



TBD (Key Management Interoperability Protocol)

Editor's Draft 0.98

29 July 2009

Deleted: 7

Deleted: 25 June

Specification URIs:

This Version:

[TBD.html](#)
[TBD.doc](#) (Authoritative)
[TBD.pdf](#)

Previous Version:

[TBD.html](#)
[TBD.doc](#) (Authoritative)
[TBD.pdf](#)

Latest Version:

[TBD.html](#)
[TBD.doc](#)
[TBD.pdf](#)

Technical Committee:

[OASIS Key Management Interoperability Protocol \(KMIP\) TC](#)

Chair(s):

Robert Griffin
Anthony Nadalin

Editor(s):

Robert Haas
Indra Fitzgerald

Related work:

This specification replaces or supersedes:

- None

This specification is related to:

- TBD

Declared XML Namespace(s):

TBD

Abstract:

This document is intended for developers and architects who wish to design systems and applications that interoperate using the Key Management Interoperability Protocol specification.

Status:

This document was last revised or approved by the Key Management Interoperability Protocol TC on the above date. The level of approval is also listed above. Check the "Latest Version" or "Latest Approved Version" location noted above for possible later revisions of this document.

Technical Committee members should send comments on this specification to the Technical Committee's email list. Others should send comments to the Technical Committee by using the

"Send A Comment" button on the Technical Committee's web page at <http://www.oasis-open.org/committees/kmip/>.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the Technical Committee web page (<http://www.oasis-open.org/committees/kmip/ipr.php>).

The non-normative errata page for this specification is located at <http://www.oasis-open.org/committees/kmip/>.

Notices

Copyright © OASIS® 2009. All Rights Reserved.

All capitalized terms in the following text have the meanings assigned to them in the OASIS Intellectual Property Rights Policy (the "OASIS IPR Policy"). The full Policy may be found at the OASIS website.

This document and translations of it may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published, and distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and this section are included on all such copies and derivative works. However, this document itself may not be modified in any way, including by removing the copyright notice or references to OASIS, except as needed for the purpose of developing any document or deliverable produced by an OASIS Technical Committee (in which case the rules applicable to copyrights, as set forth in the OASIS IPR Policy, must be followed) or as required to translate it into languages other than English.

The limited permissions granted above are perpetual and will not be revoked by OASIS or its successors or assigns.

This document and the information contained herein is provided on an "AS IS" basis and OASIS DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY OWNERSHIP RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

OASIS requests that any OASIS Party or any other party that believes it has patent claims that would necessarily be infringed by implementations of this OASIS Committee Specification or OASIS Standard, to notify OASIS TC Administrator and provide an indication of its willingness to grant patent licenses to such patent claims in a manner consistent with the IPR Mode of the OASIS Technical Committee that produced this specification.

OASIS invites any party to contact the OASIS TC Administrator if it is aware of a claim of ownership of any patent claims that would necessarily be infringed by implementations of this specification by a patent holder that is not willing to provide a license to such patent claims in a manner consistent with the IPR Mode of the OASIS Technical Committee that produced this specification. OASIS may include such claims on its website, but disclaims any obligation to do so.

OASIS takes no position regarding the validity or scope of any intellectual property or other rights that might be claimed to pertain to the implementation or use of the technology described in this document or the extent to which any license under such rights might or might not be available; neither does it represent that it has made any effort to identify any such rights. Information on OASIS' procedures with respect to rights in any document or deliverable produced by an OASIS Technical Committee can be found on the OASIS website. Copies of claims of rights made available for publication and any assurances of licenses to be made available, or the result of an attempt made to obtain a general license or permission for the use of such proprietary rights by implementers or users of this OASIS Committee Specification or OASIS Standard, can be obtained from the OASIS TC Administrator. OASIS makes no representation that any information or list of intellectual property rights will at any time be complete, or that any claims in such list are, in fact, Essential Claims.

The names "OASIS", [insert specific trademarked names and abbreviations here] are trademarks of OASIS, the owner and developer of this specification, and should be used only to refer to the organization and its official outputs. OASIS welcomes reference to, and implementation and use of, specifications, while reserving the right to enforce its marks against misleading uses. Please see <http://www.oasis-open.org/who/trademark.php> for above guidance.

Table of Contents

| | |
|---|----|
| 1 Introduction | 8 |
| 1.1 Document Roadmap | 8 |
| 1.2 Goals and Requirements | 8 |
| 1.3 Notational Conventions | 8 |
| 1.4 Namespaces | 8 |
| 1.5 Terminology | 8 |
| 1.6 Normative References | 9 |
| 1.7 Non-normative References | 9 |
| 1.8 Compliance | 9 |
| 2 Objects | 9 |
| 2.1 Base Objects | 9 |
| 2.1.1 Attribute | 9 |
| 2.1.2 Credential | 10 |
| 2.1.3 Key Block | 10 |
| 2.1.4 Key Value | 11 |
| 2.1.5 Key Wrapping Data | 12 |
| 2.1.6 Key Wrapping Specification | 13 |
| 2.1.7 Transparent Key Structures | 14 |
| 2.1.8 Template-Attribute Structures | 17 |
| 2.2 Managed Objects | 17 |
| 2.2.1 Certificate | 17 |
| 2.2.2 Symmetric Key | 18 |
| 2.2.3 Public Key | 18 |
| 2.2.4 Private Key | 18 |
| 2.2.5 Split Key | 18 |
| 2.2.6 Template | 20 |
| 2.2.7 Secret Data | 20 |
| 2.2.8 Opaque Object | 21 |
| 3 Attributes | 21 |
| 3.1 Unique Identifier | 21 |
| 3.2 Name | 22 |
| 3.3 Object Type | 22 |
| 3.4 Cryptographic Algorithm | 23 |
| 3.5 Cryptographic Length | 23 |
| 3.6 Cryptographic Parameters | 24 |
| 3.7 Certificate Type | 25 |
| 3.8 Certificate Issuer | 26 |
| 3.9 Certificate Subject | 26 |
| 3.10 Digest | 27 |
| 3.11 Operation Policy Name | 27 |
| 3.11.1 Operations outside of operation policy control | 28 |
| 3.11.2 Default Operation Policy | 28 |
| 3.12 Cryptographic Usage Mask | 31 |

| | |
|--|----|
| 3.13 Lease Time | 32 |
| 3.14 Usage Limits | 32 |
| 3.15 State..... | 34 |
| 3.16 Initial Date | 35 |
| 3.17 Activation Date..... | 36 |
| 3.18 Process Start Date..... | 36 |
| 3.19 Protect Stop Date | 37 |
| 3.20 Deactivation Date | 38 |
| 3.21 Destroy Date..... | 38 |
| 3.22 Compromise Occurrence Date | 39 |
| 3.23 Compromise Date..... | 39 |
| 3.24 Revocation Reason | 40 |
| 3.25 Archive Date | 40 |
| 3.26 Object Group | 41 |
| 3.27 Link | 41 |
| 3.28 Application Specific Identification | 42 |
| 3.29 Contact Information | 43 |
| 3.30 Last Changed Date..... | 44 |
| 3.31 Custom Attribute | 44 |
| 4 Client-to-Server Operations | 45 |
| 4.1 Create | 46 |
| 4.2 Create Key Pair | 46 |
| 4.3 Register..... | 48 |
| 4.4 Re-key..... | 49 |
| 4.5 Derive Key | 51 |
| 4.6 Certify..... | 54 |
| 4.7 Re-certify..... | 55 |
| 4.8 Locate | 57 |
| 4.9 Check..... | 58 |
| 4.10 Get | 60 |
| 4.11 Get Attributes..... | 61 |
| 4.12 Get Attribute List..... | 61 |
| 4.13 Add Attribute | 62 |
| 4.14 Modify Attribute | 62 |
| 4.15 Delete Attribute | 63 |
| 4.16 Obtain Lease | 64 |
| 4.17 Get Usage Allocation..... | 64 |
| 4.18 Activate | 65 |
| 4.19 Revoke..... | 66 |
| 4.20 Destroy..... | 66 |
| 4.21 Archive | 67 |
| 4.22 Recover..... | 67 |
| 4.23 Validate..... | 68 |
| 4.24 Query | 68 |
| 4.25 Cancel..... | 70 |

| | |
|--|-----|
| 4.26 Poll | 70 |
| 5 Server-to-Client Operations | 71 |
| 5.1 Notify | 71 |
| 5.2 Put | 71 |
| 6 Message Contents | 72 |
| 6.1 Protocol Version | 72 |
| 6.2 Operation | 73 |
| 6.3 Maximum Response Size | 73 |
| 6.4 Unique Batch Item ID | 73 |
| 6.5 Time Stamp | 73 |
| 6.6 Authentication | 73 |
| 6.7 Asynchronous Indicator | 74 |
| 6.8 Asynchronous Correlation Value | 74 |
| 6.9 Result Status | 74 |
| 6.10 Result Reason | 74 |
| 6.11 Result Message | 75 |
| 6.12 Batch Order Option | 75 |
| 6.13 Batch Error Continuation Option | 76 |
| 6.14 Batch Count | 76 |
| 6.15 Batch Item | 76 |
| 6.16 Message Extension | 76 |
| 7 Message Format | 77 |
| 7.1 Message Structure | 77 |
| 7.2 Synchronous Operations | 77 |
| 7.3 Asynchronous Operations | 78 |
| 8 Authentication | 80 |
| 9 Message Encoding | 80 |
| 9.1 TTLV Encoding | 81 |
| 9.1.1 TTLV Encoding Fields | 81 |
| 9.1.2 Examples | 83 |
| 9.1.3 Defined Values | 83 |
| 9.2 XML Encoding | 100 |
| 10 Transport | 101 |
| 11 Error Handling | 101 |
| 11.1 General | 101 |
| 11.2 Create | 102 |
| 11.3 Create Key Pair | 102 |
| 11.4 Register | 103 |
| 11.5 Re-key | 103 |
| 11.6 Derive Key | 104 |
| 11.7 Certify | 104 |
| 11.8 Re-certify | 105 |
| 11.9 Locate | 105 |
| 11.10 Check | 105 |
| 11.11 Get | 105 |

| | |
|---|----------------|
| 11.12 Get Attributes | 106 |
| 11.13 Get Attribute List | 106 |
| 11.14 Add Attribute | 106 |
| 11.15 Modify Attribute | 107 |
| 11.16 Delete Attribute | 107 |
| 11.17 Obtain Lease | 108 |
| 11.18 Get Usage Allocation | 108 |
| 11.19 Activate | 108 |
| 11.20 Revoke | 109 |
| 11.21 Destroy | 109 |
| 11.22 Archive | 109 |
| 11.23 Recover | 109 |
| 11.24 Validate | 109 |
| 11.25 Query | 110 |
| 11.26 Cancel | 110 |
| 11.27 Poll | 110 |
| 11.28 Batch Items | 110 |
| 12 Security Considerations | 110 |
| A. Attribute Cross-reference | 111 |
| B. Tag Cross-reference | 113 |
| C. Operation and Object Cross-reference | 118 |
| D. Acronyms | 119 |
| E. List of Figures and Tables | 121 |
| F. Acknowledgements | 128 |
| G. Revision History | 129 |

| |
|---------------------|
| Deleted: 120 |
| Deleted: 122 |
| Deleted: 129 |
| Deleted: 130 |

1 Introduction

This document is intended as a specification of the protocol used for the communication between clients and servers to perform certain management operations on objects stored and maintained by a key management system. These objects will be referred to as *Managed Objects* in this specification. They include symmetric and asymmetric cryptographic keys, digital certificates, and templates used to simplify the creation of objects and control their use. Managed Objects are managed with *operations* that include the ability to generate cryptographic keys, register objects with the key management system, obtain objects from the system, destroy objects from the system, and search for objects maintained by the system. Managed Objects also have associated *attributes*, which are named values stored by the key management system and which can be obtained from the system via operations. Certain attributes may be changed, added or deleted, again by operations.

The protocol specified in this document includes several certificate-related functions for which there are a number of existing protocols – namely Validate (e.g. SVP or XKMS), Certify (e.g. CMP, CMC, SCEP) and Re-certify (e.g. CMP, CMC, SCEP). The protocol does not attempt to define a comprehensive certificate management protocol such as would be required for a certification authority. However, it does include functions that are needed in proxying certificate management functions through a key server.

In addition to the normative definitions for managed objects, operations and attributes, this specification also includes normative definitions for the following aspects of the protocol:

- [The expected behavior of the server and client as a result of operations](#)
- Message contents and formats
- Authentication profiles for clients and servers
- Message encoding, including enumerations
- Error handling

This specification is complemented by two other documents. The Usage Guide provides illustrative information on using the protocol. The Test Specification provides samples of protocol messages corresponding to a set of defined test cases.

1.1 Document Roadmap

TBD

1.2 Goals and Requirements

TBD

1.3 Notational Conventions

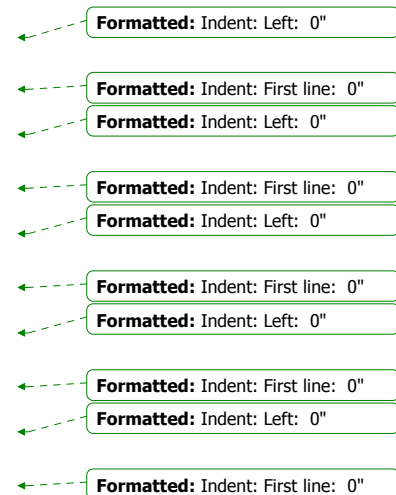
TBD

1.4 Namespaces

TBD

1.5 Terminology

TBD



37 **1.6 Normative References**

38 TBD

39 **1.7 Non-normative References**

40 TBD

41 **1.8 Compliance**

42 TBD

Formatted: Indent: Left: 0"
Formatted: Indent: First line: 0"
Formatted: Indent: Left: 0"
Formatted: Indent: First line: 0"
Formatted: Indent: Left: 0"
Formatted: Indent: First line: 0"

43 **2 Objects**

44 The following subsections describe the objects that are passed between the clients and servers of the key
45 management system. Some of these object types, called *Base Objects*, are used only in the protocol
46 itself, and are not considered Managed Objects. Key management systems may choose to support a
47 subset of the Managed Objects. The object descriptions refer to the primitive data types they are
48 composed of. These primitive data types are

- 49 • Integer
- 50 • Long Integer
- 51 • Big Integer
- 52 • Enumeration – choices from a predefined list of values
- 53 • Boolean
- 54 • Text String – string of characters representing human-readable text
- 55 • Octet String – sequence of unencoded byte values
- 56 • Date-Time – date and time, with a granularity of one second
- 57 • Interval – time interval expressed in seconds

58 Structures are composed of ordered lists of primitive data types or structures.

Formatted: Indent: Left: 0"

59 **2.1 Base Objects**

60 These objects are used within the messages of the protocol, but are not objects managed by the key
61 management system. They may be components of Managed Objects.

62 **2.1.1 Attribute**

63 An Attribute object is a structure (see Table 1), used for sending and receiving Managed Object attributes.
 64 The *Attribute Name* is a text-string which is used to identify the attribute. The *Attribute Index* is an index
 65 number assigned by the key management server when a specified named attribute is allowed to have
 66 multiple instances. The index number is used to identify the particular instance. Index numbers start with
 67 0. The index number of an attribute is never changed when other instances are added or deleted. For
 68 example, if a particular attribute has 4 instances with index numbers 0, 1, 2 and 3, and the instance with
 69 index 2 is deleted, the index number of instance 3 is not changed. Attributes which have a single instance
 70 have an Attribute Index of 0, which is assumed if the index is not specified. The *Attribute Value* is either a
 71 primitive data type, or structured object, depending on the attribute.

Field Code Changed
Deleted: ,

| Object | Encoding | Required |
|-----------------|---|----------|
| Attribute | Structure | Yes |
| Attribute Name | Text String | Yes |
| Attribute Index | Integer | No |
| Attribute Value | Varies, depending on attribute. See Section 3 | Yes |

Table 1: Attribute Object Structure

2.1.2 Credential

A credential is a structure (see Table 2), used for client identification purposes and not managed by the key management system, e.g., user id/password pairs, Kerberos tokens, etc. See Section 8 .

| Object | Encoding | Required |
|------------------|--------------|----------|
| Credential | Structure | Yes |
| Credential Type | Enumeration | Yes |
| Credential Value | Octet String | Yes |

Table 2: Credential Object Structure

2.1.3 Key Block

A *Key Block* object is a structure (see Table 3) used to encapsulate all of the information that is closely associated with a cryptographic key. It contains a Key Value of one of the following *Key Value Types*:

- *Raw* – This is a key which consists of "pure" cryptographic key material, encoded as a string of bytes.
- *Opaque* – This is an encoded key for which the encoding is unknown to the key management system. It is encoded as a string of bytes.
- *PKCS1* – This is an encoded private key, expressed as a DER-encoded ASN.1 PKCS#1 object.
- *PKCS8* – This is an encoded private key, expressed as a DER-encoded ASN.1 PKCS#8 object, supporting both RSAPrivateKey syntax and EncryptedPrivateKey.
- Several *Transparent Key* types – These are algorithm-specific structures containing defined values for the various key types, as defined in Section 2.1.6 .
- *Extensions* – These are vendor-specific extensions to allow for proprietary or legacy key formats.

It contains also the Cryptographic Algorithm and the Cryptographic Length of the key contained in the Key Value field. Some example values are:

- RSA keys are typically 1024, 2048 or 3072 bits in length
- 3DES keys are typically 168 bits in length
- AES keys are typically 128 or 256 bits in length

The Key Block may optionally contain a Key Wrapping Data structure, which indicates that the key in the Key Value field is wrapped (i.e., encrypted, or MACed/signed, or both).

Formatted Table

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Field Code Changed

Formatted: Indent: Left: 0"

Deleted: is a protocol-only object,

Formatted Table

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Indent: Left: 0"

Deleted: s

Deleted: A Key Block object may contain different information depending on who it is sent to and when it is sent.

Formatted: Indent: Left: 0.25", Tabs: 0.5", List tab + Not at 0.75"

Formatted: Indent: Left: 0.25", Tabs: 0.5", List tab + Not at 0.75"

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0.25"

Formatted: Indent: Left: 0"

Deleted: ,

Deleted: '

| Object | Encoding | Required |
|-------------------------|---|--|
| Key Block | Structure | Yes |
| Key Value Type | Enumeration | Yes |
| Key Value | Octet String: for wrapped Key Value; Structure: for plaintext Key Value | Yes |
| Cryptographic Algorithm | Enumeration | Yes, may be omitted only if this information is available from the Key Value. Does not apply to Secret Data or Opaque Objects. If present, Cryptographic Length below must also be present. |
| Cryptographic Length | Integer | Yes, may be omitted only if this information is available from the Key Value. Does not apply to Secret Data or Opaque Objects. If present, Cryptographic Algorithm above must also be present. |
| Key Wrapping Data | Structure | No |

Table 3: Key Block Object Structure

2.1.4 Key Value

The *Key Value* is used only inside a Key Block and is either an Octet String or a structure (see Table 4):

- The Key Value structure contains the key material, either as an octet string or as a Transparent Key structure (see Section 2.1.7), and optional attribute information that is associated with and encapsulated with the key material. This attribute information differs from the attributes associated with Managed Objects, and which is obtained via the Get Attributes operation, only by the fact that it is encapsulated with, and may be wrapped along with the key material itself.
- The Key Value Octet String is the wrapped TTLV-encoded (see Section 9.1) Key Value structure.

| Object | Encoding | Required |
|--------------|---|---------------------|
| Key Value | Structure | Yes |
| Key Material | Octet String: for Raw, Opaque, PKCS1, PKCS8, or Vendor Extension Key Value types; Structure: for Transparent, or Vendor Extension Key Value Types | Yes |
| Attribute | Attribute Object, see Section 2.1.1 | No. May be repeated |

Table 4: Key Value Object Structure

Deleted: Required Field

Formatted Table

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Deleted: encapsulated

Deleted: in

Formatted: Indent: Left: 0.5"

Deleted: encapsulated

Deleted: in

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Font color: Custom Color(59,0,111)

Formatted: Indent: Left: 0"

Deleted: , signed or MAC'ed

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

107 **2.1.5 Key Wrapping Data**

108 The Key Block may also supply optional information about a cryptographic key wrapping mechanism
 109 used to wrap the Key Value. This consists of a *Key Wrapping Data* structure (see Table 5).

110 This structure contains **fields for:**

- 111 • A *Wrapping Method* that indicates the method used to wrap the Key Value.
- 112 • An *Encryption Key Information* with the Unique Identifier value of the encryption key and
 113 associated cryptographic parameters.
- 114 • A *MAC/Signature Key Information* with the Unique Identifier value of the MAC/signature key and
 115 associated cryptographic parameters.
- 116 • A *MAC/Signature* field with the MAC or signature of the Key Value.
- 117 • An *IV/Counter/Nonce* if required by the wrapping method.

118 If wrapping is used, the whole Key Value structure is wrapped unless otherwise specified by the
 119 Wrapping Method. The algorithms are given by the Cryptographic Algorithm attributes of the encryption
 120 key and/or MAC/signature key; the block-cipher mode, padding method, and hashing algorithm used are
 121 given by the Cryptographic Parameters in the Encryption Key Information and/or MAC/Signature Key
 122 Information, or, if not present, from the Cryptographic Parameters attribute of the respective key(s).

123 The following wrapping methods are currently defined:

- 124 • *Encrypt only* (i.e., encryption using a symmetric key or public key, or authenticated encryption
 125 algorithms that use a single key)
- 126 • *MAC/sign only* (i.e., either MAC'ing the Key Value with a symmetric key, or signing the Key Value
 127 with a private key)
- 128 • *Encrypt then MAC/sign*
- 129 • *MAC/sign then encrypt*
- 130 • *TR-31*
- 131 • *Extensions*

| Object | Encoding | Required |
|-------------------------------|--------------|--|
| Key Wrapping Data | Structure | Yes |
| Wrapping Method | Enumeration | Yes |
| Encryption Key Information | Structure | No. <u>Corresponds to the key that was used to encrypt the Key Value.</u> |
| MAC/Signature Key Information | Structure | No. Corresponds to the symmetric key used to MAC the Key Value or the private key used to sign the Key Value |
| MAC/Signature | Octet String | No |
| IV/Counter/Nonce | Octet String | No |

132 **Table 5: Key Wrapping Data Object Structure**

133 The structures of the Encryption Key Information (see Table 6) and the MAC/Signature Key Information
 134 (see Table 7) are as follows:

Formatted: Font color: Custom Color(59,0,111)

Formatted: Indent: Left: 0"

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Indent: Left: 0"

Deleted: for

Deleted: for

Deleted: 'ing

Deleted: or

Deleted: ing

Deleted: with the wrapping key material

Deleted: is

Deleted: determined

Deleted: set for

Deleted: . Similarly, the Cryptographic Parameters attribute of the key will identify the mode of operation or hashing algorithm to be used.

Formatted: Indent: Left: 0"

Deleted: includes

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Indent: Left: 0"

| Object | Encoding | Required |
|----------------------------|-------------|----------|
| Encryption Key Information | Structure | Yes |
| Unique Identifier | Text string | Yes |
| Cryptographic Parameters | Structure | No |

Table 6: Encryption Key Information Object Structure

| Object | Encoding | Required |
|-------------------------------|-------------|--|
| MAC/Signature Key Information | Structure | Yes |
| Unique Identifier | Text string | Yes. It can be the Unique Identifier of the Symmetric Key used to MAC, or of the Private Key (or its corresponding Public Key) used to sign. |
| Cryptographic Parameters | Structure | No |

Table 7: MAC/Signature Key Information Object Structure

2.1.6 Key Wrapping Specification

This is a separate structure (see Table 8) defined for operations that provide the option to return wrapped keys. The *Key Wrapping Specification* must be specified inside the operation request, if clients wish the server to return a wrapped key. If Cryptographic Parameters are specified in the Encryption Key Information and the MAC/Signature Key Information, then the server can verify that they match one of the instances of the Cryptographic Parameters attribute of the corresponding key. If Cryptographic Parameters are omitted, the server can choose to use the Cryptographic Parameters attribute with the lowest index of the corresponding key. If the corresponding key does not have any Cryptographic Parameters attribute, or if no match is found, an error is returned.

This structure contains :

- A Wrapping Method that indicates the method used to wrap the Key Value.
- An Encryption Key Information with the Unique Identifier value of the encryption key and associated cryptographic parameters.
- A MAC/Signature Key Information with the Unique Identifier value of the MAC/signature key and associated cryptographic parameters.
- Zero or more Attribute Names to indicate the attributes to be wrapped with the key material.

| Object | Encoding | Required |
|-------------------------------|-------------|---------------------|
| Key Wrapping Specification | Structure | Yes |
| Wrapping Method | Enumeration | Yes |
| Encryption Key Information | Structure | No |
| MAC/Signature Key Information | Structure | No |
| Attribute Name | Text String | No, May be repeated |

Table 8: Key Wrapping Specification Object Structure

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption

Deleted: Required Field

Formatted Table

Formatted: Indent: Left: 0.5"

Deleted: or of the Public Key

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Font color: Custom Color(59,0,111)

Formatted: Indent: Left: 0"

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Deleted:

Formatted: Indent: Left: 0"

Deleted: 'ing or signing

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

154 The structures of the Encryption Key Information and the MAC/Signature Key Information are defined in
 155 Section 2.1.5 .

156 2.1.7 Transparent Key Structures

157 *Transparent Key* structures describe key material in a form that can easily be interpreted by all
 158 participants in the protocol. They are used in the Key Value structure.

159 2.1.7.1 Transparent Symmetric Key

160 If the Key Value Type in the Key Block is *Transparent Symmetric Key*, then Key Material is a structure as
 161 follows:

| Object | Encoding | Required |
|--------------|--------------|----------|
| Key Material | Structure | Yes |
| Key | Octet String | Yes |

162 **Table 9: Key Material Object Structure for Transparent Symmetric Keys**

163 2.1.7.2 Transparent DSA Private Key

164 If the Key Value Type in the Key Block is *Transparent DSA Private Key*, then Key Material is a structure
 165 as follows:

| Object | Encoding | Required |
|--------------|-------------|----------|
| Key Material | Structure | Yes |
| P | Big Integer | Yes |
| Q | Big Integer | Yes |
| G | Big Integer | Yes |
| X | Big Integer | Yes |

166 **Table 10: Key Material Object Structure for Transparent DSA Private Keys**

167 [P is the prime modulus. Q is the prime divisor of P-1. G is the generator. X is the private key](#)
 168 [\(refer to NIST FIPS PUB 186-3\).](#)

169 2.1.7.3 Transparent DSA Public Key

170 If the Key Value Type in the Key Block is *Transparent DSA Public Key*, then Key Material is a structure as
 171 follows:

| Object | Encoding | Required |
|--------------|-------------|----------|
| Key Material | Structure | Yes |
| P | Big Integer | Yes |
| Q | Big Integer | Yes |
| G | Big Integer | Yes |
| Y | Big Integer | Yes |

172 **Table 11: Key Material Object Structure for Transparent DSA Public Keys**

173 [P is the prime modulus. Q is the prime divisor of P-1. G is the generator. Y is the public key](#)
 174 [\(refer to NIST FIPS PUB 186-3\).](#)

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Space Before: 6 pt

Deleted: Required Field

Formatted Table

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Space Before: 6 pt

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Indent: Left: 0.75"

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Space Before: 6 pt

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Indent: Left: 0.75"

175 **2.1.7.4 Transparent RSA Private Key**

176 If the Key Value Type in the Key Block is *Transparent RSA Private Key*, then Key Material is a structure
 177 as follows:

| Object | Encoding | <u>Required</u> |
|------------------|-------------|-----------------|
| Key Material | Structure | Yes |
| Modulus | Big Integer | Yes |
| Private Exponent | Big Integer | No |
| Public Exponent | Big Integer | No |
| P | Big Integer | No |
| Q | Big Integer | No |
| Prime Exponent P | Big Integer | No |
| Prime Exponent Q | Big Integer | No |
| CRT Coefficient | Big Integer | No |

178 **Table 12: Key Material Object Structure for Transparent RSA Private Keys**

179 One of the following must be present (refer to RSA PKCS#1):

- 180 • Private Exponent
- 181 • P and Q (the first two prime factors of Modulus)
- 182 • Prime Exponent P and Prime Exponent Q.

183 **2.1.7.5 Transparent RSA Public Key**

184 If the Key Value Type in the Key Block is *Transparent RSA Public Key*, then Key Material is a structure as
 185 follows:

| Object | Encoding | <u>Required</u> |
|-----------------|-------------|-----------------|
| Key Material | Structure | Yes |
| Modulus | Big Integer | Yes |
| Public Exponent | Big Integer | Yes |

186 **Table 13: Key Material Object Structure for Transparent RSA Public Keys**

187 **2.1.7.6 Transparent DH Private Key**

188 If the Key Value Type in the Key Block is *Transparent DH Private Key*, then Key Material is a structure as
 189 follows:

| Object | Encoding | <u>Required</u> |
|--------------|-------------|-----------------|
| Key Material | Structure | Yes |
| P | Big Integer | Yes |
| G | Big Integer | Yes |
| Q | Big Integer | No |
| J | Big Integer | No |
| X | Big Integer | Yes |

190 **Table 14: Key Material Object Structure for Transparent DH Private Keys**

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Space Before: 6 pt

Deleted: Required Field

Formatted Table

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Deleted: Note:

Formatted: Indent: Left: 0.75"

Formatted: Indent: First line: 0.38", Outline numbered + Level: 1 + Numbering Style: Bullet + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5"

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Space Before: 6 pt

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Space Before: 6 pt

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

P is the prime, $P = JQ + 1$. G is the generator $G^Q = 1 \pmod P$. Q is the prime factor of P-1. J is the cofactor. X is the private key (refer to ANSI X9.42).

Deleted: Note: $Q=P-1$, J where $P=JQ+1$.

Formatted: Indent: Left: 0.75"

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Space Before: 6 pt

2.1.7.7 Transparent DH Public Key

If the Key Value Type in the Key Block is *Transparent DH Public Key*, then Key Material is a structure as follows:

| Object | Encoding | Required |
|--------------|-------------|----------|
| Key Material | Structure | Yes |
| P | Big Integer | Yes |
| G | Big Integer | Yes |
| Q | Big Integer | No |
| J | Big Integer | No |
| Y | Big Integer | Yes |

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Table 15: Key Material Object Structure for Transparent DH Public Keys

P is the prime, $P = JQ + 1$. G is the generator $G^Q = 1 \pmod P$. Q is the prime factor of P-1. J is the cofactor. Y is the public key (refer to ANSI X9.42).

Formatted: Indent: Left: 0.75"

2.1.7.8 Transparent ECDSA Private Key

If the Key Value Type in the Key Block is *Transparent ECDSA Private Key*, then Key Material is a structure as follows:

| Object | Encoding | Required |
|-------------------|-------------|----------|
| Key Material | Structure | Yes |
| Recommended Curve | Enumeration | Yes |
| D | Big Integer | Yes |

Deleted: $Y=G^X \pmod P$, $Q=P-1$, J where $P=JQ+1$.

Formatted: Indent: Left: 0"

Formatted: Bullets and Numbering

Formatted: Indent: Left: 0", Space Before: 6 pt

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Table 16: Key Material Object Structure for Transparent ECDSA Private Keys

D is the private key (refer to NIST FIPS PUB 186-3).

Formatted: Indent: Left: 0.75"

Formatted: Indent: Left: 0"

2.1.7.9 Transparent ECDSA Public Key

If the Key Value Type in the Key Block is *Transparent ECDSA Public Key*, then Key Material is a structure as follows:

| Object | Encoding | Required |
|-------------------|--------------|----------|
| Key Material | Structure | Yes |
| Recommended Curve | Enumeration | Yes |
| Q String | Octet String | Yes |

Formatted: Indent: Left: 0", Space Before: 6 pt

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Table 17: Key Material Object Structure for Transparent ECDSA Public Keys

Q String is the public key (refer to NIST FIPS PUB 186-3).

Formatted: Indent: Left: 0.75"

Formatted: Indent: Left: 0"

2.1.7.10 Transparent ECDH Private Key

If the Key Value Type in the Key Block is *Transparent ECDH Private Key*, then Key Material is a structure as follows:

Formatted: Indent: Left: 0", Space Before: 6 pt

| Object | Encoding | Required |
|-------------------|-------------|----------|
| Key Material | Structure | Yes |
| Recommended Curve | Enumeration | Yes |
| D | Big Integer | Yes |

Table 18: Key Material Object Structure for Transparent ECDH Private Keys

- Formatted Table
- Deleted: Required Field
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Indent: Left: 0"

2.1.7.11 Transparent ECDH Public Key

If the Key Value Type in the Key Block is *Transparent ECDH Public Key*, then Key Material is a structure as follows:

| Object | Encoding | Required |
|-------------------|--------------|----------|
| Key Material | Structure | Yes |
| Recommended Curve | Enumeration | Yes |
| Q String | Octet String | Yes |

Table 19: Key Material Object Structure for Transparent ECDH Public Keys

- Formatted: Indent: Left: 0", Space Before: 6 pt
- Formatted Table
- Deleted: Required Field
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Indent: Left: 0"

2.1.8 Template-Attribute Structures

These structures are used in various operations to provide the desired attribute values and/or template names in the request and to return the actual attribute values in the response.

The *Template-Attribute*, *Common Template-Attribute*, *Private Key Template-Attribute*, and *Public Key Template-Attribute* structures are defined identically as follows:

| Object | Encoding | Required |
|--|-------------------------------------|----------------------|
| Template-Attribute, Common Template-Attribute, Private Key Template-Attribute, Public Key Template-Attribute | Structure | Yes |
| Name | Structure, see Section Q | No, May be repeated. |
| Attribute | Attribute Object, see Section 2.1.1 | No, May be repeated |

Table 20: Template-Attribute Object Structure

Name is the Name attribute of the Template object defined in Section 2.2.6.

- Deleted: s
- Deleted: s
- Formatted: Font color: Auto
- Formatted: Font color: Auto
- Formatted: Font color: Auto
- Formatted Table
- Deleted: Required Field
- Deleted: Template
- Deleted: Text String
- Formatted: Indent: Left: 0.5"
- Deleted: 3.2
- Formatted: Keep with next
- Deleted: The Template
- Deleted: a
- Deleted: as
- Formatted: Indent: Left: 0"
- Deleted: 4
- Deleted: Managed Objects include all objects that may be registered with the system.
- Deleted: . Managed Cryptographic Objects may have operations performed on them, and may have attributes that do not apply to all Managed Objects.
- Formatted: Indent: Left: 0"

2.2 Managed Objects

Managed Objects are objects that are the subjects of key management operations, which are described in Sections Q and 5. *Managed Cryptographic Objects* are the subset of Managed Objects that contain cryptographic material, e.g. certificates, keys, and secret data.

2.2.1 Certificate

A Managed Cryptographic Object, which is a digital certificate, such as an encoded X.509 certificate.

| Object | Encoding | Required |
|-------------------|--------------|----------|
| Certificate | Structure | Yes |
| Certificate Type | Enumeration | Yes |
| Certificate Value | Octet String | Yes |

Table 21: Certificate Object Structure

- Formatted Table
- Deleted: Required Field
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Indent: Left: 0"

2.2.2 Symmetric Key

A Managed Cryptographic Object, which is a symmetric key.

| Object | Encoding | Required |
|---------------|-----------|----------|
| Symmetric Key | Structure | Yes |
| Key Block | Structure | Yes |

Table 22: Symmetric Key Object Structure

- Deleted: Required Field
- Formatted Table
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Indent: Left: 0"

2.2.3 Public Key

A Managed Cryptographic Object, which is the public portion of an asymmetric key pair. This is a "raw" public key, not a certificate.

| Object | Encoding | Required |
|------------|-----------|----------|
| Public Key | Structure | Yes |
| Key Block | Structure | Yes |

Table 23: Public Key Object Structure

- Formatted Table
- Deleted: Required Field
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Indent: Left: 0"

2.2.4 Private Key

A Managed Cryptographic Object, which is the private portion of an asymmetric key pair.

| Object | Encoding | Required |
|-------------|-----------|----------|
| Private Key | Structure | Yes |
| Key Block | Structure | Yes |

Table 24: Private Key Object Structure

- Formatted Table
- Deleted: Required Field
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Indent: Left: 0"

2.2.5 Split Key

A Managed Cryptographic Object, which is a split key. A split key is a secret, usually a symmetric key or a private key that has been split into a number of parts, each of which can then be distributed to several key holders, for additional security. The *Split Key Parts* field contains the total number of parts, and the *Split Key Threshold* field contains the minimum number of parts needed to reconstruct the entire key. The *Key Part Identifier* indicates which key part is contained in the cryptographic object, and must be at least 1 and less than or equal to Split Key Parts.

| Object | Encoding | Required |
|---------------------|-------------|--|
| Split Key | Structure | Yes |
| Split Key Parts | Integer | Yes |
| Key Part Identifier | Integer | Yes |
| Split Key Threshold | Integer | Yes |
| Split Key Method | Enumeration | Yes |
| Prime Field Size | Big Integer | No, required only if Split Key Method is Polynomial Sharing Prime Field. |
| Key Block | Structure | Yes |

Table 25: Split Key Object Structure

- Deleted: Required Field
- Formatted Table
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Indent: Left: 0"

247

248
249
250
251

There are three *Split Key Methods* for secret sharing: the first one is based on XOR and the other two are based on polynomial secret sharing, according to [Adi Shamir, "How to share a secret", Communications of the ACM, vol. 22, no. 11, pp. 612-613. Let L be the minimum number of bits needed to represent all values of the secret.

252
253
254

- When the Split Key Method is XOR, the Key Material in the Key Value of the Key Block is of length L bits. The number of split keys is Split Key Parts (identical to Split Key Threshold), and the secret is reconstructed by XOR'ing all of **the parts**.

Deleted: them

255
256
257

- When the Split Key Method is Polynomial Sharing Prime Field, secret sharing is performed in the field $GF(\text{Prime Field Size})$, represented as integers, where Prime Field Size is a prime bigger than 2^L .

258
259
260
261
262

- When the Split Key Method is Polynomial Sharing $GF(2^{16})$, secret sharing is performed in the field $GF(2^{16})$. The Key Material in the Key Value of the Key Block is a bit string of length L , and when L is bigger than 2^{16} , then secret sharing is applied piecewise in pieces of 16 bits each. The Key Material in the Key Value of the Key Block is the concatenation of the corresponding shares of all pieces of the secret.

Deleted:

263
264

Secret sharing is performed in the field $GF(2^{16})$, which is represented as an algebraic extension of $GF(2^8)$:

Formatted: Indent: Left: 0.5", Space Before: 6 pt, Tabs: 0.5", List tab

265

$$GF(2^{16}) \approx GF(2^8)[y]/(y^2+y+m), \text{ where } m \text{ is defined later.}$$

266
267

An element of this field then consists of a linear combination $uy + v$, where u and v are elements of the smaller field $GF(2^8)$.

268
269

The representation of field elements and the notation in this section rely on FIPS PUB 197, Sections 3 and 4. The field $GF(2^8)$ is as described in FIPS PUB 197,

270

$$GF(2^8) \approx GF(2)[x]/(x^8+x^4+x^3+x+1).$$

271
272
273

An element of $GF(2^8)$ is represented as an octet. Addition and subtraction in $GF(2^8)$ can be performed as a bitwise XOR of the octets. Multiplication and inversion are more complex: see FIPS PUB 197 Section 4.1 and 4.2 for details.

274
275

An element of $GF(2^{16})$ is represented as a pair of octets (u, v) . The element m is given by

$$m = x^5 + x^4 + x^3 + x,$$

276

which is represented by the octet 0x3A (or {3A} in notation according to FIPS PUB 197).

277
278

Addition and subtraction in $GF(2^{16})$ both correspond to simply XORing the octets. The product of two elements $ry + s$ and $uy + v$ is given by

279

$$(ry + s)(uy + v) = ((r + s)(u + v) + sv)y + (ru + svv).$$

Formatted: Polish

280

The inverse of an element $uy + v$ is given by

281 $(uy + v)^{-1} = ud^{-1}y + (u + v)d^{-1}$, where $d = (u + v)v + mu^2$.

Formatted: Polish

Formatted: Indent: Left: 0"

282 **2.2.6 Template**

283 A Template is a named Managed Object containing the client-settable attributes of a Managed
 284 Cryptographic Object. It is essentially a stored, named list of attributes. A Template is used to specify the
 285 attributes of a new Managed Cryptographic Object in various operations. It is intended to be used to
 286 specify the cryptographic attributes of new objects in a standardized or convenient way. None of the
 287 [client-settable](#) attributes specified in a Template except the Name attribute apply to the template object
 288 itself, but instead apply to any object created using the Template.

Deleted: or registered

289 The Template may be the subject of the Register, Locate, Get, Get Attributes, Get Attribute List, Add
 290 Attribute, Modify Attribute, Delete Attribute, and Destroy operations.

291 [An attribute specified in a Template is applicable either to the Template itself or to objects created using
 292 the Template.](#)

293 [Attributes applicable to the Template itself are:](#) Unique Identifier, [Object Type](#), Name, Initial Date, Archive
 294 Date, and Last Changed Date.

Deleted: The Template must have the

295 [Other attributes that may be specified in a Template are applicable to objects created using the Template
 296 and include:](#)

Deleted: attributes. They are applicable to the Template itself, not to objects to which it is applied.

- 297 • Cryptographic Algorithm
- 298 • Cryptographic Length
- 299 • Cryptographic Parameters
- 300 • Operation Policy Name
- 301 • Cryptographic Usage Mask
- 302 • Usage Limits
- 303 • Activation Date
- 304 • Process Start Date
- 305 • Protect Stop Date
- 306 • Deactivation Date
- 307 • Object Group
- 308 • Application Specific Identification
- 309 • Contact Information
- 310 • Custom Attribute

Deleted: The

Deleted: contained

| Object | Encoding | Required |
|-----------|-------------------------------------|-----------------------|
| Template | Structure | Yes |
| Attribute | Attribute Object, see Section 2.1.1 | Yes. May be repeated. |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

311 **Table 26: Template Object Structure**

Formatted: Indent: Left: 0"

312 **2.2.7 Secret Data**

313 A Managed Cryptographic Object containing a shared secret [value](#) that is not a key or certificate, e.g., a
 314 password. The Key Block [of the Secret Data object](#) contains a (possibly wrapped) Key Value of the
 315 Opaque type.

Deleted: used to contain

Deleted: should

| Object | Encoding | Required |
|------------------|-------------|----------|
| Secret Data | Structure | Yes |
| Secret Data Type | Enumeration | Yes |
| Key Block | Structure | Yes |

Table 27: Secret Data Object Structure

- Formatted Table
- Deleted: Required Field
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Indent: Left: 0"

2.2.8 Opaque Object

A Managed Object that the key management server may not be able to interpret, but will store. The context information for this object can be stored and retrieved using Custom Attributes.

| Object | Encoding | Required |
|-------------------|--------------|----------|
| Opaque Object | Structure | Yes |
| Opaque Data Type | Enumeration | Yes |
| Opaque Data Value | Octet String | Yes |

Table 28: Opaque Object Structure

- Deleted: Required Field
- Formatted Table
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next

3 Attributes

The following subsections describe the attributes that are associated with Managed Objects. These attributes may be obtained by a client from the server using the Get Attribute operation. Some attributes may be set by the Add Attribute operation or updated by the Modify Attribute operation, and some may be deleted by the Delete Attribute operation if they no longer apply to the Managed Object.

When attributes are returned by the server, e.g. via a Get Attributes operation, the returned attribute value may differ depending on the client. For example, the Cryptographic Usage Mask value may be different for different clients, depending on the policy of the server. Similarly, when a client modifies an attribute, this is merely a mechanism for sending information to the server. The server may store the attribute as received, or modify the attribute before saving it, or combine it with information from other sources, or merely use it as advice on how to modify its internal knowledge of the cryptographic object. The choice depends on server functionality, policy, and the kind of attribute being modified.

The attribute name contained in the first row of the Object column of the first table in each subsection is the canonical name used when managing attributes using the Get Attributes, Get Attribute List, Add Attribute, Modify Attribute, and Delete Attribute operations.

The second table in each subsection lists certain attribute characteristics, such as "Must always have a value". The "When implicitly set" characteristic indicates which operations (other than operations that manage attributes) can implicitly result in adding or modifying the attribute of the object. They can be object(s) on which the operation is performed or object(s) created as a result of the operation. Implicit attribute changes occur even if the attribute is not specified in the operation request itself.

3.1 Unique Identifier

The *Unique Identifier* is generated by the key management system to uniquely identify a Managed Object. It is only required to be unique within the identifier space managed by a single key management system, however it is recommended that this identifier be globally unique, to allow for key management domain export of such objects. This attribute is assigned by the key management system at creation or registration time, and may never be changed or deleted by any entity at any time.

| Object | Encoding | Required |
|-------------------|-------------|----------|
| Unique Identifier | Text String | Yes |

Table 29: Unique Identifier Attribute

- Formatted: Indent: Left: 0"
- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

| | |
|------------------------------|--|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Objects |

Formatted Table

Table 30: Unique Identifier Attribute Rules

Formatted: Keep with next

Formatted: Indent: Left: 0"

3.2 Name

The *Name* attribute is [a structure \(see Table 31\)](#) used to identify and locate the object, assigned by the client, [and that can be interpreted by humans](#). The key management system may specify rules for valid names which may be created by the client. Clients will be informed of such rules by a mechanism which is not specified here. Names must be unique within a given key management domain, but are not required to be globally unique.

| Object | Encoding | Required |
|------------|-------------|----------|
| Name | Structure | Yes |
| Name Value | Text String | Yes |
| Name Type | Enumeration | Yes |

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Table 31: Name Attribute Structure

| | |
|------------------------------|--------------------|
| Must always have a value | No |
| Initially set by | Client |
| Modifiable by server | Yes |
| Modifiable by client | Yes |
| Deletable by client | Yes |
| Multiple instances permitted | Yes |
| When implicitly set | Re-key, Re-certify |
| Applies to Object Types | All Objects |

Formatted: Keep with next

Table 32: Name Attribute Rules

Formatted: Indent: Left: 0"

3.3 Object Type

The type of a Managed Object, e.g. public key, private key, symmetric key, etc. This attribute is set by the server when the object is created or registered and is never changed.

| Object | Encoding | Required |
|-------------|-------------|----------|
| Object Type | Enumeration | Yes |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Table 33: Object Type Attribute

| | |
|------------------------------|--|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Objects |

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0"

Table 34: Object Type Attribute Rules

3.4 Cryptographic Algorithm

The cryptographic algorithm used by the object, e.g. RSA, DSA, DES, 3DES, AES, etc. This attribute is set by the server when the object is created or registered and is never changed.

| Object | Encoding | Required |
|-------------------------|-------------|----------|
| Cryptographic Algorithm | Enumeration | Yes |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Table 35: Cryptographic Algorithm Attribute

| | |
|------------------------------|---|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Re-key |
| Applies to Object Types | Keys, Certificates, Templates |

Formatted: Keep with next

Deleted: and

Formatted: Indent: Left: 0"

Table 36: Cryptographic Algorithm Attribute Rules

3.5 Cryptographic Length

Cryptographic Length is the length in bits of the cleartext cryptographic key material of the Managed Cryptographic Object. This attribute is set by the server when the object is created or registered, and is never changed.

| Object | Encoding | Required |
|----------------------|----------|----------|
| Cryptographic Length | Integer | Yes |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Table 37: Cryptographic Length Attribute

| | |
|------------------------------|---|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Re-key |
| Applies to Object Types | Keys ,Certificates, Templates |

Table 38: Cryptographic Length Attribute Rules

- ← Formatted Table
- ← Formatted: Keep with next
- ← Deleted: and
- ← Formatted: Indent: Left: 0"

3.6 Cryptographic Parameters

The *Cryptographic Parameters* attribute is a structure (see Table 39) that contains a set of optional fields that describe certain cryptographic parameters to be used when performing cryptographic operations using the object. Specific fields may only pertain to certain types of Managed Cryptographic Objects.

- ← Deleted: Table 38

| Object | Encoding | Required |
|--------------------------|-------------|----------|
| Cryptographic Parameters | Structure | Yes |
| Block Cipher Mode | Enumeration | No |
| Padding Method | Enumeration | No |
| Hashing Algorithm | Enumeration | No |
| Role Type | Enumeration | No |

Table 39: Cryptographic Parameters Attribute Structure

- ← Formatted Table
- ← Deleted: Required Field
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Caption, Tabs: Not at 1"

| | |
|------------------------------|---|
| Must always have a value | No |
| Initially set by | Client |
| Modifiable by server | No |
| Modifiable by client | Yes |
| Deletable by client | Yes |
| Multiple instances permitted | Yes |
| When implicitly set | Re-key, Re-certify |
| Applies to Object Types | Keys ,Certificates, Templates |

Table 40: Cryptographic Parameters Attribute Rules

- ← Formatted Table
- ← Formatted: Keep with next
- ← Deleted: and
- ← Formatted: Indent: Left: 0"

Role Types are defined as follows:

| |
|--|
| ZMK – Shared key to allow transfer of subordinate keys between two entities |
| ZPK – Shared key to allow transfer of PINs between two entities |
| MAC – MAC key, specifically X9.9/19 retail MAC |
| CVK – Key for generating/verifying 3-digit VISA/Mastercard signature strip codes (CVV/CVC) |
| CSC – Key for generating/verifying 4-digit American Express Card Security Codes |
| PVKIBM – Derivation key for derived PINs checked with the IBM offset method |
| PVKPVV – Verification key for random PINs checked with the PVV method |
| MKCVC – Master key for dynamic CVC calculations |
| MKSMI – Master key for smart card secure messaging integrity |
| MKSMC – Master key for smart card secure messaging confidentiality |
| MKIDN – Master key for Card Dynamic Number |
| MKAC – Master key for Chip card cryptogram |
| MKCAP – Master key for Cardholder Authentication Programme |
| BDK – Base derivation key for DUKPT |

← Formatted Table

Table 41: Role Types

← Formatted: Keep with next

← Formatted: Indent: Left: 0"

3.7 Certificate Type

The type of a certificate, e.g. X.509, PGP, etc. This value is set by the server when the certificate is created or registered and is never changed.

| Object | Encoding | Required |
|------------------|-------------|----------|
| Certificate Type | Enumeration | Yes |

← Formatted Table

← Deleted: Required Field

← Formatted: Keep with next

← Formatted: Caption, Indent: Left: 0", Tabs: Not at 1"

← Formatted Table

Table 42: Certificate Type Attribute

| | |
|------------------------------|-------------------------------|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Register, Certify, Re-certify |
| Applies to Object Types | Certificates |

← Formatted: Keep with next

Table 43: Certificate Type Attribute Rules

386 **3.8 Certificate Issuer**

387 **The Certificate Issuer attribute is a structure (see Table 44) used to provide** identification of a certificate,
 388 containing the Issuer Distinguished Name (from the Issuer field of the certificate) and the Certificate Serial
 389 Number (from the Serial Number field of the certificate). This value is set by the server when the
 390 certificate is created or registered and is never changed.

| Object | Encoding | Required |
|--------------------|-------------|---|
| Certificate Issuer | Structure | Yes |
| Issuer | Text String | Yes |
| Serial Number | Text String | Yes (for X.509 certificates) / No (for PGP certificates since they don't contain a serial number) |

391 **Table 44: Certificate Issuer Attribute Structure**

| | |
|------------------------------|-------------------------------|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Register, Certify, Re-certify |
| Applies to Object Types | Certificates |

392 **Table 45: Certificate Issuer Attribute Rules**

393 **3.9 Certificate Subject**

394 **The Certificate Subject attribute is a structure (see Table 46) used to identify** the subject of a certificate,
 395 containing the Subject Distinguished Name (from the Subject field of the certificate). It may optionally
 396 include one or more alternative names (e.g. email address, IP address, DNS name) for the subject of the
 397 certificate (from the Subject Alternative Name extension within the certificate). These values are set by
 398 the server when the certificate is created or registered and are not changed until the certificate is
 399 renewed.

400 It is possible to issue an X.509 certificate where the subject field is left blank as long as the Subject
 401 Alternative Name extension is included in the certificate and is marked *CRITICAL*. Therefore an empty
 402 string is an acceptable value for the Certificate Subject Distinguished Name.

| Object | Encoding | Required |
|--|-------------|---------------------|
| Certificate Subject | Structure | Yes |
| Certificate Subject Distinguished Name | Text String | Yes |
| Certificate Subject Alternative Name | Text String | No, May be repeated |

403 **Table 46: Certificate Subject Attribute Structure**

Formatted: Indent: Left: 0"

Deleted: An

Deleted: Required Field

Formatted Table

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 1"

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0"

Deleted: Identifies

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

| | |
|------------------------------|-------------------------------|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Register, Certify, Re-certify |
| Applies to Object Types | Certificates |

Formatted Table

Formatted: Keep with next

Table 47: Certificate Subject Attribute Rules

Formatted: Indent: Left: 0"

3.10 Digest

The Digest attribute is a structure (see Table 48) that contains the digest value of the key or secret data (digest of the Key Material), certificate (digest of the Certificate Value), or opaque object (digest of the Opaque Data Value). Multiple digests may be calculated using different algorithms. The mandatory digest is computed with the SHA-256 hashing algorithm, the server can store additional optional digests. The digest(s) are static and generated by the server when the object is created or registered.

Deleted: A

| Object | Encoding | Required |
|-------------------|--------------|----------|
| Digest | Structure | Yes |
| Hashing Algorithm | Enumeration | Yes |
| Digest Value | Octet String | Yes |

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 1"

Formatted Table

Table 48: Digest Attribute Structure

| | |
|------------------------------|--|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | Yes |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | Yes |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Cryptographic Objects, Opaque Objects |

Formatted: Keep with next

Table 49: Digest Attribute Rules

Formatted: Indent: Left: 0"

3.11 Operation Policy Name

An indication of what entities may perform which key management operations on the object. The contents of the Operation Policy Name attribute is the name of a policy object known to the key management system and therefore server dependent. The named policy objects are created and managed using mechanisms outside the scope of the protocol. The policies determine who may perform specified operations on the object, and which of the objects' attributes may be modified, or deleted, and by whom. It is expected that the Operation Policy Name attribute will be set when operations such as Create or

420 Register are executed. It is set either explicitly or via some default set by the server, and will then apply to
 421 all subsequent operations on the object.

| Object | Encoding | Required |
|-----------------------|-------------|----------|
| Operation Policy Name | Text String | Yes |

422 **Table 50: Operation Policy Name Attribute**

| | |
|------------------------------|--|
| Must always have a value | No |
| Initially set by | Server or Client |
| Modifiable by server | Yes |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Objects |

423 **Table 51: Operation Policy Name Attribute Rules**

424 3.11.1 Operations outside of operation policy control

425 Some of the operations should be allowed to any client at any time, without respect to operation policy.
 426 These operations are:

- 427 • Create
- 428 • Create Key Pair
- 429 • Register
- 430 • Certify
- 431 • Validate
- 432 • Query
- 433 • Cancel
- 434 • Poll

435 3.11.2 Default Operation Policy

436 A key management system implementation should implement at least one named operation policy, which
 437 is used for objects where the *Operation Policy* attribute is not specified by the Client in a *Create* or
 438 *Register* operation, or in a template specified in these operations. This policy is named *default*. It specifies
 439 the following rules for operations on objects created or registered with this policy, depending on the object
 440 type.

441 3.11.2.1 Default Operation Policy for Secret Objects

442 This policy applies to Symmetric Keys, Private Keys, Split Keys, Secret Data, and Opaque Objects.

Deleted: Required Field

Formatted Table

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0.25", Tabs: 0.5", Left + Not at 1.48"

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Space Before: 6 pt

| Default Operation Policy for Secret Objects | |
|---|-------------------------|
| Operation | Policy |
| Re-Key | Allowed to creator only |
| Derive Key | Allowed to creator only |
| Locate | Allowed to creator only |
| Check | Allowed to creator only |
| Get | Allowed to creator only |
| Get Attributes | Allowed to creator only |
| Get Attribute List | Allowed to creator only |
| Add Attribute | Allowed to creator only |
| Modify Attribute | Allowed to creator only |
| Delete Attribute | Allowed to creator only |
| Obtain Lease | Allowed to creator only |
| Get Usage Allocation | Allowed to creator only |
| Activate | Allowed to creator only |
| Revoke | Allowed to creator only |
| Destroy | Allowed to creator only |
| Archive | Allowed to creator only |
| Recover | Allowed to creator only |

← Formatted Table

Table 52: Default Operation Policy for Secret Objects

← Formatted: Keep with next

443
444 For mandatory profiles, the creator must be the transport-layer identification (see Usage Guide) provided
445 at the Create or Register operation time.

← Formatted: Indent: Left: 0"

446 **3.11.2.2 Default Operation Policy for Certificates and Public Key Objects**

447 This policy applies to Certificates and Public Keys.

← Formatted: Indent: Left: 0", Space Before: 6 pt

| Default Operation Policy for Certificates and Public Key Objects | |
|--|-------------------------|
| Operation | Policy |
| Certify | Allowed to creator only |
| Re-certify | Allowed to creator only |
| Locate | Allowed to all |
| Check | Allowed to all |
| Get | Allowed to all |
| Get Attributes | Allowed to all |
| Get Attribute List | Allowed to all |
| Add Attribute | Allowed to creator only |
| Modify Attribute | Allowed to creator only |
| Delete Attribute | Allowed to creator only |
| Obtain Lease | Allowed to all |

← Formatted Table

| | |
|----------|-------------------------|
| Activate | Allowed to creator only |
| Revoke | Allowed to creator only |
| Destroy | Allowed to creator only |
| Archive | Allowed to creator only |
| Recover | Allowed to creator only |

Table 53: Default Operation Policy for Certificates and Public Key Objects

Formatted: Keep with next

3.11.2.3 Default Operation Policy for Template Objects

The operation policy specified as an attribute in the *Create* operation for a template object is the operation policy used for objects that will be created using that template, and is not the policy used to control operations on the template itself. There is no mechanism provided for specifying a policy used to control operations on template objects, so the default policy for template objects themselves is always used for templates created by clients using the *Register* operation to create template objects.

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Space Before: 6 pt

| Default Operation Policy for Private Template Objects | |
|---|-------------------------|
| Operation | Policy |
| Locate | Allowed to creator only |
| Get | Allowed to creator only |
| Get Attributes | Allowed to creator only |
| Get Attribute List | Allowed to creator only |
| Add Attribute | Allowed to creator only |
| Modify Attribute | Allowed to creator only |
| Delete Attribute | Allowed to creator only |
| Destroy | Allowed to creator only |

Formatted Table

Table 54: Default Operation Policy for Private Template Objects

In addition to private template objects, which are controlled by the above policy which can be created by clients or the server, publicly known and usable templates may be created and managed by the server, with a different default policy for these template objects.

Formatted: Keep with next

| Default Operation Policy for Public Template Objects | |
|--|-------------------|
| Operation | Policy |
| Locate | Allowed to all |
| Get | Allowed to all |
| Get Attributes | Allowed to all |
| Get Attribute List | Allowed to all |
| Add Attribute | Disallowed to all |
| Modify Attribute | Disallowed to all |
| Delete Attribute | Disallowed to all |
| Destroy | Disallowed to all |

Formatted Table

Table 55: Default Operation Policy for Public Template Objects

Formatted: Keep with next

460 **3.12 Cryptographic Usage Mask**

Formatted: Indent: Left: 0"

461 The *Cryptographic Usage Mask* defines the cryptographic usage of a key. This is a bit mask which
 462 indicates to the client which cryptographic functions may be performed using the key.

- 463 • Sign
- 464 • Verify
- 465 • Encrypt
- 466 • Decrypt
- 467 • Wrap [Key](#)
- 468 • Unwrap [Key](#)
- 469 • Export
- 470 • MAC [Generate](#)
- 471 • MAC Verify
- 472 • Derive Key
- 473 • Content Commitment
- 474 • Key Agreement
- 475 • Certificate Sign
- 476 • CRL Sign

477 This list takes into consideration values which may appear in the Key Usage extension in an X.509
 478 certificate. However, the list does not consider the more fine-grained usages which may appear in the
 479 Extended Key Usage extension.

Formatted: Font color: Auto

Deleted: d

480 X.509 Key Usage values shall be mapped to Cryptographic Usage Mask values in the following manner:

Formatted: Indent: Left: 0"

| X.509 Key Usage to Cryptographic Usage Mask Mapping | |
|---|---|
| X.509 Key Usage Value | Cryptographic Usage Mask Value |
| digitalSignature | Sign and Verify |
| contentCommitment | Content Commitment (Non Repudiation) |
| keyEncipherment | Wrap Key and Unwrap Key |
| dataEncipherment | Encrypt and Decrypt |
| keyAgreement | Key Agreement |
| keyCertSign | Certificate Sign |
| cRLSign | CRL Sign |
| encipherOnly | Encrypt |
| decipherOnly | Decrypt |

Formatted Table

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Auto

481 **Table 56: X.509 Key Usage to Cryptographic Usage Mask Mapping**

482 The Content Commitment (Non-Repudiation) Cryptographic Usage Mask value shall be set for public
 483 keys used to verify digital signatures for non-repudiation purposes (to protect against a signing entity
 484 denying an action). Public keys used to verify digital signatures for other purposes (such as authentication
 485 and integrity) shall be set with the Sign, Verify or both Cryptographic Usage Mask values.

| Object | Encoding | Required |
|--------------------------|----------|----------|
| Cryptographic Usage Mask | Integer | Yes |

Table 57: Cryptographic Usage Mask Attribute

| | |
|------------------------------|--|
| Must always have a value | Yes |
| Initially set by | Server or Client |
| Modifiable by server | Yes |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Cryptographic Objects, Templates |

Table 58: Cryptographic Usage Mask Attribute Rules

3.13 Lease Time

The *Lease Time* attribute defines a time interval for a Managed Cryptographic Object that indicates how long a client should use the object. This attribute always holds the initial value of a lease, and not the actual remaining time. Note that once the lease expires, the client must renew the lease by calling Obtain Lease. A server should store in this attribute the maximum Lease Time it is willing to serve and a client will obtain lease times (with Obtain Lease) which are less than, or equal to the maximum Lease Time. This attribute is read-only for clients. It can be modified by the server only.

| Object | Encoding | Required |
|------------|----------|----------|
| Lease Time | Interval | Yes |

Table 59: Lease Time Attribute

| | |
|------------------------------|--|
| Must always have a value | No |
| Initially set by | Server |
| Modifiable by server | Yes |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Cryptographic Objects |

Table 60: Lease Time Attribute Rules

3.14 Usage Limits

This is a mechanism for limiting the usage of a Managed Cryptographic Object. It only applies to Managed Cryptographic Objects that can be used for protection purposes (symmetric keys, private keys,

Deleted: Required Field

Formatted Table

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0"

Deleted: must request

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0"

500 | public keys, etc.) and it must only reflect their usage for protection (encryption, signing, etc.). This
 501 | attribute may not exist for all Managed Cryptographic Objects, since some objects may be used without
 502 | limit, depending on client/server policies. Usage for process purposes (decryption, verification, etc.) is not
 503 | limited. The attribute has four fields for two different types of limits. Exactly one of these two types (either
 504 | bytes or objects) **shall** be present. These limits are:

Deleted: must

- 505 | • *Usage Limits Total Bytes* – the total number of bytes allowed to be protected. This is the total
 506 | value for the entire life of the object, and is never changed once the object begins to be used for
 507 | protection purposes.
- 508 | • [Usage Limits Byte Count](#) – the currently remaining number of bytes allowed to be protected.
- 509 | • *Usage Limits Total Objects* – the total number of objects allowed to be protected. This is the total
 510 | value for the entire life of the object, and is never changed once the object begins to be used for
 511 | protection purposes.
- 512 | • [Usage Limits Object Count](#) – the currently remaining number of objects allowed to be protected.

Formatted: Bullets and Numbering

Deleted: <#>Usage Limits Byte Count – the currently remaining number of bytes allowed to be protected.¶
 Formatted: Indent: Left: 0"

513 | When the attribute is initially set, usually during object creation or registration, the values set are the Total
 514 | values allowed for the useful life of the object. The count values must be ignored by the server if the
 515 | attribute is specified in a operation that creates a new object. Changes made via the Modify Attribute
 516 | operation reflect corrections to these Total values, but they cannot be changed once the count values
 517 | have changed by a Get Usage Allocation operation. The count values cannot be set or modified by the
 518 | client via the Add Attribute or Modify Attribute operations.

| Object | Encoding | Required |
|---|-----------------------------|---|
| Usage Limits | Structure | Yes |
| Usage Limits Total Bytes | Big Integer | No. Must be present if Usage Limits Byte Count is present |
| Usage Limits Byte Count | Big Integer | No. May only be present if Usage Limits Object Count is not present |
| Usage Limits Total Objects | Big Integer | No. Must be present if Usage Limits Object Count is present |
| Usage Limits Object Count | Big Integer | No. May only be present if Usage Limits Byte Count is not present |

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Deleted: Usage Limits Byte C(... [1]

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

519 |

Table 61: Usage Limits Attribute Structure

| | |
|------------------------------|---|
| Must always have a value | No |
| Initially set by | Server or Client |
| Modifiable by server | Yes |
| Modifiable by client | Yes |
| Deletable by client | Yes |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Re-key, Get Usage Allocation |
| Applies to Object Types | Keys, Templates |

Formatted Table

Deleted: Symmetric Keys, Private Keys, Split Keys, Public

Formatted: Keep with next

520

Table 62: Usage Limits Attribute Rules

Formatted: Indent: Left: 0"

521

3.15 State

522

This attribute is an indication of the state of an object as known to the key management server. The state may not be changed by using the Modify Attribute operation on this attribute. The state may only be changed by the server as a side effect of other operations or other server processes. An object may be in one of the following states at any given time. (Note: These states correspond to those described in NIST Special Publication 800-57).

527

- *Pre-Active*: The object exists but is not yet usable for any cryptographic purpose.

529

- *Active*: The object may be used for all cryptographic purposes which are allowed by its Cryptographic Usage Mask attribute.

532

- *Deactivated*: The object may not be used for protection purpose, e.g. encryption or signing, but, if permitted by the Cryptographic Usage Mask attribute, may be used for process purposes, e.g. decryption or verification, but only under extraordinary circumstances and when special permission is granted.

539

- *Compromised*: The object may have been compromised, and may only be used for process purposes in a client that is trusted to handle compromised cryptographic objects.

543

- *Destroyed*: The object is no longer usable for any purpose.

545

- *Destroyed Compromised*: The object is no longer usable for any purpose; however its compromised status may be retained for audit or security purposes.

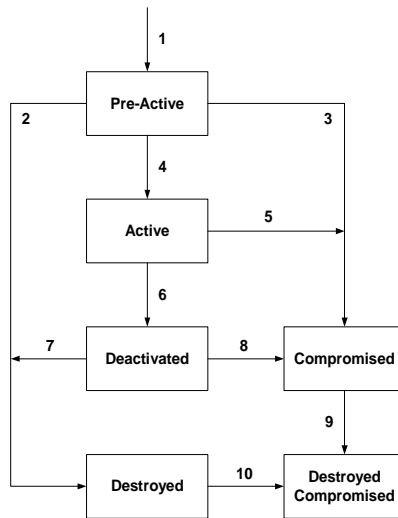


Figure 1: Cryptographic Object States and Transitions

Deleted: ,

549

State transitions occur as follows:

Formatted: Indent: Left: 0"

550

1. The transition from a non-existent key to **the Pre-Active state** is determined by the creation of the object. When an object is created or registered, it automatically goes from non-existent to Pre-Active. If, however, the operation that creates or registers the object contains an Activation Date that has already occurred, the state immediately transitions to Active. In this case, the server may set the Activation Date attribute to the time when the operation is received, depending on server policy. If the operation contains an Activation Date attribute in the future, or contains no Activation Date, **the Cryptographic Object is** initialized in the key management system in the Pre-Active state.

Deleted: it

Deleted: becomes

558

2. The transition from Pre-Active to Compromised is performed by a client issuing a Revoke operation with a Revocation Reason of Compromised.

560

3. The transition from Pre-Active to Active can occur in one of three ways:

Formatted: Indent: Left: 0.25", Outline numbered + Level: 1 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0.25" + Indent at: 0.25", Tabs: 0.5", List tab + Not at 0.25" + 2.25"

561

- The object has an Activation Date in the future. At the time **that** the Activation Date is reached, the server **changes** the state to Active.

563

- A client issues a Modify Attribute operation, modifying the Activation Date to a date in the past, or the current date. In this case, the server may set the Activation Date attribute to the time when the operation that created or registered the object was received, depending on server policy.

Formatted: Indent: Left: 0.63", Bulleted + Level: 1 + Aligned at: 2" + Tab after: 2.25" + Indent at: 2.25"

Deleted: may

567 • A client issues an Activate operation on the object. The server will set the Activation Date to
 568 the time the Activate operation is received.

569 4. The transition from Active to Compromised is performed by a client issuing a Revoke operation
 570 with a Revocation Reason of Compromised.

Formatted: Indent: Left: 0.25",
 Outline numbered + Level: 1 +
 Numbering Style: 1, 2, 3, ... + Start
 at: 1 + Alignment: Left + Aligned at:
 0" + Tab after: 0.25" + Indent at:
 0.25", Tabs: 0.5", List tab + Not at
 0.25" + 2.25"

571 5. The transition from Active to Deactivated can occur in one of three ways:

- 572 • The object's Deactivation Date is reached. The server may change the state to
 573 Deactivated.
- 574 • A client issues a Revoke operation, with a Revocation Reason other than Compromised.
- 575 • The client issues a Modify Attribute operation, modifying the Deactivation Date to a date in
 576 the past, or the current date. In this case, the server may set the Deactivation Date attribute
 577 to the date in the past or the current date, depending on server policy.

578 6. The transition from Deactivated to Destroyed is requested by a client issuing a Destroy operation.
 579 The server will destroy the object when and if server policy dictates.

Formatted: Indent: Left: 0.25",
 Outline numbered + Level: 1 +
 Numbering Style: 1, 2, 3, ... + Start
 at: 1 + Alignment: Left + Aligned at:
 0" + Tab after: 0.25" + Indent at:
 0.25", Tabs: 0.5", List tab + Not at
 0.25" + 2.25"

580 7. The transition from Deactivated to Compromised is performed by a client issuing a Revoke
 581 operation with a Revocation Reason of Compromised.

582 8. The transition from Compromised to Destroyed Compromised is requested by a client issuing a
 583 Destroy operation. The server will destroy the object when and if server policy dictates.

584 9. The transition from Destroyed to Destroyed Compromised is performed by a client issuing a
 585 Revoke operation with a Revocation Reason of Compromised.

586 Only the transitions described above are permitted.

Formatted: Indent: Left: 0"

| Object | Encoding | <u>Required</u> |
|--------|-------------|-----------------|
| State | Enumeration | Yes |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left:
 0", Tabs: Not at 2"

Formatted Table

587 **Table 63: State Attribute**

| | |
|------------------------------|--|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | Yes |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Activate, Revoke, Destroy, Certify, Re-certify, Re-key |
| Applies to Object Types | All Cryptographic Objects |

Formatted: Keep with next

588 **Table 64: State Attribute Rules**

Formatted: Indent: Left: 0"

589 3.16 Initial Date

590 The date and time when the Managed Object was first created or registered at the server. This time
 591 corresponds to state transition 1 (see Section 3.15). This attribute is set by the server when the object is
 592 created or registered, and is never changed. This attribute is also set for non-cryptographic objects (e.g.
 593 templates) when they are first registered with the server.

| Object | Encoding | Required |
|--------------|-----------|----------|
| Initial Date | Date-Time | Yes |

Table 65: Initial Date Attribute

| | |
|------------------------------|--|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Objects |

Table 66: Initial Date Attribute Rules

- Deleted: Required Field
- Formatted Table
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"
- Formatted Table

- Formatted: Keep with next
- Formatted: Indent: Left: 0"

3.17 Activation Date

The date and time when the Managed Cryptographic Object may begin to be used. This time corresponds to state transition 4 (see Section 3.15). The object may not be used for any cryptographic purpose before the *Activation Date* has been reached. Once the state transition has occurred, this attribute may no longer be modified by the server or client. If a client attempts to set this value to a time in the past, the server may set it to the current time instead, depending on server policy.

| Object | Encoding | Required |
|-----------------|-----------|----------|
| Activation Date | Date-Time | Yes |

Table 67: Activation Date Attribute

| | |
|------------------------------|---|
| Must always have a value | No |
| Initially set by | Server or Client |
| Modifiable by server | Yes |
| Modifiable by client | Yes |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Activate Certify, Re-certify, Re-key |
| Applies to Object Types | All Cryptographic Objects, Templates |

Table 68: Activation Date Attribute Rules

- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"
- Formatted Table

- Formatted: Keep with next
- Formatted: Indent: Left: 0"

3.18 Process Start Date

The date and time when a Managed Symmetric Key Object may begin to be used for process purposes, e.g. decryption or unwrapping, depending on the value of its Cryptographic Usage Mask attribute. The

607 object may not be used for these cryptographic purposes before the *Process Start Date* has been
 608 reached. This value may be equal to, but may not precede, the Activation Date. Once the Process Start
 609 Date has occurred, this attribute may no longer be modified by the server or the client. If a client attempts
 610 to set this value to a time in the past, the server may set it to the current time instead, depending on
 611 server policy.

| Object | Encoding | <u>Required</u> |
|--------------------|-----------|-----------------|
| Process Start Date | Date-Time | Yes |

- Deleted: Required Field
- Formatted Table
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"
- Formatted Table

Table 69: Process Start Date Attribute

| | |
|------------------------------|---|
| Must always have a value | No |
| Initially set by | Server or Client |
| Modifiable by server | Yes |
| Modifiable by client | Yes |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Register, Derive Key, Re-key |
| Applies to Object Types | Symmetric Keys, Split Keys of symmetric keys, Templates |

- Formatted: Keep with next
- Deleted: and

Table 70: Process Start Date Attribute Rules

- Formatted: Indent: Left: 0"

3.19 Protect Stop Date

615 The date and time when a Managed Symmetric Key Object may no longer be used for protect purposes,
 616 e.g. using encryption or wrapping, depending on the value of its Cryptographic Usage Mask attribute. This
 617 value may be equal to, but may not be later than the Deactivation Date. Once the *Protect Stop Date* has
 618 occurred, this attribute may no longer be modified by the server or the client. If a client attempts to set this
 619 value to a time in the past, the server may set it to the current time instead, depending on server policy.

| Object | Encoding | <u>Required</u> |
|-------------------|-----------|-----------------|
| Protect Stop Date | Date-Time | Yes |

- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"
- Formatted Table

Table 71: Protect Stop Date Attribute

| | |
|------------------------------|---|
| Must always have a value | No |
| Initially set by | Server or Client |
| Modifiable by server | Yes |
| Modifiable by client | Yes |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Register, Derive Key, Re-key |
| Applies to Object Types | Symmetric Keys, Split Keys of symmetric keys, Templates |

- Formatted: Keep with next
- Deleted: and

Table 72: Protect Stop Date Attribute Rules

622 **3.20 Deactivation Date**

623 The date and time when the Managed Cryptographic Object may no longer be used for any purpose,
 624 except for decryption, signature verification, or unwrapping, but only under extraordinary circumstances
 625 and when special permission is granted. This time corresponds to state transition 6 (see Section 3.15).
 626 Once this transition has occurred, this attribute may no longer be modified by the server or client. If a
 627 client attempts to set this value to a time in the past, the server may set it to the current time instead,
 628 depending on server policy.

Formatted: Indent: Left: 0"

| Object | Encoding | Required |
|-------------------|-----------|----------|
| Deactivation Date | Date-Time | Yes |

Formatted Table
 Deleted: Required Field
 Formatted: Keep with next
 Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"
 Formatted Table

629 **Table 73: Deactivation Date Attribute**

| | |
|------------------------------|---|
| Must always have a value | No |
| Initially set by | Server or Client |
| Modifiable by server | Yes |
| Modifiable by client | Yes |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Revoke Certify, Re-certify, Re-key |
| Applies to Object Types | All Cryptographic Objects, Templates |

Formatted: Keep with next

630 **Table 74: Deactivation Date Attribute Rules**

631 **3.21 Destroy Date**

632 The date and time when the Managed Object was destroyed. This time corresponds to state transitions 2,
 633 7, or 9 (see Section 3.15). This value is set by the server when the object is destroyed due to the
 634 reception of a Destroy operation, or due to server policy or out-of-band administrative action.

Formatted: Indent: Left: 0"

| Object | Encoding | Required |
|--------------|-----------|----------|
| Destroy Date | Date-Time | Yes |

Formatted Table
 Deleted: Required Field
 Formatted: Keep with next
 Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"
 Formatted Table

635 **Table 75: Destroy Date Attribute**

| | |
|------------------------------|--|
| Must always have a value | No |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Destroy |
| Applies to Object Types | All Cryptographic Objects , Opaque Objects |

Formatted: Keep with next

636 **Table 76: Destroy Date Attribute Rules**

637 **3.22 Compromise Occurrence Date**

638 The date and time when the Managed Cryptographic Object was first believed to be compromised. If it is
 639 not possible to estimate when the compromise occurred, this value should be set to the Initial Date for the
 640 object.

Formatted: Indent: Left: 0"

| Object | Encoding | Required |
|----------------------------|-----------|----------|
| Compromise Occurrence Date | Date-Time | Yes |

Formatted Table
 Deleted: Required Field
 Formatted: Keep with next
 Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"
 Formatted Table

641 **Table 77: Compromise Occurrence Date Attribute**

| | |
|------------------------------|--|
| Must always have a value | No |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Revoke |
| Applies to Object Types | All Cryptographic Objects, Opaque Object |

Formatted: Keep with next

642 **Table 78: Compromise Occurrence Date Attribute Rules**

643 **3.23 Compromise Date**

644 The date and time when the Managed Cryptographic Object is entered into the compromised state. This
 645 time corresponds to state transitions 3, 5, 8, or 10 (see Section 3.15). This time indicates when the key
 646 management system was made aware of the compromise, not necessarily when the compromise
 647 occurred. This attribute is set by the server when it receives a Revoke operation with a Revocation
 648 Reason of Compromised, or due to server policy or out-of-band administrative action.

Formatted: Indent: Left: 0"

Deleted: represents

| Object | Encoding | Required |
|-----------------|-----------|----------|
| Compromise Date | Date-Time | Yes |

Formatted Table
 Deleted: Required Field
 Formatted: Keep with next
 Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"
 Formatted Table

649 **Table 79: Compromise Date Attribute**

| | |
|------------------------------|--|
| Must always have a value | No |
| Initially set by | Server |
| Modifiable by server | No |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Revoke |
| Applies to Object Types | All Cryptographic Objects, Opaque Object |

Formatted: Keep with next

650 **Table 80: Compromise Date Attribute Rules**

651 **3.24 Revocation Reason**

652 The Revocation Reason attribute is a structure (see Table 81) used to indicate, why the Managed
 653 Cryptographic Object was revoked, e.g. "compromised", "expired", "no longer used", etc. This attribute is
 654 only changed by the server as a side effect of the Revoke Operation.

655 The *Revocation Message* is an optional field which is used exclusively for audit trail/logging purposes and
 656 may contain additional information about why the object was revoked, for example "Laptop stolen", or
 657 "Machine decommissioned".

| Object | Encoding | Required |
|------------------------|-------------|----------|
| Revocation Reason | Structure | Yes |
| Revocation Reason Code | Enumeration | Yes |
| Revocation Message | Text String | No |

658 **Table 81: Revocation Reason Attribute Structure**

| | |
|------------------------------|--|
| Must always have a value | No |
| Initially set by | Server |
| Modifiable by server | Yes |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Revoke |
| Applies to Object Types | All Cryptographic Objects, Opaque Object |

659 **Table 82: Revocation Reason Attribute Rules**

660 **3.25 Archive Date**

661 The date and time when the Managed Object was placed in archival storage. This value is set by the
 662 server as a side effect of the Archive operation. This attribute is deleted whenever a Recover operation is
 663 performed.

| Object | Encoding | Required |
|--------------|-----------|----------|
| Archive Date | Date-Time | Yes |

664 **Table 83: Archive Date Attribute**

| | |
|------------------------------|-------------|
| Must always have a value | No |
| Initially set by | Server |
| Modifiable by server | Yes |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Archive |
| Applies to Object Types | All Objects |

Formatted: Indent: Left: 0"

Deleted: An indication of

Deleted: Required Field

Formatted Table

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Formatted: Keep with next

665

Table 84: Archive Date Attribute Rules

3.26 Object Group

An object may be part of a group of objects. An object may belong to more than one group. To assign an object to a group, the group name should be set into this attribute.

| Object | Encoding | Required |
|--------------|-------------|----------|
| Object Group | Text String | Yes |

Table 85: Object Group Attribute

| | |
|------------------------------|--|
| Must always have a value | No |
| Initially set by | Client or Server |
| Modifiable by server | Yes |
| Modifiable by client | Yes |
| Deletable by client | Yes |
| Multiple instances permitted | Yes |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Objects |

Table 86: Object Group Attribute Rules

670

3.27 Link

The Link attribute is a structure (see Table 87) used to create a link from a Managed Cryptographic Object to another, closely related target Managed Cryptographic Object. The link has a type, and the allowed types differ, depending on the Object Type of the Managed Cryptographic Object. The Linked Object Identifier identifies the target Managed Cryptographic Object by its Unique Identifier. The link can contain such information as the private key corresponding to a public key, the parent certificate for a certificate in a chain, or for a derived symmetric key, the base key from which it was derived.

Possible values of Link Type in accordance with the Object Type of the Managed Cryptographic Object are:

- *Private Key Link*. For a Public Key object: the private key corresponding to the public key
- *Public Key Link*. For a Private Key object: the public key corresponding to the private key. For a Certificate object: the public key certified by the certificate
- *Certificate Link*. For Certificate objects: the parent certificate for a certificate in a certificate chain. For Public Key objects: the corresponding certificate(s), containing the same public key
- *Derivation Base Object Link* for a derived Symmetric Key object: the object(s) from which the current symmetric key was derived
- *Derived Key Link*: the symmetric key(s) that were derived from the current object.
- *Replacement Object Link*. For a Symmetric Key, Private Key, or Public Key object: the key that resulted from the re-key of the current key. For a Certificate object: the certificate that resulted from the re-certify. Note that there can only be one such replacement object.
- *Replaced Object Link*. For a Symmetric Key, Private Key, or Public Key object: the key that was re-keyed to obtain the current key. For a Certificate object: the certificate that was re-certified to obtain the current certificate

Formatted: Indent: Left: 0"

Deleted: The key management system may specify rules for the valid group names which may be created by the client. Clients will be informed of such rules by a mechanism which is not specified by this standard. In the protocol, the group names themselves are character strings of no specified format. Specific key management system implementations may choose to support hierarchical naming schemes or other syntax restrictions on the names. Groups may be used to associate objects for a variety of purposes. A set of keys used for a common purpose, but for different time intervals, may be linked by a common Object Group. Servers may create predefined groups and add objects to them independently of client requests.

Deleted: Required Field

Formatted Table

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0"

Deleted: A

Deleted: ,

Deleted: ,

Formatted: Outline numbered + Level: 1 + Numbering Style: Bullet + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5", Tabs: 0.5", Left + Not at 0.99"

694 | The Link attribute should be present for private keys and public keys for which a certificate chain is stored
 695 | by the server, and for certificates in a certificate chain.

Formatted: Indent: Left: 0"

696 | Note that a Managed Object may have multiple instances of the Link attribute. For example, a Private Key
 697 | may have links to the associated certificate as well as the associated public key. As another example, a
 698 | Certificate object may have links to both the public key and to the certificate of the certification authority
 699 | that signed the certificate.

Deleted: a

Deleted: Link attribute which has multiple values

Deleted: a Link attribute value

Deleted: which

Deleted: Link attribute values for

Deleted: but

700 | It is also possible that a Managed Object does not have links to associated cryptographic objects. This
 701 | can occur in cases where the associated key material is not available to the server or client (consider the
 702 | registration of a CA Signer certificate with a server, where the corresponding private key is held in a
 703 | different manner).

| Object | Encoding | Required |
|--------------------------|-------------|----------|
| Link | Structure | Yes |
| Link Type | Enumeration | Yes |
| Linked Object Identifier | Text String | Yes |

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Table 87: Link Attribute Structure

| | |
|------------------------------|--|
| Must always have a value | No |
| Initially set by | Server |
| Modifiable by server | Yes |
| Modifiable by client | Yes |
| Deletable by client | Yes |
| Multiple instances permitted | Yes |
| When implicitly set | Create Key Pair, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Cryptographic Objects |

Formatted: Keep with next

Table 88: Link Attribute Structure Rules

Formatted: Indent: Left: 0"

3.28 Application Specific Identification

707 | The Application Specific Identification attribute is a structure (see Table 89), used to specify the intended
 708 | use of a Managed Object. It consists of two parts: the application name space that the object will be used
 709 | with, and an identification specific to that application name space. The application name spaces are
 710 | arbitrary text strings so that new types of application identifiers can be used without requiring the standard
 711 | to be updated.

Formatted: Font: Not Italic

Deleted: is

712 | Some examples of application name space and identifier pairs:

Formatted: Indent: Left: 0.25"

- SMIME, 'someuser@company.com'
- SSL, 'some.domain.name'
- Volume Identification, '123343434'
- File Name, 'secret.doc'

717 | The following application names spaces are recommended:

Formatted: Indent: Left: 0.25", Tabs: 0.5", Left + Not at 0.99"

- SMIME
- SSL
- IPSEC

- 721 • HTTPS
- 722 • PGP
- 723 • Volume Identification
- 724 • File Name

725 Other values may be used according to server policy. No extension mechanism is defined or needed, as
 726 any text string is allowable.

| Object | Encoding | Required |
|-------------------------------------|-------------|----------|
| Application Specific Identification | Structure | Yes |
| Application Name Space | Text String | Yes |
| Application Identifier | Text String | Yes |

727 **Table 89: Application Specific Identification Attribute**

| | |
|------------------------------|--------------------|
| Must always have a value | No |
| Initially set by | Client |
| Modifiable by server | No |
| Modifiable by client | Yes |
| Deletable by client | Yes |
| Multiple instances permitted | Yes |
| When implicitly set | Re-key, Re-certify |
| Applies to Object Types | All Objects |

728 **Table 90: Application Specific Identification Attribute Rules**

729 3.29 Contact Information

730 The *Contact Information* attribute is optional, and its content is used for contact purposes only. It is not
 731 used for policy enforcement. The attribute is set by the client or the server.

| Object | Encoding | Required |
|---------------------|-------------|----------|
| Contact Information | Text String | Yes |

732 **Table 91: Contact Information Attribute**

| | |
|------------------------------|--|
| Must always have a value | No |
| Initially set by | Client or Server |
| Modifiable by server | Yes |
| Modifiable by client | Yes |
| Deletable by client | Yes |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key |
| Applies to Object Types | All Objects |

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

Formatted: Keep with next

733
734
735
736

Table 92: Contact Information Attribute Rules

3.30 Last Changed Date

A meta attribute that contains the date and time of the last change to the contents or attributes of the specified object.

| Object | Encoding | Required |
|-------------------|-----------|----------|
| Last Changed Date | Date-Time | Yes |

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Formatted Table

737

Table 93: Last Changed Date Attribute

| | |
|------------------------------|--|
| Must always have a value | Yes |
| Initially set by | Server |
| Modifiable by server | Yes |
| Modifiable by client | No |
| Deletable by client | No |
| Multiple instances permitted | No |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Activate, Revoke, Destroy, Archive, Recover, Certify, Re-certify, Re-key, Add Attribute, Modify Attribute, Delete Attribute, Get Usage Allocation |
| Applies to Object Types | All Objects |

Formatted: Keep with next

738

Table 94: Last Changed Date Attribute Rules

739

3.31 Custom Attribute

A *Custom Attribute* is a client- or server-defined attribute intended for vendor-specific purposes. It is created by the client and not interpreted by the server, or created by the server and either understood or not understood by the client. All custom attributes created by the client must adhere to a naming scheme where the name of the attribute must have a prefix of 'x-', meaning extended. The key management server may create and manage custom attributes which have a prefix of 'y-'. The tag type Custom Attribute cannot identify the particular attribute; hence, such an attribute can only appear in an Attribute Structure with its name as defined in Section 2.1.1 .

Formatted: Indent: Left: 0"

Deleted: and

Deleted: ,

747

| Object | Encoding | Required |
|------------------|----------------------------|--|
| Custom Attribute | Any data type or structure | Yes. The name of the attribute must start with 'x-' or 'y-'. |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0", Tabs: Not at 2"

Table 95 Custom Attribute

| | |
|------------------------------|---|
| Must always have a value | No |
| Initially set by | Client or Server |
| Modifiable by server | Yes, for server-created attributes |
| Modifiable by client | Yes, for client-created attributes |
| Deletable by client | Yes, for client-created attributes |
| Multiple instances permitted | Yes |
| When implicitly set | Create, Create Key Pair, Register, Derive Key, Activate, Revoke, Destroy, Certify, Re-certify, Re-key |
| Applies to Object Types | All Objects |

← Formatted Table

← Formatted: Keep with next

748

Table 96: Custom Attribute Rules

749 4 Client-to-Server Operations

750 The following subsections describe the operations that may be requested by a key management client.
 751 Not all clients have to be capable of issuing all operation requests; however any client that issues a
 752 specific request must be capable of understanding the response to the request. All Object Management
 753 operations are sent in requests from clients to servers, and in responses from servers to clients. These
 754 operations may be combined into a batch, which allows multiple operations to be contained in a single
 755 request/response message pair.

756 A number of the operations whose descriptions follow are affected by a mechanism referred to as the *ID Placeholder*.
 757

758 The key management server must implement a temporary variable called the ID Placeholder. This value
 759 consists of a single Unique Identifier. It is a variable stored inside the server that is only valid and
 760 preserved during the execution of a batch of operations. Once the batch of operations has been
 761 completed, the ID Placeholder value is discarded and/or invalidated by the server, so that subsequent
 762 requests will not find this previous ID Placeholder available.

763 The ID Placeholder is obtained from the Unique Identifier returned by the Create, Create Pair, Register,
 764 Derive Key, Re-Key, Certify, Re-Certify, Locate, and Recover operations. If any of these operations
 765 successfully completes and returns a Unique Identifier, then the server must copy this Unique Identifier
 766 into the ID Placeholder variable, where it is held until the completion of the operations remaining in the
 767 batched request. Subsequent operations in the batched request that need a Unique Identifier may make
 768 use of the ID Placeholder. This is indicated by omitting the Unique Identifier field from the request
 769 payloads for these operations. This mechanism is only valid if the Batch Error Continuation Option is set
 770 to Stop and the Batch Order Option is set to true.

771 Requests may contain attribute values to be assigned to the object. This information is specified with a
 772 Template-Attribute (see Section 2.1.8) that contains zero or more template names and zero or more
 773 individual attributes. If more than one template name is specified, and there is a conflict between the
 774 single-instance attributes in the templates, the value in the subsequent template takes precedence. If
 775 there is a conflict between the single-instance attributes in the request and the single-instance attributes
 776 in a specified template, the attribute values in the request take precedence. For multi-value attributes, the
 777 union of attribute values is used when the attributes are specified more than once.

Deleted: value
 Deleted: value
 Deleted: value

778 Responses may contain attribute values that have been set differently than specified in the request. This
 779 information is specified with a Template-Attribute that contains one or more individual attributes.

780 **4.1 Create**

781 This operation requests the server to generate a new symmetric key as a Managed Cryptographic Object.
 782 This operation is not used to create Template object (see Register operation, Section 4.3).

783 The request contains information about the type of object being created, and some of the attributes to be
 784 assigned to the object, e.g. Cryptographic Algorithm, Cryptographic Length, etc. This information may be
 785 specified by the names of Template objects which already exist.

786 Only on-line Template objects can be specified. Archived objects must first be moved back on-line
 787 through a Recover operation before they can be specified.

788 The response contains the Unique Identifier of the created object. The server must copy the Unique
 789 Identifier returned by this operation into the ID Placeholder variable.

| Request Payload | | |
|--------------------|----------|---|
| Object | Required | Description |
| Object Type | Yes | Determines the type of object to be created |
| Template-Attribute | Yes | Specifies desired object attributes using templates and/or as individual attributes |

Table 97: Create Request Payload

| Response Payload | | |
|--------------------|----------|---|
| Object | Required | Description |
| Object Type | Yes | Type of object created |
| Unique Identifier | Yes | The Unique Identifier of the newly created object |
| Template-Attribute | No | A list of object attributes with values that the key management server chose differently from those specified in the request (either explicitly or via template). Only those attributes that were specified in the request and were set to different values by the server are included here |

Table 98: Create Response Payload

791 The following attributes must be included in the Create request, either explicitly, or via specification of a
 792 template that contains the attribute.
 793

| Attribute | Required |
|--------------------------|----------|
| Cryptographic Algorithm | Yes |
| Cryptographic Usage Mask | Yes |

Table 99: Create Attribute Requirements

794 **4.2 Create Key Pair**

795 This operation requests the server to generate a new public/private key pair and register the two
 796 corresponding new Managed Cryptographic Objects.
 797

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Font color: Auto

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0"

798 | The request contains attributes to be assigned to the objects, e.g. Cryptographic Algorithm, Cryptographic
 799 | Length, etc. Attributes and Template Names can be specified for both keys at the same time, by
 800 | specifying a Common Template-Attribute object in the request. Attributes not common to both keys (e.g.,
 801 | Name, Cryptographic Usage Mask) may be specified using the Private Key Template-Attribute and Public
 802 | Key Template-Attribute objects in the request which take precedence over the Common Template-
 803 | Attribute object.

804 | Only on-line Template objects can be specified. Archived objects must first be moved back on-line
 805 | through a Recover operation before they can be specified.

806 | A Link Attribute is automatically created by the server for each object, pointing to the corresponding
 807 | object. The response contains the Unique Identifiers of both created objects. The ID Placeholder value
 808 | will be set to the Unique Identifier of the Private Key.

| Request Payload | | |
|--------------------------------|----------|---|
| Object | Required | Description |
| Common Template-Attribute | No | Specifies desired attributes in templates and/or as individual attributes that apply to both the Private and Public Key Objects |
| Private Key Template-Attribute | No | Specifies templates and/or attributes that apply to the Private Key Object. Order of precedence applies |
| Public Key Template-Attribute | No | Specifies templates and/or attributes that apply to the Public Key Object. Order of precedence applies |

Formatted: Space After: 0 pt
 Formatted Table
 Deleted: Required Field
 Formatted: Keep with next

Table 100: Create Key Pair Request Payload

809 |
 810 | For multi-instance attributes, the union of the values found in the templates and attributes of the
 811 | Common, Private, and Public Key Template-Attribute is used. For single-instance attributes, the order of
 812 | precedence is as follows:

1. attributes specified explicitly in the Private and Public Key Template-Attribute, then
2. attributes specified via templates in the Private and Public Key Template-Attribute, then
3. attributes specified explicitly in the Common Template-Attribute, then
4. attributes specified via templates in the Common Template-Attribute

817 | If there are multiple templates in the Common, Private, or Public Key Template-Attribute, then the
 818 | subsequent value of the single-instance attribute takes precedence.

Formatted: Indent: Left: 0"
 Deleted: valued
 Deleted:
 Deleted: valued
 Formatted: Outline numbered + Level: 1 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5", Tabs: 0.5", Left + Not at 0.99"
 Formatted: Indent: Left: 0"
 Deleted: valued
 Formatted Table
 Deleted: Required Field

| Response Payload | | |
|--------------------------------|----------|--|
| Object | Required | Description |
| Private Key Unique Identifier | Yes | The Unique Identifier of the newly created Private Key object |
| Public Key Unique Identifier | Yes | The Unique Identifier of the newly created Public Key object |
| Private Key Template-Attribute | No | A list of attributes, for the Private Key Object, with values that the key management server chose differently from those specified in the request (either explicitly or via template). Only those attributes that were specified in the request and were set to different |

| | | |
|-------------------------------|----|--|
| | | values by the server are included here |
| Public Key Template-Attribute | No | A list of attributes, for the Public Key Object, with values that the key management server chose differently from those specified in the request (either explicitly or via template). Only those attributes that were specified in the request and were set to different values by the server are included here |

Formatted: Keep with next

Table 101: Create Key Pair Response Payload

The following attributes must be included and/or must have the same value in the *Create Key Pair* operation, either explicitly, or via specification of a template that contains the attribute.

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted Table

| Attribute | Required | Must contain the same value for both Private and Public Key |
|--------------------------|----------|---|
| Cryptographic Algorithm | Yes | Yes |
| Cryptographic Length | Yes | Yes |
| Cryptographic Usage Mask | Yes | No |
| Cryptographic Parameters | No | Yes |

Formatted: Keep with next

Table 102: Create Key Pair Attribute Requirements

Formatted: Indent: Left: 0"

4.3 Register

This operation requests the server to register a Managed Object (created by the client or obtained by the client through some other means), allowing the server to manage the object. The arguments in the request are similar to those in the Create operation, but also may contain the object itself, for storage by the server. Optionally, objects which the client does not wish to be stored by the key management system may be omitted from the request, for example, private keys.

The request contains information about the type of object being registered, and some of the attributes to be assigned to the object, e.g. Cryptographic Algorithm, Cryptographic Length, etc. This information may be specified by the use of a Template-Attribute object.

Only on-line Template objects can be specified. Archived objects must first be moved back on-line through a Recover operation before they can be specified.

The response contains the Unique Identifier assigned by the server to the registered object. The server must copy the Unique Identifier returned by this operations into the ID Placeholder variable. The Initial Date attribute of the object is set to the current time.

| Object | Request Payload | |
|---|-----------------|---|
| | Required | Description |
| Object Type | Yes | Determines the type of object being registered |
| Template-Attribute | Yes | Specifies desired object attributes using templates and/or as individual attributes |
| Certificate, Symmetric Key, Private Key, Public Key, Split Key, Secret Data | No | The object being registered. The object and attributes may be wrapped. Some |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

| | | |
|------------------|--|---|
| or Opaque Object | | objects, e.g. Private Keys, may be omitted from the request |
|------------------|--|---|

Table 103: Register Request Payload

| Response Payload | | |
|--------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the newly registered object |
| Template-Attribute | No | A list of object attributes with values that the key management server chose differently from those specified in the request (either explicitly or via template). Only those attributes that were specified in the request and were set to different values by the server are included here |

- Formatted: Caption, Indent: Left: 0"
- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next

Table 104: Register Response Payload

If a Managed Cryptographic Object is registered, the following attributes must be included in the Register request, either explicitly, or via specification of a template that contains the attribute.

| Attribute | Required |
|--------------------------|---|
| Cryptographic Algorithm | Yes, may be omitted only if this information is encapsulated in the Key Block. Does not apply to Secret Data. If present, Cryptographic Length below must also be present. |
| Cryptographic Length | Yes, may be omitted only if this information is encapsulated in the Key Block. Does not apply to Secret Data. If present, Cryptographic Algorithm above must also be present. |
| Cryptographic Usage Mask | Yes. |

- Deleted: If the Register operation is being used to register a new Template, then the request payload will contain a single Template Name field, containing the name of the new template, and the Cryptographic Object field must be omitted. The contents of the new Template will be the attributes contained in the Template-Attribute object in the request.
- Deleted: ¶
- Deleted: Request Payload ... [2]
- Deleted: When registering a new Template, the attributes that may be included in the request are specified in Section 2.2.6 (note however that the Name attribute may not be specified). For all other object types that can be
- Formatted: Font color: Auto
- Formatted Table
- Deleted: or Opaque Objects
- Deleted:
- Deleted: or Opaque Objects
- Formatted: Keep with next
- Deleted: Does not apply to Opaque Objects.
- Formatted: Font color: Custom Color(RGB(59,0,111))
- Formatted: Indent: Left: 0"

Table 105: Register Attribute Requirements

4.4 Re-key

This request is used to generate a replacement key for an existing symmetric key. It is analogous to the Create operation, except that many of the attributes of the new key are unchanged from the original key.

As the replacement key takes over the name attribute of the existing key, Re-key should only be performed once on a given key.

The server must copy the Unique Identifier of the replacement key returned by this operation into the ID Placeholder variable.

850 | Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 851 | Recover operation before they can be specified.

852 | As a result of Re-key, attributes of the existing key are changed similarly to performing a Revoke on that
 853 | key with a Revocation Reason of Superseded, and the Link attribute is set to point to the replacement
 854 | key.

855 | If Offset is set, then the times of the new key will be set based on the times of the existing key (if such
 856 | times exist) as follows:

| Attribute in Existing Key | Attribute in New Key |
|-------------------------------|---|
| Initial Date (IT_1) | Initial Date (IT_2) > IT_1 |
| Activation Date (AT_1) | Activation Date (AT_2) = $IT_2 + Offset$ |
| Process Start Date (CT_1) | Process Start Date $\Rightarrow CT_1 + (AT_2 - AT_1)$ |
| Protect Stop Date (TT_1) | Protect Stop Date $\Rightarrow TT_1 + (AT_2 - AT_1)$ |
| Deactivation Date (DT_1) | Deactivation Date $\Rightarrow DT_1 + (AT_2 - AT_1)$ |

Formatted Table

Deleted: (

Deleted:)

Deleted: (

Deleted:)

Deleted: (

Deleted:)

Formatted: Indent: Left: 0"

Formatted Table

Table 106: Computing New Dates from Offset during Re-key

857 |

858 | Attributes that are not copied from the existing key and are handled in a specific way are:

| Attribute | Action |
|----------------------------|---|
| Initial Date | Set to current time |
| Destroy Date | Not set |
| Compromise Occurrence Date | Not set |
| Compromise Date | Not set |
| Revocation Reason | Not set |
| Unique Identifier | New value generated |
| Usage Limits | The Total Bytes/Total Objects value is copied from the existing key, while the Byte Count/Object Count values are set to the Total Bytes/Total Objects. |
| Name | Set to the name(s) of the existing key; all name attributes of the existing key are removed. |
| State | Set based on attributes |
| Digest | Recomputed from the new key value |
| Link | Set to point to the existing key as the replaced key |
| Last Change Date | Set to current time |

Table 107: Re-key Attribute Requirements

859 |

| Request Payload | | |
|--------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | Determines the Symmetric Key being re-keyed. If omitted, the ID Placeholder is substituted by the server |
| Offset | No | An Interval object indicating the difference between the Initialization Time of the new key and the Activation Date of the new key |
| Template-Attribute | No | Specifies desired object attributes using templates and/or as individual attributes |

Table 108: Re-key Request Payload

| Response Payload | | |
|--------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the new Symmetric Key |
| Template-Attribute | No | A list of object attributes with values that the key management server chose differently from those specified in the request (either explicitly or via template). Only those attributes that were specified in the request and were set to different values by the server are included here |

Table 109: Re-key Response Payload

4.5 Derive Key

This request is used to derive a symmetric key using a key or secret [data](#) that is already known to the key management system. It only applies to Managed Cryptographic Objects that can be used for key derivation (The Derive Key bit must be set in the Cryptographic Usage Mask attribute of the specified Managed Object). If the operation is issued for an object that does not have this bit set, the server must return a response with a Result Reason of Operation Not Supported. For all derivation methods, the client must specify the desired length of the derived key or secret using the Cryptographic Length attribute. If a key is created, the client must specify both [its Cryptographic Length](#) and [Cryptographic Algorithm](#). If the specified length exceeds the output of the derivation method, the server must return an error. Clients have the option to derive multiple keys and IVs by creating a Secret Data object and specifying a Cryptographic Length that is the total length of the derived object. The length must not exceed the length of the output that [can be returned by the chosen derivation method](#).

The fields in the request specify the Unique Identifiers of the keys or secrets to be used for derivation (some derivation methods may require multiple keys or secrets to derive the result), the method to be used to perform the derivation, and any parameters needed by the specified method. The method is specified as an enumerated value. Currently defined derivation methods include:

- **PBKDF2** – This method is used to derive a symmetric key from a password or pass phrase. The PBKDF2 method is published in RSA Laboratories' Public-Key Cryptography Standards (PKCS) series, specifically PKCS #5 v2.0, and also published as Internet Engineering Task Force's RFC 2898.

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Indent: Left: 0"

Deleted: the

Deleted: is

Formatted: Indent: Left: 0.25", Bulleted + Level: 1 + Aligned at: 0" + Tab after: 0.25" + Indent at: 0.25", Tabs: 0.5", List tab + Not at 0.25"

Formatted: Font color: Auto

- 882 | • *HASH* – This method derives a key by computing a hash over the derivation key or the derivation
883 | data.
- 884 | • *HMAC* – This method derives a key by computing an HMAC over the derivation data.
- 885 | • *ENCRYPT* – This method derives a key by encrypting the derivation data.
- 886 | • *NIST800-108-C* – This method derives a key by computing the KDF in Counter Mode as specified
887 | in NIST SP 800-108.
- 888 | • *NIST800-108-F* – This method derives a key by computing the KDF in Feedback Mode as
889 | specified in NIST SP 800-108.
- 890 | • *NIST800-108-DPI* – This method derives a key by computing the KDF in Double-Pipeline Iteration
891 | Mode as specified in NIST SP 800-108.
- 892 | • *Extensions*

Formatted: Outline numbered + Level: 1 + Numbering Style: Bullet + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5", Tabs: 0.5", Left + Not at 0.99"

893 | Only on-line objects can be specified. Archived objects must first be moved back on-line through a
894 | Recover operation before they can be specified. The server must perform the derivation function, and
895 | then register the derived object as a new Managed Object, returning the new Unique Identifier for the new
896 | object in the response. The server must copy the Unique Identifier returned by this operation into the ID
897 | Placeholder variable.

Formatted: Indent: Left: 0"

898 | As a result of Derive Key, the Link attributes (Derived Key Link in the objects from which the key is
899 | derived, and the Derivation Base Object Link in the derived key) of all objects involved are set to point to
900 | the corresponding objects.

| Request Payload | | |
|-----------------------|----------------------|--|
| Object | Required | Description |
| Object Type | Yes | Determines the type of object to be created |
| Unique Identifier | Yes. May be repeated | Determines the object or objects to be used to derive a new key from. At most, two can be specified: one for the derivation key and another for the secret data. Note that the ID Placeholder cannot be used here. |
| Derivation Method | Yes | An Enumeration object specifying the method to be used to derive the new key |
| Derivation Parameters | Yes | A Structure object containing the parameters needed by the specified derivation method |
| Template-Attribute | Yes | Specifies desired object attributes using templates and/or as individual attributes; length must always be specified and algorithm is required for the creation of symmetric keys. |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

901 |

Table 110: Derive Key Request Payload

| Response Payload | | |
|--------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the newly derived key |
| Template-Attribute | No | A list of object attributes with values that the key management server chose differently from those specified in the request (either explicitly or via template). Only those attributes that were specified in the request and were set to different values by the server are included here |

- Formatted Table
- Deleted: Required Field
- Deleted: , May be repeated
- Formatted: Keep with next

Table 111: Derive Key Response Payload

The *Derivation Parameters* for all derivation methods consist of the following parameters, except PBKDF2 that requires two additional parameters.

| Object | Encoding | Required |
|--------------------------|--------------|--|
| Derivation Parameters | Structure | Yes |
| Cryptographic Parameters | Structure | Yes, except for HMAC derivation keys |
| Initialization Vector | Octet String | No, depends on PRF and mode of operation: empty IV is assumed if not provided. |
| Derivation Data | Octet String | Yes, unless the Unique Identifier of a Secret Data object is provided |

- Formatted: Font color: Auto
- Formatted: Font color: Auto
- Deleted: Required Field
- Formatted Table
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next

Table 112: Derivation Parameters Structure (Except PBKDF2)

Cryptographic Parameters identify the Pseudorandom Function (PRF) or the mode of operation of the PRF. For example, if a key is *to be* derived using the HASH derivation method, clients are required to *indicate* the hash algorithm inside Cryptographic Parameters. Similarly, if a key is *to be* derived using AES in CBC mode, clients are required to *indicate* the Block Cipher Mode. The server will verify that the specified mode matches one of the instances of Cryptographic Parameters set for the corresponding key. If Cryptographic Parameters are omitted, the server will pick the Cryptographic Parameters set with the lowest index for the specified key. If the corresponding key does not have any Cryptographic Parameters attribute, or if no match is found, an error is returned.

- Formatted: Indent: Left: 0"
- Deleted: provide
- Deleted: provide

If a key is derived using HMAC, the attributes of the derivation key provides enough information about the PRF and Cryptographic Parameters are ignored.

Derivation Data can either be the data to be encrypted, hashed, or HMACed. For NIST SP 800-108 methods, Derivation Data is Label||{0x00}||Context, where the all-zero octet is optional.

Most derivation methods, such as ENCRYPT, require a derivation key and the derivation data to be encrypted. The HASH derivation method requires either a derivation key or derivation data. Derivation data can either be explicitly provided by the client with the Derivation Data field or implicitly by providing the Unique Identifier of a Secret Data object. An error is returned if both are provided.

The PBKDF2 derivation method requires two additional parameters:

| Object | Encoding | Required |
|-----------------------|-----------|----------|
| Derivation Parameters | Structure | Yes |

- Formatted Table
- Deleted: Required Field

| | | |
|--------------------------|--------------|--|
| Cryptographic Parameters | Structure | No, depends on the PRF |
| Initialization Vector | Octet String | No, depends on PRF and mode of operation: empty IV is assumed if not provided. |
| Derivation Data | Octet String | Yes, unless the Unique Identifier of a Secret Data object is provided |
| Salt | Octet String | Yes |
| Iteration Count | Integer | Yes |

Table 113: PBKDF2 Derivation Parameters Structure

- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Indent: Left: 0"

923

924 4.6 Certify

925 This request is used to obtain a new certificate for a public key. Only a single certificate can be requested
 926 at a time. Server support for this operation is optional, as it requires that the key management system
 927 have access to a certification authority.

- Formatted: Font color: Auto

928 Requests are passed as Octet Strings, which allow multiple certificate request types for X.509 certificates
 929 (e.g. PKCS#10, PEM, etc) or PGP certificates to be submitted to the server.

- Formatted: Indent: Left: 0"

930 The server must copy the Unique Identifier of the certificate returned by this operation into the ID
 931 Placeholder variable. The new Certificate object whose Unique Identifier is returned may be obtained by
 932 the client via a Get operation in the same batch, using the ID Placeholder mechanism.

- Formatted: Font color: Auto

933 As a result of Certify, the Link attribute of the Public Key and of the new Certificate are set to point at
 934 each other.

935 The server must copy the Unique Identifier of the new certificate returned by this operation into the ID
 936 Placeholder variable.

937 Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 938 Recover operation before they can be specified.

939 If the information in the Certificate Request conflicts with the attributes specified in the Template-Attribute,
 940 then the information in the Certificate Request takes precedence.

| Object | Request Payload | |
|--------------------------|-----------------|--|
| | Required | Description |
| Unique Identifier | No | The Unique Identifier of the Public Key being certified. If omitted, the ID Placeholder is substituted by the server |
| Certificate Request Type | Yes | An Enumeration object specifying the type of certificate request |
| Certificate Request | Yes | An Octet String object with the certificate request |
| Template-Attribute | No | Specifies desired object attributes using templates and/or as individual attributes |

- Formatted Table
- Deleted: Required Field
- Formatted Table
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0"

941

Table 114: Certify Request Payload

| Response Payload | | |
|--------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the new certificate |
| Template-Attribute | No | A list of object attributes with values that the key management server chose differently from those specified in the request (either explicitly or via template). Only those attributes that were specified in the request and were set to different values by the server are included here |

Table 115: Certify Response Payload

4.7 Re-certify

This request is used to renew an existing certificate with the same key pair. Only a single certificate can be renewed at a time. Server support for this operation is optional, as it requires that the key management system have access to a certification authority.

Requests are passed as Octet Strings, which allow multiple certificate request types for X.509 certificates (e.g. PKCS#10, PEM, etc) or PGP certificates to be submitted to the server.

The server must copy the Unique Identifier of the certificate returned by this operation into the ID Placeholder variable.

Only on-line objects can be specified. Archived objects must first be moved back on-line through a Recover operation before they can be specified.

If the information in the Certificate Request conflicts with the attributes specified in the Template-Attribute, then the information in the Certificate Request takes precedence.

As the new certificate takes over the name attribute of the existing certificate, Re-certify should only be performed once on a given certificate.

As a result of Re-certify, attributes of the existing certificate are changed similarly to performing a Revoke on that certificate, with a Revocation Reason of Superseded.

In addition, the Link attribute of the existing certificate and of the new certificate are set to point at each other. In addition, the Link attribute of the Public Key is changed to point to the new certificate. If *Offset* is set, then the times of the new certificate will be set based on the times of the existing certificate (if such times exist) as follows:

| Attribute in Existing Certificate | Attribute in New Certificate |
|-----------------------------------|--|
| Initial Date (IT_1) | Initial Date (IT_2) $> IT_1$ |
| Activation Date (AT_1) | Activation Date (AT_2) = $IT_2 + Offset$ |
| Deactivation Date (DT_1) | Deactivation Date $= DT_1 + (AT_2 - AT_1)$ |

Table 116: Computing New Dates from Offset during Re-certify

Attributes that are not copied from the existing certificate and are handled in a specific way are:

| Attribute | Action |
|-------------------|--|
| Initial Date | Set to current time |
| Destroy Date | Not set |
| Revocation Reason | Not set |
| Unique Identifier | New value generated |
| Name | Set to the name(s) of the existing certificate; all name attributes of the existing certificate are removed. |
| State | Set based on attributes |
| Digest | Recomputed from the new certificate value |
| Link | Set to point to the existing certificate as the replaced certificate |
| Last Change Date | Set to current time |

← Formatted Table

Table 117: Re-certify Attribute Requirements

| Request Payload | | |
|--------------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | The Unique Identifier of the Certificate being renewed. If omitted, the <i>ID Placeholder</i> is substituted by the server |
| Certificate Request Type | Yes | An Enumeration object specifying the type of certificate request |
| Certificate Request | Yes | An Octet String object with the certificate request |
| Offset | No | An Interval object indicating the difference between the Initialization Time of the new certificate and the Activation Date of the new certificate |
| Template-Attribute | No | Specifies desired object attributes using templates and/or as individual attributes |

← Formatted Table

Deleted: Required Field

← Formatted: Keep with next

← Formatted: Caption, Indent: Left: 0"

Table 118: Re-certify Request Payload

965

966

| Response Payload | | |
|--------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the new certificate |
| Template-Attribute | No | A list of object attributes with values that the key management server chose differently from those specified in the request (either explicitly or via template). Only those attributes that were specified in the request and were set to different values by the server are included here |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

967

Table 119: Re-certify Response Payload

Formatted: Indent: Left: 0"

968

4.8 Locate

969 This operation requests that the server searches for one or more Managed Objects, specified by one or
 970 more attributes. All attributes are allowed to be used. However, no attributes specified in the request
 971 should contain index values. Attribute Index values will be ignored by the *Locate* operation. The request
 972 may also contain a *Maximum Items* field, which specifies the maximum number of objects that the client
 973 wishes returned by *Locate*. If the *Maximum Items* field is omitted, then the server may return all objects
 974 matched, or may impose an internal maximum limit due to resource limitations.

975 The response may contain Unique Identifiers for multiple Managed Objects, if more than one object
 976 satisfies the identification criteria specified in the request. Returned objects must match **all** of the
 977 attributes in the request. If no objects match, an empty response payload is returned.

978 The server returns a list of Unique Identifiers of the found objects, which then must be retrieved using the
 979 Get operation, or if the objects are archived, then the Recover and Get operations must be used. **If a
 980 single Unique Identifier is returned to the client, then the** server must copy the Unique Identifier returned
 981 by this operation into the ID Placeholder variable. If the *Locate* operation matches more than one object,
 982 and the *Maximum Items* value is omitted in the request, or is set to a value larger than one, then the
 983 server must not set the ID Placeholder value, so that any subsequent operations that are batched with the
 984 *Locate*, and which do not specify a Unique Identifier explicitly will fail. This ensures that these batched
 985 operations will be allowed to proceed only if a single object is returned by *Locate*.

Deleted: The

986 When using the Name or Object Group attributes for identification, wild-cards or regular expressions may
 987 be supported by specific key management system implementations. The protocol neither requires nor
 988 disallows such use.

Formatted: Font color: Auto

989 The Date attributes (Initial Date, Activation Date, etc) may be used to specify a time or a time range. If a
 990 single instance of a given Date attribute is used, such as **the** Activation Date, then objects with the same
 991 Activation Date are matching candidate objects. If two instances of the same Date attribute are used (with
 992 two different values specifying a range), then objects for which the Activation Date is inside or **at a limit of,**
 993 the range are matching candidate objects. If a Date attribute is set to its largest possible value, then it is
 994 equivalent to an undefined attribute.

Formatted: Indent: Left: 0"

Deleted: on

995 When the Cryptographic Usage Mask attribute is specified in the request, candidate objects are
 996 **compared** against this field via an operation which consists of a logical AND of the requested mask with
 997 the mask in the candidate object, and then a straight comparison of the resulting value with the requested
 998 mask. For example, if the request contains a mask value of 10001100010000, and a candidate object
 999 mask contains 10000100010000, the logical AND of the two masks is 10000100010000, which is
 1000 compared against 10001100010000 and fails the match. This means that a matching candidate object
 1001 must have all of the bits set in its mask that are set in the requested mask, but may have additional bits
 1002 set.

Deleted: matched

1003 When the Usage Allocation attribute is specified in the request, matching candidate objects must have an

1004 Object or Byte Count and Total Objects or Bytes equal to or larger than the values specified in the
 1005 request.

1006 When an attribute defined as a structure is specified, not all of the structure fields must be specified. For
 1007 instance, for the Link attribute, the Linked Object Identifier value may be specified without the Link Type
 1008 value, and matching candidate objects must have the Linked Object Identifier as specified, irrespective of
 1009 their Link Type.

1010 The Storage Status Mask field (see Section 9.1.3.3.2) is used to indicate whether only on-line objects, or
 1011 only archived objects, or both on-line and archived objects must be searched. Note that the server may
 1012 store attributes of archived objects in order to expedite Locate operations searching through archived
 1013 objects.

Formatted: Font color: Auto

| Request Payload | | |
|---------------------|----------------------|---|
| Object | Required | Description |
| Maximum Items | No | An Integer object that indicates the maximum number of object identifiers the server should return |
| Storage Status Mask | No | An Integer object (used as a bit mask) that indicates whether only on-line objects, or only archived objects, or both on-line and archived objects must be searched. If omitted, on-line only is assumed. |
| Attribute | Yes, may be repeated | Specifies an attribute and its value that must match the desired object |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

1014 **Table 120: Locate Request Payload**

| Response Payload | | |
|-------------------|---------------------|--|
| Object | Required | Description |
| Unique Identifier | No, May be repeated | The Unique Identifier of the located objects |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

1015 **Table 121: Locate Response Payload**

Formatted: Indent: Left: 0"

1016 4.9 Check

1017 This operation requests that the server checks for the use of a Managed Object according to values
 1018 specified in the request. This operation should only be used when placed in a batched set of operations,
 1019 usually following a Locate, Create, Create Pair, Derive Key, Certify, Re-Certify or Re-Key operation, and
 1020 followed by a Get operation. The Unique Identifier field in the request may be omitted if the operation is in
 1021 a batched set of operations and follows an operation that sets the ID Placeholder variable.

Deleted: policy-related

1022 If the server determines that the client is allowed to use the object specified according to the given
 1023 attributes, the server returns the Unique Identifier of the object. If the server determines that the specified
 1024 attributes fall outside allowed policy, then the server returns no Unique Identifier, the server invalidates
 1025 the ID Placeholder value, and the operation returns the set of attributes specified in the request that
 1026 caused the server policy denial. Only those attributes that the server judged to be out of policy are
 1027 returned, allowing the client to determine how to proceed. The operation also returns a failure, and the
 1028 server must ignore any subsequent operations in the batch.

Deleted: policy

Deleted: thus

Deleted: causing

Deleted: to be ignored

1029 The additional objects that may be specified in the request are limited to (note that these objects are not
 1030 encoded in an Attribute structure as shown in Section 2.1.1):

- 1031 • Usage Limits Byte Count or Usage Limits Object Count (see Section 3.14)– The request may
1032 contain the usage amount that the client deems necessary to complete its needed function. This
1033 does not require that any subsequent Get Usage Allocation operations request this amount. It
1034 only means that the client is ensuring that the amount specified is available.
- 1035 • Cryptographic Usage Mask – This is used to specify the cryptographic operations **for which**, the
1036 client intends to use the object (see Section 3.12). This allows the server to determine if the
1037 policy allows this client to perform these operations with the object. Note that this may be a
1038 different value from the one specified in a *Locate* operation that precedes this operation. Locate,
1039 for example, may specify a Cryptographic Usage Mask requesting a key that can be used for both
1040 Encryption and Decryption, but the value in the Check operation may specify that the the client is
1041 only using the key for Encryption at this time.
- 1042 • Lease Time – This specifies a desired lease time (see Section 3.13). The client may use this to
1043 determine if the server will allow the client to use the object with the specified lease or longer.
1044 Including this attribute in the Check operation does not actually cause the server to grant a lease,
1045 but only indicates that the requested lease time value will be granted if requested by a
1046 subsequent, batched, Obtain Lease operation.

Deleted: that
Deleted: for

1047 Only on-line objects can be specified. Archived objects must first be moved back on-line through a
1048 Recover operation before they can be specified

Formatted: Indent: Left: 0"

| Request Payload | | |
|---------------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | Determines the object being checked . If omitted, the ID Placeholder is substituted by the server |
| Usage Limits Byte Count | No | Specifies the number of bytes to be protected to be checked against server policy. May only be present if Usage Limits Object Count is not present |
| Usage Limits Object Count | No | Specifies the number of objects to be protected to be checked against server policy. May only be present if Usage Limits Byte Count is not present |
| Cryptographic Usage Mask | No | Specifies the Cryptographic Usage for which , the client will use the object for |
| Lease Time | No | Specifies a Lease Time value that the Client is asking the server to validate against server policy |

Formatted Table

Deleted: Required Field

Deleted: being requested

Deleted: that

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

1049

Table 122: Check Request Payload

| Response Payload | | |
|---------------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Usage Limits Byte Count | No | Returned by the Server if the Usage Limits value specified in the Request Payload was larger than the value that the server policy would allow. May only be present if Usage Limits Object Count is not present |
| Usage Limits Object Count | No | Returned by the Server if the Usage Limits value specified in the Request |

Formatted Table

Deleted: Required Field

| | | |
|--------------------------|----|---|
| | | Payload was larger than the value that the server policy would allow. May only be present if Usage Limits Byte Count is not present |
| Cryptographic Usage Mask | No | Returned by the Server if the Cryptographic Usage Mask specified in the Request Payload was rejected by the server for policy violation |
| Lease Time | No | Returned by the Server if the Lease Time value in the Request Payload was larger than a valid Lease Time that the server would grant |

Formatted: Keep with next

Table 123: Check Response Payload

1050
1051 The encodings of the Usage limits Byte and Object Counts is as shown in Section 3.14 .

Formatted: Indent: Left: 0"

1052 **4.10 Get**

1053 This operation requests that the server returns a Managed Object, which is specified in the request by its
1054 Unique Identifier. The Unique Identifier field in the request may be omitted if the *Get* operation is in a
1055 batched set of operations and follows an operation that sets the ID Placeholder variable.

1056 Only a single object is returned. Only on-line objects can be specified. Archived objects must first be
1057 moved back on-line through a Recover operation before they can be specified. The response contains the
1058 Unique Identifier of the object, along with the object itself, which may be optionally wrapped using a
1059 wrapping key specified in the request.

| Request Payload | | |
|----------------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | No | Determines the object being requested. If omitted, the ID Placeholder is substituted by the server |
| Key Wrapping Specification | No | Specifies keys and other information for wrapping the returned object. This field may not be specified if the returned object is a Template |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Table 124: Get Request Payload

1060

Formatted: Caption, Indent: Left: 0"

| Response Payload | | |
|---|----------|---|
| Object | Required | Description |
| Object Type | Yes | Type of object |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Certificate, Symmetric Key, Private Key, Public Key, Split Key, Template, Secret Data, or Opaque Object | Yes | The cryptographic object being returned |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Table 125: Get Response Payload

1061

1062 **4.11 Get Attributes**

1063 Return one or more attributes of a Managed Object. The object is specified by its Unique Identifier. The
 1064 desired attributes are specified by name in the request. If a specified attribute has multiple instances, all
 1065 instances are returned. If a specified attribute does not exist (i.e. has no value) it must not be present in
 1066 the returned response. If no requested attributes exist, the response should consist only of the Unique
 1067 Identifier.

1068 Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 1069 Recover operation before they can be specified.

| Request Payload | | |
|-------------------|----------------------|---|
| Object | Required | Description |
| Unique Identifier | No | Determines the object whose attributes are being requested. If omitted, the ID Placeholder is substituted by the server |
| Attribute Name | Yes, May be repeated | Specifies a desired attribute of the object |

1070 **Table 126: Get Attributes Request Payload**

| Response Payload | | |
|-------------------|---------------------|--|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Attribute | No, May be repeated | The requested attribute for the object |

1071 **Table 127: Get Attributes Response Payload**

1072 **4.12 Get Attribute List**

1073 Returns a list of the attribute names associated with a specified Managed Object. The object is specified
 1074 by its Unique Identifier.

1075 Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 1076 Recover operation before they can be specified.

| Request Payload | | |
|-------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | Determines the object whose attribute names are being requested. If omitted, the ID Placeholder is substituted by the server |

1077 **Table 128: Get Attribute List Request Payload**

| Response Payload | | |
|-------------------|----------------------|--|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Attribute Name | Yes, May be repeated | The requested attribute names for the object |

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

1078

Table 129: Get Attribute List Response Payload

1079 4.13 Add Attribute

1080 This request adds a new [attribute instance](#) and sets its value. The request contains the Unique Identifier
 1081 of the Managed Object which the attribute pertains to, and the attribute, with its name and new value. For
 1082 non multi-[instance](#) attributes, this is how they are created. For multi-[instance](#) attributes, this is how the
 1083 first and subsequent values are created. Existing attribute values must be changed by the Modify
 1084 Attribute operation. Read-Only attributes may not be added using this operation. No Attribute Index may
 1085 be specified in the request. The response will return a new Attribute Index if the attribute being added is
 1086 allowed to have multiple instances. Multiple Add Attribute requests may be included in a single batched
 1087 request to add multiple attributes.

1088 Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 1089 Recover operation before they can be specified.

- Formatted: Indent: Left: 0"
- Deleted: attribute
- Deleted: (with possibly multiple values)
- Deleted: valued
- Deleted: valued

| Request Payload | | |
|-------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | The Unique Identifier of the object. If omitted, the ID Placeholder is substituted by the server |
| Attribute | Yes | Specifies the attribute of the object to be added |

- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0"

1090

Table 130: Add Attribute Request Payload

| Response Payload | | |
|-------------------|----------|-------------------------------------|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Attribute | Yes | The added attribute |

- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next

1091

Table 131: Add Attribute Response Payload

1092 4.14 Modify Attribute

1093 This request modifies the value of an existing attribute [instance](#). The request contains the Unique
 1094 Identifier of the Managed Object whose [attribute is to be modified](#), and the attribute, with its name,
 1095 optional index, and new value. Only existing attributes may be changed via this operation. New attributes
 1096 must be added by the Add Attribute operation. Read-Only attributes may not be changed using this
 1097 operation. If an attribute index is specified, only the specified instance is modified. If the attribute has
 1098 multiple instances, and no index is specified in the request, then the index is assumed to be 0. If the
 1099 attribute does not support multiple instances, the attribute index must not be specified. Using a non-
 1100 existing attribute index in a modify operation will result in an error.

1101 The Attribute returned in the response may have a value different from the one sent in the request, if the
 1102 server policy so dictates. The value returned is the value set by the server.

1103 Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 1104 Recover operation before they can be specified.

- Formatted: Indent: Left: 0"
- Deleted: ich
- Deleted: the
- Deleted: pertains to

| Request Payload | | |
|-------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | The Unique Identifier of the object. If omitted, the ID Placeholder is substituted by the server |
| Attribute | Yes | Specifies the attribute of the object to be modified |

Table 132: Modify Attribute Request Payload

| Response Payload | | |
|-------------------|----------|-------------------------------------|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Attribute | Yes | The modified attribute |

Table 133: Modify Attribute Response Payload

4.15 Delete Attribute

This request deletes an attribute. The request contains the Unique Identifier of the Managed Object whose attribute is to be deleted, the Attribute name, and optionally the Attribute Index of the attribute. Required attributes and Read-Only attributes may not be deleted by this operation. If no Attribute Index is specified, and the Attribute whose name is specified has multiple instances, the operation is rejected. Note that only a single attribute can be deleted at a time. Multiple delete operations (possibly batched) are necessary to delete several attributes. Deleting non-existing attributes will result in an error. Using a non-existing attribute index in a delete operation will also result in an error.

Only on-line objects can be specified. Archived objects must first be moved back on-line through a Recover operation before they can be specified.

| Request Payload | | |
|-------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | No | Determines the object whose attributes are being deleted. If omitted, the ID Placeholder is substituted by the server |
| Attribute Name | Yes | Specifies the name of the attribute to be deleted |
| Attribute Index | No | Specifies the Index of the Attribute |

Table 134: Delete Attribute Request Payload

| Response Payload | | |
|-------------------|----------|-------------------------------------|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Attribute | Yes | The deleted attribute |

Table 135: Delete Attribute Response Payload

- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0"
- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0"
- Formatted: Indent: Left: 0"

- Deleted: ich the attribute pertains to
- Deleted: and

- Formatted Table
- Deleted: Required Field
- Deleted: updated
- Deleted: of the object
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0"
- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next

1119 **4.16 Obtain Lease**

1120 This request is used to obtain a new *Lease Time* for a specified Managed Object. The Lease Time is an
 1121 interval value that determines when the client's internal cache of information about the object expires and
 1122 must be renewed. If the returned value of the lease time is zero, then the server is indicating that no lease
 1123 interval is effective, and the client may use the object without any lease time limit. If a client's lease
 1124 expires, the client must not use the associated cryptographic object until a new lease is obtained. If the
 1125 server determines that a new lease should not be issued for the specified cryptographic object, then the
 1126 server should respond to the Obtain Lease request with a Result Status of Failure, and a Result Reason
 1127 of General Failure.

1128 The response payload for the operation also contains the current value of the Last Changed Date
 1129 attribute for the object. This may be used by the client to determine if any of the attributes cached by the
 1130 client need to be refreshed, by comparing this time to the time when the attributes were previously
 1131 obtained.

1132 The Unique Identifier field in the request may be omitted if the operation is in a batched set of operations
 1133 and follows an operation that sets the ID Placeholder variable.

1134 Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 1135 Recover operation before they can be specified.

Formatted: Indent: Left: 0"
 Deleted: request

| Request Payload | | |
|-------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | No | Determines the object for which the lease is being obtained. If omitted, the <i>ID Placeholder</i> is substituted by the server |

Formatted Table
 Deleted: Required Field
 Formatted: Keep with next

Table 136: Obtain Lease Request Payload

| Response Payload | | |
|-------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Lease Time | Yes | An interval (in seconds) <u>that specifies</u> the amount of time that the object can be used until a new lease needs to be obtained |
| Last Changed Date | Yes | The date and time indicating when the latest change was made to the contents or any attribute of the specified object. |

Formatted: Caption, Indent: Left: 0"
 Formatted Table
 Deleted: Required Field
 Deleted: determining
 Formatted: Keep with next

Table 137: Obtain Lease Response Payload

1137
 1138 **4.17 Get Usage Allocation**

1139 This request is used to obtain an allocation from the current Usage Limits values, to allow the client to use
 1140 the Managed Cryptographic Object for protection purposes. It only applies to Managed Cryptographic
 1141 Objects that can be used for protection purposes (symmetric keys, private keys and public keys) and is
 1142 only valid if the Managed Cryptographic Object has a Usage Limits attribute. Usage for process purposes
 1143 (decryption, verification, etc.) is not limited and cannot be allocated. A Managed Cryptographic Object
 1144 that has a Usage Limits attribute may not be used by a client for protection purposes unless an allocation
 1145 has been obtained using this operation. The operation may only be issued during the time that protection
 1146 is enabled for these objects, i.e. after the Activation Date and before the Protect Stop Date. If the
 1147 operation is issued for an object that has no Usage Limits attribute, or is not an object that can be used

Formatted: Indent: Left: 0"

1148 for protection purposes, the server must return a response with a Result Reason of Operation Not
 1149 Supported.

1150 | The fields in the request specify the number of bytes, or number of objects that the client needs to
 1151 protect. Exactly one of the two count fields must be specified in the request. The corresponding field,
 1152 containing the number of bytes, or number of objects that may be protected, is returned in the response.
 1153 If the requested amount is not available, the server may return a smaller amount, or may return 0,
 1154 indicating that the Managed Object may not be used for protection purposes at this time. The server must
 1155 assume that the entire allocated amount has been consumed. Server policy may allow the value returned
 1156 in the response to be different from the value requested. Once the entire allocated amount has been
 1157 consumed, the client may not continue to use the Managed Cryptographic Object for protection purposes
 1158 until a new allocation is obtained.

1159 | The Unique Identifier field in the request may be omitted if the operation is in a batched set of operations
 1160 and follows an operation that sets the ID Placeholder variable.

1161 | Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 1162 Recover operation before they can be specified.

| Request Payload | | |
|---------------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | Determines the object whose usage allocation is being requested. If omitted, the ID Placeholder is substituted by the server |
| Usage Limits Byte Count | No | The number of bytes to be protected. May only be present if Usage Limits Object Count is not present |
| Usage Limits Object Count | No | The number of objects to be protected. May only be present if Usage Limits Byte Count is not present |

← Formatted Table

Deleted: Required Field

← Formatted: Keep with next

← Formatted: Caption, Indent: Left: 0"

1163 **Table 138: Get Usage Allocation Request Payload**

| Response Payload | | |
|---------------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Usage Limits Byte Count | No | The number of bytes that may be protected. May only be present if Usage Limits Object Count is not present |
| Usage Limits Object Count | No | The number of objects that may be protected. May only be present if Usage Limits Byte Count is not present |

← Formatted Table

Deleted: Required Field

← Formatted: Keep with next

1164 **Table 139: Get Usage Allocation Response Payload**

1165 The encodings of the Usage limits Byte and Object Counts is as shown in Section 3.14 .

← Formatted: Indent: Left: 0"

1166 **4.18 Activate**

1167 This request is used to activate a Managed Cryptographic Object. The request may not specify a
 1168 Template object. The request contains the unique identifier of the Managed Cryptographic Object . The
 1169 operation can be performed only on an object in the Pre-Active state and has the effect of changing its
 1170 state to Active, and its Activation Date will be set to the current date and time.

1171 | Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 1172 | Recover operation before they can be specified.

| Request Payload | | |
|-------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | Determines the object being activated. If omitted, the ID Placeholder is substituted by the server |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

1173 | **Table 140: Activate Request Payload**

Formatted: Caption, Indent: Left: 0"

| Response Payload | | |
|-------------------|----------|-------------------------------------|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

1174 | **Table 141: Activate Response Payload**

Formatted: Indent: Left: 0"

1175 | 4.19 Revoke

1176 | This request is used to revoke a Managed Cryptographic Object or an Opaque Object. The request may
 1177 | not specify a Template object. The request contains the unique identifier of the Managed Cryptographic
 1178 | Object and a reason for the revocation, e.g. "compromised", "no longer used", etc. It is recommended that
 1179 | special authentication and authorization are enforced to perform this request (see Usage Guide). Only the
 1180 | object creator or an authorized security officer should be allowed to issue this request. The operation will
 1181 | have one of two effects. If the revocation reason is "compromised", then the object will be placed into the
 1182 | "compromised" state, and the Compromise Date attribute will be set to the current date and time.
 1183 | Otherwise, the object will be placed into the "deactivated" state, and the Deactivation Date attribute will be
 1184 | set to the current date and time.

Deleted: S

Deleted: is required to issue

1185 | Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 1186 | Recover operation before they can be specified.

| Request Payload | | |
|----------------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | Determines the object being revoked. If omitted, the ID Placeholder is substituted by the server |
| Revocation Reason | Yes | Specifies the reason for revocation |
| Compromise Occurrence Date | No | Only specified, and required, if the Revocation Reason is 'compromised' |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

1187 | **Table 142: Revoke Request Payload**

| Response Payload | | |
|-------------------|----------|-------------------------------------|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

1188 | **Table 143: Revoke Response Payload**

Formatted: Indent: Left: 0"

1189 | 4.20 Destroy

1190 | This request is used to indicate to the server that the key material for the specified Managed Object
 1191 | should be destroyed. The meta-data for the key material may be retained by the server. This is used for
 1192 | example, to ensure that an expired or revoked private signing key is no longer available. It is

Deleted: copies of

Deleted: are

1193 | [recommended that](#) special authentication and authorization [are enforced to perform](#), this request (see
 1194 | Usage Guide). Only the object creator or an authorized security officer should be allowed to issue this
 1195 | request. If the Unique Identifier specifies a Template object, then the object itself, including all meta-data
 1196 | may be destroyed.

Deleted: S
 Deleted: is required to issue

1197 | Only on-line objects can be specified. Archived objects must first be moved back on-line through a
 1198 | Recover operation before they can be specified.

| Request Payload | | |
|-------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | Determines the object being destroyed. If omitted, the ID Placeholder is substituted by the server |

Formatted Table
 Deleted: Required Field
 Formatted: Keep with next
 Formatted: Caption, Indent: Left: 0"

Table 144: Destroy Request Payload

| Response Payload | | |
|-------------------|----------|-------------------------------------|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |

Formatted Table
 Deleted: Required Field
 Formatted: Keep with next

Table 145: Destroy Response Payload

Formatted: Indent: Left: 0"

1201 4.21 Archive

1202 | This request is used to specify that a Managed Object is now permitted to be placed in archival storage.
 1203 | The actual time when the object is placed in archival storage and the location of the archive or level of
 1204 | archive hierarchy is determined by the policies within the key management system, and is not specified
 1205 | by the client. The request contains the unique identifier of the object. [It is recommended that](#) special
 1206 | authentication and authorization [are enforced to perform](#), this request (see Usage Guide). Only the object
 1207 | creator or an authorized security officer should be allowed to issue this request. This request may be
 1208 | considered only a "hint" to the key management system, which may or may not choose to act upon this
 1209 | request.

Deleted: S
 Deleted: is required to issue

| Request Payload | | |
|-------------------|----------|---|
| Object | Required | Description |
| Unique Identifier | No | Determines the object being archived. If omitted, the ID Placeholder is substituted by the server |

Formatted Table
 Deleted: Required Field
 Formatted: Keep with next
 Formatted: Caption, Indent: Left: 0"

Table 146: Archive Request Payload

| Response Payload | | |
|-------------------|----------|-------------------------------------|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |

Formatted Table
 Deleted: Required Field
 Formatted: Keep with next

Table 147: Archive Response Payload

Formatted: Indent: Left: 0"

1212 4.22 Recover

1213 | This request is used to obtain access to a Managed Object that has been placed in archival storage. Due
 1214 | to the fact that the object is located in archival storage, this request may require asynchronous polling to
 1215 | obtain the response. Once the response is received, the object is now on-line, and may be obtained via a
 1216 | normal Get operation, for instance. [It is recommended that](#) special authentication and authorization [are](#)
 1217 | [enforced to perform](#), this request (see Usage Guide).

Deleted: S
 Deleted: is required to issue

| Request Payload | | |
|-------------------|----------|--|
| Object | Required | Description |
| Unique Identifier | No | Determines the object being recovered. If omitted, the ID Placeholder is substituted by the server |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Table 148: Recover Request Payload

Formatted: Caption, Indent: Left: 0"

| Response Payload | | |
|-------------------|----------|-------------------------------------|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Table 149: Recover Response Payload

Formatted: Indent: Left: 0"

4.23 Validate

This requests that the server validate a certificate chain, and return information on its validity. Only a single certificate chain may be included in each request. Support for this operation at the server is optional.

The request may contain a list of certificate objects, and/or a list of Unique Identifiers which identify Managed Certificate objects. Together, the two lists comprise a certificate chain to be validated. The request may also optionally contain a date for which the certificate chain must be valid.

Deleted: T

Deleted: must together

The validation method or policy by which validation will be conducted is a decision of the server and is outside of the scope of this protocol. Likewise, the order in which the supplied certificate chain is validated and the specification of trust anchors used to terminate validation are also controlled by the server.

Only on-line objects can be specified. Archived objects must first be moved back on-line through a Recover operation before they can be specified.

| Request Payload | | |
|-------------------|---------------------|--|
| Object | Required | Description |
| Certificate | No, May be repeated | One or more Certificates |
| Unique Identifier | No, May be repeated | One or more Unique Identifiers of Certificate Objects |
| Validity Date | No | A Date-Time object indicating when the certificate chain must be valid |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

Table 150: Validate Request Payload

| Response Payload | | |
|--------------------|----------|--|
| Object | Required | Description |
| Validity Indicator | Yes | An Enumeration object indicating whether the certificate chain is valid, invalid, or unknown |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Table 151: Validate Response Payload

Formatted: Indent: Left: 0"

4.24 Query

This request is used by the client to interrogate the server to determine its capabilities and/or protocol mechanisms. It is recommended that the *Query* operation, used to interrogate server features and

1237 functions, be invocable by unauthenticated clients. The *Query Function* field in the request may contain
 1238 one of the following items:

- 1239 • Query Operations
- 1240 • Query Objects
- 1241 • Query Server Information

← Formatted: Indent: Left: 0.25"

1242 One, two, or all three of the above functions may be specified.

1243 The *Operation* fields in the response contain Operation enumerated values, which should list the
 1244 optionally supported operations that the server supports. These fields should only be returned in the
 1245 response if the request contains a Query Operations value in the Query Function field. The optional
 1246 operations are:

← Formatted: Indent: Left: 0"

- 1247 • Validate
- 1248 • Certify
- 1249 • Re-Certify
- 1250 • Notify
- 1251 • Put

1252 The *Object Type* fields in the response contain Object Type enumerated values, which should list the
 1253 object types that the server supports. These fields should only be returned in the response if the request
 1254 contains a *Query Objects* value in the Query Function field. The object types (any of which are optional)
 1255 are:

← Formatted: Indent: Left: 0"

- 1256 • Certificate
- 1257 • Symmetric Key
- 1258 • Public Key
- 1259 • Private Key
- 1260 • Split Key
- 1261 • Template
- 1262 • Secret Data
- 1263 • Opaque Object

← Formatted: Outline numbered + Level: 1 + Numbering Style: Bullet + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5", Tabs: 0.5", Left + Not at 0.99"

1264 The *Server Information* field in the response is a structure containing vendor-specific fields and/or
 1265 substructures. This field should only be returned in the response if the request contains a *Query Server*
 1266 *Information* value in the Query Function field.

← Formatted: Indent: Left: 0"

Deleted:

1267 Note that the response payload is empty if there are no values to return.

| Request Payload | | |
|-----------------|----------------------|--|
| Object | Required | Description |
| Query Function | Yes, May be Repeated | Determines the information being queried |

← Formatted Table

Deleted: Required Field

← Formatted: Keep with next

1268 **Table 152: Query Request Payload**

← Formatted: Caption, Indent: Left: 0"

| Response Payload | | |
|-----------------------|---------------------|--|
| Object | Required | Description |
| Operation | No, May be repeated | Specifies an Operation that is supported by the server. Only optional operations should be listed |
| Object Type | No, May be repeated | Specifies a Managed Object Type that is supported by the server |
| Vendor Identification | No | Must be returned if Query Server Information is requested. The Vendor Identification must be a text string that uniquely identifies the vendor |
| Server Information | No | Contains vendor-specific information that may be of interest to the client |

Table 153: Query Response Payload

4.25 Cancel

This request is used to cancel an outstanding asynchronous operation. The correlation value (see Section 6.8) of the original operation must be specified in the request. The server must respond with a *Cancellation Result*, which contains one of the following values:

- *Canceled* – The cancel operation succeeded in canceling the pending operation.
- *Unable To Cancel* – The cancel operation is unable to cancel the pending operation.
- *Completed* – The pending operation completed successfully before the cancellation operation was able to cancel it.
- *Failed* – The pending operation completed with a failure before the cancellation operation was able to cancel it.
- *Unavailable* – The specified correlation value did not match any recently pending or completed asynchronous operations.

The response to this operation cannot be asynchronous.

| Request Payload | | |
|--------------------------------|----------|--------------------------------------|
| Object | Required | Description |
| Asynchronous Correlation Value | Yes | Specifies the request being canceled |

Table 154: Cancel Request Payload

| Response Payload | | |
|--------------------------------|----------|---|
| Object | Required | Description |
| Asynchronous Correlation Value | Yes | Specified in the request |
| Cancellation Result | Yes | Enumeration indicating result of cancellation |

Table 155: Cancel Response Payload

4.26 Poll

This request is used to poll the server in order to obtain the status of an outstanding asynchronous operation. The correlation value (see Section 6.8) of the original operation must be specified in the request. The response to this operation cannot be asynchronous.

| Request Payload | | |
|---------------------------------|----------|------------------------------------|
| Object | Required | Description |
| Asynchronous Correlation Value. | Yes | Specifies the request being polled |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

1289

Table 156: Poll Request Payload

1290

The server must reply with one of two responses:

Formatted: Body Text, Indent: Left: 0", Space Before: 0 pt, After: 0 pt

1291

A response containing no payload and a Result Status of Pending, if the operation has not completed

1292

A response containing the appropriate payload for the operation, if the operation has completed. This

1293

response must be identical to the response that would have been sent if the operation had completed

1294

synchronously.

1295

5 Server-to-Client Operations

1296

Server-to-client operations are used by servers to send information or Managed Cryptographic Objects to clients outside of the normal client-server request-response mechanism. These operations are used to "push" Managed Cryptographic Objects directly to clients without a specific request from the client.

1297

1298

Formatted: Indent: Left: 0"

1299

5.1 Notify

1300

This operation is used to notify a client of events [that resulted in changes to attributes of an object](#). This operation is only ever sent by a server to a client outside the normal client request/response protocol, using information known to the server via unspecified configuration or administrative mechanisms. It contains the Unique Identifier of the object to which the notification applies, and a list of the attributes whose changed values have triggered the notification. The message is sent as a normal Request message, except that the Maximum Response Size, Asynchronous Indicator, Batch Error Continuation Option, and Batch Order Option fields are not allowed. The client must send a response in the form of a Response Message containing no payload, unless both the client and server have prior knowledge (obtained via out-of-band mechanisms) that the client cannot respond. Server and Client support for this message is optional.

1301

1302

1303

1304

1305

1306

1307

1308

1309

| Message Payload | | |
|-------------------|----------------------|---|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Attribute | Yes, May be repeated | The attributes which have changed. This includes at least the Last Changed Date attribute |

Formatted Table

Deleted: Required Field

Deleted: p

Formatted: Keep with next

1310

Table 157: Notify Message Payload

1311

5.2 Put

Formatted: Indent: Left: 0"

1312

This operation is used to "push" Managed Cryptographic Objects to clients. This operation is only ever sent by a server to a client outside the normal client request/response protocol, using information known to the server via unspecified configuration or administrative mechanisms. It contains the Unique Identifier of the object which is being sent, and the object itself. The message is sent as a normal Request message, except that the Maximum Response Size, Asynchronous Indicator, Batch Error Continuation Option, and Batch Order Option fields are not allowed. The client must send a response in the form of a Response Message containing no payload, unless both the client and server have prior knowledge (obtained via out-of-band mechanisms) that the client cannot respond. Server and client support for this message is optional.

1313

1314

1315

1316

1317

1318

1319

1320

1321

The *Put Function* field indicates whether the object being "pushed" is a new object, or a replacement for an object already known to the client. For example, when pushing a certificate to replace one that is about to expire, the Put Function field would be set to indicate replacement, and the Unique Identifier of the

1322

1323

1324 expiring certificate would be placed in the *Replaced Unique Identifier* field. The Put Function may contain
 1325 one of the following values:

- 1326 • *New* – which indicates that the object is not a replacement for another object.
- 1327 • *Replace* – which indicates that the object is a replacement for another object, and that the
 1328 *Replaced Unique Identifier* field is present, and contains the identification of the replaced object.

1329 The Attribute field contains one or more attributes that the server wishes to be pushed along with the
 1330 object. In particular, the server may include attributes with the object to specify how the object is to be
 1331 used by the client. The server may include a Lease Time attribute which grants a lease to the client.

1332 If the Managed Object is a wrapped key, the key wrapping specification must be exchanged prior to the
 1333 transfer via out-of-band mechanisms.

Formatted: Outline numbered + Level: 1 + Numbering Style: Bullet + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5", Tabs: 0.5", Left + Not at 0.99"

Formatted: Indent: Left: 0"

Deleted: policy

| Message Payload | | |
|---|---------------------|--|
| Object | Required | Description |
| Unique Identifier | Yes | The Unique Identifier of the object |
| Put Function | Yes | Indicates function for Put message |
| Replaced Unique Identifier | No | Unique Identifier of the replaced object. Must be present if the <i>Put Function</i> is <i>Replace</i> |
| Certificate, Symmetric Key, Private Key, Public Key, Split Key, Template, Secret Data, or Opaque Object | Yes | The object being sent to the client |
| Attribute | No, May be repeated | The additional attributes that the server wishes to push with the object |

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Table 158: Put Message Payload

6 Message Contents

1336 The messages in the protocol consist of a message header, one or more batch items which contain
 1337 optional message payloads, and optional message extensions. The message headers contain fields
 1338 whose presence is determined by the protocol features used, e.g. asynchronous responses. The field
 1339 contents are also determined by whether the message is a request or a response. The message payload
 1340 is determined by the specific operation being requested or replied to.

Deleted: M

Deleted: and

1341 The message headers are structures which contain some of the following objects.

6.1 Protocol Version

1343 This field contains the version number of the protocol, ensuring that the protocol is fully understood by
 1344 both communicating parties. The version number is specified in two parts, major and minor. Servers and
 1345 clients must support backward compatibility with versions of the protocol with the same major version but
 1346 different minor versions. Support for backward compatibility with different major versions is optional.

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted: Indent: Left: 0"

| Object | Encoding | Required |
|------------------------|-----------|----------|
| Protocol Version | Structure | Yes |
| Protocol Version Major | Integer | Yes |
| Protocol Version Minor | Integer | Yes |

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Table 159: Protocol Version Structure in Message Header

1348 6.2 Operation

1349 This field indicates the operation being requested or the operation for which the response is being
1350 returned. The operations are defined in Sections 4 and 5 .

| Object | Encoding | Required |
|-----------|-------------|----------|
| Operation | Enumeration | Yes |

1351 **Table 160: Operation in Batch Item**

1352 6.3 Maximum Response Size

1353 This field is optionally contained in a request message, and is used to indicate the maximum size of a
1354 response that the requester can handle. It need only be sent in requests that may return large replies.

| Object | Encoding | Required |
|-----------------------|----------|----------|
| Maximum Response Size | Integer | No |

1355 **Table 161: Maximum Response Size in Message Request Header**

1356 6.4 Unique Batch Item ID

1357 This field is optionally contained in a request, and is used for correlation between requests and
1358 responses. If a request has a *Unique Batch Item ID*, then responses to that request must have the same
1359 Unique Batch Item ID.

| Object | Encoding | Required |
|----------------------|--------------|----------|
| Unique Batch Item ID | Octet String | No |

1360 **Table 162: Unique Batch Item ID in Batch Item**

1361 6.5 Time Stamp

1362 This field is optionally contained in a request and required in a response, and is used for time stamping
1363 and may be used to enforce reasonable time usage at a client, e.g. a server may choose to reject a
1364 request if a client's time stamp contains a value that is too far off the known correct time. **The time stamp**,
1365 may also be used by a client, which has no real-time clock but only a countdown timer, to obtain useful
1366 "seconds from now" values from all of the Date attributes, by performing a subtraction.

| Object | Encoding | Required |
|------------|-----------|----------|
| Time Stamp | Date-Time | No |

1367 **Table 163: Time Stamp in Message Header**

1368 6.6 Authentication

1369 This is used to authenticate the requester. It is an optional information item, depending on the type of
1370 request being issued and on server policies. Servers may require authentication on no requests, a subset
1371 of the [requests](#), or all requests, depending on policy. It is recommended that the Query operation, used to
1372 interrogate server features and functions, not require authentication.

1373 The authentication mechanisms are described and discussed in Section 8 .

| Object | Encoding | Required |
|----------------|-----------|----------|
| Authentication | Structure | No |
| Credential | Structure | Yes |

Formatted: Indent: Left: 0",
Outline numbered + Level: 2 +
Numbering Style: 1, 2, 3, ... + Start
at: 1 + Alignment: Left + Aligned at:
0.5" + Tab after: 0" + Indent at:
0.5"

Deleted: Required Field

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0",
Outline numbered + Level: 2 +
Numbering Style: 1, 2, 3, ... + Start
at: 1 + Alignment: Left + Aligned at:
0.5" + Tab after: 0" + Indent at:
0.5"

Formatted: Indent: Left: 0"

Deleted: Required Field

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0",
Outline numbered + Level: 2 +
Numbering Style: 1, 2, 3, ... + Start
at: 1 + Alignment: Left + Aligned at:
0.5" + Tab after: 0" + Indent at:
0.5"

Formatted: Indent: Left: 0"

Deleted: as

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Indent: Left: 0",
Outline numbered + Level: 2 +
Numbering Style: 1, 2, 3, ... + Start
at: 1 + Alignment: Left + Aligned at:
0.5" + Tab after: 0" + Indent at:
0.5"

Formatted: Indent: Left: 0"

Deleted: It

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Indent: Left: 0",
Outline numbered + Level: 2 +
Numbering Style: 1, 2, 3, ... + Start
at: 1 + Alignment: Left + Aligned at:
0.5" + Tab after: 0" + Indent at:
0.5"

Formatted: Indent: Left: 0"

Deleted: operations

Formatted Table

Deleted: Required Field

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

1374
1375

Table 164: Authentication Structure in Message Header

The Credential structure is defined in Section 2.1.2 .

1376 **6.7 Asynchronous Indicator**

1377 This boolean flag indicates whether the client can accept an asynchronous response. It must have the
1378 boolean value True if the client can handle asynchronous responses, and the value False otherwise. If
1379 not present in a request, False is assumed. If a client indicates that it can't handle asynchronous
1380 responses (flag is set to False), and the server is not able to process the request synchronously, the
1381 server must respond to the request with a failure.

| Object | Encoding | Required |
|------------------------|----------|----------|
| Asynchronous Indicator | Boolean | No |

1382 **Table 165: Asynchronous Indicator in Message Request Header**

1383 **6.8 Asynchronous Correlation Value**

1384 This is returned in the immediate response to an operation that will require asynchronous polling (the
1385 server decides which operations it wants to perform synchronously or asynchronously). It is a server
1386 generated correlation value that must be specified in any subsequent Poll, or Cancel operations that
1387 pertain to the original operation.

| Object | Encoding | Required |
|--------------------------------|--------------|----------|
| Asynchronous Correlation Value | Octet String | No |

1388 **Table 166: Asynchronous Correlation Value in Response Batch Item**

1389 **6.9 Result Status**

1390 This is sent in a response message and indicates the success or failure of a request. The following values
1391 may be set in this field:

- 1392 • *Success* – The requested operation completed successfully.
- 1393 • *Pending* – The requested operation is in progress, and the actual result must be obtained via
1394 asynchronous polling. The asynchronous correlation value must be used for the subsequent
1395 polling of the result status.
- 1396 • *Undone* – The requested operation was performed, but had to be undone (due to a failure in a
1397 batch for which the Error Continuation Option was set to Undo)
- 1398 • *Failure* – The requested operation failed.

| Object | Encoding | Required |
|---------------|-------------|----------|
| Result Status | Enumeration | Yes |

1399 **Table 167: Result Status in Response Batch Item**

1400 **6.10 Result Reason**

1401 This field indicates a reason for failure or a modifier for a partially successful operation and must be
1402 present in responses that return a Result Status of Failure. It is optional in any response that returns a
1403 Result Status of Success. The following defined values may be set in this field:

- 1404 • *Item Not Found* – A requested object was not found or did not exist.

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0.5"

Formatted: Indent: Left: 0"

Deleted: cap

Deleted: reject

Deleted: status

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Font color: Custom Color(59,0,111)

Formatted: Indent: Left: 0", Tabs: 0", Left + Not at: 0.5"

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0.5"

Formatted: Indent: Left: 0"

Deleted: the

Formatted: Outline numbered + Level: 1 + Numbering Style: Bullet + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5", Tabs: 0.5", Left + Not at: 1"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0.5"

Formatted: Indent: Left: 0"

- 1405 | • *Response too large* – The response to a request would exceed the *Maximum Response Size* in
1406 | the request.
- 1407 | • *Authentication not successful* – The authentication information in the request could not be
1408 | validated, or there was no authentication information in the request when there should have been.
- 1409 | • *Invalid Message* – The request message was not understood by the server.
- 1410 | • *Operation Not Supported* – The operation requested by the request message is not supported by
1411 | the server.
- 1412 | • *Missing Data* – The operation requires additional optional information in the request, which was
1413 | not present.
- 1414 | • *Invalid Field* – Some data item in the request has an invalid value.
- 1415 | • *Feature not supported* – An optional feature specified in the request is not supported.
- 1416 | • *Operation canceled by requester* – The operation was asynchronous, and the operation was
1417 | canceled by the Cancel operation before it completed successfully.
- 1418 | • *Cryptographic failure* – The operation failed due to a cryptographic error.
- 1419 | • *Illegal Operation* – The client requested an operation that could not be performed with the
1420 | specified parameters.
- 1421 | • *Permission Denied* – The client does not have permission to perform the requested operation.
- 1422 | • *Object archived* – The object should be first recovered from the archive.
- 1423 | • *General Failure* – The request failed for a reason other than the defined reasons above.

- Deleted: have
- Deleted: ed
- Deleted: did
- Deleted: pass
- Deleted: ion
- Deleted: was
- Deleted: d
- Deleted: d
- Deleted: wa
- Deleted: id

| Object | Encoding | Required |
|---------------|-------------|----------|
| Result Reason | Enumeration | Yes |

Table 168: Result Reason in Response Batch Item

- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next

6.11 Result Message

This field may optionally be returned in a response. It contains a more descriptive error message, which may be used by the client to display to an end user or for logging/auditing purposes.

| Object | Encoding | Required |
|----------------|-------------|----------|
| Result Message | Text String | No |

Table 169: Result Message in Response Batch Item

- Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:
- Formatted: Indent: Left: 0"
- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next

6.12 Batch Order Option

A Boolean value used in requests where the Batch Count is greater than 1. If true, then batched operations must be executed in the order in which they appear within the request. If false, the server may choose to execute the batched operations in any order. If not specified, false is assumed (i.e. no implied ordering). Server support for this feature is optional, but if the server does not support the feature, and a request is received with the batch order option set to true, the entire request must be rejected.

| Object | Encoding | Required |
|--------------------|----------|----------|
| Batch Order Option | Boolean | No |

Table 170: Batch Order Option in Message Request Header

- Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:
- Formatted: Indent: Left: 0"
- Deleted: flag
- Formatted Table
- Deleted: Required Field
- Formatted: Keep with next

1436 **6.13 Batch Error Continuation Option**

1437 This option should only be present if the Batch Count is greater than 1. This option may have one of three
 1438 values:

- 1439 • *Undo* – If any operation in the request fails, the server must undo all the previous operations.
- 1440 • *Stop* – If an operation fails, the server must not continue processing later operations in the
 1441 request. Completed operations **must** be left intact.
- 1442 • *Continue* – Return an error for the failed operation, and continue processing later operations in
 1443 the request.

1444 If not specified, Stop is assumed.

1445 Server support for this feature is optional, but if the server does not support the feature, and a request is
 1446 received containing the *Batch Error Continuation* option, the entire request must be rejected.

| Object | Encoding | Required |
|---------------------------------|-------------|----------|
| Batch Error Continuation Option | Enumeration | No |

1447 **Table 171: Batch Error Continuation Option in Message Request Header**

1448 **6.14 Batch Count**

1449 This field is required. It contains the number of Batch Items in a message. If only a single operation is
 1450 being requested, the batch count must be set to 1. The Message Payload, which follows the Message
 1451 Header, will contain one or more batch items.

| Object | Encoding | Required |
|-------------|----------|----------|
| Batch Count | Integer | Yes |

1452 **Table 172: Batch Count in Message Header**

1453 **6.15 Batch Item**

1454 This field is required. It consists of a structure that holds the individual requests or responses in a batch.
 1455 The contents of the batch items is described in Sections 7.2 and 7.3 .

| Object | Encoding | Required |
|------------|-----------|----------|
| Batch Item | Structure | No |

1456 **Table 173: Batch Item in Message**

1457 **6.16 Message Extension**

1458 The *Message Extension* is an optional structure which may be appended to any Batch Item. It is used to
 1459 extend protocol messages for the purpose of adding vendor specified extensions. The Message
 1460 Extension is a structure containing a Vendor Identification, a Criticality Indicator, and vendor-specific
 1461 extensions. The *Vendor Identification* must be a text string that uniquely identifies the vendor, allowing a
 1462 client to determine if the extension can be parsed and understood. If a client or server receives a protocol
 1463 message containing a message extension that it does not understand, its actions depend on the *Criticality
 1464 Indicator*. If the indicator is True (Critical), and the receiver does not understand the extension, the
 1465 receiver must reject the entire message. If the indicator is False (Non-Critical), and the receiver does not
 1466 understand the extension, the receiver may process the rest of the message as if the extension were not
 1467 present.

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Deleted: Batched operation partial failure continuation option.

Formatted: Indent: Left: 0"

Deleted: will

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted: Indent: Left: 0"

Formatted Table

Deleted: Required Field

Formatted: Keep with next

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0.5"

Deleted: Required Field

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted: Indent: Left: 0"

| Object | Encoding | Required |
|-----------------------|-------------|----------|
| Message Extension | Structure | No |
| Vendor Identification | Text String | Yes |
| Criticality Indicator | Boolean | Yes |
| Vendor Extension | Structure | Yes |

Table 174: Message Extension Structure in Batch Item

- Deleted: Required Field
- Formatted Table
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next

7 Message Format

Messages contain the following objects and fields. All fields must appear in the order specified.

7.1 Message Structure

| Object | Encoding | Required |
|-----------------|-----------|----------------------|
| Request Message | Structure | Yes |
| Request Header | Structure | Yes |
| Batch Item | Structure | Yes, May be repeated |

Table 175: Request Message Structure

| Object | Encoding | Required |
|------------------|-----------|----------------------|
| Response Message | Structure | Yes |
| Response Header | Structure | Yes |
| Batch Item | Structure | Yes, May be repeated |

Table 176: Response Message Structure

- Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:
- Formatted Table
- Deleted: Required Field
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Caption
- Formatted Table
- Deleted: Required Field
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next

7.2 Synchronous Operations

| Synchronous Request Header | | |
|---------------------------------|---------------------|------------------------------|
| Object | Required in Message | Comment |
| Request Header | Yes | Structure |
| Protocol Version | Yes | |
| Maximum Response Size | No | |
| Authentication | No | |
| Batch Error Continuation Option | No | If omitted, Stop is assumed |
| Batch Order Option | No | If omitted, False is assumed |
| Time Stamp | No | |
| Batch Count | Yes | |

Table 177: Synchronous Request Header Structure

| Synchronous Request Batch Item |
|--------------------------------|
|--------------------------------|

- Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:
- Formatted Table
- Deleted: or Field
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Indent: Left: 0.5"
- Formatted: Keep with next
- Formatted: Caption, Indent: Left: 0"
- Formatted Table

| Object | Required in Message | Comment |
|----------------------|---------------------|---|
| Batch Item | Yes | Structure |
| Operation | Yes | |
| Unique Batch Item ID | No | Required if <i>Batch Count</i> > 1 |
| Request Payload | Yes | Structure, contents depend on the Operation |
| Message Extension | No | |

Table 178: Synchronous Request Batch Item Structure

| Synchronous Response Header | | |
|-----------------------------|---------------------|-----------|
| Object | Required in Message | Comment |
| Response Header | Yes | Structure |
| Protocol Version | Yes | |
| Time Stamp | Yes | |
| Batch Count | Yes | |

Table 179: Synchronous Response Header Structure

| Synchronous Response Batch Item | | |
|---------------------------------|-----------------------|--|
| Object | Required in Message | Comment |
| Batch Item | Yes | Structure |
| Operation | Yes, if not a failure | |
| Unique Batch Item ID | No | Required if <i>Batch Count</i> > 1 |
| Result Status | Yes | |
| Result Reason | No | Only present, if Result Status is not <i>Success</i> |
| Result Message | No | Only present, if Result Status is not <i>Success</i> |
| Response Payload | Yes, if not a failure | Structure, contents depend on the Operation |
| Message Extension | No | |

Table 180: Synchronous Response Batch Item Structure

Deleted: or Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption, Indent: Left: 0"

Formatted Table

Deleted: or Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Caption

Formatted Table

Deleted: or Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted: Indent: Left: 0"

1476

1477

1478

1479

1480

1481

1482

7.3 Asynchronous Operations

If the client is capable of accepting asynchronous responses, it may set the *Asynchronous Indicator* in the header of a batched request. The batched responses may contain a mixture of synchronous and asynchronous responses.

| Asynchronous Request Header | | |
|---------------------------------|---------------------|------------------------------|
| Object | Required in Message | Comment |
| Request Header | Yes | Structure |
| Protocol Version | Yes | |
| Maximum Response Size | No | |
| Asynchronous Indicator | Yes | Must be set to True |
| Authentication | No | |
| Batch Error Continuation Option | No | If omitted, Stop is assumed |
| Batch Order Option | No | If omitted, False is assumed |
| Time Stamp | No | |
| Batch Count | Yes | |

Table 181: Asynchronous Request Header Structure

| Asynchronous Request Batch Item | | |
|---------------------------------|---------------------|---|
| Object | Required in Message | Comment |
| Batch Item | Yes | Structure |
| Operation | Yes | |
| Unique Batch Item ID | No | Required if <i>Batch Count</i> > 1 |
| Request Payload | Yes | Structure, contents depend on the Operation |
| Message Extension | No | |

Table 182: Asynchronous Request Batch Item Structure

| Asynchronous Response Header | | |
|------------------------------|---------------------|-----------|
| Object | Required in Message | Comment |
| Response Header | Yes | Structure |
| Protocol Version | Yes | |
| Time Stamp | Yes | |
| Batch Count | Yes | |

Table 183: Asynchronous Response Header Structure

| Asynchronous Response Batch Item | | |
|----------------------------------|--|--|
|----------------------------------|--|--|

- ← Formatted Table
- ← Deleted: or Field
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Keep with next
- ← Formatted: Caption, Indent: Left: 0"
- ← Formatted Table
- ← Deleted: or Field
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Keep with next
- ← Formatted: Caption, Indent: Left: 0"
- ← Formatted Table
- ← Deleted: or Field
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Indent: Left: 0.5"
- ← Formatted: Keep with next
- ← Formatted: Caption, Indent: Left: 0"
- ← Formatted Table

1483

1484

1485

| Object | Required in Message | Comment |
|--------------------------------|-----------------------|--|
| Batch Item | Yes | Structure |
| Operation | Yes, if not a failure | |
| Unique Batch Item ID | No | Required if <i>Batch Count</i> > 1 |
| Result Status | Yes | |
| Result Reason | No | Only present, if Result Status is not <i>Pending</i> or <i>Success</i> |
| Result Message | No | Only present, if Result Status is not <i>Pending</i> or <i>Success</i> |
| Asynchronous Correlation Value | Yes | Only present, if Result Status is <i>Pending</i> |
| Response Payload | Yes, if not a failure | Structure, contents depend on the Operation |
| Message Extension | No | |

Table 184: Asynchronous Response Batch Item Structure

Deleted: or Field

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Indent: Left: 0.5"

Formatted: Keep with next

1486

1487 8 Authentication

1488 The mechanisms used to authenticate the client to the server and the server to the client are not part of
 1489 the message definitions, and are external to the protocol. The *Authentication* field contained in Request
 1490 Headers is used to identify the client and to provide linkage between this identification and the external
 1491 authentication mechanism.

1492 The Usage Guide describes authentication profiles appropriate to this protocol, as well as the relationship
 1493 of those mechanisms to the credentials **that are** optionally included in the Authentication field. The
 1494 authentication profiles described are:

- 1495 • SSL/TLS authentication. If the transport protocol uses a normal TCP stream, then that stream
 1496 should use an SSL/TLS encryption layer, and the client and server authentication features must
 1497 be enabled unless otherwise specified in the operation. The Credential object contained in the
 1498 Authentication field in all request messages will contain the client's certificate. The server should
 1499 use this certificate to identify the client for policy enforcement purposes, and should verify that
 1500 this certificate matches the one used for SSL/TLS authentication.
- 1501 • HTTPS authentication. If the transport protocol is HTTP over TCP, then the HTTPS protocol
 1502 should be used, and the client and server authentication features enabled unless otherwise
 1503 specified in the operation. The contents and use of the *Credential* object are the same as in the
 1504 [bullet](#) above.

Deleted: normal TCP example

1505 All server implementations should, at **a minimum**, support the SSL/TLS and HTTPS profiles described in
 1506 the Usage Guide.

Deleted: least

1507 Other mechanisms, such as Kerberos, are potentially usable, with the identity established in the
 1508 mechanism, such as the Kerberos token, expressed as the Credential object. Profiles for these
 1509 mechanisms are **not currently** described in the Usage Guide.

Deleted: currently

1510 9 Message Encoding

1511 To support different transport protocols and different client capabilities, a number of message-encoding
 1512 mechanisms are supported.

1513 **9.1 TTLV Encoding**

1514 In order to minimize the resource impact on potentially low-function clients, one encoding mechanism to
1515 be used for protocol messages is a simplified TTLV (Tag, Type, Length, Value) scheme.

1516 The scheme is designed to minimize the CPU cycle and memory requirements of clients that must
1517 encode or decode protocol messages, and to provide optimal alignment for both 32-bit and 64-bit
1518 processors. Minimizing bandwidth over the transport mechanism is considered to be of lesser importance.

1519 **9.1.1 TTLV Encoding Fields**

1520 Every Data object encoded by the TTLV scheme consists of 4 items, in order:

1521 **9.1.1.1 Item Tag**

1522 An Item Tag is a 3-byte binary unsigned integer, transmitted big endian, which contains a number that
1523 designates the specific Protocol Field or Object that the TTLV object represents. To ease debugging, and
1524 to ensure that malformed messages are detected more easily, all tags must contain either the value 42 in
1525 hex or the value 54 in hex as the high order (first) byte. Tags defined by this specification contain hex 42
1526 in the first byte. Extensions, which are permitted, but not defined in this specification, contain the value 54
1527 hex in the first byte. A list of defined Item Tags is in Section 9.1.3.1 .

1528 **9.1.1.2 Item Type**

1529 An Item Type is a byte containing a coded value that indicates the data type of the data object. The
1530 allowed values are:

| Data Type | Coded Value in Hex |
|--------------|--------------------|
| Structure | 01 |
| Integer | 02 |
| Long Integer | 03 |
| Big Integer | 04 |
| Enumeration | 05 |
| Boolean | 06 |
| Text String | 07 |
| Octet String | 08 |
| Date-Time | 09 |
| Interval | 0A |

1531 **Table 185: Allowed Item Type Values**

1532 **9.1.1.3 Item Length**

1533 An Item Length is a 32-bit binary integer, transmitted big-endian, containing the number of bytes in the
1534 Item Value.

1535 Allowed values are:

1536

Formatted: Indent: Left: 0",
Outline numbered + Level: 2 +
Numbering Style: 1, 2, 3, ... + Start
at: 1 + Alignment: Left + Aligned at:
0.5" + Tab after: 0" + Indent at:
Formatted: Indent: Left: 0"

Formatted: Outline numbered +
Level: 3 + Numbering Style: 1, 2, 3,
... + Start at: 1 + Alignment: Left +
Aligned at: 0" + Tab after: 0" +
Indent at: 0"
Formatted: Indent: Left: 0"

Formatted: Outline numbered +
Level: 4 + Numbering Style: 1, 2, 3,
... + Start at: 1 + Alignment: Left +
Aligned at: 0" + Tab after: 0" +
Indent at: 0"

Formatted: Outline numbered +
Level: 4 + Numbering Style: 1, 2, 3,
... + Start at: 1 + Alignment: Left +
Aligned at: 0" + Tab after: 0" +
Indent at: 0"
Formatted: Indent: Left: 0"

Formatted Table

... [3]

Formatted: Outline numbered +
Level: 4 + Numbering Style: 1, 2, 3,
... + Start at: 1 + Alignment: Left +
Aligned at: 0" + Tab after: 0" +
Indent at: 0"
Formatted: Indent: Left: 0"

| Data Type | Length |
|--------------|-----------------------|
| Structure | Varies, multiple of 8 |
| Integer | 4 |
| Long Integer | 8 |
| Big Integer | Varies, multiple of 8 |
| Enumeration | 4 |
| Boolean | 8 |
| Text String | Varies |
| Octet String | Varies |
| Date-Time | 8 |
| Interval | 4 |

Formatted Table

... [4]

1537

Table 186: Allowed Item Length Values

1538
1539
1540
1541
1542

If the Item Type is Structure, then the Item Length is the total length of all of the sub-items contained in the structure, including any padding. If the Item Type is Integer, Enumeration, Text String, Octet String, or Interval, then the Item Length is the number of bytes excluding the padding bytes. Text Strings and Octet Strings must be padded with the minimal number of bytes following the Item Value to obtain a multiple of 8 bytes. Integers, Enumerations, and Intervals must be padded with 4 bytes following the Item Value.

Formatted: Indent: Left: 0"

1543

9.1.1.4 Item Value

1544
1545
1546

The item value is a sequence of bytes containing the value of the data item, depending on the type:

- Integers are encoded as 4-byte long (32 bit) binary signed numbers in 2's complement notation, transmitted big-endian.
- Long Integers are encoded as 8-byte long (64 bit) binary signed numbers in 2's complement notation, transmitted big-endian.
- Big Integers are encoded as a sequence of 8-bit bytes, in 2's complement notation, transmitted big-endian. If the length of the sequence is not a multiple of 8 bytes, then Big Integers shall be padded with the minimal number of leading sign-extended bytes to make the length a multiple of 8 bytes. These padding bytes are part of the Item Value and must be counted in the Item Length.
- Enumerations are encoded as 4-byte long (32 bit) binary unsigned numbers transmitted big-endian. Extensions, which are permitted, but not defined in this specification, contain the value 8 hex in the first nibble of the first byte.
- Booleans are encoded as an 8-byte value that must either contain the hex value 0000000000000000, indicating the boolean value *False*, or the hex value 0000000000000001, transmitted big-endian, indicating the boolean value *True*.
- Text Strings are sequences of bytes encoding character values according to the UTF-8 encoding standard. There must be no null-termination at the end of such strings.
- Octet Strings are sequences of bytes containing individual unspecified 8 bit binary values.
- Date-Time values are encoded as 8-byte long (64 bit) binary signed numbers, transmitted big-endian. They are POSIX Time values (described in IEEE Standard 1003.1) extended to a 64 bit value to eliminate the "Year 2038 problem" ([problem that will affect Unix systems that store time](#))

Formatted: Outline numbered + Level: 4 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted: Indent: Left: 0"

Formatted: Outline numbered + Level: 1 + Numbering Style: Bullet + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5", Tabs: 0.5", Left + Not at 1.5"

1547
1548

1549
1550
1551
1552

1553
1554
1555

1556
1557
1558

1559
1560

1561

1562
1563
1564

1565 | [as a signed 32-bit integer](#)). The value is expressed as the number of seconds from a time epoch,
1566 | which is 00:00:00 GMT January 1st, 1970. This value has a resolution of 1 second. All Date-Time
1567 | values are expressed as UTC values.

1568 | • Intervals are encoded as 4-byte long (32 bit) binary unsigned numbers, transmitted big-endian.
1569 | They have a resolution of 1 second.

1570 | • Structure Values are encoded as the concatenated encodings of the elements of the structure. All
1571 | structures defined in this specification must have all of their fields encoded in the order in which
1572 | they appear in their respective structure descriptions.

1573 | **9.1.2 Examples**

1574 | These examples are assumed to be encoding a Protocol Object whose tag is 420020. The examples are
1575 | shown as a sequence of bytes in hexadecimal notation:

1576 | • An Integer containing the decimal value 8:

1577 | 42 00 20 | 02 | 00 00 00 04 | 00 00 00 08 00 00 00 00

1578 | • A Long Integer containing the decimal value 123456789000000000:

1579 | 42 00 20 | 03 | 00 00 00 08 | 01 B6 9B 4B A5 74 92 00

1580 | • A Big Integer containing the decimal value 12345678900000000000000000000000:

1581 | 42 00 20 | 04 | 00 00 00 10 | 00 00 00 00 03 FD 35 EB 6B C2 DF 46 18 08
1582 | 00 00

1583 | • An Enumeration with value 255:

1584 | 42 00 20 | 05 | 00 00 00 04 | 00 00 00 FF 00 00 00 00

1585 | • A Boolean with the value *True*:

1586 | 42 00 20 | 06 | 00 00 00 08 | 00 00 00 00 00 00 00 01

1587 | • A Text String:

1588 | 42 00 20 | 07 | 00 00 00 0B | 48 65 6C 6C 6F 20 57 6F 72 6C 64 00 00 00
1589 | 00 00

1590 | • An Octet String:

1591 | 42 00 20 | 08 | 00 00 00 03 | 01 02 03 00 00 00 00 00

1592 | • A Date-Time, containing the value for Friday, March 14, 2008, 11:56:40 GMT:

1593 | 42 00 20 | 09 | 00 00 00 08 | 00 00 00 00 47 DA 67 F8

1594 | • An Interval, containing the value for 10 days:

1595 | 42 00 20 | 0A | 00 00 00 04 | 00 0D 2F 00 00 00 00 00

1596 | • A Structure containing an Enumeration, value 254, followed by an Integer, value 255, having tags
1597 | 420004 and 420005 respectively:

1598 | 42 00 20 | 01 | 00 00 00 20 | 42 00 04 | 05 | 00 00 00 04 | 00 00 00 FF
1599 | 00 00 00 00 | 42 00 05 | 02 | 00 00 00 04 | 00 00 00 FF 00 00 00 00

1600 | **9.1.3 Defined Values**

1601 | This section specifies the values that are defined by this specification. In all cases where an extension
1602 | mechanism is allowed, this extension mechanism may only be used for communication between parties
1603 | that have pre-agreed understanding of the specific extensions.

Formatted: Outline numbered +
Level: 3 + Numbering Style: 1, 2, 3,
... + Start at: 1 + Alignment: Left +
Aligned at: 0" + Tab after: 0" +
Indent at: 0"

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0.5",
Tabs: 0.5", Left

Formatted: Outline numbered +
Level: 3 + Numbering Style: 1, 2, 3,
... + Start at: 1 + Alignment: Left +
Aligned at: 0" + Tab after: 0" +
Indent at: 0"

Formatted: Indent: Left: 0"

1604 **9.1.3.1 Tags**

1605 The following table defines the tag values for the objects and primitive data values for the protocol
 1606 messages.

| Tag | |
|--|-----------------|
| Object | Tag Value |
| (Unused) | 000000 - 420000 |
| Activation Date | 420001 |
| Application Identifier | 420002 |
| Application Name Space | 420003 |
| Application Specific Identification | 420004 |
| Archive Date | 420005 |
| Asynchronous Correlation Value | 420006 |
| Asynchronous Indicator | 420007 |
| Attribute | 420008 |
| Attribute Index | 420009 |
| Attribute Name | 42000A |
| Attribute Value | 42000B |
| Authentication | 42000C |
| Batch Count | 42000D |
| Batch Error Continuation Option | 42000E |
| Batch Item | 42000F |
| Batch Order Option | 420010 |
| Block Cipher Mode | 420011 |
| Cancellation Result | 420012 |
| Certificate | 420013 |
| Certificate Issuer | 420014 |
| Certificate Request | 420015 |
| Certificate Request Type | 420016 |
| Certificate Subject | 420017 |
| Certificate Subject Alternative Name | 420018 |
| Certificate Subject Distinguished Name | 420019 |
| Certificate Type | 42001A |
| Certificate Value | 42001B |
| Common Template-Attribute | 42001C |
| Compromise Date | 42001D |

Formatted: Outline numbered + Level: 4 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted: Indent: Left: 0"

Formatted Table

| Tag | |
|----------------------------|-----------|
| Object | Tag Value |
| Compromise Occurrence Date | 42001E |
| Contact Information | 42001F |
| Credential | 420020 |
| Credential Type | 420021 |
| Credential Value | 420022 |
| Criticality Indicator | 420023 |
| CRT Coefficient | 420024 |
| Cryptographic Algorithm | 420025 |
| Cryptographic Length | 420026 |
| Cryptographic Parameters | 420027 |
| Cryptographic Usage Mask | 420028 |
| Custom Attribute | 420029 |
| D | 42002A |
| Deactivation Date | 42002B |
| Derivation Data | 42002C |
| Derivation Method | 42002D |
| Derivation Parameters | 42002E |
| Destroy Date | 42002F |
| Digest | 420030 |
| Digest Value | 420031 |
| Encryption Key Information | 420032 |
| G | 420033 |
| Hashing Algorithm | 420034 |
| Initial Date | 420035 |
| Initialization Vector | 420036 |
| Issuer | 420037 |
| Iteration Count | 420038 |
| IV/Counter/Nonce | 420039 |
| J | 42003A |
| Key | 42003B |
| Key Block | 42003C |
| Key Material | 42003D |
| Key Part Identifier | 42003E |
| Key Value | 42003F |
| Key Value Type | 420040 |
| Key Wrapping Data | 420041 |

← Formatted Table

| Tag | |
|--------------------------------|-----------|
| Object | Tag Value |
| Key Wrapping Specification | 420042 |
| Last Changed Date | 420043 |
| Lease Time | 420044 |
| Link | 420045 |
| Link Type | 420046 |
| Linked Object Identifier | 420047 |
| MAC/Signature | 420048 |
| MAC/Signature Key Information | 420049 |
| Maximum Items | 42004A |
| Maximum Response Size | 42004B |
| Message Extension | 42004C |
| Modulus | 42004D |
| Name | 42004E |
| Name Type | 42004F |
| Name Value | 420050 |
| Object Group | 420051 |
| Object Type | 420052 |
| Offset | 420053 |
| Opaque Data Type | 420054 |
| Opaque Data Value | 420055 |
| Opaque Object | 420056 |
| Operation | 420057 |
| Operation Policy Name | 420058 |
| P | 420059 |
| Padding Method | 42005A |
| Prime Exponent P | 42005B |
| Prime Exponent Q | 42005C |
| Prime Field Size | 42005D |
| Private Exponent | 42005E |
| Private Key | 42005F |
| Private Key Template-Attribute | 420060 |
| Private Key Unique Identifier | 420061 |
| Process Start Date | 420062 |
| Protect Stop Date | 420063 |
| Protocol Version | 420064 |

← Formatted Table

| Tag | |
|-------------------------------|-----------|
| Object | Tag Value |
| Protocol Version Major | 420065 |
| Protocol Version Minor | 420066 |
| Public Exponent | 420067 |
| Public Key | 420068 |
| Public Key Template-Attribute | 420069 |
| Public Key Unique Identifier | 42006A |
| Put Function | 42006B |
| Q | 42006C |
| Q String | 42006D |
| Query Function | 42006E |
| Recommended Curve | 42006F |
| Replaced Unique Identifier | 420070 |
| Request Header | 420071 |
| Request Message | 420072 |
| Request Payload | 420073 |
| Response Header | 420074 |
| Response Message | 420075 |
| Response Payload | 420076 |
| Result Message | 420077 |
| Result Reason | 420078 |
| Result Status | 420079 |
| Revocation Message | 42007A |
| Revocation Reason | 42007B |
| Revocation Reason Code | 42007C |
| Role Type | 42007D |
| Salt | 42007E |
| Secret Data | 42007F |
| Secret Data Type | 420080 |
| Serial Number | 420081 |
| Server Information | 420082 |
| Split Key | 420083 |
| Split Key Method | 420084 |
| Split Key Parts | 420085 |
| Split Key Threshold | 420086 |
| State | 420087 |
| Storage Status Mask | 420088 |

← Formatted Table

| Tag | |
|----------------------------|-------------------|
| Object | Tag Value |
| Symmetric Key | 420089 |
| Template | 42008A |
| Template-Attribute | 42008B |
| Time Stamp | 42008C |
| Unique Identifier | 42008D |
| Unique Batch Item ID | 42008E |
| Usage Limits | 42008F |
| Usage Limits Byte Count | 420090 |
| Usage Limits Object Count | 420091 |
| Usage Limits Total Bytes | 420092 |
| Usage Limits Total Objects | 420093 |
| Validity Date | 420094 |
| Validity Indicator | 420095 |
| Vendor Extension | 420096 |
| Vendor Identification | 420097 |
| Wrapping Method | 420098 |
| X | 420099 |
| Y | 42009A |
| (Reserved) | 42009B - 42FFFFFF |
| (Unused) | 430000 - 53FFFFFF |
| Extensions | 540000 - 54FFFFFF |
| (Unused) | 550000 - FFFFFFFF |

Formatted Table

- Deleted: Template Name ... [5]
- Deleted: C
- Deleted: D
- Deleted: E
- Deleted: F
- Deleted: 90
- Deleted: 1
- Deleted: 2
- Deleted: 3
- Deleted: 4
- Deleted: 5
- Deleted: 6
- Deleted: 7
- Deleted: 8
- Deleted: 9
- Deleted: A
- Deleted: B
- Deleted: C

Formatted: Keep with next

Table 187: Tag Values

1608 **9.1.3.2 Enumerations**

1609 The following tables define the values for enumerated lists.

1610 **9.1.3.2.1 Credential Type Enumeration**

| Credential Type | |
|-----------------------|-----------|
| Name | Value |
| Username & Password | 00000001 |
| Token | 00000002 |
| Biometric Measurement | 00000003 |
| Certificate | 00000004 |
| Extensions | 8XXXXXXXX |

1611 **Table 188: Credential Type Enumeration**

1612 **9.1.3.2.2 Key Value Type Enumeration**

| Key Value Type | |
|-------------------------------|--------------------------|
| Name | Value |
| Raw | 00000001 |
| Opaque | 00000002 |
| PKCS#1 | 00000003 |
| PKCS#8 | 00000004 |
| X.509 | 00000005 |
| Transparent Symmetric Key | 00000006 |
| Transparent DSA Private Key | 00000007 |
| Transparent DSA Public Key | 00000008 |
| Transparent RSA Private Key | 00000009 |
| Transparent RSA Public Key | 0000000A |
| Transparent DH Private Key | 0000000B |
| Transparent DH Public Key | 0000000C |
| Transparent ECDSA Private Key | 0000000D |
| Transparent ECDSA Public Key | 0000000E |
| Transparent ECDH Private Key | 0000000F |
| Transparent ECDH Public Key | 00000010 |
| Extensions | 8XXXXXXXX |

1613 **Table 189: Key Value Type Enumeration**

Formatted: Outline numbered + Level: 4 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted: Indent: Left: 0"

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

Formatted: Keep with next

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

Deleted: 5

Deleted: 6

Deleted: 7

Deleted: 8

Deleted: 9

Deleted: A

Deleted: B

Deleted: C

Deleted: D

Deleted: E

Deleted: 0F

Formatted: Keep with next

1614 **9.1.3.2.3 Wrapping Method Enumeration**

| Wrapping Method | |
|-----------------------|-----------|
| Name | Value |
| Encrypt | 00000001 |
| MAC/sign | 00000002 |
| Encrypt then MAC/sign | 00000003 |
| MAC/sign then encrypt | 00000004 |
| TR-31 | 00000005 |
| Extensions | 8XXXXXXXX |

1615 **Table 190: Wrapping Method Enumeration**

1616 **9.1.3.2.4 Recommended Curves for ECDSA and ECDH**

1617 [Recommended curves are defined in NIST FIPS PUB 186-3.](#)

| Recommended Curve Enumeration | |
|-------------------------------|-----------|
| Name | Value |
| P-192 | 00000001 |
| K-163 | 00000002 |
| B-163 | 00000003 |
| P-224 | 00000004 |
| K-233 | 00000005 |
| B-233 | 00000006 |
| P-256 | 00000007 |
| K-283 | 00000008 |
| B-283 | 00000009 |
| P-384 | 0000000A |
| K-409 | 0000000B |
| B-409 | 0000000C |
| P-521 | 0000000D |
| K-571 | 0000000E |
| B-571 | 0000000F |
| Extensions | 8XXXXXXXX |

1618 **Table 191: Recommended Curves for ECDSA and ECDH**

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

1619 **9.1.3.2.5 Certificate Type Enumeration**

| Certificate Type | |
|------------------|-----------|
| Name | Value |
| X.509 | 00000001 |
| PGP | 00000002 |
| Extensions | 8XXXXXXXX |

Table 192: Certificate Type Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

1620
1621 **9.1.3.2.6 Split Key Method Enumeration**

| Split Key Method | |
|---|-----------|
| Name | Value |
| XOR | 00000001 |
| Polynomial Sharing GF(2 ¹⁶) | 00000002 |
| Polynomial Sharing Prime Field | 00000003 |
| Extensions | 8XXXXXXXX |

Table 193: Split Key Method Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

1622
1623 **9.1.3.2.7 Secret Data Type Enumeration**

| Secret Data Type | |
|------------------|-----------|
| Name | Value |
| Password | 00000001 |
| Seed | 00000002 |
| Extensions | 8XXXXXXXX |

Table 194: Secret Data Type Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

1624
1625 **9.1.3.2.8 Opaque Data Type Enumeration**

| Opaque Data Type | |
|------------------|-----------|
| Name | Value |
| Extensions | 8XXXXXXXX |

Table 195: Opaque Data Type Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

1626
1627 **9.1.3.2.9 Name Type Enumeration**

| Name Type | |
|---------------------------|-----------|
| Name | Value |
| Uninterpreted Text String | 00000001 |
| URI | 00000002 |
| Extensions | 8XXXXXXXX |

Table 196: Name Type Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

1629

9.1.3.2.10 Object Type Enumeration

| Object Type | |
|---------------|-----------|
| Name | Value |
| Certificate | 00000001 |
| Symmetric Key | 00000002 |
| Public Key | 00000003 |
| Private Key | 00000004 |
| Split Key | 00000005 |
| Template | 00000006 |
| Secret Data | 00000007 |
| Opaque Object | 00000008 |
| Extensions | 8XXXXXXXX |

Table 197: Object Type Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

1630

1631

9.1.3.2.11 Cryptographic Algorithm Enumeration

| Cryptographic Algorithm | |
|-------------------------|-----------|
| Name | Value |
| DES | 00000001 |
| 3DES | 00000002 |
| AES | 00000003 |
| RSA | 00000004 |
| DSA | 00000005 |
| ECDSA | 00000006 |
| HMAC-SHA1 | 00000007 |
| HMAC-SHA256 | 00000008 |
| HMAC-SHA512 | 00000009 |
| HMAC-MD5 | 0000000A |
| DH | 0000000B |
| ECDH | 0000000C |
| Extensions | 8XXXXXXXX |

Table 198: Cryptographic Algorithm Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

1632

Formatted: Keep with next

1633

9.1.3.2.12 Block Cipher Mode Enumeration

| Block Cipher Mode | |
|------------------------------|--------------------------|
| Name | Value |
| CBC | 00000001 |
| ECB | 00000002 |
| PCBC | 00000003 |
| CFB | 00000004 |
| OFB | 00000005 |
| CTR | 00000006 |
| CMAC | 00000007 |
| CCM | 00000008 |
| GCM | 00000009 |
| CBC-MAC | 0000000A |
| NISTKeyWrap | 0000000B |
| X9.102 AESKW | 0000000C |
| X9.102 TDKW | 0000000D |
| X9.102 AKW1 | 0000000E |
| X9.102 AKW2 | 0000000F |
| Extensions | 8XXXXXXXX |

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

Deleted: AESKeyWrap ¶ ZZZ change to

Deleted: ?

Formatted: Keep with next

Table 199: Block Cipher Mode Enumeration

1634

1635

9.1.3.2.13 Padding Method Enumeration

| Padding Method | |
|-----------------------|--------------------------|
| Name | Value |
| None | 00000001 |
| OAEP | 00000002 |
| PKCS5 | 00000003 |
| SSL3 | 00000004 |
| Zeros | 00000005 |
| ANSI X9.23 | 00000006 |
| ISO 10126 | 00000007 |
| PKCS1 v1.5 | 00000008 |
| X9.31 | 00000009 |
| PSS | 0000000A |
| Extensions | 8XXXXXXXX |

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

Formatted: Keep with next

Table 200: Padding Method Enumeration

1636

1637

9.1.3.2.14 Hashing Algorithm Enumeration

| Hashing Algorithm | |
|-------------------|----------|
| Name | Value |
| MD2 | 00000001 |
| MD4 | 00000002 |
| MD5 | 00000003 |
| SHA-1 | 00000004 |
| SHA-256 | 00000005 |
| SHA-384 | 00000006 |
| SHA-512 | 00000007 |
| SHA-224 | 00000008 |
| Extensions | 8XXXXXXX |

Table 201: Hashing Algorithm Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

1638

1639

9.1.3.2.15 Role Type Enumeration

| Role Type | |
|------------|----------|
| Name | Value |
| ZMK | 00000001 |
| ZPK | 00000002 |
| MAC | 00000003 |
| CVK | 00000004 |
| CSC | 00000005 |
| PVKIBM | 00000006 |
| PVKPVV | 00000007 |
| MKCVC | 00000008 |
| MKSMI | 00000009 |
| MKSMC | 0000000A |
| MKIDN | 0000000B |
| MKAC | 0000000C |
| MKCAP | 0000000D |
| BDK | 0000000E |
| Extensions | 8XXXXXXX |

Table 202: Role Type Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

1640

Formatted: Keep with next

Formatted: Keep with next

1641 **9.1.3.2.16 State Enumeration**

| State | |
|-----------------------|----------|
| Name | Value |
| Pre-Active | 00000001 |
| Active | 00000002 |
| Deactivated | 00000003 |
| Compromised | 00000004 |
| Destroyed | 00000005 |
| Destroyed Compromised | 00000006 |
| Extensions | 8XXXXXXX |

Table 203: State Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

1642 **9.1.3.2.17 Revocation Reason Code Enumeration**

| Revocation Reason Code | |
|--------------------------|----------|
| Name | Value |
| Key Compromise | 00000001 |
| CA Compromise | 00000002 |
| Affiliation Changed | 00000003 |
| Superseded | 00000004 |
| Cessation of Operation | 00000005 |
| Certificate Hold | 00000006 |
| Privilege Withdrawn | 00000007 |
| Revoked By creator | 00000008 |
| Revoked By Administrator | 00000009 |
| Extensions | 8XXXXXXX |

Table 204: Revocation Reason Code Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

1644 **9.1.3.2.18 Link Type Enumeration**

| Link Type | |
|-----------------------------|----------|
| Name | Value |
| Certificate Link | 00000101 |
| Public Key Link | 00000102 |
| Private Key Link | 00000103 |
| Derivation Base Object Link | 00000104 |
| Derived Key Link | 00000105 |

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

| | |
|-------------------------|-----------|
| Replacement Object Link | 00000106 |
| Replaced Object Link | 00000107 |
| Extensions | 8XXXXXXXX |

Table 205: Link Type Enumeration

Note: Link Types start at 101 to avoid any confusion with Object Types.

Formatted: Keep with next

Formatted: Indent: Left: 1.25", First line: 0"

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

1646
1647
1648

9.1.3.2.19 Derivation Method Enumeration

| Derivation Method | |
|-------------------|-----------|
| Name | Value |
| PBKDF2 | 00000001 |
| HASH | 00000002 |
| HMAC | 00000003 |
| ENCRYPT | 00000004 |
| NIST800-108-C | 00000005 |
| NIST800-108-F | 00000006 |
| NIST800-108-DPI | 00000007 |
| Extensions | 8XXXXXXXX |

Table 206: Derivation Method Enumeration

Formatted: Keep with next

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

1649
1650

9.1.3.2.20 Certificate Request Type Enumeration

| Certificate Request Type | |
|--------------------------|-----------|
| Name | Value |
| PCKS#10 | 00000001 |
| PEM | 00000002 |
| PGP | 00000003 |
| Extensions | 8XXXXXXXX |

Table 207: Certificate Request Type Enumeration

Formatted: Keep with next

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

1651
1652

9.1.3.2.21 Validity Indicator Enumeration

| Validity Indicator | |
|--------------------|-----------|
| Name | Value |
| Valid | 00000001 |
| Invalid | 00000002 |
| Unknown | 00000003 |
| Extensions | 8XXXXXXXX |

Table 208: Validity Indicator Enumeration

Formatted: Keep with next

1653

1654 **9.1.3.2.22 Query Function Enumeration**

| Query Function | |
|--------------------------|-----------|
| Name | Value |
| Query Operations | 00000001 |
| Query Objects | 00000002 |
| Query Server Information | 00000003 |
| Extensions | 8XXXXXXXX |

Table 209: Query Function Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

1655
1656 **9.1.3.2.23 Cancellation Result Enumeration**

| Cancellation Result | |
|---------------------|-----------|
| Name | Value |
| Canceled | 00000001 |
| Unable to Cancel | 00000002 |
| Completed | 00000003 |
| Failed | 00000004 |
| Unavailable | 00000005 |
| Extensions | 8XXXXXXXX |

Table 210: Cancellation Result Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

1657
1658 **9.1.3.2.24 Put Function Enumeration**

| Put Function | |
|--------------|-----------|
| Name | Value |
| New | 00000001 |
| Replace | 00000002 |
| Extensions | 8XXXXXXXX |

Table 211: Put Function Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"
Formatted Table

Formatted: Keep with next

1659

9.1.3.2.25 Operation Enumeration

| Operation | |
|----------------------|----------|
| Name | Value |
| Create | 00000001 |
| Create Key Pair | 00000002 |
| Register | 00000003 |
| Re-key | 00000004 |
| Derive Key | 00000005 |
| Certify | 00000006 |
| Re-certify | 00000007 |
| Locate | 00000008 |
| Check | 00000009 |
| Get | 0000000A |
| Get Attributes | 0000000B |
| Get Attribute List | 0000000C |
| Add Attribute | 0000000D |
| Modify Attribute | 0000000E |
| Delete Attribute | 0000000F |
| Obtain Lease | 00000010 |
| Get Usage Allocation | 00000011 |
| Activate | 00000012 |
| Revoke | 00000013 |
| Destroy | 00000014 |
| Archive | 00000015 |
| Recover | 00000016 |
| Validate | 00000017 |
| Query | 00000018 |
| Cancel | 00000019 |
| Poll | 0000001A |
| Notify | 0000001B |
| Put | 0000001C |
| Extensions | 8XXXXXXX |

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Deleted: s

Formatted Table

Formatted: Keep with next

Table 212: Operation Enumeration

1662

9.1.3.2.26 Result Status Enumeration

| Result Status | |
|-------------------|----------|
| Name | Value |
| Success | 00000000 |
| Operation Failed | 00000001 |
| Operation Pending | 00000002 |
| Operation Undone | 00000003 |
| Extensions | 8XXXXXXX |

Table 213: Result Status Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

Formatted: Keep with next

1663

1664

9.1.3.2.27 Result Reason Enumeration

| Result Reason | |
|---------------------------------|----------|
| Name | Value |
| Item Not Found | 00000001 |
| Response Too Large | 00000002 |
| Authentication Not Successful | 00000003 |
| Invalid Message | 00000004 |
| Operation Not Supported | 00000005 |
| Missing Data | 00000006 |
| Invalid Field | 00000007 |
| Feature Not Supported | 00000008 |
| Operation Canceled By Requester | 00000009 |
| Cryptographic Failure | 0000000A |
| Illegal Operation | 0000000B |
| Permission Denied | 0000000C |
| Object archived | 0000000D |
| Index Out of Bounds | 0000000E |
| General Failure | 0000100 |
| Extensions | 8XXXXXXX |

Table 214: Result Reason Enumeration

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

Formatted: Keep with next

1665

1666

9.1.3.2.28 Batch Error Continuation Enumeration

| Batch Error Continuation | |
|--------------------------|----------|
| Name | Value |
| Continue | 00000001 |
| Stop | 00000002 |
| Undo | 00000003 |

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

| | |
|------------|-----------|
| Extensions | 8XXXXXXXX |
|------------|-----------|

Formatted: Keep with next

1667

Table 215: Batch Error Continuation Enumeration

1668 **9.1.3.3 Bit Masks**

Formatted: Outline numbered + Level: 4 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

1669 **9.1.3.3.1 Cryptographic Usage Mask**

| Cryptographic Usage Mask | |
|--------------------------------------|----------|
| Name | Value |
| Sign | 00000001 |
| Verify | 00000002 |
| Encrypt | 00000004 |
| Decrypt | 00000008 |
| Wrap <u>Key</u> | 00000010 |
| Unwrap <u>Key</u> | 00000020 |
| Export | 00000040 |
| MAC <u>Generate</u> | 00000080 |
| <u>MAC Verify</u> | 00000100 |
| Derive Key | 00000200 |
| Content Commitment (Non Repudiation) | 00000400 |
| Key Agreement | 00000800 |
| Certificate Sign | 00001000 |
| CRL Sign | 00002000 |
| Extensions | XXXX0000 |

Deleted: Values

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

Deleted: 1

Deleted: 2

Deleted: 4

Deleted: 08

Deleted: 1

Deleted: MAC Verify ... [6]

Formatted: Keep with next

1670

Table 216: Cryptographic Usage Mask

1671 This list takes into consideration values which may appear in the Key Usage extension in an X.509
1672 certificate.

Formatted: Indent: Left: 0", Space Before: 6 pt

1673 **9.1.3.3.2 Storage Status Mask**

Deleted: However, the list does not consider the more fined grained usages which may appear in the Extended Key Usage extension.

| Storage Status <u>Mask</u> | |
|----------------------------|-----------|
| Name | Value |
| On-line storage | 00000001 |
| Archival storage | 00000002 |
| Extensions | XXXXXXXX0 |

Formatted: Outline numbered + Level: 5 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0" + Tab after: 0" + Indent at: 0"

Formatted Table

Deleted: Values

Formatted: Keep with next

1674

Table 217: Storage Status Mask

1675 **9.2 XML Encoding**

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0"

1676 An XML Encoding has not yet been defined.

Formatted: Indent: Left: 0"

1677 **10 Transport**

1678 Transport protocols are not part of the message definitions, and are external to this protocol. The Usage
 1679 Guide, however, describes two profiles for implementation of this protocol over secure transport protocols,
 1680 namely:

- 1681 • SSL/TLS over TCP. This profile describes the implementation of this protocol using SSL/TLS
 1682 encryption, with client and server authentication features enabled, over a normal TCP stream.
- 1683 • HTTPS over TCP. This profile describes the implementation of this protocol using HTTPS, with
 1684 client and server authentication features enabled, over a normal TCP stream.

1685 To ensure a base level of interoperability, all server implementations should, at least, support the
 1686 SSL/TLS and HTTPS transport protocols as described in the Usage Guide.

1687 **11 Error Handling**

1688 This section details the specific Result Reasons that should be returned for errors detected. Note that this
 1689 is not an exhaustive list of possible errors for each operation (allowing other Result Reasons to be
 1690 returned if an implementation needs to do so).

1691 **11.1 General**

1692 These errors may occur when any protocol message is received by the server.

| Error Definition | Action | Result Reason |
|--|---|-------------------------|
| Protocol major version mismatch | Response message containing a header and a Batch Item without Operation, but with the Result Status field set to Operation Failed | Invalid Message |
| Error parsing batch item or payload within batch item (required fields missing, etc.) | Batch item fails. Result Status is Operation Failed | Invalid Message |
| The same field is contained in a header/batch item/payload more than once | Result Status is Operation Failed | Invalid Message |
| Same major version, different minor versions (client is newer). unknown fields/fields the server does not understand | Ignore unknown fields, process rest normally | N/A |
| Same major & minor version, unknown field | Result Status is Operation Failed | Invalid Field |
| Client is not allowed to perform the specified operation | Result Status is Operation Failed | Permission Denied |
| Operation cannot be completed synchronously and client does not support asynchronous requests | Result Status is Operation Failed | Operation Not Supported |
| Maximum Response Size has | Result Status is Operation | Response Too Large |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted: Indent: Left: 0"
Formatted Table

Deleted: ,

Deleted: ,

Formatted: Keep with next

| | | |
|---------------|--------|--|
| been exceeded | Failed | |
|---------------|--------|--|

Table 218: General Errors

11.2 Create

| Error Definition | Result Status | Result Reason |
|--|------------------|-----------------------|
| Object Type is not recognized | Operation Failed | Invalid Field |
| Templates that do not exist are given in request | Operation Failed | Item Not Found |
| Incorrect attribute value(s) specified (e.g. initial date 5 years ago) | Operation Failed | Invalid Field |
| Error creating cryptographic object (key material generation issue) | Operation Failed | Cryptographic Failure |
| Trying to set more instances than the server supports of an attribute that can have multiple instances | Operation Failed | Index Out of Bounds |
| Trying to create a new object with the same Name attribute value as an existing object | Operation Failed | Invalid Field |
| Template object is archived | Operation Failed | Object archived |

Table 219: Create Errors

11.3 Create Key Pair

| Error Definition | Result Status | Result Reason |
|--|------------------|-----------------------|
| Templates that do not exist are given in request | Operation Failed | Item Not Found |
| Incorrect attribute value(s) specified | Operation Failed | Invalid Field |
| Error creating cryptographic object (key material generation issue) | Operation Failed | Cryptographic Failure |
| Trying to create a new object with the same Name attribute value as an existing object | Operation Failed | Invalid Field |
| Trying to set more instances than the server supports of an attribute that can have multiple instances | Operation Failed | Index Out of Bounds |
| Required field(s) missing | Operation Failed | Invalid Message |
| Template object is archived | Operation Failed | Object archived |

Table 220: Create Key Pair Errors

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0", Space After: 6 pt, Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0.5"

Formatted Table

Formatted: Keep with next

1698

11.4 Register

| Error Definition | Result Status | Result Reason |
|--|------------------|---------------------|
| Object Type is not recognized | Operation Failed | Invalid Field |
| Object Type does not match type of cryptographic object provided | Operation Failed | Invalid Field |
| Templates that do not exist are given in request | Operation Failed | Item Not Found |
| Incorrect attribute value(s) specified (e.g. initial date 5 years ago) | Operation Failed | Invalid Field |
| Trying to register a new object with the same Name attribute value as an existing object | Operation Failed | Invalid Field |
| Trying to set more instances than the server supports of an attribute that can have multiple instances | Operation Failed | Index Out of Bounds |
| Template object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Table 221: Register Errors

1699

1700

11.5 Re-key

| Error Definition | Result Status | Result Reason |
|---|------------------|-----------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Object specified cannot be re-keyed (not a symmetric key, or the permissions do not allow it) | Operation Failed | Permission Denied |
| Offset field cannot be specified at the same time as any of the Activation Date, Process Start Date, Protect Stop Date, or Deactivation Date attributes | Operation Failed | Invalid Message |
| Cryptographic error during re-key | Operation Failed | Cryptographic Failure |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Table 222: Re-key Errors

1701

1702

11.6 Derive Key

| Error Definition | Result Status | Result Reason |
|--|------------------|-----------------|
| One or more of the objects specified do not exist | Operation Failed | Item Not Found |
| One or more of the objects specified are not of the correct type | Operation Failed | Invalid Field |
| Templates that do not exist are given in request | Operation Failed | Item Not Found |
| Invalid Derivation Method | Operation Failed | Invalid Field |
| Invalid Derivation Parameters | Operation Failed | Invalid Field |
| Ambiguous derivation data provided both with Derivation Data and Secret Data object. | Operation Failed | Invalid Message |
| Incorrect attribute value(s) specified (e.g. initial date 5 years ago) | Operation Failed | Invalid Field |
| One or more of the specified objects cannot be used to derive a new key | Operation Failed | Invalid Field |
| Trying to derive a new key with the same Name attribute value as an existing object | Operation Failed | Invalid Field |
| One or more of the objects is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

1703

Table 223: Derive Key Errors

1704

11.7 Certify

| Error Definition | Result Status | Result Reason |
|---|------------------|-------------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Object specified cannot be certified (not a public key or the permissions do not allow it) | Operation Failed | Permission Denied |
| The Certificate Request does not contain a signed certificate request of the specified Certificate Request Type | Operation Failed | Invalid Field |
| Server does not support operation | Operation Failed | Operation Not Supported |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

1705

Table 224: Certify Errors

1706 **11.8 Re-certify**

| Error Definition | Result Status | Result Reason |
|---|------------------|-------------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Object specified cannot be certified (not a certificate or the permissions do not allow it) | Operation Failed | Permission Denied |
| The Certificate Request does not contain a signed certificate request of the specified Certificate Request Type | Operation Failed | Invalid Field |
| Server does not support operation | Operation Failed | Operation Not Supported |
| Offset field cannot be specified at the same time as any of the Activation Date or Deactivation Date attributes | Operation Failed | Invalid Message |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0"

Formatted Table

Formatted: Keep with next

Table 225: Re-certify Errors

1707
1708 **11.9 Locate**

| Error Definition | Result Status | Result Reason |
|---|------------------|---------------|
| Non-existing attributes, attributes that the server does not understand or templates that do not exist are given in request | Operation Failed | Invalid Field |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0"

Formatted Table

Formatted: Keep with next

Table 226: Locate Errors

1709
1710 **11.10 Check**

| Error Definition | Result Status | Result Reason |
|-----------------------|------------------|-----------------|
| Object does not exist | Operation Failed | Item Not Found |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0"

Formatted Table

Formatted: Keep with next

Table 227: Check Errors

1711
1712 **11.11 Get**

| Error Definition | Result Status | Result Reason |
|--|------------------|-------------------|
| Object does not exist | Operation Failed | Item Not Found |
| Wrapping key does not exist | Operation Failed | Item Not Found |
| Object with Wrapping Key ID exists, but it is not a key | Operation Failed | Illegal Operation |
| Object with Wrapping Key ID exists, but it cannot be used for wrapping | Operation Failed | Permission Denied |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at: 0"

Formatted Table

| | | |
|---|------------------|-------------------|
| Object with MAC/Signature Key ID exists, but it is not a key | Operation Failed | Illegal Operation |
| Object with MAC/Signature Key ID exists, but it cannot be used for MACing/signing | Operation Failed | Permission Denied |
| <u>Object exists and is not a Template, but the server only has attributes for this object</u> | Operation Failed | Illegal Operation |
| Cryptographic Parameters associated with object do not exist or do not match with those provided in the Encryption Key Information and/or Signature Key Information | Operation Failed | Item Not Found |
| Object is archived | Operation Failed | Object archived |

Deleted: c'

Deleted: No cryptographic material associated with object

Formatted: Keep with next

Table 228: Get Errors

11.12 Get Attributes

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

| Error Definition | Result Status | Result Reason |
|--|------------------|-----------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| An Attribute Index is specified but no matching instance exists. | Operation Failed | Item Not Found |
| Object is archived | Operation Failed | Object archived |

Formatted: Keep with next

Table 229: Get Attributes Errors

11.13 Get Attribute List

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

| Error Definition | Result Status | Result Reason |
|---|------------------|-----------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Object is archived | Operation Failed | Object archived |

Formatted: Keep with next

Table 230: Get Attribute List Errors

11.14 Add Attribute

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

| Error Definition | Result Status | Result Reason |
|---|------------------|-------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Attempt to add read-only attribute | Operation Failed | Permission Denied |
| The specified attribute already exists | Operation Failed | Illegal Operation |
| New attribute contains index | Operation Failed | Invalid Field |
| Trying to add a Name attribute with the | Operation Failed | Illegal Operation |

| | | |
|---|------------------|---------------------|
| same value that another object already has | | |
| Trying to add a new instance to an attribute with multiple instances but the server limit on instances is reached | Operation Failed | Index Out of Bounds |
| Object is archived | Operation Failed | Object archived |

Formatted: Keep with next

Table 231: Add Attribute Errors

11.15 Modify Attribute

| Error Definition | Result Status | Result Reason |
|--|------------------|-------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| A specified attribute does not exist (must first be added) | Operation Failed | Invalid Field |
| An Attribute Index is specified, but no matching instance exists. | Operation Failed | Item Not Found |
| The specified attribute is read-only | Operation Failed | Permission Denied |
| Trying to set the Name attribute value to a value already used by another object | Operation Failed | Illegal Operation |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Deleted: something that another object already has

Formatted: Keep with next

Table 232: Modify Attribute Errors

11.16 Delete Attribute

| Error Definition | Result Status | Result Reason |
|---|------------------|-------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Attempt to delete read-only/required attribute | Operation Failed | Permission Denied |
| Attribute index is specified, but attribute does not have multiple instances (i.e., no index should be specified) | Operation Failed | Item Not Found |
| No attribute with specified name exists | Operation Failed | Item Not Found |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Deleted: and therefore

Formatted: Keep with next

Table 233: Delete Attribute Errors

1724

11.17 Obtain Lease

| Error Definition | Result Status | Result Reason |
|--|------------------|-------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| The server determines that a new lease should not be issued for the specified cryptographic object | Operation Failed | Permission Denied |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Table 234: Obtain Lease Errors

1725

1726

11.18 Get Usage Allocation

| Error Definition | Result Status | Result Reason |
|---|------------------|-------------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Object has no Usage Limits attribute or object cannot be used for protection purposes | Operation Failed | Illegal Operation |
| Both Usage Limits Byte Count and Usage Limits Object Count fields <u>are</u> specified | Operation Failed | Invalid Message |
| Neither Byte Count or Object Count is specified | Operation Failed | Invalid Message |
| A usage type (Byte Count or Object Count) is specified in the request, but the usage allocation for the object can only be given for the other type | Operation Failed | Operation Not Supported |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Table 235: Get Usage Allocation Errors

1727

1728

11.19 Activate

| Error Definition | Result Status | Result Reason |
|---|------------------|-------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Unique Identifier specifies template or other object that cannot be activated | Operation Failed | Illegal Operation |
| Object is not in Pre-Active state | Operation Failed | Permission Denied |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Table 236: Activate Errors

1729

1730 **11.20 Revoke**

| Error Definition | Result Status | Result Reason |
|---|------------------|-------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Revocation Reason is not recognized | Operation Failed | Invalid Field |
| Unique Identifier specifies template or other object that cannot be revoked | Operation Failed | Illegal Operation |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Table 237: Revoke Errors

1731
1732 **11.21 Destroy**

| Error Definition | Result Status | Result Reason |
|---|------------------|-------------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Object exists, but has already been destroyed | Operation Failed | Permission Denied |
| Object is not in Deactivated state | Operation Failed | Permission Denied |
| Object is archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Table 238: Destroy Errors

1733
1734 **11.22 Archive**

| Error Definition | Result Status | Result Reason |
|---|------------------|-----------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |
| Object is already archived | Operation Failed | Object archived |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Table 239: Archive Errors

1735
1736 **11.23 Recover**

| Error Definition | Result Status | Result Reason |
|---|------------------|----------------|
| No object with the specified Unique Identifier exists | Operation Failed | Item Not Found |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

Formatted: Keep with next

Table 240: Recover Errors

1737
1738 **11.24 Validate**

| Error Definition | Result Status | Result Reason |
|---|------------------|-----------------|
| The combination of Certificate Objects and Unique Identifiers do not specify a certificate list | Operation Failed | Invalid Message |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted Table

| | | |
|--|------------------|-----------------|
| One or more of the objects is archived | Operation Failed | Object archived |
|--|------------------|-----------------|

Formatted: Keep with next

Table 241: Validate Errors

11.25 Query

N/A

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

11.26 Cancel

N/A

Deleted: . .

Formatted: Body Text

11.27 Poll

| Error Definition | Result Status | Result Reason |
|---|------------------|----------------|
| No outstanding operation with the specified Asynchronous Correlation Value exists | Operation Failed | Item Not Found |

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

Table 242: Poll Errors

11.28 Batch Items

These errors may occur when a protocol message with one or more batch items is processed by the server. If a message with one or more batch items was parsed correctly, the response message should include response(s) to the batch item(s) in the request according to the table below.

Formatted Table

Formatted: Keep with next

Formatted: Indent: Left: 0", Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.5" + Tab after: 0" + Indent at:

| Error Definition | Result Status | Result Reason |
|---|--|--|
| Processing of batch item fails with Batch Error Continuation Option set to Stop | Batch item fails. Responses to batch items that have already been processed are returned normally. Responses to batch items that have not been processed are not returned. | See tables above, referring to the operation being performed in the batch item that failed |
| Processing of batch item fails with Batch Error Continuation Option set to Continue | Batch item fails. Responses to other batch items are returned normally. | See tables above, referring to the operation being performed in the batch item that failed |
| Processing of batch item fails with Batch Error Continuation Option set to Undo | Batch item fails. Batch items that had been processed have been undone and their responses are returned with Undone result status. | See tables above, referring to the operation being performed in the batch item that failed |

Formatted: Indent: Left: 0"

Deleted: than a single

Formatted Table

Formatted: Keep with next

Table 243: Batch Items Errors

12 Security Considerations

TBD

1754

A. Attribute Cross-reference

1755
1756

The following table of Attribute names indicates the Managed Object(s) for which each attribute applies. This table is not normative.

| Attribute Name | Managed Object | | | | | | | |
|----------------------------|----------------|---------------|------------|-------------|-----------|----------|-------------|---------------|
| | Certificate | Symmetric Key | Public Key | Private Key | Split Key | Template | Secret Data | Opaque Object |
| Unique Identifier | x | x | x | x | x | x | x | x |
| Name | x | x | x | x | x | x | x | x |
| Object Type | x | x | x | x | x | x | x | x |
| Cryptographic Algorithm | x | x | x | x | x | x | | |
| Cryptographic Length | x | x | x | x | x | x | | |
| Cryptographic Parameters | x | x | x | x | x | x | | |
| Certificate Type | x | | | | | | | |
| Certificate Issuer | x | | | | | | | |
| Certificate Subject | x | | | | | | | |
| Digest | x | x | x | x | x | | x | |
| Operation Policy Name | x | x | x | x | x | x | x | x |
| Cryptographic Usage Mask | x | x | x | x | x | x | x | |
| Lease Time | x | x | x | x | x | | x | x |
| Usage Limits | | x | x | x | x | x | | |
| State | x | x | x | x | x | | x | |
| Initial Date | x | x | x | x | x | x | x | x |
| Activation Date | x | x | x | x | x | x | x | |
| Process Start Date | | x | | | x | x | | |
| Protect Stop Date | | x | | | x | x | | |
| Deactivation Date | x | x | x | x | x | x | x | x |
| Destroy Date | x | x | x | x | x | | x | x |
| Compromise Occurrence Date | x | x | x | x | x | | x | x |
| Compromise Date | x | x | x | x | x | | x | x |
| Revocation Reason | x | x | x | x | x | | x | x |
| Archive Date | x | x | x | x | x | x | x | x |
| Object Group | x | x | x | x | x | x | x | x |
| Link | x | x | x | x | x | | x | |

Formatted Table

Formatted: Indent: Left: 0.08", Right: 0.08"

Deleted: x

Deleted: x

Deleted: x

| | Managed Object | | | | | | | |
|-------------------------------------|----------------|---|---|---|---|---|---|---|
| Application Specific Identification | x | x | x | x | x | x | x | x |
| Contact Information | x | x | x | x | x | x | x | x |
| Last Changed Date | x | x | x | x | x | x | x | x |
| Custom Attribute | x | x | x | x | x | x | x | x |

Table 244: Attribute Cross-reference

Formatted: Keep with next

Formatted: Caption

1758

B. Tag Cross-reference

1759

This table is not normative.

| Object | Defined | Type | Notes |
|--|-------------------------|--------------|-------------|
| Activation Date | 3.17 | Date-Time | |
| Application Identifier | 3.28 | Text String | |
| Application Name Space | 3.28 | Text String | |
| Application Specific Identification | 3.28 | Structure | |
| Archive Date | 3.25 | Date-Time | |
| Asynchronous Correlation Value | 6.8 | Octet String | |
| Asynchronous Indicator | 6.7 | Boolean | |
| Attribute | 2.1.1 | Structure | |
| Attribute Index | 2.1.1 | Integer | |
| Attribute Name | 2.1.1 | Text String | |
| Attribute Value | 2.1.1 | * | type varies |
| Authentication | 6.6 | Structure | |
| Batch Count | 6.14 | Integer | |
| Batch Error Continuation Option | 6.13 , 9.1.3.2.28 | Enumeration | |
| Batch Item | 6.15 | Structure | |
| Batch Order Option | 6.12 | Boolean | |
| Block Cipher Mode | 3.6 , 9.1.3.2.12 | Enumeration | |
| Cancellation Result | 4.25 , 9.1.3.2.23 | Enumeration | |
| Certificate | 2.2.1 | Structure | |
| Certificate Issuer | 3.8 | Structure | |
| Certificate Request | 4.6 , 4.7 | Octet String | |
| Certificate Request Type | 4.6 , 4.7 , 9.1.3.2.20 | Enumeration | |
| Certificate Subject | 3.9 | Structure | |
| Certificate Subject Alternative Name | 3.9 | Text String | |
| Certificate Subject Distinguished Name | 3.9 | Text String | |
| Certificate Type | 2.2.1 , 3.7 , 9.1.3.2.5 | Enumeration | |
| Certificate Value | 2.2.1 | Octet String | |
| Common Template-Attribute | 2.1.8 | Structure | |
| Compromise Occurrence Date | Q | Date-Time | |
| Compromise Date | 3.23 | Date-Time | |
| Contact Information | 3.29 | Text String | |
| Credential | 2.1.2 | Structure | |
| Credential Type | 2.1.2 , 9.1.3.2.1 | Enumeration | |
| Credential Value | 2.1.2 | Octet String | |
| Criticality Indicator | 6.16 | Boolean | |

Formatted Table

Formatted Table

Deleted: 3.22

| Object | Defined | Type | Notes |
|----------------------------|-------------------------|--------------------------|-------------|
| CRT Coefficient | 2.1.7 | Big Integer | |
| Cryptographic Algorithm | 3.4 , 9.1.3.2.11 | Enumeration | |
| Cryptographic Length | 3.5 | Integer | |
| Cryptographic Parameters | 3.6 | Structure | |
| Cryptographic Usage Mask | 3.12 , 9.1.3.3.1 | Integer | Bit mask |
| Custom Attribute | 3.31 | * | type varies |
| D | 2.1.7 | Big Integer | |
| Deactivation Date | 3.20 | Date-Time | |
| Derivation Data | 4.5 | Octet String | |
| Derivation Method | 4.5 , 9.1.3.2.19 | Enumeration | |
| Derivation Parameters | 4.5 | Structure | |
| Destroy Date | 3.21 | Date-Time | |
| Digest | 3.10 | Structure | |
| Digest Value | 3.10 | Octet String | |
| Encryption Key Information | 2.1.5 | Structure | |
| Extensions | 9.1.3 | | |
| G | 2.1.7 | Big Integer | |
| Hashing Algorithm | 3.6 , 3.10 , 9.1.3.2.14 | Enumeration | |
| Initial Date | 3.16 | Date-Time | |
| Initialization Vector | 4.5 | Octet String | |
| Issuer | 3.8 | Text String | |
| Iteration Count | 4.5 | Integer | |
| IV/Counter/Nonce | 2.1.5 | Octet String | |
| J | 2.1.7 | Big Integer | |
| Key | 2.1.7 | Octet String | |
| Key Block | 2.1.3 | Structure | |
| Key Material | 2.1.4 , 2.1.7 | Octet String / Structure | |
| Key Part Identifier | 2.2.5 | Integer | |
| Key Value | 2.1.4 | Octet String / Structure | |
| Key Value Type | 2.1.4 , 9.1.3.2.2 | Enumeration | |
| Key Wrapping Data | 2.1.5 | Structure | |
| Key Wrapping Specification | 2.1.6 | Structure | |
| Last Changed Date | 3.30 | Date-Time | |
| Lease Time | 3.13 | Interval | |
| Link | 3.27 | Structure | |
| Link Type | 3.27 , 9.1.3.2.18 | Enumeration | |
| Linked Object Identifier | 3.27 | Text String | |

Formatted Table

| Object | Defined | Type | Notes |
|--------------------------------|-------------------|--------------|-------|
| MAC/Signature | 2.1.5 | Octet String | |
| MAC/Signature Key Information | 2.1.5 | Text String | |
| Maximum Items | 4.8 | Integer | |
| Maximum Response Size | 6.3 | Integer | |
| Message Extension | 6.16 | Structure | |
| Modulus | 2.1.7 | Big Integer | |
| Name | 3.2 | Structure | |
| Name Type | 3.2 , 9.1.3.2.9 | Enumeration | |
| Name Value | 3.2 | Text String | |
| Object Group | 3.26 | Text String | |
| Object Type | 3.3 , 9.1.3.2.10 | Enumeration | |
| Offset | 4.4 , 4.7 | Interval | |
| Opaque Data Type | 2.2.8 , 9.1.3.2.8 | Enumeration | |
| Opaque Data Value | 2.2.8 | Octet String | |
| Opaque Object | 2.2.8 | Structure | |
| Operation | 6.2 , 9.1.3.2.25 | Enumeration | |
| Operation Policy Name | 3.11 | Text String | |
| P | 2.1.7 | Big Integer | |
| Padding Method | 3.6 , 9.1.3.2.13 | Enumeration | |
| Prime Exponent P | 2.1.7 | Big Integer | |
| Prime Exponent Q | 2.1.7 | Big Integer | |
| Prime Field Size | 2.2.5 | Big Integer | |
| Private Exponent | 2.1.7 | Big Integer | |
| Private Key | 2.2.4 | Structure | |
| Private Key Template-Attribute | 2.1.8 | Structure | |
| Private Key Unique Identifier | 4.2 | Text String | |
| Process Start Date | 3.18 | Date-Time | |
| Protect Stop Date | 3.19 | Date-Time | |
| Protocol Version | 6.1 | Structure | |
| Protocol Version Major | 6.1 | Integer | |
| Protocol Version Minor | 6.1 | Integer | |
| Public Exponent | 2.1.7 | Big Integer | |
| Public Key | 2.2.3 | Structure | |
| Public Key Template-Attribute | 2.1.8 | Structure | |
| Public Key Unique Identifier | 4.2 | Text String | |
| Put Function | 5.2 , 9.1.3.2.24 | Enumeration | |
| Q | 2.1.7 | Big Integer | |
| Q String | 2.1.7 | Octet String | |

Formatted Table

| Object | Defined | Type | Notes |
|----------------------------|-------------------|--------------|--------------------------|
| Query Function | 4.24 , 9.1.3.2.22 | Enumeration | |
| Recommended Curve | 2.1.7 , 9.1.3.2.4 | Enumeration | |
| Replaced Unique Identifier | 5.2 | Text String | |
| Request Header | 7.2 , 7.3 | Structure | |
| Request Message | 7.1 | Structure | |
| Request Payload | 4 , 5 , 7.2 , 7.3 | Structure | |
| Response Header | 7.2 , 7.3 | Structure | |
| Response Message | 7.1 | Structure | |
| Response Payload | 4 , 7.2 , 7.3 | Structure | |
| Result Message | 6.11 | Text String | |
| Result Reason | 6.10 , 9.1.3.2.27 | Enumeration | |
| Result Status | 6.9 , 9.1.3.2.26 | Enumeration | |
| Revocation Message | 3.24 | Text String | |
| Revocation Reason | 3.24 | Structure | |
| Revocation Reason Code | 3.24 , 9.1.3.2.17 | Enumeration | |
| Role Type | 3.6 , 9.1.3.2.15 | Enumeration | |
| Salt | 4.5 | Octet String | |
| Secret Data | 2.2.7 | Structure | |
| Secret Data Type | 2.2.7 , 9.1.3.2.7 | Enumeration | |
| Serial Number | 3.8 | Text String | |
| Server Information | 4.24 | Structure | contents vendor-specific |
| Split Key | 2.2.5 | Structure | |
| Split Key Method | 2.2.5 , 9.1.3.2.6 | Enumeration | |
| Split Key Parts | 2.2.5 | Integer | |
| Split Key Threshold | 2.2.5 | Integer | |
| State | 3.15 , 9.1.3.2.16 | Enumeration | |
| Storage Status Mask | 4.8 , 9.1.3.3.2 | Integer | Bit mask |
| Symmetric Key | 2.2.2 | Structure | |
| Template | 2.2.6 | Structure | |
| Template-Attribute | 2.1.8 | Structure | |
| Time Stamp | 6.5 | Date-Time | |
| Transparent* | 2.1.7 | Structure | |
| Unique Identifier | 3.1 | Text String | |
| Unique Batch Item ID | 6.4 | Octet String | |
| Usage Limits | 3.14 | Structure | |
| Usage Limits Byte Count | 3.14 | Big Integer | |
| Usage Limits Object Count | 3.14 | Big Integer | |
| Usage Limits Total Bytes | 3.14 | Big Integer | |

Formatted Table

Deleted: Template Name [7]
Formatted Table

| Object | Defined | Type | Notes |
|----------------------------|-------------------|-------------|--------------------------|
| Usage Limits Total Objects | 3.14 | Big Integer | |
| Validity Date | 4.23 | Date-Time | |
| Validity Indicator | 4.23 , 9.1.3.2.21 | Enumeration | |
| Vendor Extension | 6.16 | Structure | contents vendor-specific |
| Vendor Identification | 4.24 , 6.16 | Text String | |
| Wrapping Method | 2.1.5 , 9.1.3.2.3 | Enumeration | |
| X | 2.1.7 | Big Integer | |
| Y | 2.1.7 | Big Integer | |

Formatted Table

Formatted: Keep with next

Table 245: Tag Cross-reference

1761
1762
1763

C. Operation and Object Cross-reference

The following table indicates the types of Managed Object(s) that each Operation can take as input or provide as output. This table is not normative.

Formatted: Body Text, Adjust space between Latin and Asian text, Adjust space between Asian text and numbers

Deleted: ¶

Formatted Table

| Operation | Managed Objects | | | | | | | |
|----------------------|-----------------|---------------|------------|-------------|-----------|----------|-------------|-----|
| | Certificate | Symmetric Key | Public Key | Private Key | Split Key | Template | Secret Data | |
| Create | N/A | Y | N/A | N/A | N/A | Y | N/A | N/A |
| Create Key Pair | N/A | N/A | Y | Y | N/A | N/A | N/A | N/A |
| Register | Y | Y | Y | Y | Y | Y | Y | Y |
| Re-Key | N/A | Y | N/A | N/A | N/A | Y | N/A | N/A |
| Derive Key | N/A | Y | N/A | N/A | N/A | Y | Y | N/A |
| Certify | Y | N/A | Y | N/A | N/A | Y | N/A | N/A |
| Re-certify | Y | N/A | N/A | N/A | N/A | Y | N/A | N/A |
| Locate | Y | Y | Y | Y | Y | Y | Y | Y |
| Check | Y | Y | Y | Y | Y | N/A | Y | Y |
| Get | Y | Y | Y | Y | Y | Y | Y | Y |
| Get Attributes | Y | Y | Y | Y | Y | Y | Y | Y |
| Get Attribute List | Y | Y | Y | Y | Y | Y | Y | Y |
| Add Attribute | Y | Y | Y | Y | Y | Y | Y | Y |
| Modify Attribute | Y | Y | Y | Y | Y | Y | Y | Y |
| Delete Attribute | Y | Y | Y | Y | Y | Y | Y | Y |
| Obtain Lease | Y | Y | Y | Y | Y | N/A | Y | N/A |
| Get Usage Allocation | N/A | Y | Y | Y | N/A | N/A | N/A | N/A |
| Activate | Y | Y | Y | Y | Y | N/A | Y | N/A |
| Revoke | Y | Y | N/A | Y | Y | N/A | Y | Y |
| Destroy | Y | Y | Y | Y | Y | Y | Y | Y |
| Archive | Y | Y | Y | Y | Y | Y | Y | Y |
| Recover | Y | Y | Y | Y | Y | Y | Y | Y |
| Validate | Y | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Query | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Cancel | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Poll | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Notify | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Put | Y | Y | Y | Y | Y | Y | Y | Y |

Formatted: Keep with next

1764

Table 246: Operation and Object Cross-reference

1765 D. Acronyms

1766 The following abbreviations and acronyms are used in this document:

- 1767 3DES - Three key Data Encryption Standard
- 1768 AES - Advanced Encryption Standard specified in FIPS 197
- 1769 ASN.1 - Abstract Syntax Notation One
- 1770 CA - Certification Authority
- 1771 CBC - Cipher Block Chaining
- 1772 CPU - Central Processing Unit
- 1773 CRL - Certificate Revocation List
- 1774 CRT - Chinese Remainder Theorem
- 1775 DER - Distinguished Encoding Rules
- 1776 DES - Data Encryption Standard
- 1777 DH - Diffie-Hellman
- 1778 DSA - Digital Signature Algorithm specified in FIPS 186-3
- 1779 DSKPP - Dynamic Symmetric Key Provisioning Protocol
- 1780 ECB - Electronic Code Book
- 1781 ECDH - Elliptic Curve Diffie-Hellman
- 1782 ECDSA - Elliptic Curve Digital Signature Algorithm specified in ANSX9.62
- 1783 HMAC - Keyed-Hash Message Authentication Code specified in FIPS 198
- 1784 HTTP - Hyper Text Transfer Protocol
- 1785 HTTP(S) - Hyper Text Transfer Protocol (Secure socket)
- 1786 IEEE - Institute of Electrical and Electronics Engineers
- 1787 IETF - Internet Engineering Task Force
- 1788 IPsec - Internet Protocol Security
- 1789 IV - Initialization Vector
- 1790 KMIP - Key Management Interoperability Protocol
- 1791 MAC - Message Authentication Code
- 1792 MD5 - Message Digest 5 Algorithm
- 1793 PBKDF2 - Password-Based Key Derivation Function 2
- 1794 PGP - Pretty Good Privacy
- 1795 PKCS - Public Key Cryptography Standards
- 1796 POSIX - Portable Operating System Interface
- 1797 RFC - Request for Comments documents of IETF
- 1798 RSA - Rivest, Shamir, Adelman (an algorithm)
- 1799 SHA-1 - Secure Hash Algorithm Revision One
- 1800 SSL/TLS - Secure Sockets Layer/Transport Layer Security
- 1801 S/MIME - Secure/Multipurpose Internet Mail Extensions

Formatted: French (France)

Formatted: French (France)

- 1802 TCP - Transport Control Protocol
- 1803 TTLV - Tag, Type, Length, Value
- 1804 URI - Unique Resource Identifier
- 1805 UTF - Universal Transformation Format
- 1806 XML - Extensible Markup Language

1807
1808
1809
1810
1811
1812
1813
1814
1815
1816
1817
1818
1819
1820
1821
1822
1823
1824
1825
1826
1827
1828
1829
1830
1831
1832
1833
1834
1835
1836
1837
1838
1839
1840
1841
1842
1843
1844
1845
1846

E. List of Figures and Tables

Figures

Figure 1: Cryptographic Object States and Transitions 34

Tables

Table 1: Attribute Object Structure 10
Table 2: Credential Object Structure 10
Table 3: Key Block Object Structure 11
Table 4: Key Value Object Structure 11
Table 5: Key Wrapping Data Object Structure 12
Table 6: Encryption Key Information Object Structure 13
Table 7: MAC/Signature Key Information Object Structure 13
Table 8: Key Wrapping Specification Object Structure 13
Table 9: Key Material Object Structure for Transparent Symmetric Keys 14
Table 10: Key Material Object Structure for Transparent DSA Private Keys 14
Table 11: Key Material Object Structure for Transparent DSA Public Keys 14
Table 12: Key Material Object Structure for Transparent RSA Private Keys 15
Table 13: Key Material Object Structure for Transparent RSA Public Keys 15
Table 14: Key Material Object Structure for Transparent DH Private Keys 15
Table 15: Key Material Object Structure for Transparent DH Public Keys 16
Table 16: Key Material Object Structure for Transparent ECDSA Private Keys 16
Table 17: Key Material Object Structure for Transparent ECDSA Public Keys 16
Table 18: Key Material Object Structure for Transparent ECDH Private Keys 17
Table 19: Key Material Object Structure for Transparent ECDH Public Keys 17
Table 20: Template-Attribute Object Structure 17
Table 21: Certificate Object Structure 18
Table 22: Symmetric Key Object Structure 18
Table 23: Public Key Object Structure 18
Table 24: Private Key Object Structure 18
Table 25: Split Key Object Structure 19
Table 26: Template Object Structure 20
Table 27: Secret Data Object Structure 21
Table 28: Opaque Object Structure 21
Table 29: Unique Identifier Attribute 21
Table 30: Unique Identifier Attribute Rules 22
Table 31: Name Attribute Structure 22
Table 32: Name Attribute Rules 22
Table 33: Object Type Attribute 22
Table 34: Object Type Attribute Rules 23
Table 35: Cryptographic Algorithm Attribute 23

Formatted: Bullets and Numbering

Formatted: Font: Bold

Formatted: Header,h, Tabs: Not at 6.49"

Formatted: Normal, No bullets or numbering

Formatted: Font: Bold

Formatted: Header,h, No bullets or numbering

| | | |
|------|---|--------------------|
| 1847 | Table 36: Cryptographic Algorithm Attribute Rules..... | 23 |
| 1848 | Table 37: Cryptographic Length Attribute..... | 23 |
| 1849 | Table 38: Cryptographic Length Attribute Rules..... | 24 |
| 1850 | Table 39: Cryptographic Parameters Attribute Structure..... | 24 |
| 1851 | Table 40: Cryptographic Parameters Attribute Rules..... | 24 |
| 1852 | Table 41: Role Types..... | 25 |
| 1853 | Table 42: Certificate Type Attribute..... | 25 |
| 1854 | Table 43: Certificate Type Attribute Rules..... | 25 |
| 1855 | Table 44: Certificate Issuer Attribute Structure..... | 26 |
| 1856 | Table 45: Certificate Issuer Attribute Rules..... | 26 |
| 1857 | Table 46: Certificate Subject Attribute Structure..... | 26 |
| 1858 | Table 47: Certificate Subject Attribute Rules..... | 27 |
| 1859 | Table 48: Digest Attribute Structure..... | 27 |
| 1860 | Table 49: Digest Attribute Rules..... | 27 |
| 1861 | Table 50: Operation Policy Name Attribute..... | 28 |
| 1862 | Table 51: Operation Policy Name Attribute Rules..... | 28 |
| 1863 | Table 52: Default Operation Policy for Secret Objects..... | 29 |
| 1864 | Table 53: Default Operation Policy for Certificates and Public Key Objects..... | 30 |
| 1865 | Table 54: Default Operation Policy for Private Template Objects..... | 30 |
| 1866 | Table 55: Default Operation Policy for Public Template Objects..... | 30 |
| 1867 | Table 56: X.509 Key Usage to Cryptographic Usage Mask Mapping..... | 31 |
| 1868 | Table 57: Cryptographic Usage Mask Attribute..... | 32 |
| 1869 | Table 58: Cryptographic Usage Mask Attribute Rules..... | 32 |
| 1870 | Table 59: Lease Time Attribute..... | 32 |
| 1871 | Table 60: Lease Time Attribute Rules..... | 32 |
| 1872 | Table 61: Usage Limits Attribute Structure..... | 33 |
| 1873 | Table 62: Usage Limits Attribute Rules..... | 34 |
| 1874 | Table 63: State Attribute..... | 35 |
| 1875 | Table 64: State Attribute Rules..... | 35 |
| 1876 | Table 65: Initial Date Attribute..... | 36 |
| 1877 | Table 66: Initial Date Attribute Rules..... | 36 |
| 1878 | Table 67: Activation Date Attribute..... | 36 |
| 1879 | Table 68: Activation Date Attribute Rules..... | 36 |
| 1880 | Table 69: Process Start Date Attribute..... | 37 |
| 1881 | Table 70: Process Start Date Attribute Rules..... | 37 |
| 1882 | Table 71: Protect Stop Date Attribute..... | 37 |
| 1883 | Table 72: Protect Stop Date Attribute Rules..... | 37 |
| 1884 | Table 73: Deactivation Date Attribute..... | 38 |
| 1885 | Table 74: Deactivation Date Attribute Rules..... | 38 |
| 1886 | Table 75: Destroy Date Attribute..... | 38 |
| 1887 | Table 76: Destroy Date Attribute Rules..... | 38 |
| 1888 | Table 77: Compromise Occurrence Date Attribute..... | 39 |

| | | |
|------|--|--------------------|
| 1889 | Table 78: Compromise Occurrence Date Attribute Rules..... | 39 |
| 1890 | Table 79: Compromise Date Attribute..... | 39 |
| 1891 | Table 80: Compromise Date Attribute Rules | 39 |
| 1892 | Table 81: Revocation Reason Attribute Structure..... | 40 |
| 1893 | Table 82: Revocation Reason Attribute Rules | 40 |
| 1894 | Table 83: Archive Date Attribute | 40 |
| 1895 | Table 84: Archive Date Attribute Rules..... | 41 |
| 1896 | Table 85: Object Group Attribute | 41 |
| 1897 | Table 86: Object Group Attribute Rules | 41 |
| 1898 | Table 87: Link Attribute Structure | 42 |
| 1899 | Table 88: Link Attribute Structure Rules | 42 |
| 1900 | Table 89: Application Specific Identification Attribute | 43 |
| 1901 | Table 90: Application Specific Identification Attribute Rules..... | 43 |
| 1902 | Table 91: Contact Information Attribute | 43 |
| 1903 | Table 92: Contact Information Attribute Rules | 44 |
| 1904 | Table 93: Last Changed Date Attribute..... | 44 |
| 1905 | Table 94: Last Changed Date Attribute Rules | 44 |
| 1906 | Table 95 Custom Attribute | 44 |
| 1907 | Table 96: Custom Attribute Rules | 45 |
| 1908 | Table 97: Create Request Payload..... | 46 |
| 1909 | Table 98: Create Response Payload | 46 |
| 1910 | Table 99: Create Attribute Requirements | 46 |
| 1911 | Table 100: Create Key Pair Request Payload | 47 |
| 1912 | Table 101: Create Key Pair Response Payload | 48 |
| 1913 | Table 102: Create Key Pair Attribute Requirements..... | 48 |
| 1914 | Table 103: Register Request Payload | 49 |
| 1915 | Table 104: Register Response Payload | 49 |
| 1916 | Table 105: Register Attribute Requirements..... | 49 |
| 1917 | Table 106: Computing New Dates from Offset during Re-key..... | 50 |
| 1918 | Table 107: Re-key Attribute Requirements..... | 50 |
| 1919 | Table 108: Re-key Request Payload | 51 |
| 1920 | Table 109: Re-key Response Payload | 51 |
| 1921 | Table 110: Derive Key Request Payload..... | 52 |
| 1922 | Table 111: Derive Key Response Payload..... | 53 |
| 1923 | Table 112: Derivation Parameters Structure (Except PBKDF2)..... | 53 |
| 1924 | Table 113: PBKDF2 Derivation Parameters Structure | 54 |
| 1925 | Table 114: Certify Request Payload | 54 |
| 1926 | Table 115: Certify Response Payload | 55 |
| 1927 | Table 116: Computing New Dates from Offset during Re-certify..... | 55 |
| 1928 | Table 117: Re-certify Attribute Requirements..... | 56 |
| 1929 | Table 118: Re-certify Request Payload | 56 |
| 1930 | Table 119: Re-certify Response Payload | 57 |

| | | |
|------|---|--------------------|
| 1931 | Table 120: Locate Request Payload..... | 58 |
| 1932 | Table 121: Locate Response Payload..... | 58 |
| 1933 | Table 122: Check Request Payload..... | 59 |
| 1934 | Table 123: Check Response Payload..... | 60 |
| 1935 | Table 124: Get Request Payload..... | 60 |
| 1936 | Table 125: Get Response Payload..... | 60 |
| 1937 | Table 126: Get Attributes Request Payload..... | 61 |
| 1938 | Table 127: Get Attributes Response Payload..... | 61 |
| 1939 | Table 128: Get Attribute List Request Payload..... | 61 |
| 1940 | Table 129: Get Attribute List Response Payload..... | 62 |
| 1941 | Table 130: Add Attribute Request Payload..... | 62 |
| 1942 | Table 131: Add Attribute Response Payload..... | 62 |
| 1943 | Table 132: Modify Attribute Request Payload..... | 63 |
| 1944 | Table 133: Modify Attribute Response Payload..... | 63 |
| 1945 | Table 134: Delete Attribute Request Payload..... | 63 |
| 1946 | Table 135: Delete Attribute Response Payload..... | 63 |
| 1947 | Table 136: Obtain Lease Request Payload..... | 64 |
| 1948 | Table 137: Obtain Lease Response Payload..... | 64 |
| 1949 | Table 138: Get Usage Allocation Request Payload..... | 65 |
| 1950 | Table 139: Get Usage Allocation Response Payload..... | 65 |
| 1951 | Table 140: Activate Request Payload..... | 66 |
| 1952 | Table 141: Activate Response Payload..... | 66 |
| 1953 | Table 142: Revoke Request Payload..... | 66 |
| 1954 | Table 143: Revoke Response Payload..... | 66 |
| 1955 | Table 144: Destroy Request Payload..... | 67 |
| 1956 | Table 145: Destroy Response Payload..... | 67 |
| 1957 | Table 146: Archive Request Payload..... | 67 |
| 1958 | Table 147: Archive Response Payload..... | 67 |
| 1959 | Table 148: Recover Request Payload..... | 68 |
| 1960 | Table 149: Recover Response Payload..... | 68 |
| 1961 | Table 150: Validate Request Payload..... | 68 |
| 1962 | Table 151: Validate Response Payload..... | 68 |
| 1963 | Table 152: Query Request Payload..... | 69 |
| 1964 | Table 153: Query Response Payload..... | 70 |
| 1965 | Table 154: Cancel Request Payload..... | 70 |
| 1966 | Table 155: Cancel Response Payload..... | 70 |
| 1967 | Table 156: Poll Request Payload..... | 71 |
| 1968 | Table 157: Notify Message Payload..... | 71 |
| 1969 | Table 158: Put Message Payload..... | 72 |
| 1970 | Table 159: Protocol Version Structure in Message Header..... | 72 |
| 1971 | Table 160: Operation in Batch Item..... | 73 |
| 1972 | Table 161: Maximum Response Size in Message Request Header..... | 73 |

| | | |
|------|---|--------------------|
| 1973 | Table 162: Unique Batch Item ID in Batch Item..... | 73 |
| 1974 | Table 163: Time Stamp in Message Header | 73 |
| 1975 | Table 164: Authentication Structure in Message Header | 74 |
| 1976 | Table 165: Asynchronous Indicator in Message Request Header..... | 74 |
| 1977 | Table 166: Asynchronous Correlation Value in Response Batch Item..... | 74 |
| 1978 | Table 167: Result Status in Response Batch Item | 74 |
| 1979 | Table 168: Result Reason in Response Batch Item | 75 |
| 1980 | Table 169: Result Message in Response Batch Item..... | 75 |
| 1981 | Table 170: Batch Order Option in Message Request Header | 75 |
| 1982 | Table 171: Batch Error Continuation Option in Message Request Header..... | 76 |
| 1983 | Table 172: Batch Count in Message Header..... | 76 |
| 1984 | Table 173: Batch Item in Message | 76 |
| 1985 | Table 174: Message Extension Structure in Batch Item..... | 77 |
| 1986 | Table 175: Request Message Structure | 77 |
| 1987 | Table 176: Response Message Structure..... | 77 |
| 1988 | Table 177: Synchronous Request Header Structure | 77 |
| 1989 | Table 178: Synchronous Request Batch Item Structure..... | 78 |
| 1990 | Table 179: Synchronous Response Header Structure | 78 |
| 1991 | Table 180: Synchronous Response Batch Item Structure..... | 78 |
| 1992 | Table 181: Asynchronous Request Header Structure | 79 |
| 1993 | Table 182: Asynchronous Request Batch Item Structure..... | 79 |
| 1994 | Table 183: Asynchronous Response Header Structure | 79 |
| 1995 | Table 184: Asynchronous Response Batch Item Structure..... | 80 |
| 1996 | Table 185: Allowed Item Type Values | 81 |
| 1997 | Table 186: Allowed Item Length Values | 82 |
| 1998 | Table 187: Tag Values..... | 88 |
| 1999 | Table 188: Credential Type Enumeration | 89 |
| 2000 | Table 189: Key Value Type Enumeration | 89 |
| 2001 | Table 190: Wrapping Method Enumeration | 90 |
| 2002 | Table 191: Recommended Curves for ECDSA and ECDH | 90 |
| 2003 | Table 192: Certificate Type Enumeration | 91 |
| 2004 | Table 193: Split Key Method Enumeration | 91 |
| 2005 | Table 194: Secret Data Type Enumeration..... | 91 |
| 2006 | Table 195: Opaque Data Type Enumeration | 91 |
| 2007 | Table 196: Name Type Enumeration | 91 |
| 2008 | Table 197: Object Type Enumeration | 92 |
| 2009 | Table 198: Cryptographic Algorithm Enumeration..... | 92 |
| 2010 | Table 199: Block Cipher Mode Enumeration | 93 |
| 2011 | Table 200: Padding Method Enumeration | 93 |
| 2012 | Table 201: Hashing Algorithm Enumeration | 94 |
| 2013 | Table 202: Role Type Enumeration | 94 |
| 2014 | Table 203: State Enumeration | 95 |

| | | |
|------|---|-----|
| 2015 | Table 204: Revocation Reason Code Enumeration | 95 |
| 2016 | Table 205: Link Type Enumeration | 96 |
| 2017 | Table 206: Derivation Method Enumeration | 96 |
| 2018 | Table 207: Certificate Request Type Enumeration | 96 |
| 2019 | Table 208: Validity Indicator Enumeration | 96 |
| 2020 | Table 209: Query Function Enumeration | 97 |
| 2021 | Table 210: Cancellation Result Enumeration | 97 |
| 2022 | Table 211: Put Function Enumeration | 97 |
| 2023 | Table 212: Operation Enumeration | 98 |
| 2024 | Table 213: Result Status Enumeration | 99 |
| 2025 | Table 214: Result Reason Enumeration | 99 |
| 2026 | Table 215: Batch Error Continuation Enumeration | 100 |
| 2027 | Table 216: Cryptographic Usage Mask | 100 |
| 2028 | Table 217: Storage Status Mask | 100 |
| 2029 | Table 218: General Errors | 102 |
| 2030 | Table 219: Create Errors | 102 |
| 2031 | Table 220: Create Key Pair Errors | 102 |
| 2032 | Table 221: Register Errors | 103 |
| 2033 | Table 222: Re-key Errors | 103 |
| 2034 | Table 223: Derive Key Errors | 104 |
| 2035 | Table 224: Certify Errors | 104 |
| 2036 | Table 225: Re-certify Errors | 105 |
| 2037 | Table 226: Locate Errors | 105 |
| 2038 | Table 227: Check Errors | 105 |
| 2039 | Table 228: Get Errors | 106 |
| 2040 | Table 229: Get Attributes Errors | 106 |
| 2041 | Table 230: Get Attribute List Errors | 106 |
| 2042 | Table 231: Add Attribute Errors | 107 |
| 2043 | Table 232: Modify Attribute Errors | 107 |
| 2044 | Table 233: Delete Attribute Errors | 107 |
| 2045 | Table 234: Obtain Lease Errors | 108 |
| 2046 | Table 235: Get Usage Allocation Errors | 108 |
| 2047 | Table 236: Activate Errors | 108 |
| 2048 | Table 237: Revoke Errors | 109 |
| 2049 | Table 238: Destroy Errors | 109 |
| 2050 | Table 239: Archive Errors | 109 |
| 2051 | Table 240: Recover Errors | 109 |
| 2052 | Table 241: Validate Errors | 110 |
| 2053 | Table 242: Poll Errors | 110 |
| 2054 | Table 243: Batch Items Errors | 110 |
| 2055 | Table 244: Attribute Cross-reference | 112 |
| 2056 | Table 245: Tag Cross-reference | 117 |

2057 | [Table 246: Operation and Object Cross-reference.....](#) 118
2058 |

← **Formatted:** Normal, No bullets or numbering

Formatted: Bullets and Numbering

2059

F. Acknowledgements

2060
2061

The following individuals have participated in the creation of this specification and are gratefully acknowledged:

2062

Original Authors of the initial contribution:

2063

David Babcock, HP

2064

Steven Bade, IBM

2065

Paolo Bezoari, NetApp

2066

Mathias Björkqvist, IBM

2067

Bruce Brinson, EMC

2068

Christian Cachin, IBM

2069

Tony Crossman, Thales/nCipher

2070

Stan Feather, HP

2071

Indra Fitzgerald, HP

2072

Judy Furlong, EMC

2073

Jon Geater, Thales/nCipher

2074

Bob Griffin, EMC

2075

Robert Haas, IBM (editor)

2076

Timothy Hahn, IBM

2077

Jack Harwood, EMC

2078

Walt Hubis, LSI

2079

Glen Jaquette, IBM

2080

Jeff Kravitz, IBM (editor emeritus)

2081

Michael McIntosh, IBM

Formatted: Swedish (Sweden)

2082

Brian Metzger, HP

2083

Anthony Nadalin, IBM

2084

Elaine Palmer, IBM

2085

Joe Pato, HP

2086

René Pawlitzek, IBM

2087

Subhash Sankuratripati, NetApp

2088

Mark Schiller, HP

2089

Martin Skagen, Brocade

2090

Marcus Streets, Thales/nCipher

2091

John Tattan, EMC

2092

Karla Thomas, Brocade

2093

Marko Vukolić, IBM

Deleted:

2094

Steve Wierenga, HP

2095

Participants:

2096

TBD

2097

G. Revision History

Formatted: Bullets and Numbering

Deleted: ed-0.98 ... [8]

| Revision | Date | Editor | Changes Made |
|--------------------|-----------------------|--|--|
| ed-0.98 | 2009-04-24 | Robert Haas | Initial conversion of input document to OASIS format together with clarifications. |
| ed-0.98 | 2009-05-21 | Robert Haas | Changes to TTLV format for 64-bit alignment. Appendices indicated as non normative. |
| ed-0.98 | 2009-06-25 | Robert Haas, Indra Fitzgerald | Multiple editorial and technical changes, including merge of Template and Policy Template. |
| ed-0.98 | 2009-07-23 | Robert Haas, Indra Fitzgerald | Multiple editorial and technical changes, mainly based on comments from Elaine Barker and Judy Furlong. Fix of Template Name. |
| ed-0.98 | 2009-07-27 | Indra Fitzgerald | Added captions to tables and figures. |

Formatted: Keep with next

2098

Page 33: [1] Deleted **rha** **7/15/2009 2:57:00 PM**

| | | |
|-------------------------|-------------|---|
| Usage Limits Byte Count | Big Integer | No. May only be present if Usage Limits Object Count is not present |
|-------------------------|-------------|---|

Page 49: [2] Deleted **Mathias Björkqvist** **7/22/2009 10:44:00 AM**

| Request Payload | | |
|--------------------|----------------------|--|
| Object | Required Field | Description |
| Object Type | Yes | Template |
| Template Name | Yes | Specifies the name of the Template being registered |
| Template-Attribute | Yes, May be repeated | Specifies the attributes of the new Template using templates and/or as individual attributes |

Page 81: [3] Deleted **Mathias Björkqvist** **7/22/2009 10:24:00 AM**

| | |
|--|--|
| | |
|--|--|

Page 82: [4] Deleted **Mathias Björkqvist** **7/22/2009 10:24:00 AM**

| | |
|--|--|
| | |
|--|--|

Page 88: [5] Deleted **rha** **7/20/2009 6:14:00 PM**

| | |
|---------------|--------|
| Template Name | 42008B |
|---------------|--------|

Page 100: [6] Deleted **rha** **7/15/2009 6:19:00 PM**

| | |
|------------|----------|
| MAC Verify | 00002000 |
|------------|----------|

Page 116: [7] Deleted **rha** **7/20/2009 6:16:00 PM**

| | | | |
|---------------|-----|-------------|--|
| Template Name | 4.3 | Text String | |
|---------------|-----|-------------|--|

Page 129: [8] Deleted **fitzgeri** **7/28/2009 10:37:00 AM**

| | | | |
|---------|------------|-------------|---|
| ed-0.98 | 2009-05-21 | Robert Haas | Changes to TTLV format for 64-bit alignment. Appendices indicated as non normative. |
|---------|------------|-------------|---|