here.

527 E21: PAOS Version

528 **First reported by:** Thomas Wisniewski, Entrust

529 **Message:** [http://www.oasis-](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00028.html)
530 [open.org/apps/org/workgroup/security/email/archives/200507/msg00028.html](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00028.html)

531 **Document:** Bindings

532 **Description:** It's unclear what the word minimum implies in the line '... PAOS version with
533 "urn:liberty:paos:2003-08" at a minimum."

534 **Options:** Strike the words "at a minimum"

535 **Disposition:** During the conference call of July 19 the TC approved to make the changes as
536 stated here.

537 E22: Error in Profile/ECP

538 **First reported by:** Rob Philpott, RSA Security

539 **Message:** [http://www.oasis-](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00040.html)
540 [open.org/apps/org/workgroup/security/email/archives/200507/msg00040.html](http://www.oasis-open.org/apps/org/workgroup/security/email/archives/200507/msg00040.html)

541 **Document:** Profiles

542 **Description:** Line 907 of Profiles says the responseConsumerURL must be the same as the
543 "AssertionServiceConsumerURL" in an <AuthnRequest> message. The attribute's name should
544 be "AssertionConsumerServiceURL".

545 **Options:** Make changes as specified.

546 **Disposition:** During the conference call of August 2 the TC approved the changes as stated
547 here.

548 E24: HTTPS in URI Binding

549 **First reported by:** Nick Ragouzis, Enosis Group

550 **Message:** <http://lists.oasis-open.org/archives/security-services/200507/msg00037.html>

551 **Document:** Bindings

552 **Description:** Section 3.7, starting at line 1349 the text states:
553 "Like SOAP, URI resolution can occur over multiple underlying transports. This binding has
554 transport-independent aspects, but also calls out the use of HTTP with SSL3.0 [SSL3] or TLS 1.0
555 [RFC2246] as REQUIRED (mandatory to implement)"

556 **Options:** Replace the current text with the following:

557 "Like SOAP, URI resolution can occur over multiple underlying transports. This binding has
558 protocol-independent aspects, but also calls out as mandatory the implementation of HTTP
559 URIs."

560 **Disposition:** During the conference call of August 2 the TC approved the changes as stated
561 here.

562

E25: Metadata Structures Feature in Conformance

563

564 **First reported by:** Nick Ragouzis, Enosis Group

565 **Message:** <http://lists.oasis-open.org/archives/security-services/200507/msg00038.html>

566 **Document:** Conformance

567 **Description:** Conformance document does not specify any requirements with respect to
568 metadata.

569 Change to Table 2: Feature Matrix

570

571 IdP IdPLite SP SPLite ECP

572 FEATURE

573 Metadata Structures OPT OPT OPT OPT N/A

574 Metadata Interoperation OPT OPT OPT OPT N/A

575 Change to Table 4: SAML Authority and Requester Matrix

576 AuthnAuth AttribAuth AuthZDcsnAuth Requester

577 FEATURE

578 Metadata Structures OPT OPT OPT OPT

579 Metadata Interoperation OPT OPT OPT OPT

580 New sub-sections to Section 3 (Conformance):

581 3.6 Metadata Structures

582 Implementations claiming conformance to SAMLv2.0 may declare each operational mode's
583 conformance to SAMLv2.0 Metadata [SAMLMeta] through election of the Metadata Structures
584 option.

585 With respect to each operational mode, such conformance entails the following:

586 * Implementing SAML metadata according to the extensible SAMLv2.0 Metadata format in all
587 cases where an interoperating peer has the option, as stated in SAMLv2.0 specifications, of
588 depending on the existence of SAMLv2.0 Metadata. Electing the Metadata Structures option has
589 the effect of requiring such metadata be available to the interoperating peer. The Metadata
590 Interoperation feature, described below, provides a means of satisfying this requirement.

591 * Referencing, consuming, and adherence to the SAML metadata, according to [SAMLMeta], of
592 an interoperating peer when the known metadata relevant to that peer and the particular
593 operation, and the current exchange, has expired or is no longer valid in cache, provided the
594 metadata is available and is not prohibited by policy or the particular operation and that specific
595 exchange.

596 3.7 Metadata Interoperation

597 Election of the Metadata Interoperation option requires the implementation offer, in addition to
598 any other mechanism, the well-known location publication and resolution mechanism described in
599 SAML metadata [SAMLMeta].

600 **Options:** Make changes as suggested here

601 **Disposition:** During the TC conference call on 9/27 the TC accepted the changes as suggested
602 here.

E26: Ambiguities around Multiple Assertions and Statements in the SSO Profile

603

604

605 **First reported by:** Scott Cantor, OSU

606 **Message:** <http://lists.oasis-open.org/archives/security-services/200508/msg00056.html>

607 **Document:** Profiles

608 **Description:** SSO Profile need clarifications.

609 Section 4.1.4.2, <Response> Usage, replace the list at lines 541-572, with the following list:

- 610 • If the response is unsigned, the <Issuer> element MAY be omitted, but if present (or if the
611 response is signed) it MUST contain the unique identifier of the issuing identity provider;
612 the Format attribute MUST be omitted or have a value of
613 urn:oasis:names:tc:SAML:2.0:nameid-format:entity
- 614 • It MUST contain at least one <Assertion>. Each assertion's <Issuer> element MUST
615 contain the unique identifier of the responding identity provider; the Format attribute
616 MUST be omitted or have a value of urn:oasis:names:tc:SAML:2.0:nameid-format:entity.
617 Note that this profile assumes a single responding identity provider, and all assertions in
618 a response MUST be issued by the same entity.
- 619 • If multiple assertions are included, then each assertion's <Subject> element MUST refer
620 to the same principal. It is allowable for the content of the <Subject> elements to differ
621 (e.g. using different <NameID> or alternative <SubjectConfirmation> elements).
- 622 • Any assertion issued for consumption using this profile MUST contain a <Subject>
623 element with at least one <SubjectConfirmation> element containing a Method of
624 urn:oasis:names:tc:SAML:2.0:cm:bearer. Such an assertion is termed a bearer assertion.
625 Bearer assertions MAY contain additional <SubjectConfirmation> elements.
- 626 • Assertions without a bearer <SubjectConfirmation> MAY also be included; processing of
627 additional assertions or <SubjectConfirmation> elements is outside the scope of this
628 profile.
- 629 • At least one bearer <SubjectConfirmation> element MUST contain a
630 <SubjectConfirmationData> element that itself MUST contain a Recipient attribute
631 containing the service provider's assertion consumer service URL and a NotOnOrAfter
632 attribute that limits the window during which the assertion can be delivered. It MAY also
633 contain an Address attribute limiting the client address from which the assertion can be
634 delivered. It MUST NOT contain a NotBefore attribute. If the containing message is in
635 response to an <AuthnRequest>, then the InResponseTo attribute MUST match the
636 request's ID.
- 637 • The set of one or more bearer assertions MUST contain at least one <AuthnStatement>
638 that reflects the authentication of the principal to the identity provider. Multiple
639 <AuthnStatement> elements MAY be included, but the semantics of multiple statements
640 is not defined by this profile.
- 641 • If the identity provider supports the Single Logout profile, defined in Section 4.4, any
642 authentication statements MUST include a SessionIndex attribute to enable per-session
643 logout requests by the service provider
- 644 • Other statements MAY be included in the bearer assertion(s) at the discretion of the
645 identity provider. In particular, <AttributeStatement> elements MAY be included. The
646 <AuthnRequest> MAY contain an AttributeConsumingServiceIndex XML attribute
647 referencing information about desired or required attributes in [SAMLMeta]. The identity
648 provider MAY ignore this, or send other attributes at its discretion.
- 649 • Each bearer assertion MUST contain an <AudienceRestriction> including the service
650 provider's unique identifier as an <Audience>
- 651 • Other conditions (and other <Audience> elements) MAY be included as requested by the
652 service provider or at the discretion of the identity provider. (Of course, all such
653 conditions MUST be understood by and accepted by the service provider in order for the
654 assertion to be considered valid.
- 655 • The identity provider is NOT obligated to honor the requested set of <Conditions> in the
656 <AuthnRequest>, if any.

657 In Section 4.1.4.3, <Response> Message Processing Rules:

- 658 • Line 576, change "any bearer" to "the bearer"
- 659 • Line 578, change "any bearer" to "the bearer"
- 660 • Line 583, change to: "Verify that any assertions relied upon are valid in other respects.
661 Note that while multiple bearer <SubjectConfirmation> elements may be present, the
662 successful evaluation of a single such element in accordance with this profile is sufficient
663 to confirm an assertion. However, each assertion, if more than one is present, MUST be
664 evaluated independently."
- 665 • Line 584, change "any bearer" to "the bearer"
- 666 • Append to paragraph ending on line 591: "Note that if multiple <AuthnStatement>
667 elements are present, the SessionNotOnOrAfter value closest to the present time
668 SHOULD be honored."

669 Section 4.1.4.5, POST-Specific Processing Rules:

- 670 • Replace lines 600-601 with: "If the HTTP POST binding is used to deliver the
671 <Response>, each assertion MUST be protected by a digital signature. This can be
672 accomplished by signing each individual <Assertion> element or by signing the
673 <Response> element."

674 **Options:**

675 **Disposition:** During the conference call of August 30 the TC approved the changes as stated
676 here.

677 **E27: Error in ECP Profile**

678 **First reported by:** Scott Cantor, OSU

679 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00001.html>

680 **Document:** Profiles

681 **Description:** Profiles, line 947, the ECP RelayState header definition refers to step 5 as the one
682 in which the response is issued to the SP. It should be step 7.

683 **Options:**

685 **Disposition:** During the conference call of September 13 the TC approved the changes as
686 stated here

687 **E28: Conformance Table 1**

688 **First reported by:** Rob Philpott, RSA Security

689 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

690 **Document:** Conformance

691 **Description:** The first column is labeled "Profile", yet several of the entries are technically not
692 "profiles". The same applies to the section title and the paragraph above the table.

693 **Options:**

694 Column 1:

695 Combine Artifact Resolution, Authentication Query, Attribute Query, Authorization Decision Query
696 entries into a single entry labeled:

697

698 Assertion Query/Request

699

700 Column 2

701

702 Label each set of message flows with relevant protocol description:

703 Artifact Resolution, Authentication Query, Attribute Query, Authorization Decision Query

704

705 Column 3

706

707 No change

708

709 (2) Remove the following rows from the table:

710

711 SAML URI binding

712 Metadata

713 **Disposition:** During the conference call of September 27 the TC approved the changes as

714 stated here

715 **E29: Conformance Table 2**

716 **First reported by:** Rob Philpott, RSA Security

717 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

718 **Document:** Conformance

719 **Description:** The table is missing feature rows for performing a “Request for Assertion by
720 Identifier” over SOAP and for “SAML URI Binding”. These features are clearly permissible for
721 IDP’s, since the IDPSSODescriptor includes an element for zero or more
722 <AssertionIDRequestService> elements.

723 **Options:** Add two rows table 2; row #1 is labeled Request for Assertion Identifier; row #2 is
724 labeled SAML URI binding; both are optional for IdP row and N/A for all the rest.

726 **Disposition:** During the conference call of September 27 the TC as stated here.

727 **E30: Considerations for key replacement**

728 **First reported by:** Rob Philpott, RSA Security

729 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

730 **Document:** Core

731 **Description:** Line 3110 states: “optionally one or more encrypted keys...”

732

733 **Options:** Replace “optionally one or more” with “zero or more”.

735 **Disposition:** During the conference call of September 13 the TC approved the changes as
736 stated here

737 **E31: Various minor errors in Binding**

738 **First reported by:** Rob Philpott, RSA Security

739 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

740 **Document:** Bindings

741 **Description:**

742 1. Line 511: “security at the SOAP message layer is recommended.” It should be
743 capitalized as in “RECOMMENDED”.

- 744 2. Line 785: "If no such value is included with a SAML request message" – "value" is
745 ambiguous. It's referring to the RelayState parameter, which itself is a name/value pair.
746 This should be changed to "If no RelayState parameter is included..."
747 3. Line 1136: "using a direct SAML binding". There is no definition for what a "direct" SAML
748 binding is. Other documents have referred to the SOAP binding as a "synchronous"
749 binding.
750 4. Line 1397: "Note that use of wildcards is not allowed on such ID queries". This should be
751 changed to: "Note that the URI syntax does not support the use of wildcards in such
752 queries."

753 **Options:**

755 **Disposition:** During the conference call of September 13 the TC approved the changes for items
756 2 and 3. During the conference call of September 27 the TC approved the changes for items 1
757 and 4.

758 E32: Missing section in Profiles

759 **First reported by:** Rob Philpott, RSA Security

760 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

761 **Document:** Profiles

762 **Description:** Section 4.3. This profile is missing a subsection for "Required Information", which is
763 present in all other profiles.
764

765 **Options:** Beginning at line 1092, insert the following text:

766 4.3.1 Required Information

767 **Identification:** urn:oasis:names:tc:SAML:2.0:profiles:SSO:idp-discovery

768 **Contact information:** security-services-comment@lists.oasis-open.org

769 **Description:** Given below.

770 **Updates:** None.

772 **Disposition:** During the conference call of December 5 the TC approved the changes.

773 E33: References to Assertion Request Protocol

774 **First reported by:** Rob Philpott, RSA Security

775 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

776 **Document:** Metadata

777 **Description:** Lines 700, 871, and 904 state: "profile of the Assertion Request protocol defined in
778 [SAMLProf]". References to "Assertion Request" should be changed to "Assertion
779 Query/Request".

780 **Options:**

782 **Disposition:** During the conference call of September 13 the TC approved the changes.

783 E34: Section Heading

784 **First reported by:** Rob Philpott, RSA Security

785 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00002.html>

786 **Document:** Metadata

787 **Description:** Line 809: the section 2.4.4.2 should be indented so that it is 2.4.4.1.1 since
788 <RequestedAttribute> is part of the <AttributeConsumingService> defined in section 2.4.4.1.
789 .
790

791 **Options:**

793 **Disposition:** During the conference call of September 13 the TC approved the change.

794 **E35: Example in Profiles**

795 **First reported by:** Rob Philpott, RSA Security

796 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00023.html> and
797 <http://www.oasis-open.org/archives/security-services/200602/msg00008.html>

798 **Document:** Profiles

799 **Description:** The example on page 29 line 964 uses a ResponseConsumerURL of [http://identity-](http://identity-service.example.com/abc)
800 [service.example.com/abc](http://identity-service.example.com/abc). Since this value must be an AssertionConsumerService at the SP and
801 must match (according to the rules in 4.2.4.4) the value of the responseConsumerURL, the
802 example would result in an error condition.

803 **Options:** Change the value of the responseConsumerURL in the example on page 29 line 964 to
804 https://ServiceProvider.example.com/ecp_assertion_consumer.

805 Change the sentence on page 27 lines 906-908 to: "This value MUST be the same as the
806 AssertionServiceConsumerURL (or the URL referenced in metadata) conveyed in the
807 <AuthnRequest> and SHOULD NOT be a relative URL."

808 **Disposition:** During the conference call of February 28 TC approved the change as stated here.

809 **E36: Clarification on Action Element**

810 **First reported by:** Emily Xu, Sun Microsystems

811 **Message:** <http://lists.oasis-open.org/archives/security-services/200509/msg00053.html>

812 **Document:** Core

813 **Description:**

814 In section 2.7.4.2 of core spec, Namespace is marked as "Optional". It says: "If this element is
815 absent, the namespace urn:oasis:names:tx:SAML:1.0:action:rwedc-negation specified in Section
816 8.1.2 is in effect." But in the following schema definition, attribute Namespace is marked as
817 required:

818 <attribute name="Namespace" type="anyURI" use="required"/>
819

820 A clarification is needed to resolve this apparent conflict.

821 **Options:** In line 1359 change "Optional" to "Required" and strike the sentence starting at line
822 1361-1363 ("If this element is absent....")

824 **Disposition:** During the conference call of October 25 the TC approved the change.

825 **E37: Clarification in Metadata on Indexed Endpoints**

826 **First reported by:** Rob Philpot, RSA Security

827 **Message:** <http://lists.oasis-open.org/archives/security-services/200510/msg00025.html>

828 **Document:** Metadata

829 **Description:** Metadata line 272 says "In any such sequence of like endpoints based on this type,
830 the default...". It is a bit ambiguous what "of like endpoints" means. Are two endpoints alike if they
831 are of the same binding type (e.g. SOAP)? Or are they alike because they are assigned to the
832 same service endpoint.
833 **Options:** Modify Metadata, line 272 as follows:
834 "In any such sequence of indexed endpoints that share a common element name and
835 namespace (i.e. all instances of <md:AssertionConsumerService> within a role), the default
836 endpoint is..."
837 **Disposition:** During the conference call of November 22 the TC approved the changes as stated
838 here

839 **E38: Clarification regarding index on <LogoutRequest>**

840 **First reported by:** Conor P. Cahill, AOL
841 **Message:** <http://lists.oasis-open.org/archives/security-services/200511/msg00000.html>
842 **Document:** Core, Profiles
843 **Description:** The language surrounding session index on the <LogoutRequest> (line 2546) is
844 unclear.
845 **Options:** The following two changes are suggested:
846 1. Change Core, line 2546 as follows:
847 The index of the session between the principal identified by the <saml:BaseID>,
848 <saml:NameID>, or <saml:EncryptedID> element, and the session authority. This must
849 correlate to the SessionIndex attribute, if any, in the <saml:AuthnStatement> of the assertion
850 used to establish the session that is being terminated."
851 2. Change Profiles, line 1302-1304 to:
852 "If the requester is a session participant, it MUST include at least one <SessionIndex>
853 element in the request. (Note that the session participant always receives a SessionIndex
854 attribute in the <saml:AuthnStatement> elements that it receives to initiate the session, per
855 section 4.1.4.2 of the Web Browser SSO Profile.) If the requester is a session authority (or
856 acting on its behalf), then it MAY omit any such elements to indicate the termination of all of
857 the principal's applicable sessions."
858 **Disposition:** During the conference call of November 22 the TC approved the changes as stated
859 here

860 **E39: Error in SAML profile example**

861 **First reported by:** Greg Whitehead, HP
862 **Message:** <http://www.oasis-open.org/archives/security-services/200601/msg00015.html>
863 **Document:** Profiles
864 **Description** In section 8.5.6 of the SAML 2.0 profiles doc the Idapprof:Encoding="LDAP"
865 attribute should be AttributeValue not Attribute, according to section 8.2.4 of the spec.
866 **Options:**
867 **Disposition:** During the conference call of 1/17/2006 the TC approved the clarification as stated
868 here.

869 **E40: Holder of Key**

870 **First reported by:** Prateek Mishra, Oracle
871 **Message:** <http://www.oasis-open.org/archives/security-services/200601/msg00027.html>
872 **Document:** Core

873 **Description:** HoK described a key that required proof of possession by a attesting entity vs.
874 being held by the subject, Appropriate text does appear in lines 781-783 of saml2-core.
875 However,
876 lines 335-337 of saml2-profiles reads:
877 "As described in [XMLSig], each <ds:KeyInfo> element holds a key or information that enables
878 an application to obtain a key. The holder of a specified key is considered to be the subject of the
879 assertion by the asserting party"
880 The last sentence should be replaced by:
881 "The holder of a specified key is considered to be an acceptable attesting entity for the assertion
882 by the asserting party"
883 **Options:**
884 **Disposition:** During the conference call of February 28th the TC approved the change as stated
885 here.

886 **E41: EndpointType ResponseLocation clarification in** 887 **Metadata**

888 **First reported by:** Eric Tiffany, Project Liberty
889 **Message:** <http://www.oasis-open.org/archives/security-services/200601/msg00034.html>
890 **Document:** Metadata
891 **Description** Implementer interpreted the metadata spec to mean that ResponseLocation should
892 only be omitted for the SOAP binding, and that the ResponseLocation be specified in metadata
893 for other bindings.
894 **Options:** Proposed text to resolve this:
895 At line 238 in Metadata we have now:
896 "The ResponseLocation attribute is used to enable different endpoints to be specified for
897 receiving request and response messages associated with a protocol or profile, not as a means
898 of load-balancing or redundancy (multiple elements of this type can be included for this purpose).
899 When a role contains an element of this type pertaining to a protocol or profile for which only a
900 single type of message (request or response) is applicable, then the ResponseLocation attribute
901 is unused.
902 The proposal is to add the following:
903 "If the ResponseLocation attribute is omitted, any response messages associated with a protocol
904 or profile may be assumed to be handled at the URI indicated by the Location attribute."
905 **Disposition:** During the conference call of 1/31/06 TC voted to approve changes as stated here.

906 **E42: Conformance Table 4**

907 **First reported by:** Thomas Wisniewski, Entrust
908 **Message:** <http://lists.oasis-open.org/archives/security-services/200601/msg00041.html>
909 **Document:** Conformance
910 **Description:** Table 4 has a cell for SAML <x> Authority responding to an <y> Query. That is, an
911 Attribute Authority responding to an Authentication or Authorization Decision Query. This doesn't
912 seem to make sense as authorities should respond to their respective queries. So the OPTIONAL
913 items under the authorities should be N/A."
914 **Options:** Change the reference from "OPTIONAL" to "N/A" under the columns SAML
915 Authentication Authority, SAML Attribute Authority, and SAML Authorization Decision Authority in
916 Table 4: SAML Authority and Requester Matrix.

917 **Disposition:** During the conference call of 1/31/06 TC voted to approve changes as stated here.

918 **E43: Key location in saml:EncryptedData**

919 **First reported by:** Heather Hinton, IBM

920 **Message:**

921 **Document:** Core

922 **Description:** The specification in core does not properly follow XML Encryption standards with
923 respect to key location.

924 **Options:** Replace section 6 of core with the following text:
925

926 **6.1 General Considerations**

927 Encryption of the <Assertion>, <BaseID>, <NameID> and <Attribute> elements is
928 provided by use of XML Encryption [XMLEnc]. Encrypted data and optionally one or
929 more encrypted keys MUST replace the plaintext information in the same location within
930 the XML instance. The <xenc:EncryptedData> element's Type attribute SHOULD be
931 used and, if it is present, MUST have the value

932 <http://www.w3.org/2001/04/xmlenc#Element>.

933 Any of the algorithms defined for use with XML Encryption MAY be used to perform the
934 encryption. The SAML schema is defined so that the inclusion of the encrypted data
935 yields a valid instance.

936 **6.2 Key and Data Referencing Guidelines**

937 If an encrypted key is NOT included in the XML instance, then the relying party must be
938 able to locally determine the decryption key, per [XMLEnc].

939 Implementations of SAML MAY implicitly associate keys with the corresponding data
940 they are used to encrypt, through the positioning of <xenc:EncryptedKey> elements
941 next to the associated <xenc:EncryptedData> element, within the enclosing SAML
942 parent element. However, the following set of explicit referencing guidelines are
943 suggested to facilitate interoperability.

944 If the encrypted key is included in the XML instance, then it SHOULD be referenced
945 within the associated <xenc:EncryptedData> element, or alternatively embedded within
946 the <xenc:EncryptedData> element. When an <xenc:EncryptedKey> element is used,
947 the <ds:KeyInfo> element within <xenc:EncryptedData> SHOULD reference the
948 <xenc:EncryptedKey> element using a <ds:RetrievalMethod> element of Type
949 <http://www.w3.org/2001/04/xmlenc#EncryptedKey>.

950 In addition, an <xenc:EncryptedKey> element SHOULD contain an
951 <xenc:ReferenceList> element containing a <xenc:DataReference> that references
952 the corresponding <xenc:EncryptedData> element(s) that the key was used to encrypt.

953 In scenarios where the encrypted element is being "multicast" to multiple recipients, and
954 the key used to encrypt the message must be in turn encrypted individually and
955 independently for each of the multiple recipients, the <xenc:CarriedKeyName> element
956 SHOULD be used to assign a common name to each of the <xenc:EncryptedKey>
957 elements so that a <ds:KeyName> can be used from within the <xenc:EncryptedData>
958 element's <ds:KeyInfo> element.

959 Within the <xenc:EncryptedData> element, the <ds:KeyName> can be thought of as an
960 "alias" that is used for backwards referencing from the <xenc:CarriedKeyName>

961 element in each individual `<xenc:EncryptedKey>` element. While this accommodates a
962 “multicast” approach, each recipient must be able to understand (at least one)
963 `<ds:KeyName>`. The `Recipient` attribute is used to provide a hint as to which key is
964 meant for which recipient.

965

966 The SAML implementation has the discretion to accept or reject a message where
967 multiple `Recipient` attributes or `<ds:KeyName>` elements are understood. It is
968 RECOMMENDED that implementations simply use the first key they understand and
969 ignore any additional keys.

970

971 6.3 Examples

972 In the following example, the parent element (`<EncryptedID>`) contains
973 `<xenc:EncryptedData>` and (referenced) `<xenc:EncryptedKey>` elements as siblings
974 (note that the key can in fact be anywhere in the same instance, and the key references
975 the `<xenc:EncryptedData>` element) :

```
976 <saml:EncryptedID
977     xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion">
978     <xenc:EncryptedData
979 xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
980     Id="Encrypted_DATA_ID"
981     Type="http://www.w3.org/2001/04/xmlenc#Element">
982     <xenc:EncryptionMethod
983
984         Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
985     <ds:KeyInfo
986 xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
987         <ds:RetrievalMethod URI="#Encrypted_KEY_ID"
988
989         Type="http://www.w3.org/2001/04/xmlenc#EncryptedKey"/>
990     </ds:KeyInfo>
991     <xenc:CipherData>
992
993     <xenc:CipherValue>Nk4W4mx...</xenc:CipherValue>
994     </xenc:CipherData>
995     </xenc:EncryptedData>
996
997     <xenc:EncryptedKey
998 xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
999     Id="Encrypted_KEY_ID">
1000     <xenc:EncryptionMethod
1001 Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
1002     <xenc:CipherData>
1003 <xenc:CipherValue>PzA5X...</xenc:CipherValue>
1004 </xenc:CipherData>
1005     <xenc:ReferenceList>
1006         <xenc:DataReference URI="#Encrypted_DATA_ID"/>
1007     </xenc:ReferenceList>
1008     </xenc:EncryptedKey>
1009 </saml:EncryptedID>
```

1010

1011 In the following `<EncryptedAttribute>` example, the `<xenc:EncryptedKey>` element is contained
1012 within the `<xenc:EncryptedData>` element, so there is no explicit referencing:

```
1013 <saml:EncryptedAttribute
1014     xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion">
```

```

1015         <xenc:EncryptedData
1016 xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
1017         Id="Encrypted_DATA_ID"
1018         Type="http://www.w3.org/2001/04/xmlenc#Element">
1019         <xenc:EncryptionMethod
1020 Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
1021         <ds:KeyInfo
1022 xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1023         <xenc:EncryptedKey Id="Encrypted_KEY_ID">
1024         <xenc:EncryptionMethod
1025 Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
1026 <xenc:CipherData>
1027 <xenc:CipherValue>SDFSDF... </xenc:CipherValue>
1028 </xenc:CipherData>
1029         </xenc:EncryptedKey>
1030         </ds:KeyInfo>
1031         <xenc:CipherData>
1032 <xenc:CipherValue>Nk4W4mx...</xenc:CipherValue>
1033 </xenc:CipherData>
1034     </xenc:EncryptedData>
1035 </saml:EncryptedAttribute>

```

1036 The final example shows an assertion encrypted for multiple recipients, using the
1037 <xenc:CarriedKeyName> approach:

```

1038 <saml:EncryptedAssertion
1039 xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion">
1040     <xenc:EncryptedData
1041 xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
1042     Id="Encrypted_DATA_ID"
1043     Type="http://www.w3.org/2001/04/xmlenc#Element">
1044     <xenc:EncryptionMethod
1045 Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
1046     <ds:KeyInfo
1047 xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1048 <ds:KeyName>MULTICAST_KEY_NAME</ds:KeyName>
1049     </ds:KeyInfo>
1050     <xenc:CipherData>
1051     <xenc:CipherValue>Nk4W4mx...</xenc:CipherValue>
1052     </xenc:CipherData>
1053 </xenc:EncryptedData>
1054     <xenc:EncryptedKey
1055 xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
1056     Id="Encrypted_KEY_ID_1" Recipient="https://sp1.org">
1057     <xenc:EncryptionMethod
1058     Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
1059     <ds:KeyInfo
1060     xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1061     <ds:KeyName>KEY_NAME_1</ds:KeyName>
1062     </ds:KeyInfo>
1063     <xenc:CipherData>
1064     <xenc:CipherValue>xyzABC...</xenc:CipherValue>
1065     </xenc:CipherData>
1066     <xenc:ReferenceList>
1067     <xenc:DataReference URI="#Encrypted_DATA_ID"/>
1068     </xenc:ReferenceList>
1069     </xenc:EncryptedKey>
1070 </saml:EncryptedAssertion>
1071 <xenc:CarriedKeyName>MULTICAST_KEY_NAME</xenc:CarriedKeyName>
1072 </saml:EncryptedAttribute>
1073
1074
1075
1076

```

```
1077 <xenc:EncryptedKey xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
1078 Id="Encrypted_KEY_ID_2" Recipient="https://sp2.org">
1079   <xenc:EncryptionMethod
1080
1081     Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
1082     <ds:KeyInfo
1083       xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1084       <ds:KeyName>KEY_NAME_2</ds:KeyName>
1085     </ds:KeyInfo>
1086     <xenc:CipherData>
1087       <xenc:CipherValue>abcXYZ...</xenc:CipherValue>
1088     </xenc:CipherData>
1089     <xenc:ReferenceList>
1090       <xenc:DataReference URI="#Encrypted_DATA_ID"/>
1091     </xenc:ReferenceList>
1092
1093
1094   <xenc:CarriedKeyName>MULTICAST_KEY_NAME</xenc:CarriedKeyName>
1095 </xenc:EncryptedKey>
1096 </saml:EncryptedAssertion>
```

1097 **Disposition:** During the TC conference call on 5/23/06, the TC approved the changes as stated
1098 here.

E45: AuthnContext comparison clarifications

1099

1100 **First reported by:** Scott Cantor, OSU

1101 **Message:** <http://www.oasis-open.org/archives/security-services/200602/msg00024.html>

1102 **Document:** Core

1103 **Description:** In section 3.3.2.2.1 contexts are not necessarily a fully ordered set. This should be
1104 noted to aid in the interpretation of the comparison types.

1105 **Options:**

1106 **Replace the paragraph at 1815-1819 with:**

1107 Either a set of class references or a set of declaration references can be used. If ordering is
1108 relevant to the evaluation of the request, then the set of supplied elements MUST be evaluated
1109 as an ordered set, where the first element is the most preferred authentication context class or
1110 declaration. For example, ordering is significant when using this element in an

1111 <AuthnRequest> message but not in an <AuthnQuery> message.

1112 If none of the specified classes or declarations can be satisfied in accordance with the rules
1113 below, then the responder MUST return a <Response> message with a second-level
1114 <StatusCode> of urn:oasis:names:tc:SAML:2.0:status:NoAuthnContext."

1115 **Change current lines 1825-1827 to:**

1116 If Comparison is set to "better", then the resulting authentication context in the authentication
1117 statement MUST be stronger (as deemed by the responder) than one of the authentication
1118 contexts specified."

1119 **Disposition:** During the conference call of 3/28/06 TC voted to approve changes as stated here

E46: AudienceRestriction clarifications

1120

1121 **First reported by:** Connor P. Cahill, Intel

1122 **Message:** <http://www.oasis-open.org/archives/security-services/200603/msg00001.html>

1123 **Document:** Core

1124 **Description:** On lines 922-925 in the core specification for 2.0, the sentence states:

1125 The effect of this requirement and the preceding definition is that within a given condition, the
1126 audiences form a disjunction (an "OR") while multiple conditions form a conjunction (an "AND")
1127 **Options:** Clarify by modifying these lines to read as follows:
1128 The effect of this requirement and the preceding definition is that within a given
1129 <AudienceRestrictions>, the <Audience>s form a disjunction (an "OR") while multiple
1130 <AudienceRestrictions> form a conjunction (an "AND").
1131 **Disposition:** During the conference call of 5/9/06 the TC approved the change as proposed here.

1132 **E47: Clarification on SubjectConfirmation**

1133 **First reported by:** Scott Cantor, OSU

1134 **Message:** <http://www.oasis-open.org/archives/security-services/200603/msg00008.html>

1135 **Document:** Core and profiles

1136 **Description:** The language on Subject Confirmation element and the intent of the embedded
1137 secondary identifier requires clarification.

1138 **Options:**

1139 **Insert the following at line 698 of core**

1140 If the <SubjectConfirmation> element in an assertion subject contains an identifier the issuer
1141 authorizes the attesting entity to wield the assertion on behalf of that subject. A relying party MAY
1142 apply additional constraints on the use of such an assertion at its discretion, based upon the
1143 identities of both the subject and the attesting entity.

1144 If an assertion is issued for use by an entity other than the subject, then that entity SHOULD be
1145 identified in the <SubjectConfirmation> element."

1146 **Replace lines 335-337 in Profiles with:**

1147 As described in [XMLSig], each <ds:KeyInfo> element holds a key or information that enables an
1148 application to obtain a key. The holder of one or more of the specified keys is considered to be an
1149 acceptable attesting entity for the assertion by the asserting party.

1150

1151 **Insert the following at line 341 of Profiles**

1152 "If the keys contained in the <SubjectConfirmationData> element belong to an entity other than
1153 the subject, then the asserting party SHOULD identify that entity to the relying party by including
1154 a SAML identifier representing it in the enclosing <SubjectConfirmation> element.

1155 Note that a given <SubjectConfirmation> element using the Holder of Key method SHOULD
1156 include keys belonging to only a single attesting entity. If multiple attesting entities are to be
1157 permitted to use the assertion, then multiple <SubjectConfirmation> elements SHOULD be
1158 included.

1159 **Replace lines 361-363 in Profiles with:**

1160 The bearer of the assertion is considered to be an acceptable attesting entity for the assertion by
1161 the asserting party, subject to any optional constraints on confirmation using the attributes that
1162 MAY be present in the <SubjectConfirmationData> element, as defined by [SAMLCore].

1163 If the intended bearer is known by the asserting party to be an entity other than the subject, then
1164 the asserting party SHOULD identify that entity to the relying party by including a SAML identifier
1165 representing it in the enclosing <SubjectConfirmation> element.

1166 If multiple attesting entities are to be permitted to use the assertion based on bearer semantics,
1167 then multiple <SubjectConfirmation> elements SHOULD be included."

1168 **Disposition:** During the conference call of 3/28/06 TC voted to approve changes as stated here

E48: Clarification on encoding for binary values in LDAP profile

1169

1170

1171 **First reported by:** Greg Whitehead, HP

1172 **Message:** <http://www.oasis-open.org/archives/security-services/200603/msg00034.html>

1173 **Document:** Profiles

1174 **Description:** In describing the encoding for binary values, the LDAP profile text is ambiguous
1175 about whether the ASN.1 OCTET STRING wrapper should be included or not.

1176 **Options:**

1177 Change line 1762 of Profiles to:

1178 ... by base64-encoding [RFC2045] the contents of the ASN.1 OCTET STRING-encoded LDAP
1179 attribute value (not including the ASN.1 OCTET STRING wrapper)

1180 **Disposition:** During the conference call of 5/09/06 TC voted to approve changes as stated here

E49: Clarification on attribute name format

1181

1182 **First reported by:** Greg Whitehead, HP

1183 **Message:** <http://www.oasis-open.org/archives/security-services/200603/msg00034.html>

1184 **Document:** Core

1185 **Description:** The relationship between an attribute's `NameFormat` and its syntax is not clear.

1186 **Options:**

1187

1188 **Add the following text after line 1217 of core:**

1189 Attributes are identified/named by the combination of the `NameFormat` and `Name XML` attributes
1190 described above. Neither one in isolation can be assumed to be unique, but taken together, they
1191 ought to be unambiguous within a given deployment.

1192 The SAML profiles specification [SAMLProf] includes a number of attribute profiles designed to
1193 improve the interoperability of attribute usage in some identified scenarios. Such profiles typically
1194 include constraints on attribute naming and value syntax. There is no explicit indicator when an
1195 attribute profile is in use, and it is assumed that deployments can establish this out of band,
1196 based on the combination of `NameFormat` and `Name`.

1197 **Disposition:** During the TC conference call on 7/18 the TC approved the changes as stated here

E50: Clarification SSL Ciphersuites

1198

1199 **First reported by:** Eric Tiffany, Liberty Alliance

1200 **Message:** <http://www.oasis-open.org/archives/security-services/200604/msg00030.html>

1201 **Document:** Conformance

1202 **Description:** The text needs to be clarified based on ciphersuites that were explicitly called out in
1203 the text. This is required to make it clear that:

- 1204 1. these are not the only ones that are supported, and
1205 2. this is not a minimal set that needs to be supported.

1206 **Options:**

1207 Change the following in the Conformance document:

- 1208 1. In the intro of section 4 (XML Digital Signature and XML Encryption) after line 235, add:
1209 • The algorithms listed below as being required for SAML 2.0 conformance are
1210 based on the mandated algorithms in the W3C recommendations for XML
1211 Signature and for XML Encryption, but modified by the SSTC to ensure
1212 interoperability of conformant SAML implementations. While the SAML-defined

1213 set of algorithms is a minimal set for conformance, additional algorithms
1214 supported by XML Signature and XML Encryption MAY be used. Note, however,
1215 that the use of non-mandated algorithms may introduce interoperability issues if
1216 those algorithms are not widely implemented. As additional algorithms become
1217 mandated for use in XML Signature and XML Encryption, the set required for
1218 SAML conformance may be extended. [RSP: not sure about including the last
1219 sentence... opinions?]

1220 1. In the intro of section 5 (Use of SSL 3.0 and TLS 1.0) after line 257, add:
1221 • The set up algorithms required for SAML 2.0 conformance is equivalent to that
1222 defined in SAML 1.0 and SAML 1.1. These mandated algorithms were chosen by
1223 the SSTC because of their wide implementation support in the industry. While the
1224 algorithms defined below are the minimal set for SAML conformance, additional
1225 algorithms supported by SSL 3.0 and TLS 1.0 MAY be used.

1226 **Disposition:** During the conference call of 5/23/06 TC voted to approve changes as stated here

1227 **E51: Schema type of contents of <AttributeValue>**

1228 **First reported by:** Prateek Mishra, Oracle

1229 **Message:** <http://lists.oasis-open.org/archives/security-services/200605/msg00001.html>

1230 **Document:** Profiles

1231 **Description:** Section 8.1 of SAML 2 Profiles state:

1232 The Basic attribute profile specifies simplified, but non-unique, naming of SAML attributes
1233 together with attribute values based on the built-in XML Schema data types, eliminating the need
1234 for extension schemas to validate syntax.

1235

1236 Further in the document, lines (1699-70) it states:

1237 The schema type of the contents of the <AttributeValue> element MUST be drawn from one of
1238 the types defined in Section 3.3 of [Schema2].

1239 This appears to be in error. Section 3 of [Schema2] defines the "Built-in Datatypes" and Section
1240 3.3 is one specific sub-section within it (defines "Derived Datatypes"). With the current language
1241 both "Date" and "anyURI" are excluded; I somehow do not believe this was the original intent.

1242 **Options:** Replace lines 1699-70 with:

1243 The schema type of the contents of the <AttributeValue> element MUST be drawn from one of
1244 the types defined in Section 3 of [Schema 2].

1245 **Disposition:** During the TC conference call on 5/9 the TC approved the changes as proposed
1246 here

1247 **E52: Clarification on <NotOnOrAfter> attribute**

1248 **First reported by:** Rob Philpott, RSA Security

1249 **Message:** <http://lists.oasis-open.org/archives/security-services/200605/msg00007.html>

1250 **Document:** Profiles

1251 **Description:** Line 556-7: "a `NotOnOrAfter` attribute that limits the window during which the
1252 assertion can be delivered."

1253 The `NotOnOrAfter` in a `ConfirmationData` element isn't about a window when the assertion can be
1254 delivered. Core defines it as being the time after which the subject cannot be confirmed. That's
1255 independent of assertion delivery

1256 **Options:**

1257 Changes Profiles lines 556-7 from:

1258 "a NotOnOrAfter attribute that limits the window during which the assertion can be delivered"
1259 to:
1260 "a NotOnOrAfter attribute that limits the window during which the recipient can perform a
1261 confirmation of the assertion <Subject>".
1262 **Disposition:** During the TC conference call on 15 Aug 2006 the TC modified the wording to read
1263 "...during which the assertion can be confirmed by the relying party" and approved the change.

1264 E53: Correction to LDAP/X.500 profile attribute

1265 **First reported by:** Scott Cantor, OSU

1266 **Message:** <http://lists.oasis-open.org/archives/security-services/200605/msg00004.html>

1267 **Document:** Profiles

1268 **Description:** The X.500/LDAP attribute profile is schema-invalid right now because we tell
1269 people to specify xsi:type="xsd:string" but then add our own X500:Encoding attribute into the
1270 AttributeValue element. That's illegal. Any fix would be a normative change to the profile, so
1271 either it has to be fixed or create a new profile and deprecate the original.

1272 **Options:**

- 1273 1. Remove the xsi:type requirement.
1274 Forces implementations to recognize string vs base64 encoding based on Attribute Name.
1275
- 1276 2. Remove the x500:Encoding attribute.
1277 Forces implementations to trigger profile behavior based on Attribute Namespace and Name,
1278 encoding rules are implied.
- 1279 3. Move the x500:Encoding attribute to the Attribute element.
1280 Suggests that future encoding rules will be uniform across all values of an attribute, but
1281 otherwise fully consistent with intent of profile.
1282
- 1283 4. Define an extended schema type that extends string and base64Binary with the
1284 x500:Encoding attribute and change the mandated xsi:type values to the extended types.
1285 Least change to existing profile behavior, but requires publishing and approving an additional
1286 schema document.
- 1287 5. Deprecate the existing profile and define a new one incorporation whatever input can be
1288 gleaned from implementers.
- 1289 6. A variation on 2 and 3, which is to:
1290 a. remove the x500:Encoding attribute and document that the LDAP encoding uses
1291 xsi:type string and base64Binary
1292 b. document that other encodings should define new types

1293 **Disposition:** During the TC conference call on 6/20 the TC approved option 3 (which subsumes
1294 option 5) but subsequently decided that this would be a substantive change, such that the profile
1295 would have to be deprecated once a replacement profile could be specified. At the 16 January
1296 2007 TC telecon we agreed it's now safe to mention the (still-draft) new profile and do the
1297 deprecation.

1298 E54: Correction to ECP URN

1299 **First reported by:** Thomas Wisniewski, Entrust

1300 **Message:** <http://lists.oasis-open.org/archives/security-services/200606/msg00019.html>

1301 **Document:** Profiles

1302 **Description:**

1303 Line 757: The reference to the ecp urn should be in double quotes.

1304 Lines 763 - 764: In the example, the reference to the ecp urn and the PAOS version should be in
1305 double quotes instead of single quotes.
1306 Both of these seem incorrect based on the PAOS specification lines 95 - 100.
1307 **Disposition:** During the TC conference call on 6/20 the TC approved to make the changes as
1308 stated here.

1309 E55: Various Language Cleanups

1310 **First reported by:** Scott Cantor, OSU
1311 **Message:** <http://lists.oasis-open.org/archives/security-services/200606/msg00026.html>
1312 **Document:** Core and Profiles
1313 **Description:** This erratum attempts to capture all language cleanup in light of repeated
1314 questions. The goal here is to clarify these fundamental issues:
1315 • NameIDMgmt applies to most of the formats
1316 • NameIDMgmt affects only a given identifier for a principal, not every possible identifier
1317 that might exist for a principal (this is intended as a simplification)
1318 Profiles, line 1319, change "some form of persistent identifier" to "some form of long-term
1319 identifier (including but not limited to identifiers with the Format urn....persistent)"
1320 Profiles, line 1323, change "about the principal" to "using that identifier".
1321 Core, lines 3337-3339, I'm inclined to say that text should be struck.
1322 Core, line 2477, change "it will no longer issue assertions to the SP about the principal" to "it will
1323 no longer issue assertions to the SP using that identifier". This does step on an errata, but is a
1324 separate change from it.
1325 Core, line 2483, change "regarding this principal" to "using the primary identifier".
1326 Core, line 2487-8, change "regarding this principal" to "in any case where the identifier being
1327 changed would have been used".
1328 **Disposition:** During the TC conference call on 8/15 the TC approved the changes as proposed
1329 here

1330 E56: Typo in Profiles

1331 **First reported by:** Eric Tiffany, Liberty Alliance
1332 **Message:** <http://lists.oasis-open.org/archives/security-services/200606/msg00021.html>
1333 **Document:** Profiles
1334 **Description:** Line 326 of profiles states:
1335 "It is anticipated that profiles will define and use several different values for
1336 <ConfirmationMethod>"
1337 The last atom should be "Method" as there is not any<ConfirmationMethod> element in the SAML
1338 schema.
1339 **Disposition:** During the conference call on 7/18 the TC approved to making the changes as
1340 stated here.

1341 E57: SAMLmime Reference

1342 **First reported by:** Jeff Hodges, Nustar
1343 **Message:** <http://lists.oasis-open.org/archives/security-services/200606/msg00036.html>
1344 **Document:** Bindings
1345 **Description:** The [SAMLmime] reference in saml-bindings-2.0-os lines 1468-1469 reads as:

1346 [SAMLmime] application/saml+xml Media Type Registration, IETF Internet-Draft,
1347 <http://www.ietf.org/internet-drafts/draft-hodges-saml-mediatype-01.txt>.
1348 The document draft-hodges-saml-mediatype-01 expired (and thus was deleted from the I-D
1349 repository), since we ended up using the new "fast track" MIME Media Type registration process
1350 rather than publishing an RFC.
1351 **Options:** The reference should be replaced with a reference similar to
1352 [SAMLmime] OASIS Security Services Technical Committee (SSTC),
1353 "application/samlassertion+xml MIME Media Type Registration", IANA MIME Media Types
1354 Registry application/samlassertion+xml, December 2004.
1355 <http://www.iana.org/assignments/media-types/application/samlassertion+xml>
1356 **Disposition:** During the TC conference call on 7/18 the TC approved the changes as stated here

1357 E58: Typos in Profiles

1358 **First reported by:** Tom Scavo, NCSA/University of Illinois
1359 **Message:** <http://www.oasis-open.org/archives/security-services/200607/msg00049.html>
1360 **Document:** Profiles
1361 **Description:** There are two minor errors in the profiles document on lines 626 and 627.
1362 **Options:**
1363 On line 626 change "sign" to "signing"
1364 On line 627 change "encrypt" to "encryption"
1365 **Disposition:** During the TC conference call on 8/15 the TC approved the changes as proposed
1366 here

1367 E59: SSO Response when using HTTP-Artifact

1368 **First reported by:** Rob Phillipot, RSA Security
1369 **Message:** <http://www.oasis-open.org/archives/security-services/200509/msg00019.html>
1370 **Document:** Bindings
1371 **Description:** The specification mandates support for the HTTP Artifact binding for a Web SSO
1372 <Response> in full and Lite versions of IDP's and SP's. However, the spec does not indicate
1373 what mechanisms (HTTP Redirect or HTTP POST) are mandated for delivery of the artifact.
1374 **Options:**
1375 Insert a clarifying paragraph after line 1173 of Bindings:
1376 "Finally, note that the use of the Destination attribute in the root SAML element of the protocol
1377 message is unspecified by this binding, because of the message indirection involved."
1378 **Disposition:** During the TC conference call on 8/15 the TC approved the changes as proposed
1379 here

1380 E60: Incorrect URI

1381 **First reported by:** Tom Scavo, NCSA/University of Illinois
1382 **Message:** <http://lists.oasis-open.org/archives/security-services/200608/msg00069.html>
1383 **Document:** Core
1384 **Description:** Line 460 references the URI
1385 urn:oasis:names:tc:SAML:1.0:nameid-format:unspecified.
1386 This is incorrect and should be replaced with

1387 urn:oasis:names:tc:SAML:1.1:nameid-format:unspecified

1388 **Options:**

1389 **Disposition:** During the TC conference call on 8/29, the TC approved the changes as proposed
1390 here.

1391 **E61 Reference to non-existent element**

1392 **First reported by:** Tom Scavo, NCSA/University of Illinois

1393 **Message:** <http://lists.oasis-open.org/archives/security-services/200608/msg00075.html>

1394 **Document:** Core

1395 **Description:** Line 3160 of core refers to the <Request> element. This is a non-existent element.

1396 **Options:** Delete line 3160

1397 **Disposition:** During the TC conference call on 8/29 the TC approved the changes as proposed
1398 here. (Additional edits proposed, in order to make sense of the text that remains. Scheduled to be
1399 brought up in 13 Feb 2007 telecon again for final approval.)

1400

1401 **E62: TLS Keys in KeyDescriptor**

1402 **First reported by:** Scott Cantor on security-services list

1403 **Message:** <http://lists.oasis-open.org/archives/security-services/200612/msg00034.html>

1404 **Document:** Metadata

1405 **Description:** The Metadata specification is underspecified with regard to how to interpret the
1406 KeyDescriptor element's "use" attribute and how TLS keys are expressed.

1407 **Options:** Scott proposes one solution: Insert text after line 624 of Metadata:

1408 A use value of "signing" means that the contained key information is applicable to
1409 both signing and TLS/SSL operations performed by the entity when acting in the
1410 enclosing role.

1411 A use value of "encryption" means that the contained key information is suitable for
1412 use in wrapping encryption keys for use by the entity when acting in the enclosing
1413 role.

1414 If the use attribute is omitted, then the contained key information is applicable to both
1415 of the above uses.

1416 He further comments: "If "wrapping encryption keys" isn't a precise enough term, please find
1417 some crypto experts to clarify it... It's worth noting to the TC that this doesn't even scratch the
1418 surface of the problems with KeyInfo interop, and spec and product users are starting to notice..."
1419

1420 **Disposition:** During the TC conference call on [16 January 2007](#) the TC approved the changes as
1421 proposed here.

1422 **E63: IdP Discovery Cookie Interpretation**

1423 **First reported by:** Scott Cantor on security-services list

1424 **Message:** <http://lists.oasis-open.org/archives/security-services/200612/msg00035.html>

1425 **Document:** Profiles

1426 **Description:** There is confusion over how the contents of an IdP Discovery cookie are meant to
1427 be interpreted because of the allowance for specifying either persistent or session lifetime.

1428 **Options:** Scott proposes one solution: In Profiles Section 4.3, insert the following paragraph after
1429 line 1105:

1430 Note that while a session-only cookie can be used, the intent of this profile is not to
1431 provide a means of determining whether a user actually has an active session with
1432 one or more of the identity providers stored in the cookie. The cookie merely
1433 identifies identity providers known to have been used in the past. Service providers
1434 MAY instead rely on the IsPassive attribute in their samlp:AuthnRequest message to
1435 probe for active sessions.

1436 **Disposition:** During the TC conference call on [16 January 2007](#) the TC approved the changes as
1437 proposed here.

1438 **E64: Liberty Moniker Used Inappropriately**

1439 **First reported by:** Jeff Hodges on security-services list

1440 **Message:** <http://lists.oasis-open.org/archives/security-services/200702/msg00047.html>

1441 **Document:** SecConsider

1442 **Description:** Section 7.1.1.9, Impersonation without Reauthentication, contains the following text:

1443 Cookies posted by identity providers MAY be used to support this validation process,
1444 though **Liberty** does not mandate a cookie-based approach.

1445 **Options:** The reference to Liberty should be changed to a reference to SAML V2.0, as follows:

1446

1447 Cookies posted by identity providers MAY be used to support this validation process,
1448 though **SAML V2.0** does not mandate a cookie-based approach.

1449 **Disposition:** During the [TC conference call on 27 Feb 2007](#), the TC approved the changes as
1450 proposed here.

1451 **E65: Second-level StatusCode**

1452 **First reported by:** Philpott, Robert, EMC

1453 **Message:** <http://lists.oasis-open.org/archives/security-services/200708/msg00053.html>

1454 **Document:** SAML Core

1455 **Description:** There are several places in SAML Core that are currently mandating the return of
1456 second-level <StatusCode> elements, which for security reasons are assumed to be optional.

1457 **Options:** Reword the relevant sections to indicate that use of a second-level code is optional, but
1458 if present, the value is constrained.

1459 Change section 3.3.2.2.1 Element <RequestedAuthnContext>, lines 1817-1819, to:

1460 If none of the specified classes or declarations can be satisfied in accordance with the
1461 rules below, then the responder **MUST** return a <Response> message with a top-level
1462 <StatusCode> value of urn:oasis:names:tc:SAML:2.0:status:Responder
1463 and **MAY** return a second-level <StatusCode> value of
1464 urn:oasis:names:tc:SAML:2.0:status:NoAuthnContext.

1465 Change section 3.4.1.2, lines 2172-2173, to:

1466 In profiles specifying an active intermediary, the intermediary MAY examine the list and
1467 return a <Response> message with an error <Status> and optionally a second-level
1468 <StatusCode> of

1469 Change section 3.4.1.5.1 Proxy Processing Rules, lines 2282-2285, to:

1470 Unless the identity provider can directly authenticate the presenter, it MUST return a
1471 <Response> message with a top-level <StatusCode> value of
1472 urn:oasis:names:tc:SAML:2.0:status:Responder and MAY return a second-
1473 level <StatusCode> value of
1474 urn:oasis:names:tc:SAML:2.0:status:ProxyCountExceeded.

1475 Change section 3.8.3, lines 2729-2731:

1476 If the responder does not recognize the principal identified in the request, it MAY respond
1477 with an error <Status>, optionally containing a second-level <StatusCode> of
1478 urn:oasis:names:tc:SAML:2.0:status:UnknownPrincipal.

1479 **Disposition:** During the TC conference call on 11 March 2008 the TC approved the changes as
1480 proposed here.

1481 E66: Metadata and DNSSEC

1482 **First reported by:** Peter Davis, Neustar

1483 **Message:** <http://lists.oasis-open.org/archives/security-services/200709/msg00014.html>

1484 **Document:** SAML Metadata

1485 **Description:** The metadata specification references RFC 2535, which has been obsoleted by
1486 RFC 4035.

1487 **Options:** Make the following changes:

1488 Change line 1253 to the following:

1489 It is RECOMMENDED that entities publish their resource records in signed zone files
1490 using [RFC4035]

1491 Substitute the following for lines 1447-1448:

1492 [RFC4035] R. Arends et al. Protocol Modifications for the DNS Security Extensions. IETF
1493 RFC 4035, March 2005. See <http://www.ietf.org/rfc/rfc4035.txt>.

1494 **Disposition:** During the TC conference call on 11 March 2008 the TC approved the changes as
1495 proposed here.

1496 E68: Use of Multiple <KeyDescriptor> Elements

1497 **First reported by:** Scott Cantor, Internet2

1498 **Message:** <http://lists.oasis-open.org/archives/security-services/200802/msg00066.html>

1499 **Document:** SAML Metadata

1500 **Description:** The metadata specification is silent about the meaning of multiple <KeyDescriptor>
1501 elements with the same use attribute.

1502 **Options:** Insert text before line 625:

1503 The inclusion of multiple <KeyDescriptor> elements with the same use attribute (or no
1504 such attribute) indicates that any of the included keys may be used by the containing role
1505 or affiliation. A relying party SHOULD allow for the use of any of the included keys. When
1506 possible the signing or encrypting party SHOULD indicate as specifically as possible
1507 which key it used to enable more efficient processing.

1508 **Disposition:** During the TC conference call on 11 March 2008 the TC approved the changes as
1509 proposed here.

E69: Semantics of <ds:KeyInfo> in <KeyDescriptor>

1510

1511 **First reported by:** Scott Cantor, Internet2

1512 **Message:** <http://lists.oasis-open.org/archives/security-services/200802/msg00066.html>

1513 **Document:** SAML Metadata

1514 **Description:** The metadata specification is silent about the semantic interpretation of the
1515 <ds:KeyInfo> element as it pertains to communicating keys that may be wielded by an entity.

1516 **Options:** Insert text before line 625:

1517 The <ds:KeyInfo> element is a highly generic and extensible means of communicating
1518 key material. This specification takes no position on the allowable or suggested content
1519 of this element, nor on its meaning to a relying party. As a concrete example, no
1520 implications of including an X.509 certificate by value or reference are to be assumed. Its
1521 validity period, extensions, revocation status, and other relevant content may or may not
1522 be enforced, at the discretion of the relying party. The details of such processing, and
1523 their security implications, are out of scope; they may, however, be addressed by other
1524 SAML profiles.

1525 **Disposition:** During the TC conference call on [11 March 2008](#) the TC approved the changes as
1526 proposed here.

E70: Obsolete reference to UUID URN namespace

1527

1528 **First reported by:** Tom Scavo, NCSA

1529 **Message:** <http://lists.oasis-open.org/archives/security-services/200801/msg00001.html>

1530 **Document:** SAML Profiles

1531 **Description:** The normative reference to an I-D at lines 2111-2112 of the profiles specification is
1532 obsolete and was replaced by an actual RFC.

1533 **Options:** Replace the reference at lines 2111-212 with a reference to:

1534 P. Leach et al. *A Universally Unique Identifier (UUID) URN Namespace*. IETF RFC 4122,
1535 July 2005. See <http://www.ietf.org/rfc/rfc4122.txt>.

1536 Also adjust the references to same at lines 1836 and 1885, which currently include the
1537 entire URL rather than a shorthand ref name.

1538 **Disposition:** During the TC conference call on [25 March 2008](#) the TC approved the changes as
1539 proposed here.

E71: Missing namespace definition in Profiles

1540

1541 **First reported by:** Tom Scavo, NCSA

1542 **Message:** <http://lists.oasis-open.org/archives/security-services/200802/msg00000.html>

1543 **Document:** SAML Profiles

1544 **Description:** The namespace prefix xs:, used repeatedly in section 8 of [SAML2Prof], is not
1545 defined in section 1 of the same document.

1546 **Options:** Add the namespace definition to the table in section 1.

1547 **Disposition:** During the TC conference call on [25 March 2008](#) the TC approved the changes as
1548 proposed here.

1549 3 Proposed Errata

1550 These proposed errata, given a “PE nn ” number designation, have either been determined by the
1551 SSTC not to be resolvable with a “non-substantive” change or, in the case of PEs with “[OPEN]”
1552 in the title, have not been considered by the SSTC yet.

1553 PE3: Supported URL Encoding

1554 First reported by: Scott Cantor, OSU

1555 Message: <http://lists.oasis-open.org/archives/security-services/200501/msg00058.html>

1556 **Document:** Metadata

1557 **Description:** Specify the URL encoding supported by an HTTP Redirect binding endpoint.

1558 **Options:** This isn't actually an erratum, it's a missing piece that doesn't currently break anything
1559 but could in the future if alternate URL encodings for the Redirect binding emerge (for example a
1560 binary XML representation). We need an extension attribute to indicate non-default encoding
1561 support, it can just be added to our new “2.0 metadata extension schema”. This should be moved
1562 to the issues list.

1563 **Disposition:** During the conference call of April 12 the TC agreed to move this to the issues list.

1564 PE15: NameID Policy (Reopened)

1565 **First reported by:** Thomas Wisniewski, Entrust

1566 Message: <http://lists.oasis-open.org/archives/security-services/200506/maillist.html> - 00030

1567 **Document:** Core

1568 **Description:** The returned assertion subject's NameID format and/or SPNameQualifier may be
1569 different from the ones suggested in the authentication request's NameIDPolicy. I.e., the spec
1570 does not explicitly forbid these from being different (which it should).

1571 **Options:** Insert the following text between lines 2139 and 2140 in core

1572 When a `Format` defined in Section 8.3.7 is used other than

1573 `urn:oasis:names:TC:SAML:1.1:nameid-format:unspecified` or

1574 `urn:oasis:names:TC:SAML:2.0:nameid-format:encrypted`, then if the identity provider
1575 returns any assertions:

- 1576 • the `Format` value of the `<NameID>` within the `<Subject>` of any `<Assertion>` **MUST**
1577 be identical to the `Format` value supplied in the `<NameIDPolicy>`, and
- 1578 • if `SPNameQualifier` is not omitted in `<NameIDPolicy>`, the `SPNameQualifier`
1579 value of the `<NameID>` within the `<Subject>` of any `<Assertion>` **MUST** be identical
1580 to the `SPNameQualifier` value supplied in the `<NameIDPolicy>`.”

1581 **Disposition:** Open

1582 PE23: Metadata for <ArtifactResolutionService>

1583 **First reported by:** Nick Ragouzis, Enosis Group

1584 **Message:** <http://lists.oasis-open.org/archives/security-services/200507/msg00036.html>

1585 **Document:** Profiles

1586 **Description:** The text is not as clear as it should be. In Section 4.1.6 (Web Browser SSO Profile),
1587 at Line 639 change “MUST” to “SHOULD”. Also, add the following text:

1588 If the request or response message is delivered using the HTTP Artifact binding, the artifact
1589 issuer SHOULD provide at least one <md:ArtifactResolutionService> endpoint element in its
1590 metadata.

1591 **Options:** Accept changes as suggested here.

1592 **Disposition:** During the call on 2/28 the TC moved to close with no resolution

1593 **PE67: Absence of elements in metadata (Open)**

1594 **First reported by:** Scott Cantor, Internet2

1595 **Message:** <http://lists.oasis-open.org/archives/security-services/200802/msg00066.html>

1596 **Document:** SAML Metadata

1597 **Description:** The metadata specification is ambiguous about the meaning of omission of the
1598 <NameIDFormat> element and many other elements such as <AttributeProfile>,
1599 <KeyDescriptor>, and generally anything that's optional.

1600 **Options:** Supplement the note at lines 165-172 with a new paragraph:

1601 In the absence of other sources of information, implementations SHOULD generally view
1602 the absence of particular elements as implying that any values supported by the
1603 consuming implementation are acceptable, with the obvious exception of metadata
1604 elements representing roles, endpoints, keys, etc. (elements that cannot be "defaulted" or
1605 that would be security-sensitive if assumed). Alternatively, the presence of particular
1606 elements SHOULD generally constrain the choices made by the consuming
1607 implementation.

1608 Of course, if other sources of information are available, implementations are free to
1609 combine it with, or override, the information found in metadata, as appropriate to that
1610 implementation and deployment.

1611 **Disposition:** Open. Scott to supply reworked text.

Appendix A.Revision History

<i>Rev</i>	<i>Date</i>	<i>By Whom</i>	<i>What</i>
Draft-00	2005-01-31	Jahan Moreh	Initial version based on emails to the list
Draft-01	2005-02-14	Jahan Moreh	Removed E5 as it is related to the Technical Overview document, which is work in progress. Relabeled all items as Potential Errata (PE). Added PE4 and PE5. Added E1.
Draft-02	2005-03-27	Jahan Moreh	Moved E1 to PE section. Added E2,E3 and E4. Added PE7
Draft-03	2005-03-29	Jahan Moreh	Rearranged E and PE items. The E items now are those which have been resolved and have proposed text, where required. PE items will be moved to E as they meet these requirements.
Draft-04	2005-04-11	Jahan Moreh	Incorporated proposes text all Pes based on emails to the list:
Draft-05	2005-04-12	Jahan Moreh	Minor corrections to PE5 and PE8. Accepted disposition of all items except PE5, PE7 and PE10. Decided to keep disposed Pes in the PE section (and not move them to the E section)
Draft-06	2005-04-25	Jahan Moreh	Added PE11, PE12 and PE13
Draft-07	2005-05-27	Jahan Moreh	Added PE14
Draft-08	2005-06-03	Jahan Moreh	Added PE15
Draft-09	2005-06-20	Jahan Moreh	Added PE16. Disposed PE11, PE12, PE13, and PE16 and PE17.
Draft 10	2005-07-04	Jahan Moreh	Added PE18
Draft 11	2005-07-18	Jahan Moreh	Disposed PE17, added PE19 and PE20
Draft 12	2005-08-01	Jahan Moreh	Disposed PE18, PE19 and PE20. Added PE21-PE25.
Draft 13	2005-08-15	Jahan Moreh	Closed PE19, PE22, PE24. Added PE26.
Draft 14	2005-08-29	Jahan Moreh	Updated PE26

<i>Rev</i>	<i>Date</i>	<i>By Whom</i>	<i>What</i>
Draft 15	2005-09-12	Jahan Moreh	Closed PE26, added PE27-34
Draft 16	2005-09-26	Jahan Moreh	Added PE35. Closed PE30, PE33 and PE34
Draft 17	2005-10-10	Jahan Moreh	Closed PE7, PE25, PE27-29, PE31, PE35.
Draft 18	2005-10-24	Jahan Moreh	Added PE36
Draft 19	2005-11-07	Jahan Moreh	Closed PE36
Draft 20	2005-11-21	Jahan Moreh	Added PE37 and PE38
Draft 21	2005-12-05	Jahan Moreh	Closed PE37 and PE38. Added text for PE32.
Draft 22	2006-01-30	Jahan Moreh	Added PE39, PE40, PE41, PE42 and 43
Draft 23	2006-02-13	Jahan Moreh	Closed PE39, PE41. Added PE44.
Draft 24	2006-02-27	Jahan Moreh	Closed PE10 and added PE45. Modified description and option for correcting PE 35.
Draft 24	2006-02-27	Jahan Moreh	Closed PE10 and added PE45. Modified description and option for correcting PE 35.
Draft 25	2006-03-27	Jahan Moreh	Closed PE23, PE35, PE40. Added PE46 and PE47.
Draft 26	2006-04-10	Jahan Moreh	Closed PE44, PE45 and PE47. Added PE48.
Draft 27	2006-04-24	Jahan Moreh	Split PE48 into two PEs (48 and 49).
Draft 28	2006-05-05	Jahan Moreh	Added PE50 and PE51
Draft 29	2006-05-22	Jahan Moreh	Closed PE46, PE48 and PE51. Added PE52 and PE53
Draft 30	2006-06-05	Jahan Moreh	Closed PE43 and PE50. Updated PE53
Draft 31	2006-06-19	Jahan Moreh	Added PE54
Draft 32	2006-07-17	Jahan Moreh	Added PE55, PE56, PE57 and PE58. Updated PE49
Draft 33	2006-07-31	Jahan Moreh	Replaced PE58. Closed PE49, PE56, PE57. Added PE59.
Draft 34	2006-08-28	Eve Maler and Jahan	Reformatting and clean up.

<i>Rev</i>	<i>Date</i>	<i>By Whom</i>	<i>What</i>
		Moreh	
Draft 35	2006-09-11	Jahan Moreh	Closed PE52, PE55, PE58, and PE59. Added and closed PE60 and PE61.
Draft 36	2006-09-21	Jahan Moreh	Renamed all approved PEs as Es keeping the original numbers. Renamed E1 to E0. Changed Summary of Disposition table to reflect new E #'s.
Draft 37	2006-12-19	Eve Maler	Added PE62 and PE63.
Draft 38	2007-01-14	Eve Maler	Cleanup in accordance with final decisions made by TC (verified by review of the errata composite documents and the creation of the standards-track errata document) and to prepare for eventual final publication of the whole set of documents.
Draft 39	2007-02-12	Eve Maler	Closed PE62 (->E62) and PE63 (->E63). Did a little more editorial distinction around this document vs. the other errata-related documents.
Draft 40	2007-03-04	Eve Maler	Opened (and immediately closed) E64.
Draft 41	2007-10-12	Abbie Barbir	Added PE64 and PE65
Draft 42	2008-02-29	Scott Cantor	Cleaned up PE65 and PE66. Removed any PE that was disposed of as part of an approved errata item but left in the document. Added (Open) to title of undisposed PE items. Added PE67, PE68, PE69.
Draft 43	2008-03-24	Scott Cantor	Closed PE65, PE66, PE68, P69. Added PE70, PE71, PE72. Reworded PE67.
Draft 44	2008-05-06	Scott Cantor	Closed PE70, PE71. Reopened E15 in place of PE72.

1613

Appendix B. Summary of Disposition

<i>Erratum #</i>	<i>Status</i>	<i>Document</i>
E0	Closed	Core
E1	Closed	Bindings
E2	Closed	Bindings
PE3	Closed	Metadata
E4	Closed	Binding
PE5	Closed	Binding/Profiles
E6	Closed	Core
E7	Closed	Metadata
E8	Closed	Core
PE9	Closed – combined with PE7	Metadata
E10	Closed	Core
E11	Closed	Conformance
E12	Closed	Core/Profiles
E13	Closed	Core
E14	Closed	Core/Profiles
E15	Closed	Core
PE16	Closed	Conformance
E17	Closed	Profiles
E18	Closed	Profiles
E19	Closed	Bindings
E20	Closed	Profiles
E21	Closed	Bindings
E22	Closed	Profiles
PE23	Closed	Profiles
E24	Closed	Bindings

Erratum #	Status	Document
E25	Closed	Conformance
E26	Closed	Profiles
E27	Closed	Profiles
E28	Closed	Conformance
E29	Closed	Conformance
E30	Closed	Core
E31	Closed	Bindings
E32	Closed	Profiles
E33	Closed	Metadata
E34	Closed	Metadata
E35	Closed	Profiles
E36	Closed	Core
E37	Closed	Metadata
E38	Closed	Core/Profiles
E39	Closed	Profiles
E40	Closed	Profiles
E41	Closed	Metadata
E42	Closed	Conformance
E43	Closed	Core
PE44	Closed – combined with PE47	Placeholder for Constrained Delegation
E45	Closed	Core
E46	Closed	Core
E47	Closed	Core/Profiles
E48	Closed	Profiles
E49	Closed	Core
E50	Closed	Conformance
E51	Closed	Profiles
E52	Closed	Profiles

Erratum #	Status	Document
E53	Closed	Profiles
E54	Closed	Profiles
E55	Closed	Core/Profiles
E56	Closed	Profiles
E57	Closed	Bindings
E58	Closed	Profiles
E59	Closed	Bindings
E60	Closed	Core
E61	Closed	Core
E62	Closed	Metadata
E63	Closed	Profiles
E64	Closed, not incorporated in the Errata	SecConsider
E65	Closed	Core
E66	Closed	Metadata
PE67	Open	Metadata
E68	Closed	Metadata
E69	Closed	Metadata
E70	Closed	Profiles
E71	Closed	Profiles
PE72	Closed, reopened as change to PE15.	Core

1615 **Appendix C. Acknowledgments**

1616 The editors would like to acknowledge the contributions of the OASIS Security Services Technical
1617 Committee, whose voting members at the time of publication were:

- 1618 • TBS

1619 The editors also would like to gratefully acknowledge Jahan Moreh of Sigaba, who during his
1620 tenure on the SSTC was the primary editor of this errata document and who made major
1621 substantive contributions to all of the errata materials.