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OASIS Web Services Secure Exchange TC

Chair(s):

Kelvin Lawrence, IBM

Chris Kaler, Microsoft

Editor(s):

Anthony Nadalin, IBM

Martin Gudgin, Microsoft

Abbie Barbir, Nortel

Hans Granqvist, VeriSign

OASIS Conceptual Model topic area:

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Abstract:

This specification defines extensions that build on [WS-Security] to provide a framework for requesting and issuing security tokens, and to broker trust relationships.

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1 Introduction

The mechanisms defined in [WS-Security] provide the basic mechanisms on top of which secure messaging semantics can be defined for multiple message exchanges. This specification defines extensions to allow security context establishment and sharing, and session key derivation. This allows contexts to be established and potentially more efficient keys or new key material to be exchanged, thereby increasing the overall performance and security of the subsequent exchanges.

The [WS-Security] specification focuses on the message authentication model. This approach, while useful in many situations, is subject to several forms of attack (see Security Considerations section of [WS-Security] specification).

Accordingly, this specification introduces a security context and its usage. The context authentication model authenticates a series of messages thereby addressing these shortcomings, but requires additional communications if authentication happens prior to normal application exchanges.

15

The security context is defined as a new [WS-Security] token type that is obtained using a binding of [WS-Trust].

18

Compliant services are NOT REQUIRED to implement everything defined in this specification. However, if a service implements an aspect of the specification, it MUST comply with the requirements specified (e.g. related "MUST" statements).

1.1 Goals and Non-Goals

The primary goals of this specification are:

- Define how security contexts are established
- Describe how security contexts are amended
- Specify how derived keys are computed and passed

27

It is not a goal of this specification to define how trust is established or determined.

This specification is intended to provide a flexible set of mechanisms that can be used to support a range of security protocols. Some protocols may require separate mechanisms or restricted profiles of this specification.

1.2 Requirements

The following list identifies the key driving requirements:

- Derived keys and per-message keys
- Extensible security contexts

1.3 Namespace

The [XML namespace] URI that MUST be used by implementations of this specification is:

38

<http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512>

39 The following namespaces are used in this document:

Prefix	Namespace
S11	http://schemas.xmlsoap.org/soap/envelope/
S12	http://www.w3.org/2003/05/soap-envelope
wsu	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd
wsse	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd
wst	http://docs.oasis-open.org/ws-sx/ws-trust/200512
wsc	http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512
wsa	http://schemas.xmlsoap.org/ws/2004/08/addressing
ds	http://www.w3.org/2000/09/xmldsig#
xenc	http://www.w3.org/2001/04/xmlenc#

40 1.4 Schema File

41 The schema for this specification can be located at:

42 [http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/ws-](http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/ws-secureconversation.xsd)
43 [secureconversation.xsd](http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/ws-secureconversation.xsd)

44

45 In this document, reference is made to the `wsu:Id` attribute in the utility schema. These
46 were added to the utility schema with the intent that other specifications requiring such an
47 ID or timestamp could reference it (as is done here).

48 1.5 Terminology

49 **Claim** – A *claim* is a statement made about a client, service or other resource (e.g. name,
50 identity, key, group, privilege, capability, etc.).

51 **Security Token** – A *security token* represents a collection of claims.

52 **Security Context** – A *security context* is an abstract concept that refers to an established
53 authentication state and negotiated key(s) that may have additional security-related
54 properties.

55 **Security Context Token** – A *security context token (SCT)* is a wire representation of that
56 security context abstract concept, which allows a context to be named by a URI and used
57 with [WS-Security].

58 **Signed Security Token** – A *signed security token* is a security token that is asserted and
59 cryptographically endorsed by a specific authority (e.g. an X.509 certificate or a Kerberos
60 ticket).

61 **Proof-of-Possession Token** – A *proof-of-possession (POP) token* is a security token that
62 contains secret data that can be used to demonstrate authorized use of an associated
63 security token. Typically, although not exclusively, the proof-of-possession information is
64 encrypted with a key known only to the recipient of the POP token.

65 **Digest** – A *digest* is a cryptographic checksum of an octet stream.

66 **Signature** – A *signature* is a value computed with a cryptographic algorithm and bound to
67 data in such a way that intended recipients of the data can use the signature to verify that
68 the data has not been altered and/or has originated from the signer of the message,
69 providing message integrity and authentication. The signature can be computed and verified
70 with symmetric key algorithms, where the same key is used for signing and verifying, or
71 with asymmetric key algorithms, where different keys are used for signing and verifying (a
72 private and public key pair are used).

73 **Security Token Service** – A *security token service (STS)* is a Web service that issues
74 security tokens (see [WS-Security]). That is, it makes assertions based on evidence that it
75 trusts, to whoever trusts it (or to specific recipients). To communicate trust, a service
76 requires proof, such as a signature, to prove knowledge of a security token or set of
77 security token. A service itself can generate tokens or it can rely on a separate STS to issue
78 a security token with its own trust statement (note that for some security token formats this
79 can just be a re-issuance or co-signature). This forms the basis of trust brokering.

80 **Request Security Token (RST)** – A *RST* is a message sent to a security token service to
81 request a security token.

82 **Request Security Token Response (RSTR)** – A *RSTR* is a response to a request for a
83 security token. In many cases this is a direct response from a security token service to a
84 requestor after receiving an RST message. However, in multi-exchange scenarios the
85 requestor and security token service may exchange multiple RSTR messages before the
86 security token service issues a final RSTR message.

87 1.5.1 Notational Conventions

88 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD",
89 "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be
90 interpreted as described in [RFC2119].

91

92 Namespace URIs of the general form "some-URI" represents some application-dependent or
93 context-dependent URI as defined in [RFC2396].

94 1.6 Normative References

- 95 [RFC2119] S. Bradner, *Key words for use in RFCs to Indicate Requirement Levels*,
96 <http://www.ietf.org/rfc/rfc2119.txt>, IETF RFC 2119, March 1997.
- 97 [RFC2119] S. Bradner, "Key words for use in RFCs to Indicate Requirement Levels," RFC
98 2119, Harvard University, March 1997.
- 99 [RFC2246] IETF Standard, "The TLS Protocol," January 1999.
- 100 [SOAP] W3C Note, "SOAP: Simple Object Access Protocol 1.1," 08 May 2000.
- 101 [SOAP12] W3C Recommendation, "SOAP 1.2 Part 1: Messaging Framework," 24 June
102 2003.

103	[URI]	T. Berners-Lee, R. Fielding, L. Masinter, "Uniform Resource Identifiers (URI):
104		Generic Syntax," RFC 2396 , MIT/LCS, U.C. Irvine, Xerox Corporation, August
105		1998.
106	[WS-Addressing]	" Web Services Addressing (WS-Addressing) ," BEA, IBM, Microsoft, SAP, Sun
107		Microsystems, Inc., August 2004.
108	[WS-MetadataExchange]	" Web Services Metadata Exchange (WS-MetadataExchange) ," BEA,
109		Computer Associates, IBM, Microsoft, SAP, Sun Microsystems, Inc.,
110		webMethods, September 2004.
111	[WS-Policy]	" Web Services Policy Framework ," BEA, IBM, Microsoft, SAP, Sonic Software,
112		Verisign, September 2004.
113	[WS-PolicyAttachment]	" Web Services Policy Attachment Language ," BEA, IBM, Microsoft, SAP,
114		Sonic Software, Verisign, September 2004.
115	[WS-Security]	OASIS, " Web Services Security: SOAP Message Security ," 15 March 2004.
116	[WS-SecurityPolicy]	" Web Services Security Policy Language ," IBM, Microsoft, RSA Security,
117		VeriSign, December 2002.
118	[WS-Trust]	" Web Services Trust Language ," Actional, BEA, Computer Associates, IBM,
119		Layer7, Microsoft, Oblix, OpenNetwork, Ping Identity, Reactivity, RSA Security,
120		VeriSign, February 2005.
121	[XML-C14N]	W3C Candidate Recommendation, " Canonical XML Version 1.0 ," 26 October
122		2000.
123	[XML-Encrypt]	W3C Recommendation, " XML Encryption Syntax and Processing ," 10 December
124		2002.
125	[XML-ns]	W3C Recommendation, " Namespaces in XML ," 14 January 1999.
126	[XML-Schema1]	W3C Recommendation, " XML Schema Part 1: Structures ," 2 May 2001.
127	[XML-Schema2]	W3C Recommendation, " XML Schema Part 2: Datatypes ," 2 May 2001.
128	[XML-Signature]	W3C Recommendation, " XML-Signature Syntax and Processing ," 12 February
129		2002.

130 1.7 Non-Normative References

131	[Reference]	[Full reference citation]
-----	--------------------	---------------------------

132 2 Security Context Token (SCT)

133 While message authentication is useful for simple or one-way messages, parties that wish to
134 exchange multiple messages typically establish a security context in which to exchange
135 multiple messages. A security context is shared among the communicating parties for the
136 lifetime of a communications session.

137

138 In this specification, a security context is represented by the `<wsc:SecurityContextToken>`
139 security token. In the [WS-Security] and [WS-Trust] framework, the following URI is used
140 to represent the token type:

141 `http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/sct`

142

143 The Security Context Token does not support references to it using key identifiers or key
144 names. All references MUST either use an ID (to a `wsu:Id` attribute) or a
145 `<wsse:Reference>` to the `<wsc:Identifier>` element.

146

147 Once the context and secret have been established (authenticated), the mechanisms
148 described in [Derived Keys](#) can be used to compute derived keys for each key usage in the
149 secure context.

150

151 The following represents an overview of the syntax of the `<wsc:SecurityContextToken>`
152 element. It should be noted that this token supports an open content model to allow
153 context-specific data to be passed.

154 `<wsc:SecurityContextToken wsu:Id="..." >`

155 `<wsc:Identifier>...</wsc:Identifier>`

156 `<wsc:Instance>...</wsc:Instance>`

157

158 `</wsc:SecurityContextToken>`

159

160 The following describes elements and attributes used in a `<wsc:SecurityContextToken>`
161 element.

162 `/wsc:SecurityContextToken`

163 This element is a security token that describes a security context.

164 `/wsc:SecurityContextToken/wsc:Identifier`

165 This required element identifies the security context using an absolute URI. Each security context
166 URI MUST be unique to both the sender and recipient. It is RECOMMENDED that the value be
167 globally unique in time and space.

168 `/wsc:SecurityContextToken/wsc:Instance`

169 When contexts are renewed and given different keys it is necessary to identify the different key
170 instances without revealing the actual key. When present this optional element contains a string
171 that is unique for a given key value for this `wsc:Identifier`. The initial issuance need not
172 contain a `wsc:Instance` element, however, all subsequent issuances with different keys MUST
173 have a `wsc:Instance` element with a unique value.

174 `/wsc:SecurityContextToken/@wsu:Id`

175 This optional attribute specifies a string label for this element.
176 /wsc:SecurityContextToken/@{any}
177 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added
178 to the element.

179 /wsc:SecurityContextToken/{any}
180 This is an extensibility mechanism to allow additional elements (arbitrary content) to be used.

181
182 The <wsc:SecurityContextToken> token elements MUST be preserved. That is, whatever
183 elements contained within the tag on creation MUST be preserved wherever the token is
184 used. A consumer of a <wsc:SecurityContextToken> token MAY extend the token by
185 appending information. Consequently producers of <wsc:SecurityContextToken> tokens
186 should consider this fact when processing previously generated tokens. A service
187 consuming (processing) a <wsc:SecurityContextToken> token MAY fault if it discovers an
188 element or attribute inside the token that it doesn't understand, or it MAY ignore it. The
189 fault code wsc:UnsupportedContextToken is RECOMMENDED if a fault is raised. The
190 behavior is specified by the services policy. Care should be taken when adding information
191 to tokens to ensure that relying parties can ensure the information has not been altered
192 since the SCT definition does not require a specific way to secure its contents (which as
193 noted above can be appended to).

194
195 Security contexts, like all security tokens, can be referenced using the mechanisms
196 described in [WS-Security] (the <wsse:SecurityTokenReference> element referencing the
197 wsu:Id attribute relative to the XML base document or referencing using the
198 <wsc:Identifier> element's absolute URI). When a token is referenced, the associated
199 key is used. If a token provides multiple keys then specific bindings and profiles must
200 describe how to reference the separate keys. If a specific key instance needs to be
201 referenced, then the global attribute *wsc:Instance* is included in the <wsse:Reference> sub-
202 element (only when using <wsc:Identifier> references) of the
203 <wsse:SecurityTokenReference> element as illustrated below:

```
204 ...  
205 <wsse:SecurityTokenReference>  
206 <wsse:Reference URI="uuid:..." wsc:Instance="..." />  
207 </wsse:SecurityTokenReference>  
208 ...
```

209
210 The following sample message illustrates the use of a security context token. In this
211 example a context has been established and the secret is known to both parties. This
212 secret is used to sign the message body.

```
213 (001) <?xml version="1.0" encoding="utf-8"?>  
214 (002) <S11:Envelope xmlns:S11="..." xmlns:ds="..." xmlns:wsse="..."  
215 xmlns:wsu="..." xmlns:wsc="...">  
216 (003) <S11:Header>  
217 (004) ...  
218 (005) <wsse:Security>  
219 (006) <wsc:SecurityContextToken wsu:Id="MyID">  
220 (007) <wsc:Identifier>uuid:... </wsc:Identifier>  
221 (008) </wsc:SecurityContextToken>  
222 (009) <ds:Signature>  
223 (010) ...  
224 (011) <ds:KeyInfo>
```

225	(012)	<wsse:SecurityTokenReference>
226	(013)	<wsse:Reference URI="#MyID"/>
227	(014)	</wsse:SecurityTokenReference>
228	(015)	</ds:KeyInfo>
229	(016)	</ds:Signature>
230	(017)	</wsse:Security>
231	(018)	</S11:Header>
232	(019)	<S11:Body wsu:Id="MsgBody">
233	(020)	<tru:StockSymbol
234		xmlns:tru="http://fabrikam123.com/payloads">
235		QQQ
236		</tru:StockSymbol>
237	(021)	</S11:Body>
238	(022)	</S11:Envelope>

239

240 Let's review some of the key sections of this example:

241 Lines (003)-(018) contain the SOAP message headers.

242 Lines (005)-(017) represent the <wsse:Security> header block. This contains the security-
243 related information for the message.

244 Lines (006)-(008) specify a [security token](#) that is associated with the message. In this case
245 it is a security context token. Line (007) specifies the unique ID of the context.

246 Lines (009)-(016) specify the digital signature. In this example, the signature is based on
247 the security context (specifically the secret/key associated with the context). Line (010)
248 represents the typical contents of an XML Digital Signature which, in this case, references
249 the body and potentially some of the other headers expressed by line (004).

250

251 Lines (012)-(014) indicate the key that was used for the signature. In this case, it is the
252 security context token included in the message. Line (013) provides a URI link to the
253 security context token specified in Lines (006)-(008).

254 The body of the message is represented by lines (019)-(021).

255 3 Establishing Security Contexts

256 A security context needs to be created and shared by the communicating parties before
257 being used. This specification defines three different ways of establishing a security context
258 among the parties of a secure communication.

259

260 **Security context token created by a security token service** – The context initiator
261 asks a security token service to create a new security context token. The newly created
262 security context token is distributed to the parties through the mechanisms defined here
263 and in [WS-Trust]. For this scenario the initiating party sends a
264 `<wst:RequestSecurityToken>` request to the token service and a
265 `<wst:RequestSecurityTokenResponse>` is returned. The response contains a
266 `<wst:RequestedSecurityToken>` containing (or pointing to) the new security context token
267 and a `<wst:RequestedProofToken>` pointing to the "secret" for the returned context. The
268 requestor then uses the security context token (with [WS-Security]) when securing
269 messages to applicable services.

270

271 **Security context token created by one of the communicating parties and**
272 **propagated with a message** – The initiator creates a security context token and sends it
273 to the other parties on a message using the mechanisms described in this specification and
274 in [WS-Trust]. This model works when the sender is trusted to always create a new
275 security context token. For this scenario the initiating party creates a security context
276 token and issues a signed unsolicited `<wst:RequestSecurityTokenResponse>` to the other
277 party. The message contains a `<wst:RequestedSecurityToken>` containing (or pointing to)
278 the new security context token and a `<wst:RequestedProofToken>` pointing to the "secret"
279 for the security context token. The recipient can then choose whether or not to accept the
280 security context token. As described in [WS-Trust], the
281 `<wst:RequestSecurityTokenResponse>` element MAY be in the body or inside a header
282 block. It should be noted that unless delegation tokens are used, this scenario requires that
283 parties trust each other to share a secret key (and non-repudiation is probably not
284 possible). As receipt of these messages may be expensive, and because a recipient may
285 receive multiple messages, the `.../wst:RequestSecurityTokenResponse/@Context` attribute in
286 [WS-Trust] allows the initiator to specify a URI to indicate the intended usage (allowing
287 processing to be optimized).

288

289 **Security context token created through negotiation/exchanges** – When there is a
290 need to negotiate or participate in a sequence of message exchanges among the
291 participants on the contents of the security context token, such as the shared secret, this
292 specification allows the parties to exchange data to establish a security context. For this
293 scenario the initiating party sends a `<wst:RequestSecurityToken>` request to the other
294 party and a `<wst:RequestSecurityTokenResponse>` is returned. It is RECOMMENDED that
295 the framework described in [WS-Trust] be used; however, the type of exchange will likely
296 vary. If appropriate, the basic challenge-response definition in [WS-Trust] is
297 RECOMMENDED. Ultimately (if successful), a final response contains a
298 `<wst:RequestedSecurityToken>` containing (or pointing to) the new security context and a
299 `<wst:RequestedProofToken>` pointing to the "secret" for the context.

300 If an SCT is received, but the key sizes are not supported, then a fault SHOULD be
301 generated using the `wsc:UnsupportedContextToken` fault code unless another more specific
302 fault code is available.

303 3.1 SCT Binding of WS-Trust

304 This binding describes how to use [WS-Trust] to request and return SCTs. This binding
305 builds on the issuance binding for [WS-Trust] (note that other sections of this specification
306 define new separate bindings of [WS-Trust]). Consequently, aspects of the issuance
307 binding apply to this binding unless otherwise stated. For example, the token request type
308 is the same as in the issuance binding.

309

310 When requesting and returning security context tokens the following Action URIs are used
311 (note that a specialized action is used here because of the specialized semantics of SCTs):

```
312 http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/SCT  
313 http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/SCT
```

314

315 As with all token services, the options supported may be limited. This is especially true of
316 SCTs because the issuer may only be able to issue tokens for itself and quite often will only
317 support a specific set of algorithms and parameters as expressed in its policy.

318 SCTs are not required to have lifetime semantics. That is, some SCTs may have specific
319 lifetimes and others may be bound to other resources rather than have their own lifetimes.

320 Since the SCT binding builds on the issuance binding, it allows the optional extensions
321 defined for the issuance binding including the use of exchanges. Subsequent profiles MAY
322 restrict the extensions and types and usage of exchanges.

323 3.2 SCT Request Example

324 The following illustrates a request for a security context token from a security token service.
325 In this example the key is encrypted for the recipient (security token service) using the
326 token service's X.509 certificate as per XML Encryption. The encrypted data (using the
327 encrypted key) contains a `<wsse:UsernameToken>` token that the recipient uses to authorize
328 the request. The request is secured (integrity) using the X.509 certificate of the requestor.
329 The response encrypts the proof information using the requestor's X.509 certificate and
330 secures the message (integrity) using the token service's X.509 certificate. Note that the
331 details of XML Signature and XML Encryption have been omitted; refer to [WS-Security] for
332 additional details. It should be noted that if the requestor doesn't have an X.509 this
333 scenario could be achieved using a TLS connection or by creating an ephemeral key.

```
334 <S11:Envelope xmlns:S11="..." xmlns:wsse="..." xmlns:wsu="..."  
335     xmlns:wst="..." xmlns:xenc="...">  
336   <S11:Header>  
337     ...  
338     <wsa:Action xmlns:wsa="...">  
339       http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/SCT  
340     </wsa:Action>  
341     ...  
342     <wsse:Security>  
343       <xenc:EncryptedKey>  
344         ...  
345       </xenc:EncryptedKey>  
346       <xenc:EncryptedData Id="encUsernameToken">  
347         ... encrypted username token (whose id is myToken) ...
```

```

348         </xenc:EncryptedData>
349         <ds:Signature xmlns:ds="...">
350             ...
351             <ds:KeyInfo>
352                 <wsse:SecurityTokenReference>
353                     <wsse:Reference URI="#myToken"/>
354                 </wsse:SecurityTokenReference>
355             </ds:KeyInfo>
356         </ds:Signature>
357     </wsse:Security>
358     ...
359 </S11:Header>
360 <S11:Body wsu:Id="req">
361     <wst:RequestSecurityToken>
362         <wst:TokenType>
363             http://docs.oasis-open.org/ws-sx/ws-
364 secureconversation/200512/sct
365         </wst:TokenType>
366         <wst:RequestType>
367             http://docs.oasis-open.org/ws-sx/ws-trust/200512/Issue
368         </wst:RequestType>
369     </wst:RequestSecurityToken>
370 </S11:Body>
371 </S11:Envelope>
372
373 <S11:Envelope xmlns:S11="..."
374     xmlns:wst="..." xmlns:wsc="..." xmlns:xenc="...">
375     <S11:Header>
376         ...
377         <wsa:Action xmlns:wsa="...">
378             http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/SCT
379         </wsa:Action>
380     </S11:Header>
381     <S11:Body>
382         <wst:RequestSecurityTokenResponse>
383             <wst:RequestedSecurityToken>
384                 <wsc:SecurityContextToken>
385                     <wsc:Identifier>uuid:...</wsc:Identifier>
386                 </wsc:SecurityContextToken>
387             </wst:RequestedSecurityToken>
388             <wst:RequestedProofToken>
389                 <xenc:EncryptedKey Id="newProof">
390                     ...
391                 </xenc:EncryptedKey>
392             </wst:RequestedProofToken>
393         </wst:RequestSecurityTokenResponse>
394     </S11:Body>
395 </S11:Envelope>
396

```

397 3.3 SCT Propagation Example

398 The following illustrates propagating a context to another party.

```

399 <S11:Envelope xmlns:S11="..."
400     xmlns:wst="..." xmlns:wsc="..." xmlns:xenc="...">
401     <S11:Header>
402         ...
403     </S11:Header>
404     <S11:Body>
405         <wst:RequestSecurityTokenResponse>
406             <wst:RequestedSecurityToken>
407                 <wsc:SecurityContextToken>

```

```
408         <wsc:Identifier>uuid: ... </wsc:Identifier>
409         </wsc:SecurityContextToken>
410     </wst:RequestedSecurityToken>
411     <wst:RequestedProofToken>
412         <xenc:EncryptedKey Id="newProof">
413             ...
414         </xenc:EncryptedKey>
415     </wst:RequestedProofToken>
416 </wst:RequestSecurityTokenResponse>
417 </S11:Body>
418 </S11:Envelope>
```

419 4 Amending Contexts

420 When an SCT is created, a set of claims is associated with it. There are times when an
421 existing SCT needs to be amended to carry additional claims (note that the decision as to
422 who is authorized to amend a context is a service-specific decision). This is done using the
423 SCT Amend binding. In such cases an explicit request is made to amend the claims
424 associated with an SCT. It should be noted that using the mechanisms described in [WS-
425 Trust], an issuer MAY, at any time, return an amended SCT by issuing an unsolicited (not
426 explicitly requested) SCT inside an RSTR (either as a separate message or in a header).

427 The following Action URIs are used with this binding:

```
428 http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/SCT/Amend  
429 http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/SCT/Amend
```

430
431 This binding allows optional extensions but DOES NOT allow key semantics to be altered.
432 Additional claims are indicated by providing signatures over the Security Context Token
433 within the message proving additional security tokens that carry the claims to augment the
434 context.

435 This binding uses the request type from the issuance binding.

```
436 <S11:Envelope xmlns:S11="..." xmlns:wsse="..." xmlns:wsu="..."  
437   xmlns:wst="..." xmlns:wsc="...">  
438   <S11:Header>  
439     ...  
440     <wsa:Action xmlns:wsa="...">  
441       http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/SCT/Amend  
442     </wsa:Action>  
443     ...  
444     <wsse:Security>  
445       <xx:CustomToken wsu:Id="cust" xmlns:xx="...">  
446         ...  
447       </xx:CustomToken>  
448       <ds:Signature xmlns:ds="...">  
449         ... signature over #sig1 using #cust ...  
450       </ds:Signature>  
451       <wsc:SecurityContextToken wsu:Id="sct">  
452         <wsc:Identifier>uuid:.. UUID1 .. </wsc:Identifier>  
453       </wsc:SecurityContextToken>  
454       <ds:Signature xmlns:ds="..." Id="sig1">  
455         ... signature over body and key headers using #sct ...  
456       <ds:KeyInfo>  
457         <wsse:SecurityTokenReference>  
458           <wsse:Reference URI="#sct"/>  
459         </wsse:SecurityTokenReference>  
460       </ds:KeyInfo>  
461       ...  
462     </ds:Signature>  
463   </wsse:Security>  
464   ...  
465 </S11:Header>  
466 <S11:Body wsu:Id="req">  
467   <wst:RequestSecurityToken>  
468     <wst:RequestType>  
469       http://docs.oasis-open.org/ws-sx/ws-trust/200512/Issue  
470     </wst:RequestType>  
471   </wst:RequestSecurityToken>
```

```
472     </S11:Body>
473 </S11:Envelope>
474
475 <S11:Envelope xmlns:S11="..." xmlns:wst="..." xmlns:wsc="...">
476   <S11:Header>
477     ...
478     <wsa:Action xmlns:wsa="...">
479       http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/SCT/Amend
480     </wsa:Action>
481     ...
482   </S11:Header>
483   <S11:Body>
484     <wst:RequestSecurityTokenResponse>
485       <wst:RequestedSecurityToken>
486         <wsc:SecurityContextToken>
487           <wsc:Identifier>uuid:...UUID1...</wsc:Identifier>
488         </wsc:SecurityContextToken>
489       </wst:RequestedSecurityToken>
490     </wst:RequestSecurityTokenResponse>
491   </S11:Body>
492 </S11:Envelope>
```

493 5 Renewing Contexts

494 When a security context is created it typically has an associated expiration. If a requestor
495 desires to extend the duration of the token it uses a custom binding of the renewal
496 mechanism defined in WS-Trust. The following Action URIs are used with this binding:

```
497 http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/SCT/Renew  
498 http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/SCT/Renew
```

499

500 This binding allows optional extensions but DOES NOT allow key semantics to be altered.

501 Proof of possession of the key associated with the security context MUST be proven in order
502 for the context to be renewed.

503

504 A renewal MUST include re-authentication of the original claims. During renewal, new key
505 material MAY be exchanged. Such key material MUST NOT be protected using the existing
506 session key.

507

508 A service MAY allow renewal of expired tokens. In such cases the original claims MUST be
509 re-proven and new key material SHOULD be provided and MUST NOT use the expired
510 session key for protection.

511

512 This binding uses the request type from the renewal binding.

513 The following example illustrates a renewal which re-proves the original claims.

```
514 <S11:Envelope xmlns:S11="..." xmlns:wsse="..." xmlns:wsu="..."  
515     xmlns:wst="..." xmlns:wsc="...">  
516   <S11:Header>  
517     ...  
518     <wsa:Action xmlns:wsa="...">  
519       http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/SCT/Renew  
520     </wsa:Action>  
521     ...  
522     <wsse:Security>  
523       <xx:CustomToken wsu:Id="cust" xmlns:xx="...">  
524         ...  
525       </xx:CustomToken>  
526       <ds:Signature xmlns:ds="..." Id="sig1">  
527         ... signature over body and key headers using #cust ...  
528       </ds:Signature>  
529       <wsc:SecurityContextToken wsu:Id="sct">  
530         <wsc:Identifier>uuid:...UUID1...</wsc:Identifier>  
531       </wsc:SecurityContextToken>  
532       <ds:Signature xmlns:ds="..." Id="sig2">  
533         ... signature over #sig1 using #sct ...  
534       </ds:Signature>  
535     </wsse:Security>  
536     ...  
537   </S11:Header>  
538   <S11:Body wsu:Id="req">  
539     <wst:RequestSecurityToken>  
540       <wst:RequestType>  
541         http://docs.oasis-open.org/ws-sx/ws-trust/200512/Renew  
542       </wst:RequestType>
```

```

543         <wst:RenewTarget>
544             <wsse:SecurityTokenReference>
545                 <wsse:Reference URI="#sct"/>
546             </wsse:SecurityTokenReference>
547         </wst:RenewTarget>
548         <wst:Lifetime>...</wst:Lifetime>
549     </wst:RequestSecurityToken>
550 </S11:Body>
551 </S11:Envelope>
552
553 <S11:Envelope xmlns:S11="..." xmlns:wst="..." xmlns:wsc="...">
554     <S11:Header>
555
556         <wsa:Action xmlns:wsa="...">
557             http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/SCT/Renew
558         </wsa:Action>
559
560     </S11:Header>
561     <S11:Body>
562         <wst:RequestSecurityTokenResponse>
563             <wst:RequestedSecurityToken>
564                 <wsc:SecurityContextToken>
565                     <wsc:Identifier>uuid:...UUID1...</wsc:Identifier>
566                     <wsc:Instance>UUID2</wsc:Instance>
567                 </wsc:SecurityContextToken>
568             </wst:RequestedSecurityToken>
569             <wst:Lifetime>...</wst:Lifetime>
570         </wst:RequestSecurityTokenResponse>
571     </S11:Body>
572 </S11:Envelope>

```

573 6 Canceling Contexts

574 It is not uncommon for a requestor to be done with a security context token before it
575 expires. In such cases the requestor can explicitly cancel the security context using this
576 specialized binding based on the WS-Trust Cancel binding.

577 The following Action URIs are used with this binding:

```
578 http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/SCT/Cancel  
579 http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/SCT/Cancel
```

580

581 Once a security context has been cancelled it MUST NOT be allowed for authentication or
582 authorization or allow renewal.

583

584 Proof of possession of the key associated with the security context MUST be proven in order
585 for the context to be cancelled.

586

587 This binding uses the Cancel request type from WS-Trust.

588

589 As described in WS-Trust the RSTR cancel message is informational and the context is
590 cancelled once the cancel RST is processed even in the cancel RSTR is never received by the
591 requestor.

592

593 The following example illustrates canceling a context.

```
594 <S11:Envelope xmlns:S11="..." xmlns:wsse="..." xmlns:wsu="..."  
595     xmlns:wst="..." xmlns:wsc="...">  
596   <S11:Header>  
597     <wsa:Action xmlns:wsa="...">  
598       http://docs.oasis-open.org/ws-sx/ws-trust/200512/RST/SCT/Cancel  
599     </wsa:Action>  
600     <wsse:Security>  
601       <wsc:SecurityContextToken wsu:Id="sct">  
602         <wsc:Identifier>uuid:..UUID1.. </wsc:Identifier>  
603       </wsc:SecurityContextToken>  
604       <ds:Signature xmlns:ds="..." Id="sig1">  
605         .. signature over body and key headers using #sct ..  
606       </ds:Signature>  
607     </wsse:Security>  
608   </S11:Header>  
609   <S11:Body wsu:Id="req">  
610     <wst:RequestSecurityToken>  
611       <wst:RequestType>  
612         http://docs.oasis-open.org/ws-sx/ws-trust/200512/Cancel  
613       </wst:RequestType>  
614       <wst:CancelTarget>  
615         <wsse:SecurityTokenReference>  
616           <wsse:Reference URI="#sct"/>  
617         </wsse:SecurityTokenReference>  
618       </wst:CancelTarget>  
619     </wst:RequestSecurityToken>
```

```
623     </S11:Body>
624 </S11:Envelope>
625
626 <S11:Envelope xmlns:S11="..." xmlns:wst="..." >
627   <S11:Header>
628     ...
629     <wsa:Action xmlns:wsa="...">
630       http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTR/SCT/Cancel
631     </wsa:Action>
632     ...
633   </S11:Header>
634   <S11:Body>
635     <wst:RequestSecurityTokenResponse>
636       <wst:RequestedTokenCancelled/>
637     </wst:RequestSecurityTokenResponse>
638   </S11:Body>
639 </S11:Envelope>
```

640 7 Deriving Keys

641 A security context token implies or contains a shared secret. This secret MAY be used for
642 signing and/or encrypting messages, but it is RECOMMENDED that derived keys be used for
643 signing and encrypting messages associated only with the security context.

644
645 Using a common secret, parties may define different key derivations to use. For example,
646 four keys may be derived so that two parties can sign and encrypt using separate keys. In
647 order to keep the keys fresh (prevent providing too much data for analysis), subsequent
648 derivations may be used. We introduce the `<wsc:DerivedKeyToken>` token as a mechanism
649 for indicating which derivation is being used within a given message.

650
651 The derived key mechanism can use different algorithms for deriving keys. The algorithm is
652 expressed using a URI. This specification defines one such algorithm.

653
654 As well, while presented here using security context tokens, the `<wsc:DerivedKeyToken>`
655 token can be used to derive keys from any security token that has a shared secret, key, or
656 key material.

657
658 We use a subset of the mechanism defined for TLS in RFC 2246. Specifically, we use the
659 P_SHA-1 function to generate a sequence of bytes that can be used to generate security
660 keys. We refer to this algorithm as:

661 `http://docs.oasis-open.org/ws-sx/ws-`
662 `secureconversation/200512/dk/p_sha1`

663
664 This function is used with three values – *secret*, *label*, and *seed*. The secret is the shared
665 secret that is exchanged (note that if two secrets were securely exchanged, possible as part
666 of an initial exchange, they are concatenated in the order they were sent/received). Secrets
667 are processed as octets representing their binary value (value prior to encoding). The label
668 is the concatenation of the client's label and the service's label. These labels can be
669 discovered in each party's policy (or specifically within a `<wsc:DerivedKeyToken>` token).
670 Labels are processed as UTF-8 encoded octets. If either isn't specified in the policy, then a
671 default value of "WS-SecureConversation" (represented as UTF-8 octets) is used. The seed
672 is the concatenation of nonce values (if multiple were exchanged) that were exchanged
673 (initiator + receiver). The nonce is processed as a binary octet sequence (the value prior to
674 base64 encoding). The nonce seed is required, and MUST be generated by one or more of
675 the communicating parties. The P_SHA-1 function has two parameters – *secret* and *value*.
676 We concatenate the *label* and the *seed* to create the *value*. That is:

677 `P_SHA1 (secret, label + seed)`

678
679 At this point, both parties can use the P_SHA-1 function to generate shared keys as needed.
680 For this protocol, we don't define explicit derivation uses.

681

682 The <wsc:DerivedKeyToken> element is used to indicate that the key for a specific
683 reference is generated from the function. This is so that explicit security tokens, secrets, or
684 key material need not be exchanged as often thereby increasing efficiency and overall
685 scalability. However, parties MUST mutually agree on specific derivations (e.g. the first 128
686 bits is the client's signature key, the next 128 bits in the client's encryption key, and so on).
687 The policy presents a method for specifying this information. The RECOMMENDED approach
688 is to use separate nonces and have independently generated keys for signing and
689 encrypting in each direction. Furthermore, it is RECOMMENDED that new keys be derived
690 for each message (i.e., previous nonces are not re-used).

691
692 Once the parties determine a shared secret to use as the basis of a key generation
693 sequence, an initial key is generated using this sequence. When a new key is required, a
694 new <wsc:DerivedKeyToken> may be passed referencing the previously generated key.
695 The recipient then knows to use the sequence to generate a new key, which will match that
696 specified in the security token. If both parties pre-agree on key sequencing, then additional
697 token exchanges are not required.

698
699 For keys derived using a shared secret from a security context, the
700 <wsse:SecurityTokenReference> element SHOULD be used to reference the
701 <wsc:SecurityContextToken>. Basically, a signature or encryption references a
702 <wsc:DerivedKeyToken> in the <wsse:Security> header that, in turn, references the
703 <wsc:SecurityContextToken>.

704
705 Derived keys are expressed as security tokens. The following URI is used to represent the
706 token type:

```
707 http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk
```

708
709 The derived key token does not support references using key identifiers or key names. All
710 references MUST use an ID (to a *wsu:Id* attribute) or a URI reference to the
711 <wsc:Identifier> element in the SCT.

712 7.1 Syntax

713 The syntax for <wsc:DerivedKeyToken> is as follows:

```
714 <wsc:DerivedKeyToken wsu:Id="..." Algorithm="...">  
715   <wsse:SecurityTokenReference>...</wsse:SecurityTokenReference>  
716   <wsc:Properties>...</wsc:Properties>  
717   <wsc:Generation>...</wsc:Generation>  
718   <wsc:Offset>...</wsc:Offset>  
719   <wsc:Length>...</wsc:Length>  
720   <wsc:Label>...</wsc:Label>  
721   <wsc:Nonce>...</wsc:Nonce>  
722 </wsc:DerivedKeyToken>
```

723
724 The following describes the attributes and tags listed in the schema overview above:

725 /wsc:DerivedKeyToken

726 This specifies a key that is derived from a shared secret.

727 /wsc:DerivedKeyToken/@wsu:Id

728	This optional attribute specifies an XML ID that can be used locally to reference this element.
729	<code>/wsc:DerivedKeyToken/@Algorithm</code>
730	This optional URI attribute specifies key derivation algorithm to use. This specification predefines
731	the <code>P_SHA1</code> algorithm described above. If this attribute isn't specified, this algorithm is assumed.
732	<code>/wsc:DerivedKeyToken/wsse:SecurityTokenReference</code>
733	This optional element is used to specify security context token, security token, or shared
734	key/secret used for the derivation. If not specified, it is assumed that the recipient can determine
735	the shared key from the message context. If the context cannot be determined, then a fault such
736	as <code>wsc:UnknownDerivationSource</code> should be raised.
737	<code>/wsc:DerivedKeyToken/wsc:Properties</code>
738	This optional element allows metadata to be associated with this derived key. For example, if the
739	<code><wsc:Name></code> property is defined, this derived key is given a URI name that can then be used as
740	the source for other derived keys. The <code><wsc:Nonce></code> and <code><wsc:Label></code> elements can be
741	specified as properties and indicate the nonce and label to use (defaults) for all keys derived from
742	this key.
743	<code>/wsc:DerivedKeyToken/wsc:Properties/wsc:Name</code>
744	This optional element is used to give this derived key a URI name that can then be used as the
745	source for other derived keys.
746	<code>/wsc:DerivedKeyToken/wsc:Properties/wsc:Label</code>
747	This optional element defines a label to use for all keys derived from this key. See
748	<code>/wsc:DerivedKeyToken/wsc:Label</code> defined below.
749	<code>/wsc:DerivedKeyToken/wsc:Properties/wsc:Nonce</code>
750	This optional element defines a label to use for all keys derived from this key. See
751	<code>/wsc:DerivedKeyToken/wsc:Nonce</code> defined below.
752	<code>/wsc:DerivedKeyToken/wsc:Properties/{ any }</code>
753	This is an extensibility mechanism to allow additional elements (arbitrary content) to be used.
754	<code>/wsc:DerivedKeyToken/wsc:Generation</code>
755	If fixed-size keys (generations) are being generated, then this optional element can be used to
756	specify which generation of the key to use. The value of this element is an unsigned long value
757	indicating the generation number to use (beginning with zero). This element MUST NOT be used
758	if the <code><wsc:Offset></code> element is specified. Specifying this element is equivalent to specifying the
759	<code><wsc:Offset></code> and <code><wsc:Length></code> elements having multiplied out the values. That is, <code>offset =</code>
760	<code>(generation) * fixed_size</code> and <code>length = fixed_size</code> .
761	<code>/wsc:DerivedKeyToken/wsc:Offset</code>
762	If fixed-size keys are not being generated, then the <code><wsc:Offset></code> and <code><wsc:Length></code>
763	elements indicate where in the byte stream to find the generated key. This specifies the ordering
764	(in bytes) of the generated output. The value of this optional element is an unsigned long value
765	indicating the byte position (starting at 0). For example, 0 indicates the first byte of output and 16
766	indicates the 17 th byte of generated output. This element MUST NOT be used if the
767	<code><wsc:Generation></code> element is specified. It should be noted that not all algorithms will support
768	the <code><wsc:Offset></code> and <code><wsc:Length></code> elements.
769	<code>/wsc:DerivedKeyToken/wsc:Length</code>
770	This element specifies the length (in bytes) of the derived key. This optional element can be
771	specified in conjunction with <code><wsc:Offset></code> or <code><wsc:Generation></code> . If this isn't specified, it is
772	assumed that the recipient knows the key size to use. The value of this element is an unsigned
773	long value indicating the size of the key in bytes (e.g., 16).

774 /wsc:DerivedKeyToken/wsc:Label
775 If specified, this optional element defines a label that is used in the key derivation function for this
776 derived key. If this isn't specified, it is assumed that the recipient knows the label to use. The
777 string content of this element is UTF-8 encoded to obtain the label used in key derivation. Note
778 that once a label is used for a derivation sequence, the same label SHOULD be used for all
779 subsequent derivations.

780 /wsc:DerivedKeyToken/wsc:Nonce
781 If specified, this optional element specifies a base64 encoded nonce that is used in the key
782 derivation function for this derived key. If this isn't specified, it is assumed that the recipient
783 knows the nonce to use. Note that once a nonce is used for a derivation sequence, the same
784 nonce SHOULD be used for all subsequent derivations.

785
786 If additional information is not specified (such as explicit elements or policy), then the
787 following defaults apply:

- 788 • The offset is 0
- 789 • The length is 32 bytes (256 bits)

790
791 It is RECOMMENDED that separate derived keys be used to strengthen the cryptography. If
792 multiple keys are used, then care should be taken not to derive too many times and risk key
793 attacks.

794 7.2 Examples

795 The following example illustrates a message sent using two derived keys, one for signing
796 and one for encrypting:

```
797 <S11:Envelope xmlns:S11="..." xmlns:wssc="..." xmlns:wssu="..."
798   xmlns:xenc="..." xmlns:wsc="..." xmlns:ds="...">
799   <S11:Header>
800     <wssc:Security>
801       <wsc:SecurityContextToken wsu:Id="ctx2">
802         <wsc:Identifier>uuid:...UUID2...</wsc:Identifier>
803       </wsc:SecurityContextToken>
804       <wsc:DerivedKeyToken wsu:Id="dk2">
805         <wsse:SecurityTokenReference>
806           <wsse:Reference URI="#ctx2"/>
807         </wsse:SecurityTokenReference>
808         <wsc:Nonce>KJHFRE...</wsc:Nonce>
809       </wsc:DerivedKeyToken>
810     <xenc:ReferenceList>
811       ...
812     <ds:KeyInfo>
813       <wsse:SecurityTokenReference>
814         <wsse:Reference URI="#dk2"/>
815       </wsse:SecurityTokenReference>
816     </ds:KeyInfo>
817     ...
818   </xenc:ReferenceList>
819   <wsc:SecurityContextToken wsu:Id="ctx1">
820     <wsc:Identifier>uuid:...UUID1...</wsc:Identifier>
821   </wsc:SecurityContextToken>
822   <wsc:DerivedKeyToken wsu:Id="dk1">
823     <wsse:SecurityTokenReference>
824       <wsse:Reference URI="#ctx1"/>
825     </wsse:SecurityTokenReference>
826     <wsc:Nonce>KJHFRE...</wsc:Nonce>
```



```

827         </wsc:DerivedKeyToken>
828         <xenc:ReferenceList>
829             ...
830         <ds:KeyInfo>
831             <wsse:SecurityTokenReference>
832                 <wsse:Reference URI="#dk1"/>
833             </wsse:SecurityTokenReference>
834         </ds:KeyInfo>
835         ...
836     </xenc:ReferenceList>
837 </wsse:Security>
838 ...
839 </S11:Header>
840 <S11:Body>
841 ...
842 </S11:Body>
843 </S11:Envelope>

```

844

845 The following example illustrates a derived key based on the 3rd generation of the shared
846 key identified in the specified security context:

```

847     <wsc:DerivedKeyToken>
848         <wsse:SecurityTokenReference>
849             <wsse:Reference URI="#ctx1"/>
850         </wsse:SecurityTokenReference>
851         <wsc:Generation>2</wsc:Generation>
852     </wsc:DerivedKeyToken>

```

853

854 The following example illustrates a derived key based on the 1st generation of a key derived
855 from an existing derived key (4th generation):

```

856     <wsc:DerivedKeyToken>
857         <wsc:Properties>
858             <wsc:Name>.../derivedKeySource</wsc:Name>
859             <wsc:Label>NewLabel</wsc:Label>
860             <wsc:Nonce>FHFE...</wsc:Nonce>
861         </wsc:Properties>
862         <wsc:Generation>3</wsc:Generation>
863     </wsc:DerivedKeyToken>
864
865     <wsc:DerivedKeyToken wsu:Id="newKey">
866         <wsse:SecurityTokenReference>
867             <wsse:Reference URI=".../derivedKeySource"/>
868         </wsse:SecurityTokenReference>
869         <wsc:Generation>0</wsc:Generation>
870     </wsc:DerivedKeyToken>

```

871

872 In the example above we have named a derived key so that other keys can be derived from
873 it. To do this we use the `<wsc:Properties>` element name tag to assign a global name
874 attribute. Note that in this example, the ID attribute could have been used to name the
875 base derived key if we didn't want it to be a globally named resource. We have also
876 included the `<wsc:Label>` and `<wsc:Nonce>` elements as metadata properties indicating
877 how to derive sequences of this derivation.

878 7.3 Implied Derived Keys

879 This specification also defines a shortcut mechanism for referencing certain types of derived
880 keys. Specifically, a `@wsc:Nonce` attribute can also be added to the security token

881 reference (STR) defined in the [WS-Security] specification. When present, it indicates that
882 the key is not in the referenced token, but is a key derived from the referenced token's
883 key/secret.

884

885 Consequently, the following two examples are functionally equivalent:

```
886 ...  
887     <wsse:Security>  
888         <xx:MyToken wsu:Id="base">...</xx:MyToken>  
889         <wsc:DerivedKeyToken wsu:Id="newKey">  
890             <wsse:SecurityTokenReference>  
891                 <wsse:Reference URI="#base"/>  
892             </wsse:SecurityTokenReference>  
893             <wsc:Nonce>...</wsc:Nonce>  
894         </wsc:DerivedKeyToken>  
895         <ds:Signature>  
896     ...  
897     <ds:KeyInfo>  
898         <wsse:SecurityTokenReference>  
899             <wsse:Reference URI="#newKey"/>  
900         </wsse:SecurityTokenReference>  
901     </ds:KeyInfo>  
902 </ds:Signature>  
903 </wsse:Security>  
904 ...
```

905

906 This is functionally equivalent to the following:

```
907 ...  
908     <wsse:Security>  
909         <xx:MyToken wsu:Id="base">...</xx:MyToken>  
910         <ds:Signature>  
911     ...  
912     <ds:KeyInfo>  
913         <wsse:SecurityTokenReference wsc:Nonce="...">  
914             <wsse:Reference URI="#base"/>  
915         </wsse:SecurityTokenReference>  
916     </ds:KeyInfo>  
917 </ds:Signature>  
918 </wsse:Security>  
919 ...
```

920 8 Associating a Security Context

921 In some scenarios it is necessary to associate one or more actions specifically with a
922 security context. If there is only one security context present, then the association can be
923 automatically determined. However, if multiple security contexts exist, it may be
924 ambiguous as to which context to select for the association. In such cases a reference is
925 added to indicate the context to use. The reference uses the
926 <wsse:SecurityTokenReference> element and identifies the desired security context.
927 The reference MAY be specified even if there is no ambiguity.

928
929 The following example illustrates associating a specific security context with an action.

```
930 <S11:Envelope xmlns:S11="..." xmlns:wsse="..." xmlns:wsu="..."  
931     xmlns:wsc="...">  
932   <S11:Header>  
933     ...  
934     <wsse:Security>  
935       <wsc:SecurityContextToken wsu:Id="sct1">  
936         <wsc:Identifier>uuid:...UUID1...</wsc:Identifier>  
937       </wsc:SecurityContextToken>  
938       <ds:Signature xmlns:ds="..." Id="sig1">  
939         ...signature over body and key headers using #sct1...  
940       </ds:Signature>  
941       <wsc:SecurityContextToken wsu:Id="sct2">  
942         <wsc:Identifier>uuid:...UUID2...</wsc:Identifier>  
943       </wsc:SecurityContextToken>  
944       <ds:Signature xmlns:ds="..." Id="sig1">  
945         ...signature over body and key headers using #sct2...  
946       </ds:Signature>  
947     </wsse:Security>  
948     ...  
949   </S11:Header>  
950   <S11:Body wsu:Id="req">  
951     <xx:Custom xmlns:xx="http://example.com/custom" xmlns:wsse="...">  
952       ...  
953       <wsse:SecurityTokenReference>  
954         <wsse:Reference URI="#sct2"/>  
955       </wsse:SecurityTokenReference>  
956     </xx:Custom>  
957   </S11:Body>  
958 </S11:Envelope>
```

959 9 Error Handling

960 There are many circumstances where an *error* can occur while processing security
961 information. Errors use the SOAP Fault mechanism. Note that the reason text provided
962 below is RECOMMENDED, but alternative text MAY be provided if more descriptive or
963 preferred by the implementation. The tables below are defined in terms of SOAP 1.1. For
964 SOAP 1.2, the Fault/Code/Value is env:Sender (as defined in SOAP 1.2) and the
965 Fault/Code/Subcode/Value is the *faultcode* below and the Fault/Reason/Text is the
966 *faultstring* below. It should be noted that profiles MAY provide second-level details fields,
967 but they should be careful not to introduce security vulnerabilities when doing so (e.g. by
968 providing too detailed information).

Error that occurred (faultstring)	Fault code (faultcode)
The requested context elements are insufficient or unsupported.	wsc:BadContextToken
Not all of the values associated with the SCT are supported.	wsc:UnsupportedContextToken
The specified source for the derivation is unknown.	wsc:UnknownDerivationSource
The provided context token has expired	wsc:RenewNeeded
The specified context token could not be renewed.	wsc:UnableToRenew

969 10 Security Considerations

970 As stated in the Goals section of this document, this specification is meant to provide
971 extensible framework and flexible syntax, with which one could implement various security
972 mechanisms. This framework and syntax by itself *does not provide any guarantee of*
973 *security*. When implementing and using this framework and syntax, one must make every
974 effort to ensure that the result is not vulnerable to any one of a wide range of attacks.

975
976 It is not feasible to provide a comprehensive list of security considerations for such an
977 extensible set of mechanisms. A complete security analysis must be conducted on specific
978 solutions based on this specification. Below we illustrate some of the security concerns that
979 often come up with protocols of this type, but we stress that this *is not an exhaustive list of*
980 *concerns*.

981
982 It is critical that all relevant elements of a message be included in signatures. As well, the
983 signatures for security context establishment must include a timestamp, nonce, or sequence
984 number depending on the degree of replay prevention required. Security context
985 establishment should include full policies to prevent possible attacks (e.g. downgrading
986 attacks).

987
988 Authenticating services are susceptible to denial of service attacks. Care should be taken to
989 mitigate such attacks as is warranted by the service.

990
991 There are many other security concerns that one may need to consider in security protocols.
992 The list above should not be used as a "check list" instead of a comprehensive security
993 analysis.

994
995 In addition to the consideration identified here, readers should also review the security
996 considerations in [WS-Security] and [WS-Trust].

997

998 **A. Sample Usages**

999 This non-normative appendix illustrates several sample usage patterns of [WS-Trust] and
1000 [WS-SecureConversation]. Specifically, it illustrates different patterns that could be used to
1001 parallel, at an end-to-end message level, the selected TLS/SSL scenarios. This is not
1002 intended to be the definitive method for the scenarios, nor is it fully inclusive. Its purpose is
1003 simply to illustrate, in a context familiar to readers, how this specification might be used.

1004 The following sections are based on a scenario where the client wishes to authenticate the
1005 server prior to sharing any of its own credentials.

1006

1007 It should be noted that the following sample usages are illustrative; any implementation of
1008 the examples illustrated below should be carefully reviewed for potential security attacks.

1009 For example, multi-leg exchanges such as those below should be careful to prevent man-in-
1010 the-middle attacks or downgrade attacks. It may be desirable to use running hashes as
1011 challenges that are signed or a similar mechanism to ensure continuity of the exchange.

1012 The examples below assume that both parties understand the appropriate security policies
1013 in use and can correctly construct signatures and encryption that the other party can
1014 process.

1015 **A.1 Anonymous SCT**

1016 In this scenario the requestor wishes to remain anonymous while authenticating the
1017 recipient and establishing an SCT for secure communication.

1018

1019 This scenario assumes that the requestor has a key for the recipient. If this isn't the case,
1020 they can use [WS-MetadataExchange] or the mechanisms described in a later section or
1021 obtain one from another security token service.

1022

1023 There are two basic patterns that can apply, which only vary slightly. The first is as follows:

1024 1. The requestor sends an RST to the recipient requesting an SCT. The request
1025 contains key material encrypted for the recipient. The request is not authenticated.

1026 2. The recipient, if it accepts such requests, returns an RSTR with the SCT as the
1027 requested token and does not return any proof information indicating that the
1028 requestor's key is the proof.

1029 A slight variation on this is as follows:

1030 1. The requestor sends an RST to the recipient requesting an SCT. The request
1031 contains key material encrypted for the recipient. The request is not authenticated.

1032 2. The recipient, if it accepts such requests, returns an RSTR with the SCT as the
1033 requested token and returns its own key material encrypted using the requestor's
1034 key.

1035

1036 Another slight variation is to return a new key encrypted using the requestor's provided key.

1037 It should be noted that the variations that involve encrypting data using the requestor's key
1038 material might be subject to certain types of key attacks.

1039 Yet another approach is to establish a secure channel (e.g. TLS/SSL IP/Sec) between the
1040 requestor and the recipient. Key material can then safely flow in either direction. In some
1041 circumstances, this provides greater protection than the approach above when returning
1042 key information to the requestor.

1043 **A.2 Mutual Authentication SCT**

1044 In this scenario the requestor is willing to authenticate, but wants the recipient to
1045 authenticate first. The following steps outline the message flow:

- 1046 1. The requestor sends an RST requesting an SCT. The request contains key material
1047 encrypted for the recipient. The request is not authenticated.
- 1048 2. The recipient returns an RSTR including a challenge for the requestor. The RSTR is
1049 secured by the recipient so that the requestor can authenticate it.
- 1050 3. The requestor, after authenticating the recipient's RSTR, sends an RSTR responding
1051 to the challenge.
- 1052 4. The recipient, after authenticating the requestor's RSTR, sends a secured RSTR
1053 containing the token and either proof information or partial key material (depending
1054 on whether or not the requestor provided key material).

1055

1056 Another variation exists where step 1 includes a specific challenge for the service.
1057 Depending on the type of challenge used this may not be necessary because the message
1058 may contain enough entropy to ensure a fresh response from the recipient.

1059

1060 In other variations the requestor doesn't include key information until step 3 so that it can
1061 first verify the signature of the recipient in step 2.

1062 B. Token Discovery Using RST/RSTR

1063 If the recipient's security token is not known, the RST/RSTR mechanism can still be used.

1064 The following example illustrates one possible sequence of messages:

1065 1. The requestor sends an RST requesting an SCT. This request does not contain any
1066 key material, nor is the request authenticated.

1067 2. The recipient sends an RSTR to the requestor with an embedded challenge. The
1068 RSTR is secured by the recipient so that the requestor can authenticate it.

1069 3. The requestor sends an RSTR to the recipient and includes key information protected
1070 for the recipient. This request may or may not be secured depending on whether or
1071 not the request is anonymous.

1072 4. The final issuance step depends on the exact scenario. Any of the final legs from
1073 above might be used.

1074

1075 Note that step 1 might include a challenge for the recipient. Please refer to the comment in
1076 the previous section on this scenario.

1077 Also note that in response to step 1 the recipient might issue a fault secured with [WS-
1078 Security] providing the requestor with information about the recipient's security token.

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1082 **Original Authors:**

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1084 Jeff Bohren, OpenNetwork

1085 Toufic Boubez, Layer 7

1086 Marc Chanliau, Computer Associates

1087 Giovanni Della-Libera, Microsoft

1088 Brendan Dixon, Microsoft

1089 Praerit Garg, Microsoft

1090 Martin Gudgin (Editor), Microsoft

1091 Satoshi Hada, IBM

1092 Phillip Hallam-Baker, VeriSign

1093 Maryann Hondo, IBM

1094 Chris Kaler, Microsoft

1095 Hal Lockhart, BEA

1096 Robin Martherus, Oblix

1097 Hiroshi Maruyama, IBM

1098 Anthony Nadalin (Editor), IBM

1099 Nataraj Nagaratnam, IBM

1100 Andrew Nash, Reactivity

1101 Rob Philpott, RSA Security

1102 Darren Platt, Ping Identity

1103 Hemma Prafullchandra, VeriSign

1104 Maneesh Sahu, Actional

1105 John Shewchuk, Microsoft

1106 Dan Simon, Microsoft

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1132	Hervey Wilson, Microsoft

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1135 [optional; should not be included in OASIS Standards]

1136

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0.1	12-06-2005	Anthony Nadalin	Initial convergence to OASIS template
0.2	01-09-2006	Martin Gudgin	Updated TOC. Namespaces.

1137