TBD (Key Management Interoperability Protocol)

Editor's Draft 0.98

Deleted: 17

25 September 2009

Specification URIs:
This Version:
TBD.html
TBD.doc (Authoritative)
TBD.pdf

Previous Version:
TBD.html
TBD.doc (Authoritative)
TBD.pdf

Latest Version:
TBD.html
TBD.doc
TBD.pdf

Technical Committee:
OASIS Key Management Interoperability Protocol (KMIP) TC

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Related work:
This specification replaces or supersedes:

- None

This specification is related to:

- TBD

Declared XML Namespace(s):
TBD

Abstract:
This document is intended for developers and architects who wish to design systems and applications that interoperate using the Key Management Interoperability Protocol specification.

Status:
This document was last revised or approved by the Key Management Interoperability Protocol TC on the above date. The level of approval is also listed above. Check the "Latest Version" or "Latest Approved Version" location noted above for possible later revisions of this document.

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1 Introduction

This document is intended as a specification of the protocol used for the communication between clients and servers to perform certain management operations on objects stored and maintained by a key management system. These objects are referred to as *Managed Objects* in this specification. They include symmetric and asymmetric cryptographic keys, digital certificates, and templates used to simplify the creation of objects and control their use. Managed Objects are managed with *operations* that include the ability to generate cryptographic keys, register objects with the key management system, obtain objects from the system, destroy objects from the system, and search for objects maintained by the system. Managed Objects also have associated *attributes*, which are named values stored by the key management system and are obtained from the system via operations. Certain attributes are added, modified, or deleted by operations.

The protocol specified in this document includes several certificate-related functions for which there are a number of existing protocols – namely Validate (e.g., SVP or XKMS), Certify (e.g. CMP, CMC, SCEP) and Re-certify (e.g. CMP, CMC, SCEP). The protocol does not attempt to define a comprehensive certificate management protocol such as would be REQUIRED for a certification authority. However, it does include functions that are needed to allow a key server to provide a proxy for certificate management functions.

In addition to the normative definitions for managed objects, operations and attributes, this specification also includes normative definitions for the following aspects of the protocol:

- The expected behavior of the server and client as a result of operations
- Message contents and formats
- Authentication profiles for clients and servers
- Message encoding (including enumerations)
- Error handling

This specification is complemented by two other documents. The Usage Guide provides illustrative information on using the protocol. The Test Specification provides samples of protocol messages corresponding to a set of defined test cases.

1.1 Document Roadmap

TBD

1.2 Goals and Requirements

TBD

1.3 Notational Conventions

TBD

1.4 Namespaces

TBD

1.5 Terminology

TBD

1.6 Normative References

TBD

RFC 2119, “Key words for use in RFCs to Indicate Requirement Levels”, S. Bradner, March 1997.

1.7 Non-normative References

TBD

1.8 Compliance

TBD

The key words "SHALL", "SHALL NOT", "REQUIRED", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119. The words ‘must’, ‘can’, and ‘will’ are forbidden.

2 Objects

The following subsections describe the objects that are passed between the clients and servers of the key management system. Some of these object types, called *Base Objects*, are used only in the protocol itself, and are not considered Managed Objects. Key management systems MAY choose to support a subset of the Managed Objects. The object descriptions refer to the primitive data types of which they are composed. These primitive data types are

- Integer
- Long Integer
- Big Integer
- Enumeration – choices from a predefined list of values
- Boolean
- Text String – string of characters representing human-readable text
- Octet String – sequence of unencoded byte values
- Date-Time – date and time, with a granularity of one second
- Interval – time interval expressed in seconds

Structures are composed of ordered lists of primitive data types or structures.

2.1 Base Objects

These objects are used within the messages of the protocol, but are not objects managed by the key management system. They are components of Managed Objects.

2.1.1 Attribute

An Attribute object is a structure (see Table 1) used for sending and receiving Managed Object attributes. The *Attribute Name* is a text-string that is used to identify the attribute. The *Attribute Index* is an index number assigned by the key management server when a specified named attribute is allowed to have multiple instances. The Attribute Index is used to identify the particular instance. Attribute Indices SHALL start with 0. The Attribute Index of an attribute SHALL NOT change when other instances are added or deleted. For example, if a particular attribute has 4 instances with Attribute Indices 0, 1, 2 and 3, and the instance with Attribute Index 2 is deleted, then the Attribute Index of instance 3 is not changed. Attributes that have a single instance have an Attribute Index of 0, which is assumed if the Attribute Index is not specified. The *Attribute Value* is either a primitive data type or structured object, depending on the attribute.

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Object	Encoding	REQUIRED
Attribute	Structure	
Attribute Name	Text String	Yes
Attribute Index	Integer	No
Attribute Value	Varies, depending on attribute. See Section 3	Yes

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Table 1: Attribute Object Structure

2.1.2 Credential

A credential is a structure (see Table 2) used for client identification purposes and is not managed by the key management system (e.g., user id/password pairs, Kerberos tokens, etc). See Section 8 .

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Object	Encoding	REQUIRED
Credential	Structure	
Credential Type	Enumeration	Yes
Credential Value	Octet String	Yes

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Table 2: Credential Object Structure

2.1.3 Key Block

A *Key Block* object is a structure (see Table 3) used to encapsulate all of the information that is closely associated with a cryptographic key. It contains a Key Value of one of the following *Key Format Types*:

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- *Raw* – This is a key that contains only cryptographic key material, encoded as a string of bytes.
- *Opaque* – This is an encoded key for which the encoding is unknown to the key management system. It is encoded as a string of bytes.
- *PKCS1* – This is an encoded private key, expressed as a DER-encoded ASN.1 PKCS#1 object.
- *PKCS8* – This is an encoded private key, expressed as a DER-encoded ASN.1 PKCS#8 object, supporting both RSAPrivateKey syntax and EncryptedPrivateKey.
- Several *Transparent Key* types – These are algorithm-specific structures containing defined values for the various key types, as defined in Section 2.1.7.
- ECPrivateKey – This is an ASN.1 encoded elliptic curve private key.
- *Extensions* – These are vendor-specific extensions to allow for proprietary or legacy key formats.

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The Key Block MAY contain the Key Compression Type, which indicates the format of the elliptic curve public key. By default, the public key is uncompressed.

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The Key Block also contains the Cryptographic Algorithm and the Cryptographic Length of the key contained in the Key Value field. Some example values are:

- RSA keys are typically 1024, 2048 or 3072 bits in length
- 3DES keys are typically 168 bits in length
- AES keys are typically 128 or 256 bits in length

The Key Block SHALL contain a Key Wrapping Data structure if the key in the Key Value field is wrapped (i.e., encrypted, or MACed/signed, or both).

Object	Encoding	REQUIRED
Key Block	Structure	
Key Format Type	Enumeration	Yes
Key Compression Type	Enumeration	No
Key Value	Octet String: for wrapped Key Value; Structure: for plaintext Key Value	Yes
Cryptographic Algorithm	Enumeration	Yes, MAY be omitted only if this information is available from the Key Value. Does not apply to Secret Data or Opaque Objects. If present, Cryptographic Length SHALL also be present.
Cryptographic Length	Integer	Yes, MAY be omitted only if this information is available from the Key Value. Does not apply to Secret Data or Opaque Objects. If present, Cryptographic Algorithm SHALL also be present.
Key Wrapping Data	Structure	No, SHALL only be present if the key is wrapped.

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Deleted: Value

Table 3: Key Block Object Structure

2.1.4 Key Value

The *Key Value* is used only inside a Key Block and is either an Octet String or a structure (see Table 4):

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- The Key Value structure contains the key material, either as an octet string or as a Transparent Key structure (see Section 2.1.7), and OPTIONAL attribute information that is associated and encapsulated with the key material. This attribute information differs from the attributes associated with Managed Objects, and which is obtained via the Get Attributes operation, only by the fact that it is encapsulated with (and possibly wrapped with) the key material itself.
- The Key Value Octet String is the wrapped TTLV-encoded (see Section 9.1) Key Value structure.

Object	Encoding	REQUIRED
Key Value	Structure	
Key Material	Octet String: for Raw, Opaque, PKCS1, PKCS8, ECPrivateKey, or Vendor Extension Key Format types; Structure: for Transparent, or Vendor Extension Key Format Types	Yes
Attribute	Attribute Object, see	No. MAY be repeated

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	Section 2.1.1	

Table 4: Key Value Object Structure

2.1.5 Key Wrapping Data

The Key Block MAY also supply OPTIONAL information about a cryptographic key wrapping mechanism used to wrap the Key Value. This consists of a *Key Wrapping Data* structure (see Table 5). The Key Block is only used inside a Key Block.

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This structure contains fields for:

- A *Wrapping Method*, which indicates the method used to wrap the Key Value.
- *Encryption Key Information,* which contains the Unique Identifier value of the encryption key and associated cryptographic parameters.
- *MAC/Signature Key Information,* which contains the Unique Identifier value of the MAC/signature key and associated cryptographic parameters.
- A *MAC/Signature,* which contains the MAC or signature of the Key Value.
- An *IV/Counter/Nonce,* if REQUIRED by the wrapping method.

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If wrapping is used, then the whole Key Value structure is wrapped unless otherwise specified by the Wrapping Method. The algorithms are given by the Cryptographic Algorithm attributes of the encryption key and/or MAC/signature key; the block-cipher mode, padding method, and hashing algorithm used are given by the Cryptographic Parameters in the Encryption Key Information and/or MAC/Signature Key Information, or, if not present, from the Cryptographic Parameters attribute of the respective key(s).

The following wrapping methods are currently defined:

- *Encrypt* only (i.e., encryption using a symmetric key or public key, or authenticated encryption algorithms that use a single key)
- *MAC/sign* only (i.e., either MACing the Key Value with a symmetric key, or signing the Key Value with a private key)
- *Encrypt then MAC/sign*
- *MAC/sign then encrypt*
- *TR-31*
- *Extensions*

Object	Encoding	REQUIRED
Key Wrapping Data	Structure	
Wrapping Method	Enumeration	Yes
Encryption Key Information	Structure	No. Corresponds to the key that was used to encrypt the Key Value.
MAC/Signature Key Information	Structure	No. Corresponds to the symmetric key used to MAC the Key Value or the private key used to sign the Key Value
MAC/Signature	Octet String	No
IV/Counter/Nonce	Octet String	No

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Table 5: Key Wrapping Data Object Structure

The structures of the Encryption Key Information (see Table 6) and the MAC/Signature Key Information (see Table 7) are as follows:

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Object	Encoding	REQUIRED
Encryption Key Information	Structure	
Unique Identifier	Text string	Yes
Cryptographic Parameters	Structure	No

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Table 6: Encryption Key Information Object Structure

Object	Encoding	REQUIRED
MAC/Signature Key Information	Structure	
Unique Identifier	Text string	Yes. It MAY be the Unique Identifier of the Symmetric Key used to MAC, or of the Private Key (or its corresponding Public Key) used to sign.
Cryptographic Parameters	Structure	No

Deleted: Yes

Table 7: MAC/Signature Key Information Object Structure

2.1.6 Key Wrapping Specification

This is a separate structure (see Table 8) defined for operations that provide the option to return wrapped keys. The *Key Wrapping Specification* SHALL be specified inside the operation request if clients request the server to return a wrapped key. If Cryptographic Parameters are specified in the Encryption Key Information and the MAC/Signature Key Information, then the server SHALL verify that they match one of the instances of the Cryptographic Parameters attribute of the corresponding key. If Cryptographic Parameters are omitted, then the server SHALL use the Cryptographic Parameters attribute with the lowest Attribute Index of the corresponding key. If the corresponding key does not have any Cryptographic Parameters attribute, or if no match is found, then an error is returned.

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This structure contains:

- A Wrapping Method that indicates the method used to wrap the Key Value.
- An Encryption Key Information with the Unique Identifier value of the encryption key and associated cryptographic parameters.
- A MAC/Signature Key Information with the Unique Identifier value of the MAC/signature key and associated cryptographic parameters.
- Zero or more Attribute Names to indicate the attributes to be wrapped with the key material.

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Object	Encoding	REQUIRED
Key Wrapping Specification	Structure	
Wrapping Method	Enumeration	Yes
Encryption Key Information	Structure	No
MAC/Signature Key Information	Structure	No
Attribute Name	Text String	No, MAY be repeated

Deleted: Yes

Table 8: Key Wrapping Specification Object Structure

The structures of the Encryption Key Information and the MAC/Signature Key Information are defined in Section 2.1.5 .

2.1.7 Transparent Key Structures

Transparent Key structures describe key material in a form that is easily interpreted by all participants in the protocol. They are used in the Key Value structure.

2.1.7.1 Transparent Symmetric Key

If the Key Format Type in the Key Block is *Transparent Symmetric Key*, then Key Material is a structure as shown in Table 9.

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Object	Encoding	REQUIRED
Key Material	Structure	
Key	Octet String	Yes

Deleted: Yes

Table 9: Key Material Object Structure for Transparent Symmetric Keys

2.1.7.2 Transparent DSA Private Key

If the Key Format Type in the Key Block is *Transparent DSA Private Key*, then Key Material is a structure as shown in Table 10.

Deleted: Key Value Type

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Object	Encoding	REQUIRED
Key Material	Structure	
P	Big Integer	Yes
Q	Big Integer	Yes
G	Big Integer	Yes
X	Big Integer	Yes

Deleted: Yes

Table 10: Key Material Object Structure for Transparent DSA Private Keys

P is the prime modulus. Q is the prime divisor of P-1. G is the generator. X is the private key (refer to NIST FIPS PUB 186-3).

2.1.7.3 Transparent DSA Public Key

If the Key Format Type in the Key Block is *Transparent DSA Public Key*, then Key Material is a structure as shown in Table 11.

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Object	Encoding	REQUIRED
Key Material	Structure	
P	Big Integer	Yes
Q	Big Integer	Yes
G	Big Integer	Yes
Y	Big Integer	Yes

Deleted: Yes

Table 11: Key Material Object Structure for Transparent DSA Public Keys

P is the prime modulus. Q is the prime divisor of P-1. G is the generator. Y is the public key (refer to NIST FIPS PUB 186-3).

2.1.7.4 Transparent RSA Private Key

If the Key Format Type in the Key Block is *Transparent RSA Private Key*, then Key Material is a structure as shown in Table 12.

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Object	Encoding	REQUIRED
Key Material	Structure	
Modulus	Big Integer	Yes
Private Exponent	Big Integer	No
Public Exponent	Big Integer	No
P	Big Integer	No
Q	Big Integer	No
Prime Exponent P	Big Integer	No
Prime Exponent Q	Big Integer	No
CRT Coefficient	Big Integer	No

Deleted: Yes

Table 12: Key Material Object Structure for Transparent RSA Private Keys

One of the following SHALL be present (refer to RSA PKCS#1):

- Private Exponent
- P and Q (the first two prime factors of Modulus)
- Prime Exponent P and Prime Exponent Q.

2.1.7.5 Transparent RSA Public Key

If the Key Format Type in the Key Block is *Transparent RSA Public Key*, then Key Material is a structure as shown in Table 13.

Deleted: Key Value Type

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Object	Encoding	REQUIRED
Key Material	Structure	
Modulus	Big Integer	Yes
Public Exponent	Big Integer	Yes

Deleted: Yes

Table 13: Key Material Object Structure for Transparent RSA Public Keys

2.1.7.6 Transparent DH Private Key

If the Key Format Type in the Key Block is *Transparent DH Private Key*, then Key Material is a structure as shown in Table 14.

Object	Encoding	REQUIRED
Key Material	Structure	
P	Big Integer	Yes
G	Big Integer	Yes
Q	Big Integer	No
J	Big Integer	No
X	Big Integer	Yes

Table 14: Key Material Object Structure for Transparent DH Private Keys

P is the prime, P = JQ + 1. G is the generator G^Q = 1 mod P. Q is the prime factor of P-1. J is the cofactor. X is the private key (refer to ANSI X9.42).

2.1.7.7 Transparent DH Public Key

If the Key Format Type in the Key Block is *Transparent DH Public Key*, then Key Material is a structure as shown in Table 15.

Object	Encoding	REQUIRED
Key Material	Structure	
P	Big Integer	Yes
G	Big Integer	Yes
Q	Big Integer	No
J	Big Integer	No
Y	Big Integer	Yes

Table 15: Key Material Object Structure for Transparent DH Public Keys

P is the prime, P = JQ + 1. G is the generator G^Q = 1 mod P. Q is the prime factor of P-1. J is the cofactor. Y is the public key (refer to ANSI X9.42).

2.1.7.8 Transparent ECDSA Private Key

If the Key Format Type in the Key Block is *Transparent ECDSA Private Key*, then Key Material is a structure as shown in Table 16.

Object	Encoding	REQUIRED
Key Material	Structure	
Recommended Curve	Enumeration	Yes
D	Big Integer	Yes

Table 16: Key Material Object Structure for Transparent ECDSA Private Keys

D is the private key (refer to NIST FIPS PUB 186-3).

2.1.7.9 Transparent ECDSA Public Key

If the Key Format Type in the Key Block is *Transparent ECDSA Public Key*, then Key Material is a structure as shown in Table 17.

Object	Encoding	REQUIRED
Key Material	Structure	
Recommended Curve	Enumeration	Yes
Q String	Octet String	Yes

Table 17: Key Material Object Structure for Transparent ECDSA Public Keys

Q String is the public key (refer to NIST FIPS PUB 186-3).

2.1.7.10 Transparent ECDH Private Key

If the Key Format Type in the Key Block is *Transparent ECDH Private Key*, then Key Material is a structure as shown in Table 18.

Object	Encoding	REQUIRED
Key Material	Structure	
Recommended Curve	Enumeration	Yes
D	Big Integer	Yes

Table 18: Key Material Object Structure for Transparent ECDH Private Keys

2.1.7.11 Transparent ECDH Public Key

If the Key Format Type in the Key Block is *Transparent ECDH Public Key*, then Key Material is a structure as shown in Table 19.

Object	Encoding	REQUIRED
Key Material	Structure	
Recommended Curve	Enumeration	Yes
Q String	Octet String	Yes

Table 19: Key Material Object Structure for Transparent ECDH Public Keys

2.1.7.12 Transparent ECMQV Private Key

If the Key Format Type in the Key Block is *Transparent ECMQV Private Key*, then Key Material is a structure as shown in Table 20.

Object	Encoding	REQUIRED
Key Material	Structure	
Recommended Curve	Enumeration	Yes
D	Big Integer	Yes

Table 20: Key Material Object Structure for Transparent ECMQV Private Keys

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2.1.7.13 Transparent ECMQV Public Key

If the Key Format Type in the Key Block is *Transparent ECMQV Public Key*, then Key Material is a structure as shown in Table 21.

Object	Encoding	REQUIRED
Key Material	Structure	
Recommended Curve	Enumeration	Yes
Q String	Octet String	Yes

Table 21: Key Material Object Structure for Transparent ECMQV Public Keys

2.1.8 Template-Attribute Structures

These structures are used in various operations to provide the desired attribute values and/or template names in the request and to return the actual attribute values in the response.

The *Template-Attribute*, *Common Template-Attribute*, *Private Key Template-Attribute,* and *Public Key Template-Attribute* structures are defined identically as follows:

Object	Encoding	REQUIRED
Template-Attribute, Common Template-Attribute, Private Key Template-Attribute, Public Key Template-Attribute	Structure	
Name	Structure, see Section 3.2	No, MAY be repeated.
Attribute	Attribute Object, see Section 2.1.1	No, MAY be repeated

Table 22: Template-Attribute Object Structure

Name is the Name attribute of the Template object defined in Section 2.2.6 .

2.2 Managed Objects

Managed Objects are objects that are the subjects of key management operations, which are described in Sections 4 and 1. *Managed Cryptographic Objects* are the subset of Managed Objects that contain cryptographic material (e.g. certificates, keys, and secret data).

2.2.1 Certificate

A Managed Cryptographic Object that is a digital certificate (e.g., an encoded X.509 certificate).

Object	Encoding	REQUIRED
Certificate	Structure	
Certificate Type	Enumeration	Yes
Certificate Value	Octet String	Yes

Table 23: Certificate Object Structure

2.2.2 Symmetric Key

A Managed Cryptographic Object that is a symmetric key.

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Object	Encoding	REQUIRED
Symmetric Key	Structure	
Key Block	Structure	Yes

Table 24: Symmetric Key Object Structure

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2.2.3 Public Key

A Managed Cryptographic Object that is the public portion of an asymmetric key pair. This is only a public key, not a certificate.

Object	Encoding	REQUIRED
Public Key	Structure	
Key Block	Structure	Yes

Table 25: Public Key Object Structure

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2.2.4 Private Key

A Managed Cryptographic Object that is the private portion of an asymmetric key pair.

Object	Encoding	REQUIRED
Private Key	Structure	
Key Block	Structure	Yes

Table 26: Private Key Object Structure

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2.2.5 Split Key

A Managed Cryptographic Object that is a split key. A split key is a secret, usually a symmetric key or a private key that has been split into a number of parts, each of which MAY then be distributed to several key holders, for additional security. The *Split Key Parts* field contains the total number of parts, and the *Split Key Threshold* field contains the minimum number of parts needed to reconstruct the entire key. The *Key Part Identifier* indicates which key part is contained in the cryptographic object, and SHALL be at least 1 and SHALL be less than or equal to Split Key Parts.

Object	Encoding	REQUIRED
Split Key	Structure	
Split Key Parts	Integer	Yes
Key Part Identifier	Integer	Yes
Split Key Threshold	Integer	Yes
Split Key Method	Enumeration	Yes
Prime Field Size	Big Integer	No, REQUIRED only if Split Key Method is Polynomial Sharing Prime Field.
Key Block	Structure	Yes

Table 27: Split Key Object Structure

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There are three *Split Key Methods* for secret sharing: the first one is based on XOR and the other two are based on polynomial secret sharing, according to Adi Shamir, "How to share a secret", Communications of the ACM, vol. 22, no. 11, pp. 612-613.

Let *L* be the minimum number of bits needed to represent all values of the secret.

- When the Split Key Method is XOR, then the Key Material in the Key Value of the Key Block is of length *L* bits. The number of split keys is Split Key Parts (identical to Split Key Threshold), and the secret is reconstructed by XORing all of the parts.

- When the Split Key Method is Polynomial Sharing Prime Field, then secret sharing is performed in the field GF(*Prime Field Size*), represented as integers, where Prime Field Size is a prime bigger than 2^L.

- When the Split Key Method is Polynomial Sharing GF(2^{16}), then secret sharing is performed in the field GF(2^{16}). The Key Material in the Key Value of the Key Block is a bit string of length *L*, and when *L* is bigger than 2^{16}, then secret sharing is applied piecewise in pieces of 16 bits each. The Key Material in the Key Value of the Key Block is the concatenation of the corresponding shares of all pieces of the secret.

  Secret sharing is performed in the field GF(2^{16}), which is represented as an algebraic extension of GF(2^8):

  GF(2^{16}) ≈ GF(2^8) [*y*]/(y^2+y+m), where *m* is defined later.

  An element of this field then consists of a linear combination $uy + v$, where *u* and *v* are elements of the smaller field GF(2^8).

  The representation of field elements and the notation in this section rely on FIPS PUB 197, Sections 3 and 4. The field GF(2^8) is as described in FIPS PUB 197,

  GF(2^8) ≈ GF(2) [*x*]/($x^8+x^4+x^3+x+1$).

  An element of GF(2^8) is represented as an octet. Addition and subtraction in GF(2^8) is performed as a bit-wise XOR of the octets. Multiplication and inversion are more complex (see FIPS PUB 197 Section 4.1 and 4.2 for details).

  An element of GF(2^{16}) is represented as a pair of octets (*u, v*). The element *m* is given by

  $m = x^5+x^4+x^3+x,$

  which is represented by the octet 0x3A (or {3A} in notation according to FIPS PUB 197).

  Addition and subtraction in GF(2^{16}) both correspond to simply XORing the octets. The product of two elements $ry + s$ and $uy + v$ is given by

  $(ry + s)(uy + v) = ((r + s)(u + v) + sv)y + (ru + svm).$

  The inverse of an element $uy + v$ is given by

  $(uy + v)^{-1} = ud^{-1}y + (u + v)d^{-1}$, where $d = (u + v)v + mu^2$.

2.2.6 Template

A Template is a named Managed Object containing the client-settable attributes of a Managed Cryptographic Object (i.e., a stored, named list of attributes). A Template is used to specify the attributes of a new Managed Cryptographic Object in various operations. It is intended to be used to specify the cryptographic attributes of new objects in a standardized or convenient way. None of the client-settable attributes specified in a Template except the Name attribute apply to the template object itself, but instead apply to any object created using the Template.

The Template MAY be the subject of the Register, Locate, Get, Get Attributes, Get Attribute List, Add Attribute, Modify Attribute, Delete Attribute, and Destroy operations.

An attribute specified in a Template is applicable either to the Template itself or to objects created using the Template.

Attributes applicable to the Template itself are: Unique Identifier, Object Type, Name, Initial Date, Archive Date, and Last Changed Date.

Attributes applicable to objects created using the Template are:

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- Cryptographic Algorithm
- Cryptographic Length
- Cryptographic Domain Parameters
- Cryptographic Parameters
- Operation Policy Name
- Cryptographic Usage Mask
- Usage Limits
- Activation Date
- Process Start Date
- Protect Stop Date
- Deactivation Date
- Object Group
- Application Specific Information
- Contact Information
- Custom Attribute

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Object	Encoding	REQUIRED
Template	Structure	
Attribute	Attribute Object, see Section 2.1.1	Yes. MAY be repeated.

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Table 28: Template Object Structure

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2.2.7 Secret Data

A Managed Cryptographic Object containing a shared secret value that is not a key or certificate (e.g., a password). The Key Block of the *Secret Data* object contains a Key Value of the Opaque type. The Key Value MAY be wrapped.

Object	Encoding	REQUIRED
Secret Data	Structure	
Secret Data Type	Enumeration	Yes
Key Block	Structure	Yes

Deleted: Yes

Table 29: Secret Data Object Structure

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2.2.8 Opaque Object

A Managed Object that the key management server is possibly not able to interpret. The context information for this object MAY be stored and retrieved using Custom Attributes.

Object	Encoding	REQUIRED
Opaque Object	Structure	
Opaque Data Type	Enumeration	Yes
Opaque Data Value	Octet String	Yes

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Table 30: Opaque Object Structure

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3 Attributes

The following subsections describe the attributes that are associated with Managed Objects. These attributes are able to be obtained by a client from the server using the Get Attribute operation. Some attributes are able to be set by the Add Attribute operation or updated by the Modify Attribute operation, and some are able to be deleted by the Delete Attribute operation if they no longer apply to the Managed Object.

When attributes are returned by the server (e.g., via a Get Attributes operation), the returned attribute value MAY differ depending on the client (e.g., the Cryptographic Usage Mask value MAY be different for different clients, depending on the policy of the server).

The attribute name contained in the first row of the Object column of the first table in each subsection is the canonical name used when managing attributes using the Get Attributes, Get Attribute List, Add Attribute, Modify Attribute, and Delete Attribute operations.

The second table in each subsection lists certain attribute characteristics (e.g., "SHALL always have a value"). The "When implicitly set" characteristic indicates which operations (other than operations that manage attributes) are able to implicitly add to or modify the attribute of the object, which MAY be object(s) on which the operation is performed or object(s) created as a result of the operation. Implicit attribute changes MAY occur even if the attribute is not specified in the operation request itself.

3.1 Unique Identifier

The *Unique Identifier* is generated by the key management system to uniquely identify a Managed Object. It is only REQUIRED to be unique within the identifier space managed by a single key management system, however it is RECOMMENDED that this identifier be globally unique, to allow for key management domain export of such objects. This attribute SHALL be assigned by the key management system at creation or registration time, and then SHALL NOT be changed or deleted by any entity at any time.

Object	Encoding	
Unique Identifier	Text String	

Table 31: Unique Identifier Attribute

SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Objects

Table 32: Unique Identifier Attribute Rules

3.2 Name

The *Name* attribute is a structure (see Table 33) used to identify and locate the object, assigned by the client, and that humans are able to interpret. The key management system MAY specify rules by which the client creates valid names. Clients are informed of such rules by a mechanism that is not specified by

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this standard. Names SHALL be unique within a given key management domain, but are not REQUIRED to be globally unique.

Object	Encoding	REQUIRED
Name	Structure	
Name Value	Text String	Yes
Name Type	Enumeration	Yes

Table 33: Name Attribute Structure

SHALL always have a value	No
Initially set by	Client
Modifiable by server	Yes
Modifiable by client	Yes
Deletable by client	Yes
Multiple instances permitted	Yes
When implicitly set	Re-key, Re-certify
Applies to Object Types	All Objects

Table 34: Name Attribute Rules

3.3 Object Type

The type of a Managed Object (e.g., public key, private key, symmetric key, etc). This attribute SHALL be set by the server when the object is created or registered and then SHALL NOT be changed.

Object	Encoding	
Object Type	Enumeration	

Table 35: Object Type Attribute

SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Objects

Table 36: Object Type Attribute Rules

3.4 Cryptographic Algorithm

The cryptographic algorithm used by the object (e.g., RSA, DSA, DES, 3DES, AES, etc). This attribute SHALL be set by the server when the object is created or registered and then SHALL NOT be changed.

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Object	Encoding	
Cryptographic Algorithm	Enumeration	

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Table 37: Cryptographic Algorithm Attribute

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SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Re-key
Applies to Object Types	Keys, Certificates, Templates

Table 38: Cryptographic Algorithm Attribute Rules

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3.5 Cryptographic Length

Cryptographic Length is the length in bits of the clear-text cryptographic key material of the Managed Cryptographic Object. This attribute SHALL be set by the server when the object is created or registered, and then SHALL NOT be changed.

Object	Encoding	
Cryptographic Length	Integer	

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Table 39: Cryptographic Length Attribute

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SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Re-key
Applies to Object Types	Keys ,Certificates, Templates

Table 40: Cryptographic Length Attribute Rules

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3.6 Cryptographic Parameters

The *Cryptographic Parameters* attribute is a structure (see Table 41) that contains a set of OPTIONAL fields that describe certain cryptographic parameters to be used when performing cryptographic operations using the object. It is possible that specific fields only pertain to certain types of Managed Cryptographic Objects.

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Object	Encoding	REQUIRED
Cryptographic Parameters	Structure	
Block Cipher Mode	Enumeration	No
Padding Method	Enumeration	No
Hashing Algorithm	Enumeration	No
Role Type	Enumeration	No

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Table 41: Cryptographic Parameters Attribute Structure

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SHALL always have a value	No
Initially set by	Client
Modifiable by server	No
Modifiable by client	Yes
Deletable by client	Yes
Multiple instances permitted	Yes
When implicitly set	Re-key, Re-certify
Applies to Object Types	Keys ,Certificates, Templates

Table 42: Cryptographic Parameters Attribute Rules

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Role Type definitions match those defined in ANSI X9 “TR-31 2005 Interoperable Secure Key Exchange Key Block Specification for Symmetric Algorithms” and are defined in Table 43:

BDK	Base Derivation Key (ANSI X9.24 DUKPT key derivation)
CVK	Card Verification Key (CVV/signature strip number validation)
DEK	Data Encryption Key (General Data Encryption)
MKAC	EMV/chip card Master Key: Application Cryptograms
MKSMC	EMV/chip card Master Key: Secure Messaging for Confidentiality
MKSMI	EMV/chip card Master Key: Secure Messaging for Integrity
MKDAC	EMV/chip card Master Key: Data Authentication Code
MKDN	EMV/chip card Master Key: Dynamic Numbers
MKCP	EMV/chip card Master Key: Card Personalization
KMOTH	EMV/chip card Master Key: Other
KEK	Key Encryption or Wrapping Key
MAC16609	ISO16609 MAC Algorithm 1
MAC97971	ISO9797-1 MAC Algorithm 1
MAC97972	ISO9797-1 MAC Algorithm 2
MAC97973	ISO9797-1 MAC Algorithm 3 (Note this is commonly known as X9.19 Retail MAC)
MAC97974	ISO9797-1 MAC Algorithm 4
MAC97975	ISO9797-1 MAC Algorithm 5
ZPK	PIN Block Encryption Key
PVKIBM	PIN Verification Key, IBM 3624 Algorithm
PVKPVV	PIN Verification Key, VISA PVV Algorithm
PVKOTH	PIN Verification Key, Other Algorithm

Table 43: Role Types

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Accredited Standards Committee X9, Inc. - Financial Industry Standards (www.x9.org) contributed to Table 43. Key role names and descriptions are derived from material in the Accredited Standards Committee X9, Inc's Technical Report "TR-31 2005 Interoperable Secure Key Exchange Key Block Specification for Symmetric Algorithms" and used with the permission of Accredited Standards Committee X9, Inc. in an effort to improve interoperability between X9 standards and OASIS KMIP. The complete ANSI X9 TR-31 is available at www.x9.org.

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3.7 Cryptographic Domain Parameters

The *Cryptographic Domain Parameters* attribute is a structure (see Table 44) that contains a set of OPTIONAL fields that MAY need to be specified in the Create Key Pair Request Payload. Specific fields MAY only pertain to certain types of Managed Cryptographic Objects.

For DSA, the domain parameter Qlength correponds to the length of the parameter Q in bits. The length of P needs to be specified separately by setting the Cryptographic Length attribute.

Object	Encoding	Required
Cryptographic Domain Parameters	Structure	Yes
Qlength	Integer	No
Recommended Curve	Enumeration	No

Table 44: Cryptographic Domain Parameters Attribute Structure

Shall always have a value	No
Initially set by	Client
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Re-key
Applies to Object Types	Asymmetric Keys, Templates

Table 45: Cryptographic Domain Parameters Attribute Rules

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3.8 Certificate Type

The type of a certificate (e.g., X.509, PGP, etc). This value SHALL be set by the server when the certificate is created or registered and then SHALL NOT be changed.

Object	Encoding	
Certificate Type	Enumeration	

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Table 46: Certificate Type Attribute

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SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Register, Certify, Re-certify
Applies to Object Types	Certificates

Table 47: Certificate Type Attribute Rules

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3.9 Certificate Identifier

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The Certificate Identifier attribute is a structure (see Table 48) used to provide identification of a certificate, containing the Issuer Distinguished Name (i.e., from the Issuer field of the certificate) and the Certificate Serial Number (i.e., from the Serial Number field of the certificate). This value SHALL be set by the server when the certificate is created or registered and then SHALL NOT be changed.

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Object	Encoding	REQUIRED
Certificate Identifier	Structure	
Issuer	Text String	Yes
Serial Number	Text String	Yes (for X.509 certificates) / No (for PGP certificates since they do not contain a serial number)

Table 48: Certificate Identifier Attribute Structure

SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Register, Certify, Re-certify
Applies to Object Types	Certificates

Table 49: Certificate Identifier Attribute Rules

3.10 Certificate Subject

The Certificate Subject attribute is a structure (see Table 50) used to identify the subject of a certificate, containing the Subject Distinguished Name (i.e., from the Subject field of the certificate). It MAY include one or more alternative names (e.g., email address, IP address, DNS name) for the subject of the certificate (i.e., from the Subject Alternative Name extension within the certificate). These values SHALL be set by the server when the certificate is created or registered and SHALL NOT be changed until the certificate is renewed.

If the Subject Alternative Name extension is included in the certificate and is marked *CRITICAL*, then it is possible to issue an X.509 certificate where the subject field is left blank. Therefore an empty string is an acceptable value for the Certificate Subject Distinguished Name.

Object	Encoding	REQUIRED
Certificate Subject	Structure	
Certificate Subject Distinguished Name	Text String	Yes
Certificate Subject Alternative Name	Text String	No, MAY be repeated

Table 50: Certificate Subject Attribute Structure

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SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Register, Certify, Re-certify
Applies to Object Types	Certificates

Table 51: Certificate Subject Attribute Rules

3.11 Certificate Issuer

The Certificate Issuer attribute is a structure (see Table 53) used to identify the issuer of a certificate, containing the Issuer Distinguished Name (i.e., from the Issuer field of the certificate). It MAY include one or more alternative names (e.g., email address, IP address, DNS name) for the issuer of the certificate (i.e., from the Issuer Alternative Name extension within the certificate). The server SHALL set these values based on the information it extracts from a certificate that is created as a result of a Certify or a Re-certify operation or is sent as part of a Register operation. These values SHALL NOT be changed during the lifespan of the certificate.

Object	Encoding	REQUIRED
Certificate Issuer	Structure	
Certificate Issuer Distinguished Name	Text String	Yes
Certificate Issuer Alternative Name	Text String	No, MAY be repeated

Table 52: Certificate Issuer Attribute Structure

SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Register, Certify, Re-certify
Applies to Object Types	Certificates

Table 53: Certificate Issuer Attribute Rules

3.12 Digest

The Digest attribute is a structure (see Table 54) that contains the digest value of the key or secret data (i.e., digest of the Key Material), certificate (i.e., digest of the Certificate Value), or opaque object (i.e., digest of the Opaque Data Value). Multiple digests MAY be calculated using different algorithms. The mandatory digest SHALL be computed with the SHA-256 hashing algorithm; the server MAY store additional digests. The digest(s) are static and SHALL be generated by the server when the object is created or registered.

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Object	Encoding	REQUIRED
Digest	Structure	
Hashing Algorithm	Enumeration	Yes
Digest Value	Octet String	Yes

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Table 54: Digest Attribute Structure

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SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	Yes
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	Yes
When implicitly set	Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Cryptographic Objects, Opaque Objects

Table 55: Digest Attribute Rules

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3.13 Operation Policy Name

An operation policy controls what entities MAY perform which key management operations on the object. The content of the *Operation Policy Name* attribute is the name of a policy object known to the key management system and therefore server dependent. The named policy objects are created and managed using mechanisms outside the scope of the protocol. The policies determine what entities MAY perform specified operations on the object, and which of the object's attributes MAY be modified or deleted. The Operation Policy Name attribute SHOULD be set when operations that result in a new Managed Object on the server are executed. It is set either explicitly or via some default set by the server, which then applies to all subsequent operations on the object.

Object	Encoding	
Operation Policy Name	Text String	

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Table 56: Operation Policy Name Attribute

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SHALL always have a value	No
Initially set by	Server or Client
Modifiable by server	Yes
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Objects

Table 57: Operation Policy Name Attribute Rules

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3.13.1 Operations outside of operation policy control

Some of the operations SHOULD be allowed for any client at any time, without respect to operation policy. These operations are:

- Create
- Create Key Pair
- Register
- Certify
- Validate
- Query
- Cancel
- Poll

3.13.2 Default Operation Policy

A key management system implementation SHALL implement at least one named operation policy, which is used for objects when the *Operation Policy* attribute is not specified by the Client in a *Create* or *Register* operation, or in a template specified in these operations. This policy is named *default*. It specifies the following rules for operations on objects created or registered with this policy, depending on the object type.

3.13.2.1 Default Operation Policy for Secret Objects

This policy applies to Symmetric Keys, Private Keys, Split Keys, Secret Data, and Opaque Objects.

Default Operation Policy for Secret Objects	
Operation	**Policy**
Re-Key	Allowed to creator only
Derive Key	Allowed to creator only
Locate	Allowed to creator only
Check	Allowed to creator only
Get	Allowed to creator only
Get Attributes	Allowed to creator only
Get Attribute List	Allowed to creator only
Add Attribute	Allowed to creator only
Modify Attribute	Allowed to creator only
Delete Attribute	Allowed to creator only
Obtain Lease	Allowed to creator only

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Get Usage Allocation	Allowed to creator only
Activate	Allowed to creator only
Revoke	Allowed to creator only
Destroy	Allowed to creator only
Archive	Allowed to creator only
Recover	Allowed to creator only

Table 58: Default Operation Policy for Secret Objects

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For mandatory profiles, the creator SHALL be the transport-layer identification (see Usage Guide) provided at the Create or Register operation time.

3.13.2.2 Default Operation Policy for Certificates and Public Key Objects

This policy applies to Certificates and Public Keys.

Default Operation Policy for Certificates and Public Key Objects	
Operation	**Policy**
Certify	Allowed to creator only
Re-certify	Allowed to creator only
Locate	Allowed to all
Check	Allowed to all
Get	Allowed to all
Get Attributes	Allowed to all
Get Attribute List	Allowed to all
Add Attribute	Allowed to creator only
Modify Attribute	Allowed to creator only
Delete Attribute	Allowed to creator only
Obtain Lease	Allowed to all
Activate	Allowed to creator only
Revoke	Allowed to creator only
Destroy	Allowed to creator only
Archive	Allowed to creator only
Recover	Allowed to creator only

Table 59: Default Operation Policy for Certificates and Public Key Objects

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3.13.2.3 Default Operation Policy for Template Objects

The operation policy specified as an attribute in the *Create* operation for a template object is the operation policy used for objects created using that template, and is not the policy used to control operations on the template itself. There is no mechanism to specify a policy used to control operations on template objects, so the default policy for template objects is always used for templates created by clients using the *Register* operation to create template objects.

Default Operation Policy for Private Template Objects	
Operation	**Policy**
Locate	Allowed to creator only
Get	Allowed to creator only
Get Attributes	Allowed to creator only
Get Attribute List	Allowed to creator only
Add Attribute	Allowed to creator only
Modify Attribute	Allowed to creator only
Delete Attribute	Allowed to creator only
Destroy	Allowed to creator only

Table 60: Default Operation Policy for Private Template Objects

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In addition to private template objects (which are controlled by the above policy, and which MAY be created by clients or the server), publicly known and usable templates MAY be created and managed by the server, with a default policy different from private template objects.

Default Operation Policy for Public Template Objects	
Operation	**Policy**
Locate	Allowed to all
Get	Allowed to all
Get Attributes	Allowed to all
Get Attribute List	Allowed to all
Add Attribute	Disallowed to all
Modify Attribute	Disallowed to all
Delete Attribute	Disallowed to all
Destroy	Disallowed to all

Table 61: Default Operation Policy for Public Template Objects

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3.14 Cryptographic Usage Mask

The *Cryptographic Usage Mask* defines the cryptographic usage of a key. This is a bit mask that indicates to the client which cryptographic functions MAY be performed using the key.

- Sign
- Verify
- Encrypt
- Decrypt
- Wrap Key
- Unwrap Key
- Export
- MAC Generate
- MAC Verify
- Derive Key
- Content Commitment
- Key Agreement
- Certificate Sign
- CRL Sign

- Generate Cryptogram
- Validate Cryptogram
- Translate Encrypt
- Translate Decrypt
- Translate Wrap
- Translate Unwrap

This list takes into consideration values that MAY appear in the Key Usage extension in an X.509 certificate. However, the list does not consider the additional usages that MAY appear in the Extended Key Usage extension.

X.509 Key Usage values SHALL be mapped to Cryptographic Usage Mask values in the following manner:

X.509 Key Usage to Cryptographic Usage Mask Mapping	
X.509 Key Usage Value	**Cryptographic Usage Mask Value**
digitalSignature	Sign and Verify
contentCommitment	Content Commitment (Non Repudiation)
keyEncipherment	Wrap Key and Unwrap Key
dataEncipherment	Encrypt and Decrypt
keyAgreement	Key Agreement
keyCertSign	Certificate Sign
cRLSign	CRL Sign
encipherOnly	Encrypt
decipherOnly	Decrypt

Table 62: X.509 Key Usage to Cryptographic Usage Mask Mapping

Object	Encoding	
Cryptographic Usage Mask	Integer	

Table 63: Cryptographic Usage Mask Attribute

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SHALL always have a value	Yes
Initially set by	Server or Client
Modifiable by server	Yes
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Cryptographic Objects, Templates

Table 64: Cryptographic Usage Mask Attribute Rules

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3.15 Lease Time

The *Lease Time* attribute defines a time interval for a Managed Cryptographic Object that indicates how long a client SHOULD use the object. This attribute always holds the initial value of a lease, and not the actual remaining time. Once the lease expires, then the client is only able to renew the lease by calling Obtain Lease. A server SHOULD store in this attribute the maximum Lease Time it is able to serve and a client obtains lease time (with Obtain Lease) that is less than or equal to the maximum Lease Time. This attribute is read-only for clients. It SHALL be modified by the server only.

Object	Encoding	
Lease Time	Interval	

Table 65: Lease Time Attribute

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SHALL always have a value	No
Initially set by	Server
Modifiable by server	Yes
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Cryptographic Objects

Table 66: Lease Time Attribute Rules

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3.16 Usage Limits

This is a mechanism for limiting the usage of a Managed Cryptographic Object. It only applies to Managed Cryptographic Objects that are able to be used for protection purposes (e.g., symmetric keys, private keys, public keys, etc.), and it SHALL only reflect their usage for protection (e.g., encryption, signing, etc.). This attribute does not necessarily exist for all Managed Cryptographic Objects, since some objects are able to be used without limit, depending on client/server policies. Usage for process purposes (e.g., decryption, verification, etc.) is not limited. The attribute has four fields for two different types of limits. Exactly one of these two types (i.e., either bytes or objects) SHALL be present. These limits are:

- *Usage Limits Total Bytes* – the total number of bytes allowed to be protected. This is the total value for the entire life of the object, and SHALL NOT be changed once the object begins to be used for protection purposes.
- *Usage Limits Byte Count* – the currently remaining number of bytes allowed to be protected.
- *Usage Limits Total Objects* – the total number of objects allowed to be protected. This is the total value for the entire life of the object, and SHALL NOT be changed once the object begins to be used for protection purposes.
- *Usage Limits Object Count* – the currently remaining number of objects allowed to be protected.

When the attribute is initially set (usually during object creation or registration), the values set are the Total values allowed for the useful life of the object. The count values SHALL be ignored by the server if the attribute is specified in an operation that creates a new object. Changes made via the Modify Attribute operation reflect corrections to these Total values, but they SHALL NOT be changed once the count values have changed by a Get Usage Allocation operation. The count values SHALL NOT be set or modified by the client via the Add Attribute or Modify Attribute operations.

Object	Encoding	REQUIRED
Usage Limits	Structure	
Usage Limits Total Bytes	Big Integer	No. SHALL be present if Usage Limits Byte Count is present
Usage Limits Byte Count	Big Integer	No. SHALL be present if Usage Limits Object Count is not present
Usage Limits Total Objects	Big Integer	No. SHALL be present if Usage Limits Object Count is present
Usage Limits Object Count	Big Integer	No. SHALL be present if Usage Limits Byte Count is not present

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Table 67: Usage Limits Attribute Structure

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SHALL always have a value	No
Initially set by	Server or Client
Modifiable by server	Yes
Modifiable by client	Yes
Deletable by client	Yes
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Re-key, Get Usage Allocation
Applies to Object Types	Keys, Templates

Table 68: Usage Limits Attribute Rules

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3.17 State

This attribute is an indication of the state of an object as known to the key management server. The state SHALL NOT be changed by using the Modify Attribute operation on this attribute. The state SHALL only be changed by the server as a part of other operations or other server processes. An object SHALL be in one of the following states at any given time. (Note: These states correspond to those described in NIST Special Publication 800-57).

- *Pre-Active*: The object exists but is not yet usable for any cryptographic purpose.
- *Active*: The object MAY be used for all cryptographic purposes that are allowed by its Cryptographic Usage Mask attribute.
- *Deactivated*: The object SHALL NOT be used for protection purpose (e.g., encryption or signing), but, if permitted by the Cryptographic Usage Mask attribute, then MAY be used for process purposes (e.g., decryption or verification), but only under extraordinary circumstances and when special permission is granted.
- *Compromised*: It is possible that the object has been compromised, and SHOULD only be used for process purposes in a client that is trusted to handle compromised cryptographic objects.
- *Destroyed*: The object is no longer usable for any purpose.
- *Destroyed Compromised*: The object is no longer usable for any purpose; however its compromised status MAY be retained for audit or security purposes.

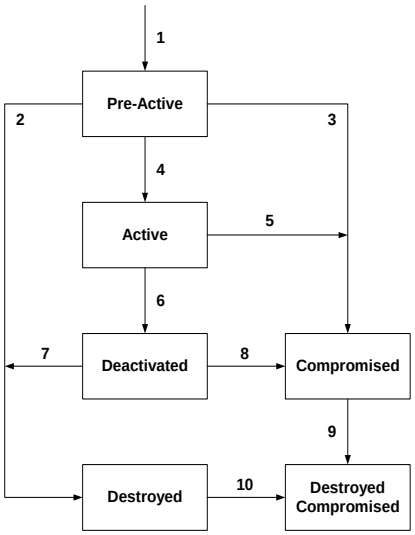

Figure 1: Cryptographic Object States and Transitions

State transitions occur as follows:

1. The transition from a non-existent key to the Pre-Active state is caused by the creation of the object. When an object is created or registered, it automatically goes from non-existent to Pre-Active. If, however, the operation that creates or registers the object contains an Activation Date that has already occurred, then the state immediately transitions to Active. In this case, the server SHALL set the Activation Date attribute to the time when the operation is received, or fail the request attempting to create or register the object, depending on server policy. If the operation contains an Activation Date attribute in the future, or contains no Activation Date, then the Cryptographic Object is initialized in the key management system in the Pre-Active state.
2. The transition from Pre-Active to Destroyed is caused by a client issuing a Destroy operation. The server destroys the object when (and if) server policy dictates.
3. The transition from Pre-Active to Compromised is caused by a client issuing a Revoke operation with a Revocation Reason of Compromised.
4. The transition from Pre-Active to Active SHALL occur in one of three ways:
   - The object has an Activation Date in the future. At the time that the Activation Date is reached, the server changes the state to Active.
   - A client issues a Modify Attribute operation, modifying the Activation Date to a date in the past, or the current date. In this case, the server SHALL either set the Activation Date attribute to the date in the past or fail the operation, depending on server policy.
   - A client issues an Activate operation on the object. The server SHALL set the Activation Date to the time the Activate operation is received.

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5. The transition from Active to Compromised is caused by a client issuing a Revoke operation with a Revocation Reason of Compromised.

6. The transition from Active to Deactivated SHALL occur in one of three ways:

   - The object's Deactivation Date is reached.
   - A client issues a Revoke operation, with a Revocation Reason other than Compromised.
   - The client issues a Modify Attribute operation, modifying the Deactivation Date to a date in the past, or the current date. In this case, the server SHALL either set the Deactivation Date attribute to the date in the past or fail the operation, depending on server policy.

7. The transition from Deactivated to Destroyed is caused by a client issuing a Destroy operation. The server destroys the object when (and if) server policy dictates.

8. The transition from Deactivated to Compromised is caused by a client issuing a Revoke operation with a Revocation Reason of Compromised.

9. The transition from Compromised to Destroyed Compromised is caused by a client issuing a Destroy operation. The server destroys the object when (and if) server policy dictates.

10. The transition from Destroyed to Destroyed Compromised is caused by a client issuing a *R*evoke operation with a Revocation Reason of Compromised.

Only the transitions described above are permitted.

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Object	Encoding	
State	Enumeration	

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Table 69: State Attribute

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SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	Yes
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Activate, Revoke, Destroy, Certify, Re-certify, Re-key
Applies to Object Types	All Cryptographic Objects

Table 70: State Attribute Rules

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3.18 Initial Date

This is the date and time when the Managed Object was first created or registered at the server. This time corresponds to state transition 1 (see Section 3.17). This attribute SHALL be set by the server when the object is created or registered, and then SHALL NOT be changed. This attribute is also set for non-cryptographic objects (e.g., templates) when they are first registered with the server.

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Object	Encoding	
Initial Date	Date-Time	

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Deleted: Yes

Table 71: Initial Date Attribute

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SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Objects

Table 72: Initial Date Attribute Rules

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3.19 Activation Date

This is the date and time when the Managed Cryptographic Object MAY begin to be used. This time corresponds to state transition 4 (see Section 3.17). The object SHALL NOT be used for any cryptographic purpose before the *Activation Date* has been reached. Once the state transition has occurred, then this attribute SHALL NOT be modified by the server or client.

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Object	Encoding	
Activation Date	Date-Time	

Deleted: REQUIRED
Deleted: Yes

Table 73: Activation Date Attribute

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SHALL always have a value	No
Initially set by	Server or Client
Modifiable by server	Yes
Modifiable by client	Yes
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Activate Certify, Re-certify, Re-key
Applies to Object Types	All Cryptographic Objects, Templates

Table 74: Activation Date Attribute Rules

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3.20 Process Start Date

This is the date and time when a Managed Symmetric Key Object MAY begin to be used for process purposes (e.g., decryption or unwrapping), depending on the value of its Cryptographic Usage Mask attribute. The object SHALL NOT be used for these cryptographic purposes before the *Process Start Date* has been reached. This value MAY be equal to, but SHALL NOT precede, the Activation Date. Once the Process Start Date has occurred, then this attribute SHALL NOT be modified by the server or the client.

Object	Encoding	
Process Start Date	Date-Time	

Table 75: Process Start Date Attribute

SHALL always have a value	No
Initially set by	Server or Client
Modifiable by server	Yes
Modifiable by client	Yes
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Register, Derive Key, Re-key
Applies to Object Types	Symmetric Keys, Split Keys of symmetric keys, Templates

Table 76: Process Start Date Attribute Rules

3.21 Protect Stop Date

This is the date and time when a Managed Symmetric Key Object SHALL NOT be used for protect purposes (e.g., encryption or wrapping), depending on the value of its Cryptographic Usage Mask attribute. This value MAY be equal to, but SHALL NOT be later than the Deactivation Date. Once the *Protect Stop Date* has occurred, then this attribute SHALL NOT be modified by the server or the client.

Object	Encoding	
Protect Stop Date	Date-Time	

Table 77: Protect Stop Date Attribute

SHALL always have a value	No
Initially set by	Server or Client
Modifiable by server	Yes
Modifiable by client	Yes
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Register, Derive Key, Re-key
Applies to Object Types	Symmetric Keys, Split Keys of symmetric keys, Templates

Table 78: Protect Stop Date Attribute Rules

3.22 Deactivation Date

This is the date and time when the Managed Cryptographic Object SHALL NOT be used for any purpose, except for decryption, signature verification, or unwrapping, but only under extraordinary circumstances and only when special permission is granted. This time corresponds to state transition 6 (see Section

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3.17). Once this transition has occurred, then this attribute SHALL NOT be modified by the server or client.

Object	Encoding	
Deactivation Date	Date-Time	

Table 79: Deactivation Date Attribute

SHALL always have a value	No
Initially set by	Server or Client
Modifiable by server	Yes
Modifiable by client	Yes
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Revoke Certify, Re-certify, Re-key
Applies to Object Types	All Cryptographic Objects, Templates

Table 80: Deactivation Date Attribute Rules

3.23 Destroy Date

This is the date and time when the Managed Object was destroyed. This time corresponds to state transitions 2, 7, or 9 (see Section 3.17). This value is set by the server when the object is destroyed due to the reception of a Destroy operation, or due to server policy or out-of-band administrative action.

Object	Encoding	
Destroy Date	Date-Time	

Table 81: Destroy Date Attribute

SHALL always have a value	No
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Destroy
Applies to Object Types	All Cryptographic Objects, Opaque Objects

Table 82: Destroy Date Attribute Rules

3.24 Compromise Occurrence Date

This is the date and time when the Managed Cryptographic Object was first believed to be compromised. If it is not possible to estimate when the compromise occurred, then this value SHOULD be set to the Initial Date for the object.

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Object	Encoding	
Compromise Occurrence Date	Date-Time	

Table 83: Compromise Occurrence Date Attribute

SHALL always have a value	No
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Revoke
Applies to Object Types	All Cryptographic Objects, Opaque Object

Table 84: Compromise Occurrence Date Attribute Rules

3.25 Compromise Date

This is the date and time when the Managed Cryptographic Object entered into the compromised state. This time corresponds to state transitions 3, 5, 8, or 10 (see Section 3.17). This time indicates when the key management system was made aware of the compromise, not necessarily when the compromise occurred. This attribute is set by the server when it receives a Revoke operation with a Revocation Reason of Compromised, or due to server policy or out-of-band administrative action.

Object	Encoding	
Compromise Date	Date-Time	

Table 85: Compromise Date Attribute

SHALL always have a value	No
Initially set by	Server
Modifiable by server	No
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Revoke
Applies to Object Types	All Cryptographic Objects, Opaque Object

Table 86: Compromise Date Attribute Rules

3.26 Revocation Reason

The Revocation Reason attribute is a structure (see Table 87) used to indicate why the Managed Cryptographic Object was revoked (e.g., "compromised", "expired", "no longer used", etc). This attribute is only changed by the server as a part of the Revoke Operation.

The *Revocation Message* is an OPTIONAL field that is used exclusively for audit trail/logging purposes and MAY contain additional information about why the object was revoked (e.g., "Laptop stolen", or "Machine decommissioned").

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Object	Encoding	REQUIRED
Revocation Reason	Structure	
Revocation Reason Code	Enumeration	Yes
Revocation Message	Text String	No

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Table 87: Revocation Reason Attribute Structure

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SHALL always have a value	No
Initially set by	Server
Modifiable by server	Yes
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Revoke
Applies to Object Types	All Cryptographic Objects, Opaque Object

Table 88: Revocation Reason Attribute Rules

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3.27 Archive Date

This is the date and time when the Managed Object was placed in archival storage. This value is set by the server as a part of the Archive operation. This attribute is deleted whenever a Recover operation is performed.

Object	Encoding	
Archive Date	Date-Time	

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Table 89: Archive Date Attribute

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SHALL always have a value	No
Initially set by	Server
Modifiable by server	Yes
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Archive
Applies to Object Types	All Objects

Table 90: Archive Date Attribute Rules

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3.28 Object Group

An object MAY be part of a group of objects. An object MAY belong to more than one group of objects. To assign an object to a group of objects, the object group name SHOULD be set into this attribute.

Object	Encoding	
Object Group	Text String	

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Deleted: Yes

Table 91: Object Group Attribute

SHALL always have a value	No
Initially set by	Client or Server
Modifiable by server	Yes
Modifiable by client	Yes
Deletable by client	Yes
Multiple instances permitted	Yes
When implicitly set	Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Objects

Table 92: Object Group Attribute Rules

3.29 Link

The Link attribute is a structure (see Table 93) used to create a link from one Managed Cryptographic Object to another, closely related target Managed Cryptographic Object. The link has a type, and the allowed types differ, depending on the Object Type of the Managed Cryptographic Object. The *Linked Object Identifier* identifies the target Managed Cryptographic Object by its Unique Identifier. The link contains information associated between the Managed Cryptographic Objects (e.g., the private key corresponding to a public key; the parent certificate for a certificate in a chain; or for a derived symmetric key, the base key from which it was derived).

Possible values of *Link Type* in accordance with the Object Type of the Managed Cryptographic Object are:

- *Private Key Link.* For a Public Key object: the private key corresponding to the public key
- *Public Key Link.* For a Private Key object: the public key corresponding to the private key. For a Certificate object: the public key certified by the certificate
- *Certificate Link*. For Certificate objects: the parent certificate for a certificate in a certificate chain. For Public Key objects: the corresponding certificate(s), containing the same public key
- *Derivation Base Object Link* for a derived Symmetric Key object: the object(s) from which the current symmetric key was derived
- *Derived Key Link*: the symmetric key(s) that were derived from the current object.
- *Replacement Object Link.* For a Symmetric Key, Private Key, or Public Key object: the key that resulted from the re-key of the current key. For a Certificate object: the certificate that resulted from the re-certify. Note that there SHALL be only one such replacement object.
- *Replaced Object Link.* For a Symmetric Key, Private Key, or Public Key object: the key that was re-keyed to obtain the current key. For a Certificate object: the certificate that was re-certified to obtain the current certificate

The Link attribute SHOULD be present for private keys and public keys for which a certificate chain is stored by the server, and for certificates in a certificate chain.

Note that it is possible for a Managed Object to have multiple instances of the Link attribute (e.g., a Private Key has links to the associated certificate as well as the associated public key; a Certificate object has links to both the public key and to the certificate of the certification authority that signed the certificate).

It is also possible that a Managed Object does not have links to associated cryptographic objects. This MAY occur in cases where the associated key material is not available to the server or client (e.g., the

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registration of a CA Signer certificate with a server, where the corresponding private key is held in a different manner).

Object	Encoding	REQUIRED
Link	Structure	
Link Type	Enumeration	Yes
Linked Object Identifier	Text String	Yes

Table 93: Link Attribute Structure

SHALL always have a value	No
Initially set by	Client or Server
Modifiable by server	Yes
Modifiable by client	Yes
Deletable by client	Yes
Multiple instances permitted	Yes
When implicitly set	Create Key Pair, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Cryptographic Objects

Table 94: Link Attribute Structure Rules

3.30 Application Specific Information

The Application Specific Information attribute is a structure (see Table 95) used to store data specific to the application(s) using the Managed Object. It consists of the following fields: an *Application Namespace* and *Application Data* specific to that application namespace. A list of standard application namespaces is provided in [TBD].

Clients MAY request to set (i.e., using any of the operations that results in generating new Managed Object(s) or adding/modifying the attribute of an existing Managed Object) an instance of this attribute with a particular Application Namespace while omitting Application Data. In that case, if the server supports this namespace (as indicated by the Query operation in Section 4.24), then it SHALL return a suitable Application Data value. If the server does not support this namespace, then an error SHALL be returned.

Object	Encoding	REQUIRED
Application Specific Information	Structure	
Application Namespace	Text String	Yes
Application Data	Text String	Yes

Table 95: Application Specific Information Attribute

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SHALL always have a value	No
Initially set by	Client or Server (only if the Application Data is omitted, in the client request)
Modifiable by server	Yes (only if the Application Data is omitted in the client request)
Modifiable by client	Yes
Deletable by client	Yes
Multiple instances permitted	Yes
When implicitly set	Re-key, Re-certify
Applies to Object Types	All Objects

Table 96: Application Specific Information Attribute Rules

3.31 Contact Information

The *Contact Information* attribute is OPTIONAL, and its content is used for contact purposes only. It is not used for policy enforcement. The attribute is set by the client or the server.

Object	Encoding	
Contact Information	Text String	

Table 97: Contact Information Attribute

SHALL always have a value	No
Initially set by	Client or Server
Modifiable by server	Yes
Modifiable by client	Yes
Deletable by client	Yes
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Certify, Re-certify, Re-key
Applies to Object Types	All Objects

Table 98: Contact Information Attribute Rules

3.32 Last Changed Date

This is a meta attribute that contains the date and time of the last change to the contents or attributes of the specified object.

Object	Encoding	
Last Changed Date	Date-Time	

Table 99: Last Changed Date Attribute

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SHALL always have a value	Yes
Initially set by	Server
Modifiable by server	Yes
Modifiable by client	No
Deletable by client	No
Multiple instances permitted	No
When implicitly set	Create, Create Key Pair, Register, Derive Key, Activate, Revoke, Destroy, Archive, Recover, Certify, Re-certify, Re-key, Add Attribute, Modify Attribute, Delete Attribute, Get Usage Allocation
Applies to Object Types	All Objects

773 **Table 100: Last Changed Date Attribute Rules**

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774 ## 3.33 Custom Attribute

775 A *Custom Attribute* is a client- or server-defined attribute intended for vendor-specific purposes. It is
776 created by the client and not interpreted by the server, or is created by the server and MAY be interpreted
777 by the client. All custom attributes created by the client SHALL adhere to a naming scheme where the
778 name of the attribute SHALL have a prefix of 'x-', meaning extended. All custom attributes created by the
779 key management server SHALL adhere to a naming scheme where the name of the attribute SHALL
780 have a prefix of 'y-'. The tag type Custom Attribute is not able to identify the particular attribute; hence
781 such an attribute SHALL only appear in an Attribute Structure with its name as defined in Section 2.1.1 .

Object	Encoding	
Custom Attribute	Any data type or structure	The name of the attribute SHALL start with 'x-' or 'y-'.

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782 **Table 101 Custom Attribute**

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SHALL always have a value	No
Initially set by	Client or Server
Modifiable by server	Yes, for server-created attributes
Modifiable by client	Yes, for client-created attributes
Deletable by client	Yes, for client-created attributes
Multiple instances permitted	Yes
When implicitly set	Create, Create Key Pair, Register, Derive Key, Activate, Revoke, Destroy, Certify, Re-certify, Re-key
Applies to Object Types	All Objects

783 **Table 102: Custom Attribute Rules**

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4 Client-to-Server Operations

The following subsections describe the operations that MAY be requested by a key management client. Not all clients have to be capable of issuing all operation requests; however any client that issues a specific request SHALL be capable of understanding the response to the request. All Object Management operations are sent in requests from clients to servers, and in responses from servers to clients. These operations MAY be combined into a batch, which allows multiple operations to be contained in a single request/response message pair.

A number of the operations whose descriptions follow are affected by a mechanism referred to as the *ID Placeholder.*

The key management server SHALL implement a temporary variable called the ID Placeholder. This value consists of a single Unique Identifier. It is a variable stored inside the server that is only valid and preserved during the execution of a batch of operations. Once the batch of operations has been completed, the ID Placeholder value is discarded and/or invalidated by the server, so that subsequent requests do not find this previous ID Placeholder available.

The ID Placeholder is obtained from the Unique Identifier returned by the Create, Create Pair, Register, Derive Key, Re-Key, Certify, Re-Certify, Locate, and Recover operations. If any of these operations successfully completes and returns a Unique Identifier, then the server SHALL copy this Unique Identifier into the ID Placeholder variable, where it is held until the completion of the operations remaining in the batched request. If the Batch Error Continuation Option is set to Stop and the Batch Order Option is set to true, then subsequent operations in the batched request MAY make use of the ID Placeholder by omitting the Unique Identifier field from the request payloads for these operations.

Requests MAY contain attribute values to be assigned to the object. This information is specified with a Template-Attribute (see Section 2.1.8) that contains zero or more template names and zero or more individual attributes. If more than one template name is specified, and there is a conflict between the single-instance attributes in the templates, then the value in the subsequent template takes precedence. If there is a conflict between the single-instance attributes in the request and the single-instance attributes in a specified template, then the attribute values in the request take precedence. For multi-value attributes, the union of attribute values is used when the attributes are specified more than once.

Responses MAY contain attribute values that were not specified in the request, but have been implicitly set by the server. This information is specified with a Template-Attribute that contains one or more individual attributes.

For any operations that operate on Managed Objects already stored on the server, any archived object SHALL first be moved back on-line through a Recover operation (see Section 4.22) before they MAY be specified (i.e., as on-line objects).

4.1 Create

This operation requests the server to generate a new symmetric key as a Managed Cryptographic Object. This operation is not used to create a Template object (see Register operation, Section 4.3).

The request contains information about the type of object being created, and some of the attributes to be assigned to the object (e.g., Cryptographic Algorithm, Cryptographic Length, etc). This information MAY be specified by the names of Template objects that already exist.

The response contains the Unique Identifier of the created object. The server SHALL copy the Unique Identifier returned by this operation into the ID Placeholder variable.

Request Payload		
Object	**REQUIRED**	**Description**
Object Type	Yes	Determines the type of object to be created.
Template-Attribute	Yes	Specifies desired object attributes using templates and/or as individual attributes.

Table 103: Create Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Object Type	Yes	Type of object created.
Unique Identifier	Yes	The Unique Identifier of the newly created object.
Template-Attribute	No	An OPTIONAL list of object attributes with values that were not specified in the request, but have been implicitly set by the key management server.

Table 104: Create Response Payload

The following attributes SHALL be included in the Create request, either explicitly, or via specification of a template that contains the attribute.

Attribute	REQUIRED
Cryptographic Algorithm	Yes
Cryptographic Usage Mask	Yes

Table 105: Create Attribute Requirements

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4.2 Create Key Pair

This operation requests the server to generate a new public/private key pair and register the two corresponding new Managed Cryptographic Objects.

The request contains attributes to be assigned to the objects (e.g., Cryptographic Algorithm, Cryptographic Length, etc). Attributes and Template Names MAY be specified for both keys at the same time by specifying a Common Template-Attribute object in the request. Attributes not common to both keys (e.g., Name, Cryptographic Usage Mask) MAY be specified using the Private Key Template-Attribute and Public Key Template-Attribute objects in the request, which take precedence over the Common Template-Attribute object.

A Link Attribute is automatically created by the server for each object, pointing to the corresponding object. The response contains the Unique Identifiers of both created objects. The ID Placeholder value SHALL be set to the Unique Identifier of the Private Key.

Request Payload		
Object	**REQUIRED**	**Description**
Common Template-Attribute	No	Specifies desired attributes in templates and/or as individual attributes that apply to both the Private and Public Key Objects.
Private Key Template-Attribute	No	Specifies templates and/or attributes that apply to the Private Key Object. Order of precedence applies.
Public Key Template-Attribute	No	Specifies templates and/or attributes that apply to the Public Key Object. Order of precedence applies.

Table 106: Create Key Pair Request Payload

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For multi-instance attributes, the union of the values found in the templates and attributes of the Common, Private, and Public Key Template-Attribute is used. For single-instance attributes, the order of precedence is as follows:

1. attributes specified explicitly in the Private and Public Key Template-Attribute, then
2. attributes specified via templates in the Private and Public Key Template-Attribute, then
3. attributes specified explicitly in the Common Template-Attribute, then
4. attributes specified via templates in the Common Template-Attribute

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If there are multiple templates in the Common, Private, or Public Key Template-Attribute, then the subsequent value of the single-instance attribute takes precedence.

Response Payload		
Object	**REQUIRED**	**Description**
Private Key Unique Identifier	Yes	The Unique Identifier of the newly created Private Key object.
Public Key Unique Identifier	Yes	The Unique Identifier of the newly created Public Key object.
Private Key Template-Attribute	No	An OPTIONAL list of attributes, for the Private Key Object, with values that were not specified in the request, but have been implicitly set by the key management server.
Public Key Template-Attribute	No	An OPTIONAL list of attributes, for the Public Key Object, with values that were not specified in the request, but have been implicitly set by the key management server.

Table 107: Create Key Pair Response Payload

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The following attributes SHALL be included and/or SHALL have the same value in the *Create Key Pair* operation, either explicitly, or via specification of a template that contains the attribute.

Attribute	REQUIRED	SHALL contain the same value for both Private and Public Key
Cryptographic Algorithm	Yes	Yes
Cryptographic Length	Yes	Yes
Cryptographic Usage Mask	Yes	No
Cryptographic Domain Parameters	No	Yes
Cryptographic Parameters	No	Yes

Table 108: Create Key Pair Attribute Requirements

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4.3 Register

This operation requests the server to register a Managed Object that was created by the client or obtained by the client through some other means, allowing the server to manage the object. The arguments in the request are similar to those in the Create operation, but also MAY contain the object itself, for storage by the server. Optionally, objects that are not to be stored by the key management system MAY be omitted from the request (e.g., private keys).

The request contains information about the type of object being registered and some of the attributes to be assigned to the object (e.g., Cryptographic Algorithm, Cryptographic Length, etc). This information MAY be specified by the use of a Template-Attribute object.

The response contains the Unique Identifier assigned by the server to the registered object. The server SHALL copy the Unique Identifier returned by this operations into the ID Placeholder variable. The Initial Date attribute of the object SHALL be set to the current time.

Request Payload		
Object	**REQUIRED**	**Description**
Object Type	Yes	Determines the type of object being registered.
Template-Attribute	Yes	Specifies desired object attributes using templates and/or as individual attributes.
Certificate, Symmetric Key, Private Key, Public Key, Split Key, Secret Data or Opaque Object	No	The object being registered. The object and attributes MAY be wrapped. Some objects (e.g., Private Keys), MAY be omitted from the request.

Table 109: Register Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the newly registered object.
Template-Attribute	No	An OPTIONAL list of object attributes with values that were not specified in the request, but have been implicitly set by the key management server.

Table 110: Register Response Payload

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If a Managed Cryptographic Object is registered, then the following attributes SHALL be included in the Register request, either explicitly, or via specification of a template that contains the attribute.

Attribute	REQUIRED
Cryptographic Algorithm	Yes, MAY be omitted only if this information is encapsulated in the Key Block. Does not apply to Secret Data. If present, then Cryptographic Length below SHALL also be present.
Cryptographic Length	Yes, MAY be omitted only if this information is encapsulated in the Key Block. Does not apply to Secret Data. If present, then Cryptographic Algorithm above SHALL also be present.
Cryptographic Usage Mask	Yes.

Table 111: Register Attribute Requirements

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4.4 Re-key

This request is used to generate a replacement key for an existing symmetric key. It is analogous to the Create operation, except that many of the attributes of the new key are unchanged from the original key.

As the replacement key takes over the name attribute of the existing key, Re-key SHOULD only be performed once on a given key.

The server SHALL copy the Unique Identifier of the replacement key returned by this operation into the ID Placeholder variable.

As a result of Re-key, the Link attribute is set to point to the replacement key.

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If Offset is set and if such times exist, then the times of the new key SHALL be set based on the times of the existing key as follows:

Attribute in Existing Key	Attribute in New Key
Initial Date (IT_1)	Initial Date (IT_2) > IT_1
Activation Date (AT_1)	Activation Date (AT_2) = IT_2+ *Offset*

Process Start Date (CT_1)	Process Start Date = CT_1+(AT_2- AT_1)
Protect Stop Date (TT_1)	Protect Stop Date = TT_1+(AT_2- AT_1)
Deactivation Date (DT_1)	Deactivation Date = DT_1+(AT_2- AT_1)

884 **Table 112: Computing New Dates from Offset during Re-key**

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885 Attributes that are not copied from the existing key and are handled in a specific way are:

Attribute	Action
Initial Date	Set to current time
Destroy Date	Not set
Compromise Occurrence Date	Not set
Compromise Date	Not set
Revocation Reason	Not set
Unique Identifier	New value generated
Usage Limits	The Total Bytes/Total Objects value is copied from the existing key, while the Byte Count/Object Count values are set to the Total Bytes/Total Objects.
Name	Set to the name(s) of the existing key; all name attributes of the existing key are removed.
State	Set based on attributes
Digest	Recomputed from the new key value
Link	Set to point to the existing key as the replaced key
Last Change Date	Set to current time

886 **Table 113: Re-key Attribute Requirements**

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Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the Symmetric Key being re-keyed. If omitted, then the ID Placeholder is substituted by the server.
Offset	No	An Interval object indicating the difference between the Initialization Time of the new key and the Activation Date of the new key.
Template-Attribute	No	Specifies desired object attributes using templates and/or as individual attributes.

887 **Table 114: Re-key Request Payload**

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the new Symmetric Key.
Template-Attribute	No	An OPTIONAL list of object attributes with values that were not specified in the request, but have been implicitly set by the key management server.

888 **Table 115: Re-key Response Payload**

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889 ## 4.5 Derive Key

890 This request is used to derive a symmetric key using a key or secret data that is already known to the key
891 management system. It SHALL only apply to Managed Cryptographic Objects that have the Derive Key
892 bit set in the Cryptographic Usage Mask attribute of the specified Managed Object (i.e., are able to be
893 used for key derivation). If the operation is issued for an object that does not have this bit set, then the
894 server SHALL return a response with a Result Reason of Operation Not Supported. For all derivation
895 methods, the client SHALL specify the desired length of the derived key or secret using the Cryptographic
896 Length attribute. If a key is created, then the client SHALL specify both its Cryptographic Length and
897 Cryptographic Algorithm. If the specified length exceeds the output of the derivation method, then the
898 server SHALL return an error. Clients have the option to derive multiple keys and IVs by creating a Secret
899 Data object and specifying a Cryptographic Length that is the total length of the derived object. The length
900 SHALL NOT exceed the length of the output returned by the chosen derivation method.

901 The fields in the request specify the Unique Identifiers of the keys or secrets to be used for derivation
902 (e.g., some derivation methods MAY require multiple keys or secrets to derive the result), the method to
903 be used to perform the derivation, and any parameters needed by the specified method. The method is
904 specified as an enumerated value. Currently defined derivation methods include:

905 • *PBKDF2* – This method is used to derive a symmetric key from a password or pass phrase. The
906 PBKDF2 method is published in RSA Laboratories' Public-Key Cryptography Standards (PKCS)
907 series, specifically PKCS #5 v2.0, and also published as Internet Engineering Task Force's RFC
908 2898.

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909 • *HASH* – This method derives a key by computing a hash over the derivation key or the derivation
910 data.

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911 • *HMAC* – This method derives a key by computing an HMAC over the derivation data.

- *ENCRYPT* – This method derives a key by encrypting the derivation data.
- *NIST800-108-C* – This method derives a key by computing the KDF in Counter Mode as specified in NIST SP 800-108.
- *NIST800-108-F* – This method derives a key by computing the KDF in Feedback Mode as specified in NIST SP 800-108.
- *NIST800-108-DPI* – This method derives a key by computing the KDF in Double-Pipeline Iteration Mode as specified in NIST SP 800-108.
- *Extensions*

The server SHALL perform the derivation function, and then register the derived object as a new Managed Object, returning the new Unique Identifier for the new object in the response. The server SHALL copy the Unique Identifier returned by this operation into the ID Placeholder variable.

As a result of Derive Key, the Link attributes (i.e., Derived Key Link in the objects from which the key is derived, and the Derivation Base Object Link in the derived key) of all objects involved SHALL be set to point to the corresponding objects.

Request Payload		
Object	**REQUIRED**	**Description**
Object Type	Yes	Determines the type of object to be created.
Unique Identifier	Yes. MAY be repeated	Determines the object or objects to be used to derive a new key. At most, two MAY be specified: one for the derivation key and another for the secret data. Note that the ID Placeholder is not able to be used here.
Derivation Method	Yes	An Enumeration object specifying the method to be used to derive the new key.
Derivation Parameters	Yes	A Structure object containing the parameters needed by the specified derivation method.
Template-Attribute	Yes	Specifies desired object attributes using templates and/or as individual attributes; length SHALL always be specified and algorithm is REQUIRED for the creation of symmetric keys.

Table 116: Derive Key Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the newly derived key.
Template-Attribute	No	An OPTIONAL list of object attributes with values that were not specified in the request, but have been implicitly set by the key management server.

Table 117: Derive Key Response Payload

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The *Derivation Parameters* for all derivation methods consist of the following parameters, except PBKDF2, which requires two additional parameters.

Object	Encoding	REQUIRED
Derivation Parameters	Structure	Yes
Cryptographic Parameters	Structure	Yes, except for HMAC derivation keys.
Initialization Vector	Octet String	No, depends on PRF and mode of operation: empty IV is assumed if not provided.
Derivation Data	Octet String	Yes, unless the Unique Identifier of a Secret Data object is provided.

Table 118: Derivation Parameters Structure (Except PBKDF2)

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Cryptographic Parameters identify the Pseudorandom Function (PRF) or the mode of operation of the PRF (e.g., if a key is to be derived using the HASH derivation method, then clients are REQUIRED to indicate the hash algorithm inside Cryptographic Parameters; similarly, if a key is to be derived using AES in CBC mode, then clients are REQUIRED to indicate the Block Cipher Mode). The server SHALL verify that the specified mode matches one of the instances of Cryptographic Parameters set for the corresponding key. If Cryptographic Parameters are omitted, then the server SHALL select the Cryptographic Parameters with the lowest Attribute Index for the specified key. If the corresponding key does not have any Cryptographic Parameters attribute, or if no match is found, then an error is returned.

If a key is derived using HMAC, then the attributes of the derivation key provide enough information about the PRF and Cryptographic Parameters are ignored.

Derivation Data is either the data to be encrypted, hashed, or HMACed. For NIST SP 800-108 methods, Derivation Data is Label||{0x00}||Context, where the all-zero octet is OPTIONAL.

Most derivation methods (e.g., ENCRYPT) require a derivation key and the derivation data to be encrypted. The HASH derivation method requires either a derivation key or derivation data. Derivation data MAY either be explicitly provided by the client with the Derivation Data field or implicitly provided by providing the Unique Identifier of a Secret Data object. If both are provided, then an error SHALL be returned.

The PBKDF2 derivation method requires two additional parameters:

Object	Encoding	REQUIRED
Derivation Parameters	Structure	Yes
Cryptographic Parameters	Structure	No, depends on the PRF.

Initialization Vector	Octet String	No, depends on PRF and mode of operation: empty IV is assumed if not provided.
Derivation Data	Octet String	Yes, unless the Unique Identifier of a Secret Data object is provided.
Salt	Octet String	Yes
Iteration Count	Integer	Yes

Table 119: PBKDF2 Derivation Parameters Structure

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4.6 Certify

This request is used to obtain a new certificate for a public key. Only a single certificate SHALL be requested at a time. Server support for this operation is OPTIONAL, as it requires that the key management system have access to a certification authority.

Requests are passed as Octet Strings, which allow multiple certificate request types for X.509 certificates (e.g., PKCS#10, PEM, etc) or PGP certificates to be submitted to the server.

The new Certificate object whose Unique Identifier is returned MAY be obtained by the client via a Get operation in the same batch, using the ID Placeholder mechanism.

As a result of Certify, the Link attribute of the Public Key and of the new Certificate SHALL be set to point at each other.

The server SHALL copy the Unique Identifier of the new certificate returned by this operation into the ID Placeholder variable.

If the information in the Certificate Request conflicts with the attributes specified in the Template-Attribute, then the information in the Certificate Request takes precedence.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	The Unique Identifier of the Public Key being certified. If omitted, then the ID Placeholder is substituted by the server.
Certificate Request Type	Yes	An Enumeration object specifying the type of certificate request.
Certificate Request	Yes	An Octet String object with the certificate request.
Template-Attribute	No	Specifies desired object attributes using templates and/or as individual attributes.

Table 120: Certify Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the new certificate.
Template-Attribute	No	An OPTIONAL list of object attributes with values that were not specified in the request, but have been implicitly set by the key management server.

Table 121: Certify Response Payload

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4.7 Re-certify

This request is used to renew an existing certificate with the same key pair. Only a single certificate SHALL be renewed at a time. Server support for this operation is OPTIONAL, as it requires that the key management system have access to a certification authority.

Requests are passed as Octet Strings, which allow multiple certificate request types for X.509 certificates (e.g., PKCS#10, PEM, etc) or PGP certificates to be submitted to the server.

The server SHALL copy the Unique Identifier of the certificate returned by this operation into the ID Placeholder variable.

If the information in the Certificate Request conflicts with the attributes specified in the Template-Attribute, then the information in the Certificate Request takes precedence.

Since the new certificate assumes the name attribute of the existing certificate, Re-certify SHOULD only be performed once on a given certificate.

In addition, the Link attribute of the existing certificate and of the new certificate are set to point at each other. In addition, the Link attribute of the Public Key is changed to point to the new certificate. If *Offset* is set, then the times of the new certificate SHALL be set based on the times of the existing certificate (if such times exist) as follows:

Deleted: As a result of Re-certify, attributes of the existing certificate are changed similar to the result of performing a Revoke on that certificate with a Revocation Reason of Superseded.¶

Attribute in Existing Certificate	Attribute in New Certificate
Initial Date (IT_1)	Initial Date (IT_2) > IT_1
Activation Date (AT_1)	Activation Date (AT_2) = IT_2+ *Offset*
Deactivation Date (DT_1)	Deactivation Date = DT_1+(AT_2- AT_1)

Table 122: Computing New Dates from Offset during Re-certify

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Attributes that are not copied from the existing certificate and that are handled in a specific way are:

Attribute	Action
Initial Date	Set to current time
Destroy Date	Not set
Revocation Reason	Not set
Unique Identifier	New value generated
Name	Set to the name(s) of the existing certificate; all name attributes of the existing certificate are removed.
State	Set based on attributes
Digest	Recomputed from the new certificate value.
Link	Set to point to the existing certificate as the replaced certificate.
Last Change Date	Set to current time

984 **Table 123: Re-certify Attribute Requirements**

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Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	The Unique Identifier of the Certificate being renewed. If omitted, then the *ID Placeholder* is substituted by the server.
Certificate Request Type	Yes	An Enumeration object specifying the type of certificate request.
Certificate Request	Yes	An Octet String object with the certificate request.
Offset	No	An Interval object indicating the difference between the Initialization Time of the new certificate and the Activation Date of the new certificate.
Template-Attribute	No	Specifies desired object attributes using templates and/or as individual attributes.

985 **Table 124: Re-certify Request Payload**

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the new certificate.
Template-Attribute	No	An OPTIONAL list of object attributes with values that were not specified in the request, but have been implicitly set by the key management server.

Table 125: Re-certify Response Payload

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4.8 Locate

This operation requests that the server searches for one or more Managed Objects, specified by one or more attributes. All attributes are allowed to be used. However, no attributes specified in the request SHOULD contain Attribute Index values. Attribute Index values SHALL be ignored by the *Locate* operation. The request MAY also contain a *Maximum Items* field, which specifies the maximum number of objects to be returned. If the Maximum Items field is omitted, then the server MAY return all objects matched, or MAY impose an internal maximum limit due to resource limitations.

If more than one object satisfies the identification criteria specified in the request, then the response MAY contain Unique Identifiers for multiple Managed Objects. Returned objects SHALL match **all** of the attributes in the request. If no objects match, then an empty response payload is returned.

The server returns a list of Unique Identifiers of the found objects, which then MAY be retrieved using the Get operation. If the objects are archived, then the Recover and Get operations are REQUIRED to be used. If a single Unique Identifier is returned to the client, then the server SHALL copy the Unique Identifier returned by this operation into the ID Placeholder variable. If the Locate operation matches more than one object, and the Maximum Items value is omitted in the request, or is set to a value larger than one, then the server SHALL NOT set the ID Placeholder value, causing any subsequent operations that are batched with the Locate, and which do not specify a Unique Identifier explicitly, to fail. This ensures that these batched operations SHALL proceed only if a single object is returned by Locate.

When using the Name or Object Group attributes for identification, wild-cards or regular expressions MAY be supported by specific key management system implementations.

The Date attributes (e.g., Initial Date, Activation Date, etc) are used to specify a time or a time range. If a single instance of a given Date attribute is used (e.g., the Activation Date), then objects with the same Date attribute are matching candidate objects. If two instances of the same Date attribute are used (i.e., with two different values specifying a range), then objects for which the Date attribute is inside or at a limit of the range are matching candidate objects. If a Date attribute is set to its largest possible value, then it is equivalent to an undefined attribute.

When the Cryptographic Usage Mask attribute is specified in the request, candidate objects are compared against this field via an operation that consists of a logical AND of the requested mask with the mask in the candidate object, and then a comparison of the resulting value with the requested mask. For example, if the request contains a mask value of 10001100010000, and a candidate object mask contains 10000100010000, then the logical AND of the two masks is 10000100010000, which is compared against 10001100010000 and fails the match. This means that a matching candidate object at least has all of the bits set in its mask that are set in the requested mask, but MAY have additional bits set.

When the Usage Allocation attribute is specified in the request, matching candidate objects SHALL have an Object or Byte Count and Total Objects or Bytes equal to or larger than the values specified in the request.

When an attribute defined as a structure is specified, all of the structure fields are not REQUIRED to be specified. For instance, for the Link attribute, if the Linked Object Identifier value is specified without the Link Type value, then matching candidate objects have the Linked Object Identifier as specified, irrespective of their Link Type.

The Storage Status Mask field (see Section 9.1.3.3.2) is used to indicate whether only on-line objects, only archived objects, or both on-line and archived objects are to be searched. Note that the server MAY store attributes of archived objects in order to expedite Locate operations that search through archived objects.

Request Payload		
Object	**REQUIRED**	**Description**
Maximum Items	No	An Integer object that indicates the maximum number of object identifiers the server SHALL return.
Storage Status Mask	No	An Integer object (used as a bit mask) that indicates whether only on-line objects, only archived objects, or both on-line and archived objects are to be searched. If omitted, then on-line only is assumed.
Attribute	Yes, MAY be repeated	Specifies an attribute and its value that are REQUIRED to match the desired object.

Table 126: Locate Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No, MAY be repeated	The Unique Identifier of the located objects.

Table 127: Locate Response Payload

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4.9 Check

This operation requests that the server checks for the use of a Managed Object according to values specified in the request. This operation SHOULD only be used when placed in a batched set of operations, usually following a Locate, Create, Create Pair, Derive Key, Certify, Re-Certify or Re-Key operation, and followed by a Get operation. The Unique Identifier field in the request MAY be omitted if the operation is in a batched set of operations and follows an operation that sets the ID Placeholder variable.

If the server determines that the client is allowed to use the object according to the specified attributes, then the server returns the Unique Identifier of the object. If the server determines that the client is not allowed to use the object according to the specified attributes, then the server invalidates the ID Placeholder value and does not return the Unique Identifier, and the operation returns the set of attributes specified in the request that caused the server policy denial. The only attributes returned are those according to which the server determined that the client is not allowed to use the object, allowing the client to determine how to proceed. The operation also returns a failure, and the server SHALL ignore any subsequent operations in the batch.

The additional objects that MAY be specified in the request are limited to:

- Usage Limits Byte Count or Usage Limits Object Count (see Section 3.16)– The request MAY contain the usage amount that the client deems necessary to complete its needed function. This does not require that any subsequent Get Usage Allocation operations request this amount. It only means that the client is ensuring that the amount specified is available.
- Cryptographic Usage Mask – This is used to specify the cryptographic operations for which the client intends to use the object (see Section 3.14). This allows the server to determine if the policy allows this client to perform these operations with the object. Note that this MAY be a

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different value from the one specified in a *Locate* operation that precedes this operation. Locate, for example, MAY specify a Cryptographic Usage Mask requesting a key that MAY be used for both Encryption and Decryption, but the value in the Check operation MAY specify that the client is only using the key for Encryption at this time.

- Lease Time – This specifies a desired lease time (see Section 3.15). The client MAY use this to determine if the server allows the client to use the object with the specified lease or longer. Including this attribute in the Check operation does not actually cause the server to grant a lease, but only indicates that the requested lease time value MAY be granted if requested by a subsequent, batched, Obtain Lease operation.

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Note that these objects are not encoded in an Attribute structure as shown in Section 2.1.1

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object being checked. If omitted, then the ID Placeholder is substituted by the server.
Usage Limits Byte Count	No	Specifies the number of bytes to be protected to be checked against server policy. SHALL only be present if Usage Limits Object Count is not present.
Usage Limits Object Count	No	Specifies the number of objects to be protected to be checked against server policy. SHALL only be present if Usage Limits Byte Count is not present.
Cryptographic Usage Mask	No	Specifies the Cryptographic Usage for which the client uses the object.
Lease Time	No	Specifies a Lease Time value that the Client is asking the server to validate against server policy.

Table 128: Check Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object.
Usage Limits Byte Count	No	Returned by the Server if the Usage Limits value specified in the Request Payload is larger than the value that the server policy allows. SHALL only be present if Usage Limits Object Count is not present.
Usage Limits Object Count	No	Returned by the Server if the Usage Limits value specified in the Request Payload is larger than the value that the server policy allows. SHALL only be present if Usage Limits Byte Count is not present.
Cryptographic Usage Mask	No	Returned by the Server if the Cryptographic Usage Mask specified in the Request Payload is rejected by the server for policy violation.

Lease Time	No	Returned by the Server if the Lease Time value in the Request Payload is larger than a valid Lease Time the server MAY grant.

Table 129: Check Response Payload

The encodings of the Usage limits Byte and Object Counts is as shown in Section 3.16.

4.10 Get

This operation requests that the server returns the Managed Object specified in the request by its Unique Identifier. The Unique Identifier field in the request MAY be omitted if the *Get* operation is in a batched set of operations and follows an operation that sets the ID Placeholder variable.

Only a single object is returned. The response contains the Unique Identifier of the object, along with the object itself, which MAY be wrapped using a wrapping key specified in the request.

The following key format restrictions apply when requesting the server to return an object in a particular format:

- If a client registers a key in a given format, the server SHALL be able to return the key during the Get operation in at least that same format as it was registered.
- Any other format conversion MAY optionally be supported by the server.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object being requested. If omitted, then the ID Placeholder is substituted by the server.
Key Format Type	No	Determines the key format type to be returned
Key Compression Type	No	Determines the compression method for elliptic curve public keys
Key Wrapping Specification	No	Specifies keys and other information for wrapping the returned object. This field SHALL NOT be specified if the requested object is a Template.

Table 130: Get Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Object Type	Yes	Type of object
Unique Identifier	Yes	The Unique Identifier of the object
Certificate, Symmetric Key, Private Key, Public Key, Split Key, Template, Secret Data, or Opaque Object	Yes	The cryptographic object being returned

Table 131: Get Response Payload

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4.11 Get Attributes

This operation returns one or more attributes of a Managed Object. The object is specified by its Unique Identifier and the attributes are specified by name in the request. If a specified attribute has multiple instances, then all instances are returned. If a specified attribute does not exist (i.e., has no value), then it SHALL NOT be present in the returned response. If no requested attributes exist, then the response SHALL consist only of the Unique Identifier.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object whose attributes are being requested. If omitted, then the ID Placeholder is substituted by the server.
Attribute Name	Yes, MAY be repeated	Specifies a desired attribute of the object

Table 132: Get Attributes Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object
Attribute	No, MAY be repeated	The requested attribute for the object

Table 133: Get Attributes Response Payload

4.12 Get Attribute List

This operation returns a list of the attribute names associated with a Managed Object. The object is specified by its Unique Identifier.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object whose attribute names are being requested. If omitted, then the ID Placeholder is substituted by the server.

Table 134: Get Attribute List Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object
Attribute Name	Yes, MAY be repeated	The requested attribute names for the object

Table 135: Get Attribute List Response Payload

4.13 Add Attribute

This request adds a new attribute instance to a Managed Object and sets its value. The request contains the Unique Identifier of the Managed Object to which the attribute pertains, and the attribute name and

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value. For non multi-instance attributes, this is how they are created. For multi-instance attributes, this is how the first and subsequent values are created. Existing attribute values are only able to be changed by the Modify Attribute operation. Read-Only attributes are not able to be added using the Add Attribute operation. No Attribute Index SHALL be specified in the request. The response returns a new Attribute Index if the attribute being added is allowed to have multiple instances. Multiple Add Attribute requests MAY be included in a single batched request to add multiple attributes.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	The Unique Identifier of the object. If omitted, then the ID Placeholder is substituted by the server.
Attribute	Yes	Specifies the attribute of the object to be added.

Table 136: Add Attribute Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object
Attribute	Yes	The added attribute

Table 137: Add Attribute Response Payload

4.14 Modify Attribute

This request modifies the value of an existing attribute instance associated with a Managed Object. The request contains the Unique Identifier of the Managed Object whose attribute is to be modified, and the attribute name, OPTIONAL Attribute Index, and new value. Only existing attributes MAY be changed via this operation. New attributes are only able to be added by the Add Attribute operation. Read-Only attributes are not able to be changed using this operation. If an Attribute Index is specified, then only the specified instance is modified. If the attribute has multiple instances, and no Attribute Index is specified in the request, then the Attribute Index is assumed to be 0. If the attribute does not support multiple instances, then the Attribute Index SHALL NOT be specified. Using a non-existent Attribute Index in a Modify Attribute operation SHALL result in an error.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	The Unique Identifier of the object. If omitted, then the ID Placeholder is substituted by the server.
Attribute	Yes	Specifies the attribute of the object to be modified.

Table 138: Modify Attribute Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object
Attribute	Yes	The modified attribute

Table 139: Modify Attribute Response Payload

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4.15 Delete Attribute

This request deletes an attribute associated with a Managed Object. The request contains the Unique Identifier of the Managed Object whose attribute is to be deleted, the attribute name, and optionally the Attribute Index of the attribute. REQUIRED attributes and Read-Only attributes are not able to be deleted by this operation. If no Attribute Index is specified, and the Attribute whose name is specified has multiple instances, then the operation is rejected. Note that only a single attribute SHALL be deleted at a time. Multiple delete operations (e.g., possibly batched) are necessary to delete several attributes. Attempting to delete a non-existent attribute or using a non-existent Attribute Index in a delete operation SHALL result in an error.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object whose attributes are being deleted. If omitted, then the ID Placeholder is substituted by the server.
Attribute Name	Yes	Specifies the name of the attribute to be deleted.
Attribute Index	No	Specifies the Index of the Attribute.

Table 140: Delete Attribute Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object
Attribute	Yes	The deleted attribute

Table 141: Delete Attribute Response Payload

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4.16 Obtain Lease

This request is used to obtain a new *Lease Time* for a specified Managed Object. The Lease Time is an interval value that determines when the client's internal cache of information about the object expires and needs to be renewed. If the returned value of the lease time is zero, then the server is indicating that no lease interval is effective, and the client MAY use the object without any lease time limit. If a client's lease expires, then the client SHALL NOT use the associated cryptographic object until a new lease is obtained. If the server determines that a new lease SHALL NOT be issued for the specified cryptographic object, then the server SHALL respond to the Obtain Lease request with a failure.

The response payload for the operation also contains the current value of the Last Changed Date attribute for the object. This MAY be used by the client to determine if any of the attributes cached by the client need to be refreshed, by comparing this time to the time when the attributes were previously obtained.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object for which the lease is being obtained. If omitted, then the *ID Placeholder* is substituted by the server.

Table 142: Obtain Lease Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object.
Lease Time	Yes	An interval (in seconds) that specifies the amount of time that the object MAY be used until a new lease needs to be obtained.
Last Changed Date	Yes	The date and time indicating when the latest change was made to the contents or any attribute of the specified object.

Table 143: Obtain Lease Response Payload

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4.17 Get Usage Allocation

This request is used to obtain an allocation from the current Usage Limits values to allow the client to use the Managed Cryptographic Object for protection purposes. It only applies to Managed Cryptographic Objects that are able to be used for protection purposes (i.e., symmetric keys, private keys and public keys) and is only valid if the Managed Cryptographic Object has a Usage Limits attribute. Usage for process purposes (e.g., decryption, verification, etc.) is not limited and is not able to be allocated. A Managed Cryptographic Object that has a Usage Limits attribute SHALL NOT be used by a client for protection purposes unless an allocation has been obtained using this operation. The operation SHALL only be requested during the time that protection is enabled for these objects (i.e., after the Activation Date and before the Protect Stop Date). If the operation is requested for an object that has no Usage Limits attribute, or is not an object that MAY be used for protection purposes, then the server SHALL return a response with a Result Reason of Operation Not Supported.

The fields in the request specify the number of bytes or number of objects that the client needs to protect. Exactly one of the two count fields SHALL be specified in the request. If the requested amount is not available or if the Managed Object is not able to be used for protection purposes at this time, then the server SHALL return an error. The server SHALL assume that the entire allocated amount has been consumed. Once the entire allocated amount has been consumed, the client SHALL NOT continue to use the Managed Cryptographic Object for protection purposes until a new allocation is obtained.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object whose usage allocation is being requested. If omitted, then the ID Placeholder is substituted by the server.
Usage Limits Byte Count	No	The number of bytes to be protected. SHALL only be present if Usage Limits Object Count is not present.
Usage Limits Object Count	No	The number of objects to be protected. SHALL only be present if Usage Limits Byte Count is not present.

Table 144: Get Usage Allocation Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object.

Table 145: Get Usage Allocation Response Payload

The encodings of the Usage Limits Byte and Object Counts is as shown in Section 3.16.

4.18 Activate

This request is used to activate a Managed Cryptographic Object. The request SHALL NOT specify a Template object. The request contains the Unique Identifier of the Managed Cryptographic Object. The operation is only able to be performed on an object in the Pre-Active state and has the effect of changing its state to Active, and setting its Activation Date to the current date and time.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object being activated. If omitted, then the ID Placeholder is substituted by the server.

Table 146: Activate Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object

Table 147: Activate Response Payload

4.19 Revoke

This request is used to revoke a Managed Cryptographic Object or an Opaque Object. The request SHALL NOT specify a Template object. The request contains the unique identifier of the Managed Cryptographic Object and a reason for the revocation (e.g., “compromised”, “no longer used”, etc). Special authentication and authorization SHOULD be enforced to perform this request (see Usage Guide). Only the object creator or an authorized security officer SHOULD be allowed to issue this request. The operation has one of two effects. If the revocation reason is “compromised”, then the object is placed into the “compromised” state, and the Compromise Date attribute is set to the current date and time. Otherwise, the object is placed into the “deactivated” state, and the Deactivation Date attribute is set to the current date and time.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object being revoked. If omitted, then the ID Placeholder is substituted by the server.
Revocation Reason	Yes	Specifies the reason for revocation.
Compromise Occurrence Date	No	SHALL be specified if the Revocation Reason is 'compromised'.

Table 148: Revoke Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object

Table 149: Revoke Response Payload

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4.20 Destroy

This request is used to indicate to the server that the key material for the specified Managed Object SHALL be destroyed. The meta-data for the key material MAY be retained by the server (e.g., used to ensure that an expired or revoked private signing key is no longer available). Special authentication and authorization SHOULD be enforced to perform this request (see Usage Guide). Only the object creator or an authorized security officer SHOULD be allowed to issue this request. If the Unique Identifier specifies a Template object, then the object itself, including all meta-data, SHALL be destroyed.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object being destroyed. If omitted, then the ID Placeholder is substituted by the server.

Table 150: Destroy Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object

Table 151: Destroy Response Payload

4.21 Archive

This request is used to specify that a Managed Object MAY be archived. The actual time when the object is archived, the location of the archive, or level of archive hierarchy is determined by the policies within the key management system and is not specified by the client. The request contains the unique identifier of the Managed Object. Special authentication and authorization SHOULD be enforced to perform this request (see Usage Guide). Only the object creator or an authorized security officer SHOULD be allowed to issue this request. This request is only a “hint” to the key management system to possibly archive the object.

Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object being archived. If omitted, then the ID Placeholder is substituted by the server.

Table 152: Archive Request Payload

Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object

Table 153: Archive Response Payload

4.22 Recover

This request is used to obtain access to a Managed Object that has been archived. This request MAY require asynchronous polling to obtain the response due to delays caused by retrieving the object from the archive. Once the response is received, the object is now on-line, and MAY be obtained (e.g., via a Get operation). Special authentication and authorization SHOULD be enforced to perform this request (see Usage Guide).

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Request Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	No	Determines the object being recovered. If omitted, then the ID Placeholder is substituted by the server.

Table 154: Recover Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object

Table 155: Recover Response Payload

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4.23 Validate

This requests that the server validate a certificate chain and return information on its validity. Only a single certificate chain SHALL be included in each request. Support for this operation at the server is OPTIONAL.

The request may contain a list of certificate objects, and/or a list of Unique Identifiers that identify Managed Certificate objects. Together, the two lists compose a certificate chain to be validated. The request MAY also contain a date for which the certificate chain is REQUIRED to be valid.

The method or policy by which validation is conducted is a decision of the server and is outside of the scope of this protocol. Likewise, the order in which the supplied certificate chain is validated and the specification of trust anchors used to terminate validation are also controlled by the server.

Request Payload		
Object	**REQUIRED**	**Description**
Certificate	No, MAY be repeated	One or more Certificates.
Unique Identifier	No, MAY be repeated	One or more Unique Identifiers of Certificate Objects.
Validity Date	No	A Date-Time object indicating when the certificate chain is valid.

Table 156: Validate Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Validity Indicator	Yes	An Enumeration object indicating whether the certificate chain is valid, invalid, or unknown.

Table 157: Validate Response Payload

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4.24 Query

This request is used by the client to interrogate the server to determine its capabilities and/or protocol mechanisms. The *Query* operation SHOULD be invocable by unauthenticated clients to interrogate server features and functions. The *Query Function* field in the request SHALL contain one or more of the following items:

- Query Operations
- Query Objects
- Query Server Information
- Query Application Namespaces

The *Operation* fields in the response contain Operation enumerated values, which SHALL list the OPTIONAL operations that the server supports. If the request contains a Query Operations value in the Query Function field, then these fields SHALL be returned in the response. The OPTIONAL operations are:

- Validate
- Certify
- Re-Certify
- Notify
- Put

The *Object Type* fields in the response contain Object Type enumerated values, which SHALL list the object types that the server supports. If the request contains a *Query Objects* value in the Query Function field, then these fields SHALL be returned in the response. The object types (any of which are OPTIONAL) are:

- Certificate
- Symmetric Key
- Public Key
- Private Key
- Split Key
- Template
- Secret Data
- Opaque Object

The *Server Information* field in the response is a structure containing vendor-specific fields and/or substructures. If the request contains a *Query Server Information* value in the Query Function field, then this field SHALL be returned in the response.

The Application Namespace fields in the response contain the namespaces that the server SHALL generate values for if requested by the client (see Section 3.30). These fields SHALL only be returned in the response if the request contains a Query Application Namespaces value in the Query Function field.

Note that the response payload is empty if there are no values to return.

Request Payload		
Object	**REQUIRED**	**Description**
Query Function	Yes, MAY be Repeated	Determines the information being queried

Table 158: Query Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Operation	No, MAY be repeated	Specifies an Operation that is supported by the server. Only OPTIONAL operations SHALL be listed.
Object Type	No, MAY be repeated	Specifies a Managed Object Type that is supported by the server.
Vendor Identification	No	SHALL be returned if Query Server Information is requested. The Vendor Identification SHALL be a text string that uniquely identifies the vendor.
Server Information	No	Contains vendor-specific information possibly be of interest to the client.
Application Namespace	No, MAY be repeated	Specifies an Application Namespace supported by the server.

Table 159: Query Response Payload

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4.25 Cancel

This request is used to cancel an outstanding asynchronous operation. The correlation value (see Section 6.8) of the original operation SHALL be specified in the request. The server SHALL respond with a *Cancellation Result* that contains one of the following values:

- *Canceled* – The cancel operation succeeded in canceling the pending operation.
- *Unable To Cancel* – The cancel operation is unable to cancel the pending operation.
- *Completed* – The pending operation completed successfully before the cancellation operation was able to cancel it.
- *Failed* – The pending operation completed with a failure before the cancellation operation was able to cancel it.
- *Unavailable* – The specified correlation value did not match any recently pending or completed asynchronous operations.

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The response to this operation is not able to be asynchronous.

Request Payload		
Object	**REQUIRED**	**Description**
Asynchronous Correlation Value	Yes	Specifies the request being canceled

Table 160: Cancel Request Payload

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Response Payload		
Object	**REQUIRED**	**Description**
Asynchronous Correlation Value	Yes	Specified in the request
Cancellation Result	Yes	Enumeration indicating result of cancellation

Table 161: Cancel Response Payload

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4.26 Poll

This request is used to poll the server in order to obtain the status of an outstanding asynchronous operation. The correlation value (see Section 6.8) of the original operation SHALL be specified in the request. The response to this operation is not able to be asynchronous.

Request Payload		
Object	**REQUIRED**	**Description**
Asynchronous Correlation Value.	Yes	Specifies the request being polled

Table 162: Poll Request Payload

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The server SHALL reply with one of two responses:

If the operation has not completed, the response SHALL contain no payload and a Result Status of Pending.

If the operation has completed, the response SHALL contain the appropriate payload for the operation. This response SHALL be identical to the response that would have been sent if the operation had completed synchronously.

5 Server-to-Client Operations

Server-to-client operations are used by servers to send information or Managed Cryptographic Objects to clients via means outside of the normal client-server request-response mechanism. These operations are used to send Managed Cryptographic Objects directly to clients without a specific request from the client.

5.1 Notify

This operation is used to notify a client of events that resulted in changes to attributes of an object. This operation is only ever sent by a server to a client via means outside of the normal client request/response protocol, using information known to the server via unspecified configuration or administrative mechanisms. It contains the Unique Identifier of the object to which the notification applies, and a list of the attributes whose changed values have triggered the notification. The message is sent as a normal Request message, except that the Maximum Response Size, Asynchronous Indicator, Batch Error Continuation Option, and Batch Order Option fields are not allowed. The client SHALL send a response in the form of a Response Message containing no payload, unless both the client and server have prior knowledge (obtained via out-of-band mechanisms) that the client is not able to respond. Server and Client support for this message is OPTIONAL.

Message Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object.
Attribute	Yes, MAY be repeated	The attributes that have changed. This includes at least the Last Changed Date attribute.

Table 163: Notify Message Payload

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5.2 Put

This operation is used to "push" Managed Cryptographic Objects to clients. This operation is only ever sent by a server to a client via means outside of the normal client request/response protocol, using information known to the server via unspecified configuration or administrative mechanisms. It contains the Unique Identifier of the object that is being sent, and the object itself. The message is sent as a normal Request message, except that the Maximum Response Size, Asynchronous Indicator, Batch Error Continuation Option, and Batch Order Option fields are not allowed. The client SHALL send a response in the form of a Response Message containing no payload, unless both the client and server have prior knowledge (obtained via out-of-band mechanisms) that the client is not able to respond. Server and client support for this message is OPTIONAL.

The *Put Function* field indicates whether the object being "pushed" is a new object, or is a replacement for an object already known to the client (e.g., when pushing a certificate to replace one that is about to expire, the Put Function field would be set to indicate replacement, and the Unique Identifier of the expiring certificate would be placed in the *Replaced Unique Identifier* field). The Put Function SHALL contain one of the following values:

- *New* – which indicates that the object is not a replacement for another object.
- *Replace* – which indicates that the object is a replacement for another object, and that the Replaced Unique Identifier field is present and contains the identification of the replaced object.

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The Attribute field contains one or more attributes that the server is sending along with the object. The server MAY include attributes with the object to specify how the object is to be used by the client. The server MAY include a Lease Time attribute that grants a lease to the client.

If the Managed Object is a wrapped key, then the key wrapping specification SHALL be exchanged prior to the transfer via out-of-band mechanisms.

Message Payload		
Object	**REQUIRED**	**Description**
Unique Identifier	Yes	The Unique Identifier of the object.
Put Function	Yes	Indicates function for Put message.
Replaced Unique Identifier	No	Unique Identifier of the replaced object. SHALL be present if the *Put Function* is *Replace.*
Certificate, Symmetric Key, Private Key, Public Key, Split Key, Template, Secret Data, or Opaque Object	Yes	The object being sent to the client.
Attribute	No, MAY be repeated	The additional attributes that the server wishes to send with the object.

1327 **Table 164: Put Message Payload**

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6 Message Contents

The messages in the protocol consist of a message header, one or more batch items (which contain OPTIONAL message payloads), and OPTIONAL message extensions. The message headers contain fields whose presence is determined by the protocol features used (e.g., asynchronous responses). The field contents are also determined by whether the message is a request or a response. The message payload is determined by the specific operation being requested or to which is being replied.

The message headers are structures that contain some of the following objects.

6.1 Protocol Version

This field contains the version number of the protocol, ensuring that the protocol is fully understood by both communicating parties. The version number is specified in two parts, major and minor. Servers and clients SHALL support backward compatibility with versions of the protocol with the same major version. Support for backward compatibility with different major versions is OPTIONAL.

Object	Encoding	REQUIRED
Protocol Version	Structure	
Protocol Version Major	Integer	Yes
Protocol Version Minor	Integer	Yes

Table 165: Protocol Version Structure in Message Header

6.2 Operation

This field indicates the operation being requested or the operation for which the response is being returned. The operations are defined in Sections 4 and 5.

Object	Encoding	
Operation	Enumeration	

Table 166: Operation in Batch Item

6.3 Maximum Response Size

This field is optionally contained in a request message, and is used to indicate the maximum size of a response that the requester SHALL handle. It SHOULD only be sent in requests that possibly return large replies.

Object	Encoding	
Maximum Response Size	Integer	

Table 167: Maximum Response Size in Message Request Header

6.4 Unique Batch Item ID

This field is optionally contained in a request, and is used for correlation between requests and responses. If a request has a *Unique Batch Item ID*, then responses to that request SHALL have the same Unique Batch Item ID.

Object	Encoding	
Unique Batch Item ID	Octet String	

Table 168: Unique Batch Item ID in Batch Item

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6.5 Time Stamp

This field is optionally contained in a request, is REQUIRED in a response, is used for time stamping, and MAY be used to enforce reasonable time usage at a client (e.g., a server MAY choose to reject a request if a client's time stamp contains a value that is too far off the known correct time). Note: the time stamp MAY be used by a client that has no real-time clock but has a countdown timer, to obtain useful "seconds from now" values from all of the Date attributes by performing a subtraction.

Object	Encoding	
Time Stamp	Date-Time	

Table 169: Time Stamp in Message Header

6.6 Authentication

This is used to authenticate the requester. It is an OPTIONAL information item, depending on the type of request being issued and on server policies. Servers MAY require authentication on no requests, a subset of the requests, or all requests, depending on policy. Query operations used to interrogate server features and functions SHOULD NOT require authentication.

The authentication mechanisms are described and discussed in Section 8 .

Object	Encoding	REQUIRED
Authentication	Structure	
Credential	Structure	Yes

Table 170: Authentication Structure in Message Header

The Credential structure is defined in Section 2.1.2.

6.7 Asynchronous Indicator

This Boolean flag indicates whether the client is able to accept an asynchronous response. It SHALL have the Boolean value True if the client is able to handle asynchronous responses, and the value False otherwise. If not present in a request, then False is assumed. If a client indicates that it is not able to handle asynchronous responses (i.e., flag is set to False), and the server is not able to process the request synchronously, then the server SHALL respond to the request with a failure.

Object	Encoding	
Asynchronous Indicator	Boolean	

Table 171: Asynchronous Indicator in Message Request Header

6.8 Asynchronous Correlation Value

This is returned in the immediate response to an operation that requires asynchronous polling. Note: the server decides which operations are performed synchronously or asynchronously. A server-generated correlation value SHALL be specified in any subsequent Poll or Cancel operations that pertain to the original operation.

Object	Encoding	
Asynchronous Correlation Value	Octet String	

Table 172: Asynchronous Correlation Value in Response Batch Item

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6.9 Result Status

This is sent in a response message and indicates the success or failure of a request. The following values MAY be set in this field:

- *Success* – The requested operation completed successfully.
- *Pending* – The requested operation is in progress, and it is necessary to obtain the actual result via asynchronous polling. The asynchronous correlation value SHALL be used for the subsequent polling of the result status.
- *Undone* – The requested operation was performed, but had to be undone (i.e., due to a failure in a batch for which the Error Continuation Option was set to Undo).
- *Failure* – The requested operation failed.

Object	Encoding	
Result Status	Enumeration	

Table 173: Result Status in Response Batch Item

6.10 Result Reason

This field indicates a reason for failure or a modifier for a partially successful operation and SHALL be present in responses that return a Result Status of Failure. It is OPTIONAL in any response that returns a Result Status of Success. The following defined values MAY be set in this field:

- *Item not found* – A requested object was not found or did not exist.
- *Response too large* – The response to a request would exceed the *Maximum Response Size* in the request.
- *Authentication not successful* – The authentication information in the request was not able to be validated, or there was no authentication information in the request when there SHOULD have been.
- *Invalid message* – The request message was not understood by the server.
- *Operation not supported* – The operation requested by the request message is not supported by the server.
- *Missing data* – The operation requires additional OPTIONAL information in the request, which was not present.
- *Invalid field* – Some data item in the request has an invalid value.
- *Feature not supported* – An OPTIONAL feature specified in the request is not supported.
- *Operation canceled by requester* – The operation was asynchronous, and the operation was canceled by the Cancel operation before it completed successfully.
- *Cryptographic failure* – The operation failed due to a cryptographic error.
- *Illegal operation* – The client requested an operation that was not able to be performed with the specified parameters.
- *Permission denied* – The client does not have permission to perform the requested operation.
- *Object archived* – The object SHALL be recovered from the archive before performing the operation.
- *General failure* – The request failed for a reason other than the defined reasons above.

Object	Encoding	
Result Reason	Enumeration	

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Table 174: Result Reason in Response Batch Item

6.11 Result Message

This field MAY be returned in a response. It contains a more descriptive error message, which MAY be used by the client to display to an end user or for logging/auditing purposes.

Object	Encoding	
Result Message	Text String	

Table 175: Result Message in Response Batch Item

6.12 Batch Order Option

A Boolean value used in requests where the Batch Count is greater than 1. If True, then batched operations SHALL be executed in the order in which they appear within the request. If False, then the server MAY choose to execute the batched operations in any order. If not specified, then False is assumed (i.e., no implied ordering). Server support for this feature is OPTIONAL, but if the server does not support the feature, and a request is received with the batch order option set to True, then the entire request SHALL be rejected.

Object	Encoding	
Batch Order Option	Boolean	

Table 176: Batch Order Option in Message Request Header

6.13 Batch Error Continuation Option

This option SHALL only be present if the Batch Count is greater than 1. This option SHALL have one of three values:

- *Undo* – If any operation in the request fails, then the server SHALL undo all the previous operations.
- *Stop* – If an operation fails, then the server SHALL NOT continue processing subsequent operations in the request. Completed operations SHALL NOT be undone.
- *Continue* – Return an error for the failed operation, and continue processing subsequent operations in the request.

If not specified, then Stop is assumed.

Server support for this feature is OPTIONAL, but if the server does not support the feature, and a request is received containing the *Batch Error Continuation* option with a value other than the default Stop, then the entire request SHALL be rejected.

Object	Encoding	
Batch Error Continuation Option	Enumeration	

Table 177: Batch Error Continuation Option in Message Request Header

6.14 Batch Count

This field contains the number of Batch Items in a message and is REQUIRED. If only a single operation is being requested, then the batch count SHALL be set to 1. The Message Payload, which follows the Message Header, contains one or more batch items.

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Object	Encoding	
Batch Count	Integer	

Table 178: Batch Count in Message Header

6.15 Batch Item

This field consists of a structure that holds the individual requests or responses in a batch, and is REQUIRED. The contents of the batch items are described in Sections 7.2 and 7.3 .

Object	Encoding	
Batch Item	Structure	

Table 179: Batch Item in Message

6.16 Message Extension

The *Message Extension* is an OPTIONAL structure that MAY be appended to any Batch Item. It is used to extend protocol messages for the purpose of adding vendor specified extensions. The Message Extension is a structure containing a Vendor Identification, a Criticality Indicator, and vendor-specific extensions. The *Vendor Identification* SHALL be a text string that uniquely identifies the vendor, allowing a client to determine if it is able to parse and understand the extension. If a client or server receives a protocol message containing a message extension that it does not understand, then its actions depend on the *Criticality Indicator*. If the indicator is True (i.e., Critical), and the receiver does not understand the extension, then the receiver SHALL reject the entire message. If the indicator is False (i.e., Non-Critical), and the receiver does not understand the extension, then the receiver MAY process the rest of the message as if the extension were not present.

Object	Encoding	REQUIRED
Message Extension	Structure	
Vendor Identification	Text String	Yes
Criticality Indicator	Boolean	Yes
Vendor Extension	Structure	Yes

Table 180: Message Extension Structure in Batch Item

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7 Message Format

Messages contain the following objects and fields. All fields SHALL appear in the order specified.

7.1 Message Structure

Object	Encoding	REQUIRED
Request Message	Structure	
Request Header	Structure	Yes
Batch Item	Structure	Yes, MAY be repeated

Table 181: Request Message Structure

Object	Encoding	REQUIRED
Response Message	Structure	
Response Header	Structure	Yes
Batch Item	Structure	Yes, MAY be repeated

Table 182: Response Message Structure

7.2 Synchronous Operations

Synchronous Request Header		
Object	**REQUIRED in Message**	**Comment**
Request Header	Yes	Structure
Protocol Version	Yes	
Maximum Response Size	No	
Authentication	No	
Batch Error Continuation Option	No	If omitted, then Stop is assumed
Batch Order Option	No	If omitted, then False is assumed
Time Stamp	No	
Batch Count	Yes	

Table 183: Synchronous Request Header Structure

Synchronous Request Batch Item

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Object	REQUIRED in Message	Comment
Batch Item	Yes	Structure
Operation	Yes	
Unique Batch Item ID	No	REQUIRED if *Batch Count* > 1
Request Payload	Yes	Structure, contents depend on the Operation
Message Extension	No	

Table 184: Synchronous Request Batch Item Structure

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Synchronous Response Header		
Object	**REQUIRED in Message**	**Comment**
Response Header	Yes	Structure
Protocol Version	Yes	
Time Stamp	Yes	
Batch Count	Yes	

Table 185: Synchronous Response Header Structure

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Synchronous Response Batch Item		
Object	**REQUIRED in Message**	**Comment**
Batch Item	Yes	Structure
Operation	Yes, if not a failure	
Unique Batch Item ID	No	REQUIRED if *Batch Count* > 1
Result Status	Yes	
Result Reason	No	Only present if Result Status is not *Success*
Result Message	No	Only present if Result Status is not *Success*
Response Payload	Yes, if not a failure	Structure, contents depend on the Operation
Message Extension	No	

Table 186: Synchronous Response Batch Item Structure

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7.3 Asynchronous Operations

If the client is capable of accepting asynchronous responses, then it MAY set the *Asynchronous Indicator* in the header of a batched request. The batched responses MAY contain a mixture of synchronous and asynchronous responses.

Asynchronous Request Header		
Object	**REQUIRED in Message**	**Comment**
Request Header	Yes	Structure
Protocol Version	Yes	
Maximum Response Size	No	
Asynchronous Indicator	Yes	SHALL be set to True
Authentication	No	
Batch Error Continuation Option	No	If omitted, then Stop is assumed
Batch Order Option	No	If omitted, then False is assumed
Time Stamp	No	
Batch Count	Yes	

1482 **Table 187: Asynchronous Request Header Structure**

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Asynchronous Request Batch Item		
Object	**REQUIRED in Message**	**Comment**
Batch Item	Yes	Structure
Operation	Yes	
Unique Batch Item ID	No	REQUIRED if *Batch Count* > 1
Request Payload	Yes	Structure, contents depend on the Operation
Message Extension	No	

1483 **Table 188: Asynchronous Request Batch Item Structure**

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Asynchronous Response Header		
Object	**REQUIRED in Message**	**Comment**
Response Header	Yes	Structure
Protocol Version	Yes	
Time Stamp	Yes	
Batch Count	Yes	

1484 **Table 189: Asynchronous Response Header Structure**

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Asynchronous Response Batch Item

Object	REQUIRED in Message	Comment
Batch Item	Yes	Structure
Operation	Yes, if not a failure	
Unique Batch Item ID	No	REQUIRED if *Batch Count* > 1
Result Status	Yes	
Result Reason	No	Only present if Result Status is not *Pending* or *Success*
Result Message	No	Only present if Result Status is not *Pending* or *Success*
Asynchronous Correlation Value	Yes	Only present if Result Status is *Pending*
Response Payload	Yes, if not a failure	Structure, contents depend on the Operation
Message Extension	No	

1485 **Table 190: Asynchronous Response Batch Item Structure**

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8 Authentication

The mechanisms used to authenticate the client to the server and the server to the client are not part of the message definitions, and are external to the protocol. The *Authentication* field contained in Request Headers is used to identify the client and to provide linkage between this identification and the external authentication mechanism.

The Usage Guide describes authentication profiles appropriate to this protocol, as well as the relationship of those mechanisms to the credentials that are optionally included in the Authentication field. The authentication profiles described are:

- SSL/TLS authentication. If the transport protocol uses a normal TCP stream, then that stream SHOULD use an SSL/TLS encryption layer, and the client and server authentication features SHALL be enabled unless otherwise specified in the operation. The Credential object contained in the Authentication field in all request messages SHALL contain the client's certificate. The server SHOULD use this certificate to identify the client for policy enforcement purposes, and SHOULD verify that this certificate matches the one used for SSL/TLS authentication.
- HTTPS authentication. If the transport protocol is HTTP over TCP, then the HTTPS protocol SHOULD be used, and the client and server authentication features enabled unless otherwise specified in the operation. The contents and use of the *Credential* object are the same as in the case of SSL/TLS above.

All server implementations SHOULD, at a minimum, support the SSL/TLS and HTTPS profiles described in Section 10 .

Other mechanisms (e.g., Kerberos) are potentially usable, with the identity established in the mechanism (e.g., the Kerberos token), expressed as the Credential object. Profiles for these mechanisms are not currently described in the Usage Guide.

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9 Message Encoding

To support different transport protocols and different client capabilities, a number of message-encoding mechanisms are supported.

9.1 TTLV Encoding

In order to minimize the resource impact on potentially low-function clients, one encoding mechanism to be used for protocol messages is a simplified TTLV (Tag, Type, Length, Value) scheme.

The scheme is designed to minimize the CPU cycle and memory requirements of clients that need to encode or decode protocol messages, and to provide optimal alignment for both 32-bit and 64-bit processors. Minimizing bandwidth over the transport mechanism is considered to be of lesser importance.

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9.1.1 TTLV Encoding Fields

Every Data object encoded by the TTLV scheme consists of 4 items, in order:

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9.1.1.1 Item Tag

An Item Tag is a 3-byte binary unsigned integer, transmitted big endian, which contains a number that designates the specific Protocol Field or Object that the TTLV object represents. To ease debugging, and to ensure that malformed messages are detected more easily, all tags SHALL contain either the value 42 in hex or the value 54 in hex as the high order (first) byte. Tags defined by this specification contain hex 42 in the first byte. Extensions, which are permitted, but are not defined in this specification, contain the value 54 hex in the first byte. A list of defined Item Tags is in Section 9.1.3.1.

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9.1.1.2 Item Type

An Item Type is a byte containing a coded value that indicates the data type of the data object. The allowed values are:

Data Type	Coded Value in Hex
Structure	01
Integer	02
Long Integer	03
Big Integer	04
Enumeration	05
Boolean	06
Text String	07
Octet String	08
Date-Time	09
Interval	0A

Table 191: Allowed Item Type Values

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9.1.1.3 Item Length

An Item Length is a 32-bit binary integer, transmitted big-endian, containing the number of bytes in the Item Value. The allowed values are:

Data Type	Length
Structure	Varies, multiple of 8
Integer	4
Long Integer	8
Big Integer	Varies, multiple of 8
Enumeration	4
Boolean	8
Text String	Varies
Octet String	Varies
Date-Time	8
Interval	4

Table 192: Allowed Item Length Values

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If the Item Type is Structure, then the Item Length is the total length of all of the sub-items contained in the structure, including any padding. If the Item Type is Integer, Enumeration, Text String, Octet String, or Interval, then the Item Length is the number of bytes excluding the padding bytes. Text Strings and Octet Strings SHALL be padded with the minimal number of bytes following the Item Value to obtain a multiple of 8 bytes. Integers, Enumerations, and Intervals SHALL be padded with 4 bytes following the Item Value.

9.1.1.4 Item Value

The item value is a sequence of bytes containing the value of the data item, depending on the type:

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- Integers are encoded as 4-byte long (32 bit) binary signed numbers in 2's complement notation, transmitted big-endian.
- Long Integers are encoded as 8-byte long (64 bit) binary signed numbers in 2's complement notation, transmitted big-endian.
- Big Integers are encoded as a sequence of 8-bit bytes, in 2's complement notation, transmitted big-endian. If the length of the sequence is not a multiple of 8 bytes, then Big Integers SHALL be padded with the minimal number of leading sign-extended bytes to make the length a multiple of 8 bytes. These padding bytes are part of the Item Value and SHALL be counted in the Item Length.
- Enumerations are encoded as 4-byte long (32 bit) binary unsigned numbers transmitted big-endian. Extensions, which are permitted, but are not defined in this specification, contain the value 8 hex in the first nibble of the first byte.
- Booleans are encoded as an 8-byte value that SHALL either contain the hex value 0000000000000000, indicating the Boolean value *False,* or the hex value 0000000000000001, transmitted big-endian, indicating the Boolean value *True.*

- Text Strings are sequences of bytes encoding character values according to the UTF-8 encoding standard. There SHALL be no null-termination at the end of such strings.
- Octet Strings are sequences of bytes containing individual unspecified 8 bit binary values.
- Date-Time values are encoded as 8-byte long (64 bit) binary signed numbers, transmitted big-endian. They are POSIX Time values (described in IEEE Standard 1003.1) extended to a 64 bit value to eliminate the "Year 2038 problem" (i.e., problem that affects Unix systems that store time as a signed 32-bit integer). The value is expressed as the number of seconds from a time epoch, which is 00:00:00 GMT January 1st, 1970. This value has a resolution of 1 second. All Date-Time values are expressed as UTC values.
- Intervals are encoded as 4-byte long (32 bit) binary unsigned numbers, transmitted big-endian. They have a resolution of 1 second.
- Structure Values are encoded as the concatenated encodings of the elements of the structure. All structures defined in this specification SHALL have all of their fields encoded in the order in which they appear in their respective structure descriptions.

9.1.2 Examples

These examples are assumed to be encoding a Protocol Object whose tag is 420020. The examples are shown as a sequence of bytes in hexadecimal notation:

- An Integer containing the decimal value 8:

```
42 00 20 | 02 | 00 00 00 04 | 00 00 00 08 00 00 00 00
```

- A Long Integer containing the decimal value 123456789000000000:

```
42 00 20 | 03 | 00 00 00 08 | 01 B6 9B 4B A5 74 92 00
```

- A Big Integer containing the decimal value 1234567890000000000000000000:

```
42 00 20 | 04 | 00 00 00 10 | 00 00 00 00 03 FD 35 EB 6B C2 DF 46 18 08
00 00
```

- An Enumeration with value 255:

```
42 00 20 | 05 | 00 00 00 04 | 00 00 00 FF 00 00 00 00
```

- A Boolean with the value *True*:

```
42 00 20 | 06 | 00 00 00 08 | 00 00 00 00 00 00 00 01
```

- A Text String:

```
42 00 20 | 07 | 00 00 00 0B | 48 65 6C 6C 6F 20 57 6F 72 6C 64 00 00 00
00 00
```

- An Octet String:

```
42 00 20 | 08 | 00 00 00 03 | 01 02 03 00 00 00 00 00
```

- A Date-Time, containing the value for Friday, March 14, 2008, 11:56:40 GMT:

```
42 00 20 | 09 | 00 00 00 08 | 00 00 00 00 47 DA 67 F8
```

- An Interval, containing the value for 10 days:

```
42 00 20 | 0A | 00 00 00 04 | 00 0D 2F 00 00 00 00 00
```

- A Structure containing an Enumeration, value 254, followed by an Integer, value 255, having tags 420004 and 420005 respectively:

```
42 00 20 | 01 | 00 00 00 20 | 42 00 04 | 05 | 00 00 00 04 | 00 00 00 FE
00 00 00 00| 42 00 05 | 02 | 00 00 00 04 | 00 00 00 FF 00 00 00 00
```

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9.1.3 Defined Values

This section specifies the values that are defined by this specification. In all cases where an extension mechanism is allowed, this extension mechanism is only able to be used for communication between parties that have pre-agreed understanding of the specific extensions.

9.1.3.1 Tags

The following table defines the tag values for the objects and primitive data values for the protocol messages.

Tag	
Object	**Tag Value**
(Unused)	000000 - 420000
Activation Date	420001
Application Data	420002
Application Namespace	420003
Application Specific Information	420004
Archive Date	420005
Asynchronous Correlation Value	420006
Asynchronous Indicator	420007
Attribute	420008
Attribute Index	420009
Attribute Name	42000A
Attribute Value	42000B
Authentication	42000C
Batch Count	42000D
Batch Error Continuation Option	42000E
Batch Item	42000F
Batch Order Option	420010
Block Cipher Mode	420011
Cancellation Result	420012
Certificate	420013
Certificate Identifier	420014
Certificate Issuer	420015
Certificate Request	420016
Certificate Request Type	420017
Certificate Subject	420018
Certificate Subject Alternative Name	420019
Certificate Subject	42001A

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Tag	
Object	**Tag Value**
Distinguished Name	
Certificate Type	42001B
Certificate Value	42001C
Common Template-Attribute	42001D
Compromise Date	42001E
Compromise Occurrence Date	42001F
Contact Information	420020
Credential	420021
Credential Type	420022
Credential Value	420023
Criticality Indicator	420024
CRT Coefficient	420025
Cryptographic Algorithm	420026
Cryptographic Domain Parameters	420027
Cryptographic Length	420028
Cryptographic Parameters	420029
Cryptographic Usage Mask	42002A
Custom Attribute	42002B
D	42002C
Deactivation Date	42002D
Derivation Data	42002E
Derivation Method	42002F
Derivation Parameters	420030
Destroy Date	420031
Digest	420032
Digest Value	420033
Encryption Key Information	420034
G	420035
Hashing Algorithm	420036
Initial Date	420037
Initialization Vector	420038
Issuer	420039
Iteration Count	42003A
IV/Counter/Nonce	42003B
J	42003C

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Deleted: 42001B
Deleted: 42001C
Deleted: 42001D
Deleted: 42001E
Deleted: 42001F
Deleted: 420020
Deleted: 420021
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Deleted: 420024
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Deleted: 420026
Deleted: 420027
Deleted: 420028
Deleted: 420029
Deleted: 42002A
Deleted: 42002B
Deleted: 42002C
Deleted: 42002D
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Deleted: 420035
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Deleted: 420037
Deleted: 420038
Deleted: 420039
Deleted: 42003A

Tag	
Object	**Tag Value**
Key	42003D
Key Block	42003E
Key Compression Type	42003F
Key Format Type	420040
Key Material	420041
Key Part Identifier	420042
Key Value	420043
Key Wrapping Data	420044
Key Wrapping Specification	420045
Last Changed Date	420046
Lease Time	420047
Link	420048
Link Type	420049
Linked Object Identifier	42004A
MAC/Signature	42004B
MAC/Signature Key Information	42004C
Maximum Items	42004D
Maximum Response Size	42004E
Message Extension	42004F
Modulus	420050
Name	420051
Name Type	420052
Name Value	420053
Object Group	420054
Object Type	420055
Offset	420056
Opaque Data Type	420057
Opaque Data Value	420058
Opaque Object	420059
Operation	42005A
Operation Policy Name	42005B
P	42005C
Padding Method	42005D
Prime Exponent P	42005E
Prime Exponent Q	42005F

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Deleted: 0
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Deleted: 2
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Deleted: 42003F
Deleted: Key Value Type
Deleted: 420041
Deleted: 420042
Deleted: 420043
Deleted: 420044
Deleted: 420045
Deleted: 420046
Deleted: 420047
Deleted: 420048
Deleted: 420049
Deleted: 42004A
Deleted: 42004B
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Deleted: 42005B
Deleted: 42005C

Tag	
Object	**Tag Value**
Prime Field Size	420060
Private Exponent	420061
Private Key	420062
Private Key Template-Attribute	420063
Private Key Unique Identifier	420064
Process Start Date	420065
Protect Stop Date	420066
Protocol Version	420067
Protocol Version Major	420068
Protocol Version Minor	420069
Public Exponent	42006A
Public Key	42006B
Public Key Template-Attribute	42006C
Public Key Unique Identifier	42006D
Put Function	42006E
Q	42006F
Q String	420070
Query Function	420071
Recommended Curve	420072
Replaced Unique Identifier	420073
Request Header	420074
Request Message	420075
Request Payload	420076
Response Header	420077
Response Message	420078
Response Payload	420079
Result Message	42007A
Result Reason	42007B
Result Status	42007C
Revocation Message	42007D
Revocation Reason	42007E
Revocation Reason Code	42007F
Role Type	420080
Salt	420081
Secret Data	420082
Secret Data Type	420083

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Deleted: 420067
Deleted: 420068
Deleted: 420069
Deleted: 42006A
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Deleted: 42006F
Deleted: 420070
Deleted: 420071
Deleted: 420072
Deleted: 420073
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Deleted: 420075
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Deleted: 420079
Deleted: 42007A
Deleted: 42007B
Deleted: 42007C
Deleted: 42007D
Deleted: 42007E
Deleted: 42007F
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Tag	
Object	**Tag Value**
Serial Number	420084
Server Information	420085
Split Key	420086
Split Key Method	420087
Split Key Parts	420088
Split Key Threshold	420089
State	42008A
Storage Status Mask	42008B
Symmetric Key	42008C
Template	42008D
Template-Attribute	42008E
Time Stamp	42008F
Unique Batch Item ID	420090
Unique Identifier	420091
Usage Limits	420092
Usage Limits Byte Count	420093
Usage Limits Object Count	420094
Usage Limits Total Bytes	420095
Usage Limits Total Objects	420096
Validity Date	420097
Validity Indicator	420098
Vendor Extension	420099
Vendor Identification	42009A
Wrapping Method	42009B
X	42009C
Y	42009D
(Reserved)	42009E – 42FFFF
(Unused)	430000 – 53FFFF
Extensions	540000 – 54FFFF
(Unused)	550000 - FFFFFF

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Deleted: 420082
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Deleted: 420087
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Deleted: 420089
Deleted: 42008A
Deleted: 42008B
Deleted: 42008C
Deleted: 42008D
Deleted: 42008E
Deleted: 42008F
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Deleted: 420091
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1606 **Table 193: Tag Values**

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9.1.3.2 Enumerations

The following tables define the values for enumerated lists.

9.1.3.2.1 Credential Type Enumeration

Credential Type	
Name	**Value**
Username & Password	00000001
Token	00000002
Biometric Measurement	00000003
Certificate	00000004
Extensions	8XXXXXXX

Table 194: Credential Type Enumeration

9.1.3.2.2 Key Compression Type Enumeration

Key Compression Type	
Name	**Value**
EC Public Key Type Uncompressed	00000001
EC Public Key Type X9.62 Compressed Prime	00000002
EC Public Key Type X9.62 Compressed Char2	00000003
EC Public Key Type X9.62 Hybrid	00000004
Extensions	8XXXXXXX

Table 195: Key Compression Type Enumeration

9.1.3.2.3 Key Format Type Enumeration

Key Format Type	
Name	**Value**
Raw	00000001
Opaque	00000002
PKCS#1	00000003
PKCS#8	00000004
X.509	00000005
ECPrivateKey	00000006
Transparent Symmetric Key	00000007
Transparent DSA Private Key	00000008
Transparent DSA Public Key	00000009

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Transparent RSA Private Key	0000000A
Transparent RSA Public Key	0000000B
Transparent DH Private Key	0000000C
Transparent DH Public Key	0000000D
Transparent ECDSA Private Key	0000000E
Transparent ECDSA Public Key	0000000F
Transparent ECDH Private Key	00000010
Transparent ECDH Public Key	00000011
Transparent ECMQV Private Key	00000012
Transparent ECMQV Public Key	00000013
Extensions	8XXXXXXX

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Table 196: Key Format Type Enumeration

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9.1.3.2.4 Wrapping Method Enumeration

Wrapping Method	
Name	**Value**
Encrypt	00000001
MAC/sign	00000002
Encrypt then MAC/sign	00000003
MAC/sign then encrypt	00000004
TR-31	00000005
Extensions	8XXXXXXX

Table 197: Wrapping Method Enumeration

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9.1.3.2.5 Recommended Curve Enumeration for ECDSA, ECDH, and ECMQV

Recommended curves are defined in NIST FIPS PUB 186-3.

Recommended Curve Enumeration	
Name	**Value**
P-192	00000001
K-163	00000002
B-163	00000003
P-224	00000004
K-233	00000005
B-233	00000006
P-256	00000007
K-283	00000008
B-283	00000009
P-384	0000000A
K-409	0000000B
B-409	0000000C
P-521	0000000D
K-571	0000000E
B-571	0000000F
Extensions	8XXXXXXX

1619 **Table 198: Recommended Curve Enumeration for ECDSA, ECDH, and ECMQV**

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1620 ### 9.1.3.2.6 Certificate Type Enumeration

Certificate Type	
Name	**Value**
X.509	00000001
PGP	00000002
Extensions	8XXXXXXX

1621 **Table 199: Certificate Type Enumeration**

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1622 ### 9.1.3.2.7 Split Key Method Enumeration

Split Key Method	
Name	**Value**
XOR	00000001
Polynomial Sharing GF(2^{16})	00000002
Polynomial Sharing Prime Field	00000003
Extensions	8XXXXXXX

1623 **Table 200: Split Key Method Enumeration**

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9.1.3.2.8 Secret Data Type Enumeration

Secret Data Type	
Name	**Value**
Password	00000001
Seed	00000002
Extensions	8XXXXXXX

Table 201: Secret Data Type Enumeration

9.1.3.2.9 Opaque Data Type Enumeration

Opaque Data Type	
Name	**Value**
Extensions	8XXXXXXX

Table 202: Opaque Data Type Enumeration

9.1.3.2.10 Name Type Enumeration

Name Type	
Name	**Value**
Uninterpreted Text String	00000001
URI	00000002
Extensions	8XXXXXXX

Table 203: Name Type Enumeration

9.1.3.2.11 Object Type Enumeration

Object Type	
Name	**Value**
Certificate	00000001
Symmetric Key	00000002
Public Key	00000003
Private Key	00000004
Split Key	00000005
Template	00000006
Secret Data	00000007
Opaque Object	00000008
Extensions	8XXXXXXX

Table 204: Object Type Enumeration

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9.1.3.2.12 Cryptographic Algorithm Enumeration

Cryptographic Algorithm	
Name	**Value**
DES	00000001
3DES	00000002
AES	00000003
RSA	00000004
DSA	00000005
ECDSA	00000006
HMAC-SHA1	00000007
HMAC-SHA224	00000008
HMAC-SHA256	00000009
HMAC-SHA384	0000000A
HMAC-SHA512	0000000B
HMAC-MD5	0000000C
DH	0000000D
ECDH	0000000E
ECMQV	0000000F
Extensions	8XXXXXXX

Table 205: Cryptographic Algorithm Enumeration

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9.1.3.2.13 Block Cipher Mode Enumeration

Block Cipher Mode	
Name	**Value**
CBC	00000001
ECB	00000002
PCBC	00000003
CFB	00000004
OFB	00000005
CTR	00000006
CMAC	00000007
CCM	00000008
GCM	00000009
CBC-MAC	0000000A
XTS	0000000B
AESKeyWrapPadding	0000000C
NISTKeyWrap	0000000D
X9.102 AESKW	0000000E
X9.102 TDKW	0000000F
X9.102 AKW1	00000010
X9.102 AKW2	00000011
Extensions	8XXXXXXX

Table 206: Block Cipher Mode Enumeration

9.1.3.2.14 Padding Method Enumeration

Padding Method	
Name	**Value**
None	00000001
OAEP	00000002
PKCS5	00000003
SSL3	00000004
Zeros	00000005
ANSI X9.23	00000006
ISO 10126	00000007
PKCS1 v1.5	00000008
X9.31	00000009
PSS	0000000A
Extensions	8XXXXXXX

Table 207: Padding Method Enumeration

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9.1.3.2.15 Hashing Algorithm Enumeration

Hashing Algorithm	
Name	**Value**
MD2	00000001
MD4	00000002
MD5	00000003
SHA-1	00000004
SHA-224	00000005
SHA-256	00000006
SHA-384	00000007
SHA-512	00000008
Extensions	8XXXXXXX

Table 208: Hashing Algorithm Enumeration

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9.1.3.2.16 Role Type Enumeration

Role Type	
Name	**Value**
BDK	00000001
CVK	00000002
DEK	00000003
MKAC	00000004
MKSMC	00000005
MKSMI	00000006
MKDAC	00000007
MKDN	00000008
MKCP	00000009
KMOTH	0000000A
KEK	0000000B
MAC16609	0000000C
MAC97971	0000000D
MAC97972	0000000E
MAC97973	0000000F
MAC97974	00000010
MAC97975	00000011
ZPK	00000012
PVKIBM	00000013
PVKPVV	00000014
PVKOTH	00000015
Extensions	8XXXXXXX

Table 209: Role Type Enumeration

Note that while the set and definitions of role types are chosen to match TR-31 there is no necessity to match binary representations.

9.1.3.2.17 State Enumeration

State	
Name	**Value**
Pre-Active	00000001
Active	00000002
Deactivated	00000003
Compromised	00000004
Destroyed	00000005
Destroyed Compromised	00000006

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Extensions	8XXXXXXX

Table 210: State Enumeration

9.1.3.2.18 Revocation Reason Code Enumeration

Revocation Reason Code	
Name	**Value**
Unspecified	00000001
Key Compromise	00000002
CA Compromise	00000003
Affiliation Changed	00000004
Superseded	00000005
Cessation of Operation	00000006
Privilege Withdrawn	00000007
Extensions	8XXXXXXX

Table 211: Revocation Reason Code Enumeration

9.1.3.2.19 Link Type Enumeration

Link Type	
Name	**Value**
Certificate Link	00000101
Public Key Link	00000102
Private Key Link	00000103
Derivation Base Object Link	00000104
Derived Key Link	00000105
Replacement Object Link	00000106
Replaced Object Link	00000107
Extensions	8XXXXXXX

Table 212: Link Type Enumeration

Note: Link Types start at 101 to avoid any confusion with Object Types.

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9.1.3.2.20 Derivation Method Enumeration

Derivation Method	
Name	**Value**
PBKDF2	00000001
HASH	00000002
HMAC	00000003
ENCRYPT	00000004
NIST800-108-C	00000005
NIST800-108-F	00000006
NIST800-108-DPI	00000007
Extensions	8XXXXXXX

Table 213: Derivation Method Enumeration

9.1.3.2.21 Certificate Request Type Enumeration

Certificate Request Type	
Name	**Value**
CRMF	00000001
PCKS#10	00000002
PEM	00000003
PGP	00000004
Extensions	8XXXXXXX

Table 214: Certificate Request Type Enumeration

9.1.3.2.22 Validity Indicator Enumeration

Validity Indicator	
Name	**Value**
Valid	00000001
Invalid	00000002
Unknown	00000003
Extensions	8XXXXXXX

Table 215: Validity Indicator Enumeration

9.1.3.2.23 Query Function Enumeration

Query Function	
Name	**Value**
Query Operations	00000001
Query Objects	00000002
Query Server Information	00000003

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Query Application Namespaces	00000004
Extensions	8XXXXXXX

Table 216: Query Function Enumeration

9.1.3.2.24 Cancellation Result Enumeration

Cancellation Result	
Name	**Value**
Canceled	00000001
Unable to Cancel	00000002
Completed	00000003
Failed	00000004
Unavailable	00000005
Extensions	8XXXXXXX

Table 217: Cancellation Result Enumeration

9.1.3.2.25 Put Function Enumeration

Put Function	
Name	**Value**
New	00000001
Replace	00000002
Extensions	8XXXXXXX

Table 218: Put Function Enumeration

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9.1.3.2.26 Operation Enumeration

Operation	
Name	**Value**
Create	00000001
Create Key Pair	00000002
Register	00000003
Re-key	00000004
Derive Key	00000005
Certify	00000006
Re-certify	00000007
Locate	00000008
Check	00000009
Get	0000000A
Get Attributes	0000000B
Get Attribute List	0000000C
Add Attribute	0000000D
Modify Attribute	0000000E
Delete Attribute	0000000F
Obtain Lease	00000010
Get Usage Allocation	00000011
Activate	00000012
Revoke	00000013
Destroy	00000014
Archive	00000015
Recover	00000016
Validate	00000017
Query	00000018
Cancel	00000019
Poll	0000001A
Notify	0000001B
Put	0000001C
Extensions	8XXXXXXX

Table 219: Operation Enumeration

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9.1.3.2.27 Result Status Enumeration

Result Status	
Name	**Value**
Success	00000000
Operation Failed	00000001
Operation Pending	00000002
Operation Undone	00000003
Extensions	8XXXXXXX

Table 220: Result Status Enumeration

9.1.3.2.28 Result Reason Enumeration

Result Reason	
Name	**Value**
Item Not Found	00000001
Response Too Large	00000002
Authentication Not Successful	00000003
Invalid Message	00000004
Operation Not Supported	00000005
Missing Data	00000006
Invalid Field	00000007
Feature Not Supported	00000008
Operation Canceled By Requester	00000009
Cryptographic Failure	0000000A
Illegal Operation	0000000B
Permission Denied	0000000C
Object archived	0000000D
Index Out of Bounds	0000000E
General Failure	00000100
Extensions	8XXXXXXX

Table 221: Result Reason Enumeration

9.1.3.2.29 Batch Error Continuation Enumeration

Batch Error Continuation	
Name	**Value**
Continue	00000001
Stop	00000002
Undo	00000003

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Extensions	8XXXXXXX

Table 222: Batch Error Continuation Enumeration

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9.1.3.3 Bit Masks

9.1.3.3.1 Cryptographic Usage Mask

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Cryptographic Usage Mask	
Name	**Value**
Sign	00000001
Verify	00000002
Encrypt	00000004
Decrypt	00000008
Wrap Key	00000010
Unwrap Key	00000020
Export	00000040
MAC Generate	00000080
MAC Verify	00000100
Derive Key	00000200
Content Commitment (Non Repudiation)	00000400
Key Agreement	00000800
Certificate Sign	00001000
CRL Sign	00002000
Generate Cryptogram	00004000
Validate Cryptogram	00008000
Translate Encrypt	00010000
Translate Decrypt	00020000
Translate Wrap	00040000
Translate Unwrap	00080000
Extensions	XXX00000

Table 223: Cryptographic Usage Mask

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This list takes into consideration values which MAY appear in the Key Usage extension in an X.509 certificate.

9.1.3.3.2 Storage Status Mask

Storage Status Mask	
Name	**Value**
On-line storage	00000001
Archival storage	00000002
Extensions	XXXXXXX0

Table 224: Storage Status Mask

9.2 XML Encoding

An XML Encoding has not yet been defined.

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10 Transport

This section describes two KMIP transport profiles. These profiles describe mechanisms by which authentication and communications privacy are established outside KMIP.

10.1 SSL/TLS Profile

Transport Layer Security (TLS) and its predecessor, Secure Sockets Layer (SSL), are cryptographic protocols that provide secure communications for data transfers, using cryptographic mechanisms to provide both the authentication of participants and privacy of the communication. SSL 2.0 has known security issues, and all current implementations of HTTP/S support more recent protocols. Therefore, this profile prohibits the use of SSL 2.0 and requires SSL 3.1 or TLS 1.0 or later.

In this profile, a KMIP client and server SHALL use SSL/TLS to negotiate a mutually-authenticated connection. This is REQUIRED for all KMIP communication except for the Query operation.

In SSL and TLS, choices of algorithms are expressed as cipher suites. The following subsections specify cipher suites that are either REQUIRED or discouraged. The use of any other cipher suite not discussed below is OPTIONAL.

10.1.1 Mandatory cipher suites

The mandatory cipher suites for the SSL/TLS profile are:

- A TLS-capable instance SHALL support `TLS_RSA_WITH_AES_128_CBC_SHA`
- An SSL-capable instance SHALL support `SSL_RSA_WITH_AES_128_CBC_SHA`

10.1.2 Discouraged cipher suites

As discussed in “WS-I Basic Security Profile”, the cipher suites defined in the SSL and TLS specifications that use anonymous Diffie-Hellman (i. e. those that have *DH_anon* in their symbolic name) are vulnerable to man-in-the-middle attacks. Such cipher suites SHALL be avoided. This profile disallows the use of the following cipher suites due to their lack of confidentiality services:

- SSL_RSA_WITH_NULL_SHA
- TLS_RSA_WITH_NULL_SHA
- SSL_RSA_WITH_NULL_MD5
- TLS_RSA_WITH_NULL_MD5

Also, cipher suites that use 40 or 56 bit keys SHALL be avoided, due to their relative ease of compromise through brute-force attack.

10.2 HTTPS Profile

Hypertext Transfer Protocol over Secure Socket Layer or https is a URI (Universal Resource Indicator) scheme that is used to indicate a secure HTTP connection, requiring an additional encryption and authentication layer, implemented by SSL/TLS, between HTTP and TCP. The establishment of the trust relationship between the client and server is the same as in the SSL/TLS profile described above.

As in the SSL/TLS profile, mutual authentication SHALL be performed for all KMIP communication unless otherwise specified in the operation.

10.2.1 HTTP Encoding

A client using the HTTPS profile SHALL:

- Use the POST request method

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- Specify Content-Type: application/octet-stream
- Send one TTLV KMIP message in binary form in the body of each HTTP request
- Comply with the HTTP version claimed in the request line

A client using the HTTPS profile SHALL NOT:

- Use the HTTP Authorization header to transmit any authentication data

A server using the HTTPS profile SHALL:

- Return HTTP response code 200 Success if a KMIP response was returned, including KMIP error responses
- Specify Content-Type: application/octet-stream
- Send one TTLV KMIP message in binary form in the body of each HTTP response with response code 200
- Comply with the HTTP version claimed in the status line
- Send the Cache-Control: no-cache directive to prevent clients from caching KMIP responses (HTTP/1.1 only)

Servers supporting the OPTIONAL server-to-client Put and Notify messages SHALL behave as an HTTP client. Clients responding to these messages SHALL behave as an HTTP server.

A client MAY use the Accept-Encoding header to indicate it accepts compressed or otherwise transformed responses.

A clients MAY use HTTP/1.0 Keep Alive or HTTP/1.1 Connection headers to control persistent connection behavior.

The Content-Length header MAY be used by clients and servers to support persistent connections where allowed by HTTP. This header is not REQUIRED.

The Request-URI is not specified and MAY be used by clients and servers in an implementation specific fashion. The value / is RECOMMENDED.

KMIP servers supporting the HTTPS profile SHOULD listen on port TBD1. KMIP clients responding to OPTIONAL server-to-client Put and Notify messages should listen on port TBD2.

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11 Error Handling

This section details the specific Result Reasons that SHOULD be returned for errors detected. Note that this is not an exhaustive list of possible errors for each operation (allowing other Result Reasons to be returned if an implementation needs to do so).

11.1 General

These errors MAY occur when any protocol message is received by the server.

Error Definition	Action	Result Reason
Protocol major version mismatch	Response message containing a header and a Batch Item without Operation, but with the Result Status field set to Operation Failed	Invalid Message
Error parsing batch item or payload within batch item (e.g., REQUIRED fields missing, etc.)	Batch item fails; Result Status is Operation Failed	Invalid Message
The same field is contained in a header/batch item/payload more than once	Result Status is Operation Failed	Invalid Message
Same major version, different minor versions (e.g., client is newer); unknown fields/fields the server does not understand	Ignore unknown fields, process rest normally	N/A
Same major & minor version, unknown field	Result Status is Operation Failed	Invalid Field
Client is not allowed to perform the specified operation	Result Status is Operation Failed	Permission Denied
Operation is not able to be completed synchronously and client does not support asynchronous requests	Result Status is Operation Failed	Operation Not Supported
Maximum Response Size has been exceeded	Result Status is Operation Failed	Response Too Large

Table 225: General Errors

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11.2 Create

Error Definition	Result Status	Result Reason
Object Type is not recognized	Operation Failed	Invalid Field
Templates that do not exist are given in request	Operation Failed	Item Not Found
Incorrect attribute value(s) specified (e.g., initial date 5 years ago)	Operation Failed	Invalid Field
Error creating cryptographic object (e.g., key material generation issue)	Operation Failed	Cryptographic Failure
Trying to set more instances than the server supports of an attribute that MAY have multiple instances	Operation Failed	Index Out of Bounds
Trying to create a new object with the same Name attribute value as an existing object	Operation Failed	Invalid Field
The particular Application Namespace is not supported and Application Data cannot be generated if it was omitted from the client request	Operation Failed	Application Namespace Not Supported
Template object is archived	Operation Failed	Object Archived

Table 226: Create Errors

11.3 Create Key Pair

Error Definition	Result Status	Result Reason
Templates that do not exist are given in request	Operation Failed	Item Not Found
Incorrect attribute value(s) specified	Operation Failed	Invalid Field
Error creating cryptographic object (e.g., key material generation issue)	Operation Failed	Cryptographic Failure
Trying to create a new object with the same Name attribute value as an existing object	Operation Failed	Invalid Field

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Trying to set more instances than the server supports of an attribute that MAY have multiple instances	Operation Failed	Index Out of Bounds
REQUIRED field(s) missing	Operation Failed	Invalid Message
The particular Application Namespace is not supported and Application Data cannot be generated if it was omitted from the client request	Operation Failed	Application Namespace Not Supported
Template object is archived	Operation Failed	Object Archived

1755 **Table 227: Create Key Pair Errors**

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1756 ## 11.4 Register

Error Definition	Result Status	Result Reason
Object Type is not recognized	Operation Failed	Invalid Field
Object Type does not match type of cryptographic object provided	Operation Failed	Invalid Field
Templates that do not exist are given in request	Operation Failed	Item Not Found
Incorrect attribute value(s) specified (e.g., initial date 5 years ago)	Operation Failed	Invalid Field
Trying to register a new object with the same Name attribute value as an existing object	Operation Failed	Invalid Field
Trying to set more instances than the server supports of an attribute that MAY have multiple instances	Operation Failed	Index Out of Bounds
The particular Application Namespace is not supported and Application Data cannot be generated if it was omitted from the client request	Operation Failed	Application Namespace Not Supported
Template object is archived	Operation Failed	Object Archived

1757 **Table 228: Register Errors**

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1758 ## 11.5 Re-key

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Object specified is not able to be re-keyed (e.g., not a symmetric key, or the permissions do not allow it)	Operation Failed	Permission Denied
Offset field is not permitted to be specified at the same time as any of the	Operation Failed	Invalid Message

Activation Date, Process Start Date, Protect Stop Date, or Deactivation Date attributes		
Cryptographic error during re-key	Operation Failed	Cryptographic Failure
The particular Application Namespace is not supported and Application Data cannot be generated if it was omitted from the client request	Operation Failed	Application Namespace Not Supported
Object is archived	Operation Failed	Object Archived

1759 **Table 229: Re-key Errors**

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1760 ## 11.6 Derive Key

Error Definition	Result Status	Result Reason
One or more of the objects specified do not exist	Operation Failed	Item Not Found
One or more of the objects specified are not of the correct type	Operation Failed	Invalid Field
Templates that do not exist are given in request	Operation Failed	Item Not Found
Invalid Derivation Method	Operation Failed	Invalid Field
Invalid Derivation Parameters	Operation Failed	Invalid Field
Ambiguous derivation data provided both with Derivation Data and Secret Data object.	Operation Failed	Invalid Message
Incorrect attribute value(s) specified (e.g., initial date 5 years ago)	Operation Failed	Invalid Field
One or more of the specified objects are not able to be used to derive a new key	Operation Failed	Invalid Field
Trying to derive a new key with the same Name attribute value as an existing object	Operation Failed	Invalid Field
The particular Application Namespace is not supported and Application Data cannot be generated if it was omitted from the client request	Operation Failed	Application Namespace Not Supported
One or more of the objects is archived	Operation Failed	Object Archived

1761 **Table 230: Derive Key Errors**

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11.7 Certify

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Object specified is not able to be certified (e.g., not a public key or the permissions do not allow it)	Operation Failed	Permission Denied
The Certificate Request does not contain a signed certificate request of the specified Certificate Request Type	Operation Failed	Invalid Field
Server does not support operation	Operation Failed	Operation Not Supported
The particular Application Namespace is not supported and Application Data cannot be generated if it was omitted from the client request	Operation Failed	Application Namespace Not Supported
Object is archived	Operation Failed	Object Archived

Table 231: Certify Errors

11.8 Re-certify

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Object specified is not able to be certified (e.g., not a certificate or the permissions do not allow it)	Operation Failed	Permission Denied
The Certificate Request does not contain a signed certificate request of the specified Certificate Request Type	Operation Failed	Invalid Field
Server does not support operation	Operation Failed	Operation Not Supported
Offset field is not permitted to be specified at the same time as any of the Activation Date or Deactivation Date attributes	Operation Failed	Invalid Message
The particular Application Namespace is not supported and Application Data cannot be generated if it was omitted from the client request	Operation Failed	Application Namespace Not Supported
Object is archived	Operation Failed	Object Archived

Table 232: Re-certify Errors

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11.9 Locate

Error Definition	Result Status	Result Reason
Non-existing attributes, attributes that the server does not understand or templates that do not exist are given in request	Operation Failed	Invalid Field

Table 233: Locate Errors

11.10 Check

Error Definition	Result Status	Result Reason
Object does not exist	Operation Failed	Item Not Found
Object is archived	Operation Failed	Object Archived

Table 234: Check Errors

11.11 Get

Error Definition	Result Status	Result Reason
Object does not exist	Operation Failed	Item Not Found
Wrapping key does not exist	Operation Failed	Item Not Found
Object with Wrapping Key ID exists, but it is not a key	Operation Failed	Illegal Operation
Object with Wrapping Key ID exists, but it is not able to be used for wrapping	Operation Failed	Permission Denied
Object with MAC/Signature Key ID exists, but it is not a key	Operation Failed	Illegal Operation
Object with MAC/Signature Key ID exists, but it is not able to be used for MACing/signing	Operation Failed	Permission Denied
Object exists but cannot be provided in the desired Key Format Type and/or Key Compression Type	Operation Failed	Key Format Type and/or Key Compression Type Not Supported
Object exists and is not a Template, but the server only has attributes for this object	Operation Failed	Illegal Operation
Cryptographic Parameters associated with object do not exist or do not match those provided in the Encryption Key Information and/or Signature Key Information	Operation Failed	Item Not Found
Object is archived	Operation Failed	Object Archived

Table 235: Get Errors

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11.12 Get Attributes

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
An Attribute Index is specified but no matching instance exists.	Operation Failed	Item Not Found
Object is archived	Operation Failed	Object Archived

Table 236: Get Attributes Errors

11.13 Get Attribute List

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Object is archived	Operation Failed	Object Archived

Table 237: Get Attribute List Errors

11.14 Add Attribute

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Attempt to add read-only attribute	Operation Failed	Permission Denied
The specified attribute already exists	Operation Failed	Illegal Operation
New attribute contains Attribute Index	Operation Failed	Invalid Field
Trying to add a Name attribute with the same value that another object already has	Operation Failed	Illegal Operation
Trying to add a new instance to an attribute with multiple instances but the server limit on instances is reached	Operation Failed	Index Out of Bounds
The particular Application Namespace is not supported and Application Data cannot be generated if it was omitted from the client request	Operation Failed	Application Namespace Not Supported
Object is archived	Operation Failed	Object Archived

Table 238: Add Attribute Errors

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11.15 Modify Attribute

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
A specified attribute does not exist (i.e., it needs to first be added)	Operation Failed	Invalid Field
An Attribute Index is specified, but no matching instance exists.	Operation Failed	Item Not Found
The specified attribute is read-only	Operation Failed	Permission Denied
Trying to set the Name attribute value to a value already used by another object	Operation Failed	Illegal Operation
The particular Application Namespace is not supported and Application Data cannot be generated if it was omitted from the client request	Operation Failed	Application Namespace Not Supported
Object is archived	Operation Failed	Object Archived

Table 239: Modify Attribute Errors

11.16 Delete Attribute

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Attempt to delete read-only/REQUIRED attribute	Operation Failed	Permission Denied
Attribute Index is specified, but attribute does not have multiple instances (i.e., no Attribute Index is permitted to be specified)	Operation Failed	Item Not Found
No attribute with specified name exists	Operation Failed	Item Not Found
Object is archived	Operation Failed	Object Archived

Table 240: Delete Attribute Errors

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11.17 Obtain Lease

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
The server determines that a new lease is not permitted to be issued for the specified cryptographic object	Operation Failed	Permission Denied
Object is archived	Operation Failed	Object Archived

Table 241: Obtain Lease Errors

11.18 Get Usage Allocation

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Object has no Usage Limits attribute or object is not able to be used for protection purposes	Operation Failed	Illegal Operation
Both Usage Limits Byte Count and Usage Limits Object Count fields are specified	Operation Failed	Invalid Message
Neither Byte Count or Object Count is specified	Operation Failed	Invalid Message
A usage type (Byte Count or Object Count) is specified in the request, but the usage allocation for the object MAY only be given for the other type	Operation Failed	Operation Not Supported
Object is archived	Operation Failed	Object Archived

Table 242: Get Usage Allocation Errors

11.19 Activate

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Unique Identifier specifies template or other object that is not able to be activated	Operation Failed	Illegal Operation
Object is not in Pre-Active state	Operation Failed	Permission Denied
Object is archived	Operation Failed	Object Archived

Table 243: Activate Errors

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11.20 Revoke

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Revocation Reason is not recognized	Operation Failed	Invalid Field
Unique Identifier specifies template or other object that is not able to be revoked	Operation Failed	Illegal Operation
Object is archived	Operation Failed	Object Archived

Table 244: Revoke Errors

11.21 Destroy

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Object exists, but has already been destroyed	Operation Failed	Permission Denied
Object is not in Deactivated state	Operation Failed	Permission Denied
Object is archived	Operation Failed	Object Archived

Table 245: Destroy Errors

11.22 Archive

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found
Object is already archived	Operation Failed	Object Archived

Table 246: Archive Errors

11.23 Recover

Error Definition	Result Status	Result Reason
No object with the specified Unique Identifier exists	Operation Failed	Item Not Found

Table 247: Recover Errors

11.24 Validate

Error Definition	Result Status	Result Reason
The combination of Certificate Objects and Unique Identifiers do not specify a	Operation Failed	Invalid Message

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certificate list		
One or more of the objects is archived	Operation Failed	Object Archived

Table 248: Validate Errors

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11.25 Query

N/A

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11.26 Cancel

N/A

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11.27 Poll

Error Definition	Result Status	Result Reason
No outstanding operation with the specified Asynchronous Correlation Value exists	Operation Failed	Item Not Found

Table 249: Poll Errors

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11.28 Batch Items

These errors MAY occur when a protocol message with one or more batch items is processed by the server. If a message with one or more batch items was parsed correctly, then the response message SHOULD include response(s) to the batch item(s) in the request according to the table below.

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Error Definition	Result Status	Result Reason
Processing of batch item fails with Batch Error Continuation Option set to Stop	Batch item fails. Responses to batch items that have already been processed are returned normally. Responses to batch items that have not been processed are not returned.	See tables above, referring to the operation being performed in the batch item that failed
Processing of batch item fails with Batch Error Continuation Option set to Continue	Batch item fails. Responses to other batch items are returned normally.	See tables above, referring to the operation being performed in the batch item that failed
Processing of batch item fails with Batch Error Continuation Option set to Undo	Batch item fails. Batch items that had been processed have been undone and their responses are returned with Undone result status.	See tables above, referring to the operation being performed in the batch item that failed

Table 250: Batch Items Errors

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12 Security Considerations

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13 Implementation Conformance

An implementation is a conforming KMIP Server if the implementation meets the conditions in Section 13.1 .

An implementation SHALL be a conforming KMIP Server.

If an implementation claims support for a particular clause, then the implementation SHALL conform to all normative statements within that clause and any subclauses to that clause.

13.1 Conformance clauses for a KMIP Server

An implementation conforms to this specification as a KMIP Server if it meets the following conditions:

1. Supports the following objects:
   a. Attribute (see Section 2.1.1)
   b. Credential (see Section 2.1.2)
   c. Key Block (see Section 2.1.3)
   d. Key Value (see Section 2.1.4)
   e. Transparent Key Structure (see Section 2.1.7)
   f. Template-Attribute Structure (see Section 2.1.8)
2. Supports the following attributes:
   a. Unique Identifier (see Section 3.1)
   b. Name (see Section 3.2)
   c. Object Type (see Section 3.3)
   d. Cryptographic Algorithm (see Section 3.4)
   e. Cryptographic Length (see Section 3.5)
   f. Cryptographic Parameters (see Section 3.6)
   g. Digest (see Section 3.12 3.10)
   h. Default Operation Policy (see Section 3.13.2)
   i. Cryptographic Usage Mask (see Section 3.14)
   j. State (see Section 3.17)
   k. Initial Date (see Section 3.18)
   l. Activation Date (see Section 3.19)
   m. Deactivation Date (see Section 3.22)
   n. Destroy Date (see Section 3.23)
   o. Compromise Occurrence Date (see Section 3.24)
   p. Compromise Date (see Section 3.25)
   q. Revocation Reason (see Section 3.26)
   r. Archive Date (see Section 3.27)
3. Supports the following client-to-server operations:
   a. Locate (see Section 4.8)
   b. Check (see Section 4.9)
   c. Get (see Section 4.10)
   d. Get Attribute (see Section 4.11)
   e. Get Attribute List (see Section 4.12)
   f. Add Attribute (see Section 4.13)

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An implementation conforms to this specification as a KMIP Server if it meets the following conditions:¶
Supports the following objects

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g. Modify Attribute (see Section 4.14)
h. Delete Attribute (see Section 4.15)
i. Activate (see Section 4.18)
j. Revoke (see Section 4.19)
k. Destroy (see Section 4.20)
l. Query (see Section 4.24)

4. Supports the following message contents:
   a. Protocol Version (see Section 6.1)
   b. Operation (see Section 6.2)
   c. Maximum Response Size (see Section 6.3)
   d. Unique Batch Item ID (see Section 6.4)
   e. Time Stamp (see Section 6.5)
   f. Asynchronous Indicator (see Section 6.7)
   g. Result Status (see Section 6.9)
   h. Result Reason (see Section 6.10)
   i. Result Message (see Section 6.11)
   j. Batch Order Option (see Section 6.12)
   k. Batch Error Continuation Option (see Section 6.13)
   l. Batch Count (see Section 6.14)
   m. Batch Item (see Section 6.15)
5. Supports Message Format (see Section 7)
6. Supports Authentication (see Section 8)
7. Supports the TTLV encoding (see Section 9.1)
8. Supports the transport requirements within Section 10
9. Supports Error Handling (see Section 11) for any supported object, attribute, or operation
10. Optionally supports any clause within this specification that is not listed above
11. Optionally supports extensions outside the scope of this standard (e.g., vendor extensions, conformance profiles) that do not contradict any requirements within this standard

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A. Attribute Cross-reference

The following table of Attribute names indicates the Managed Object(s) for which each attribute applies. This table is not normative.

Attribute Name	Managed Object							
	Certificate	Symmetric Key	Public Key	Private Key	Split Key	Template	Secret Data	Opaque Object
Unique Identifier	x	x	x	x	x	x	x	x
Name	x	x	x	x	x	x	x	x
Object Type	x	x	x	x	x	x	x	x
Cryptographic Algorithm	x	x	x	x	x	x		
Cryptographic Domain Parameters			x	x		x		
Cryptographic Length	x	x	x	x	x	x		
Cryptographic Parameters	x	x	x	x	x	x		
Certificate Type	x							
Certificate Identifier	x							
Certificate Issuer	x							
Certificate Subject	x							
Digest	x	x	x	x	x		x	
Operation Policy Name	x	x	x	x	x	x	x	x
Cryptographic Usage Mask	x	x	x	x	x	x	x	
Lease Time	x	x	x	x	x		x	x
Usage Limits		x	x	x	x	x		
State	x	x	x	x	x		x	
Initial Date	x	x	x	x	x	x	x	x
Activation Date	x	x	x	x	x	x	x	
Process Start Date		x			x	x		
Protect Stop Date		x			x	x		
Deactivation Date	x	x	x	x	x	x	x	x
Destroy Date	x	x	x	x	x		x	x
Compromise Occurrence Date	x	x	x	x	x		x	x
Compromise Date	x	x	x	x	x		x	x
Revocation Reason	x	x	x	x	x		x	x
Archive Date	x	x	x	x	x	x	x	x

	Managed Object							
Object Group	x	x	x	x	x	x	x	x
Link	x	x	x	x	x		x	
Application Specific Information	x	x	x	x	x	x	x	x
Contact Information	x	x	x	x	x	x	x	x
Last Changed Date	x	x	x	x	x	x	x	x
Custom Attribute	x	x	x	x	x	x	x	x

1884 **Table 251: Attribute Cross-reference**

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B. Tag Cross-reference

This table is not normative.

Object	Defined	Type	Notes
Activation Date	3.19	Date-Time	
Application Data	3.30	Text String	
Application Namespace	3.30	Text String	
Application Specific Information	3.30	Structure	
Archive Date	3.27	Date-Time	
Asynchronous Correlation Value	6.8	Octet String	
Asynchronous Indicator	6.7	Boolean	
Attribute	2.1.1	Structure	
Attribute Index	2.1.1	Integer	
Attribute Name	2.1.1	Text String	
Attribute Value	2.1.1	*	type varies
Authentication	6.6	Structure	
Batch Count	6.14	Integer	
Batch Error Continuation Option	6.13 , 9.1.3.2.29	Enumeration	
Batch Item	6.15	Structure	
Batch Order Option	6.12	Boolean	
Block Cipher Mode	3.6 , 9.1.3.2.13	Enumeration	
Cancellation Result	4.25 , 9.1.3.2.24	Enumeration	
Certificate	2.2.1	Structure	
Certificate Identifier	3.9	Structure	
Certificate Issuer	3.9	Structure	
Certificate Request	4.6 , 4.7	Octet String	
Certificate Request Type	4.6 , 4.7 , 9.1.3.2.21	Enumeration	
Certificate Subject	3.10	Structure	
Certificate Subject Alternative Name	3.10	Text String	
Certificate Subject Distinguished Name	3.10	Text String	
Certificate Type	2.2.1 , 3.8 , 9.1.3.2.6	Enumeration	
Certificate Value	2.2.1	Octet String	
Common Template-Attribute	2.1.8	Structure	
Compromise Occurrence Date	0	Date-Time	
Compromise Date	3.25	Date-Time	
Contact Information	3.31	Text String	
Credential	2.1.2	Structure	
Credential Type	2.1.2 , 9.1.3.2.1	Enumeration	
Credential Value	2.1.2	Octet String	

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Object	Defined	Type	Notes
Criticality Indicator	6.16	Boolean	
CRT Coefficient	2.1.7	Big Integer	
Cryptographic Algorithm	3.4 , 9.1.3.2.12	Enumeration	
Cryptographic Length	3.5	Integer	
Cryptographic Parameters	3.6	Structure	
Cryptographic Usage Mask	3.14 , 9.1.3.3.1	Integer	Bit mask
Custom Attribute	3.33	*	type varies
D	2.1.7	Big Integer	
Deactivation Date	3.22	Date-Time	
Derivation Data	4.5	Octet String	
Derivation Method	4.5 , 9.1.3.2.20	Enumeration	
Derivation Parameters	4.5	Structure	
Destroy Date	3.23	Date-Time	
Digest	3.12	Structure	
Digest Value	3.12	Octet String	
Encryption Key Information	2.1.5	Structure	
Extensions	9.1.3		
G	2.1.7	Big Integer	
Hashing Algorithm	3.6 , 3.12 , 9.1.3.2.15	Enumeration	
Initial Date	3.18	Date-Time	
Initialization Vector	4.5	Octet String	
Issuer	3.9	Text String	
Iteration Count	4.5	Integer	
IV/Counter/Nonce	2.1.5	Octet String	
J	2.1.7	Big Integer	
Key	2.1.7	Octet String	
Key Block	2.1.3	Structure	
Key Compression Type	9.1.3.2.2	Enumeration	
Key Format Type	2.1.4 , 9.1.3.2.3	Enumeration	
Key Material	2.1.4 , 2.1.7	Octet String / Structure	
Key Part Identifier	2.2.5	Integer	
Key Value	2.1.4	Octet String / Structure	
Key Wrapping Data	2.1.5	Structure	
Key Wrapping Specification	2.1.6	Structure	
Last Changed Date	3.32	Date-Time	
Lease Time	3.15	Interval	
Link	3.29	Structure	

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Object	Defined	Type	Notes
Link Type	3.29, 9.1.3.2.19	Enumeration	
Linked Object Identifier	3.29	Text String	
MAC/Signature	2.1.5	Octet String	
MAC/Signature Key Information	2.1.5	Text String	
Maximum Items	4.8	Integer	
Maximum Response Size	6.3	Integer	
Message Extension	6.16	Structure	
Modulus	2.1.7	Big Integer	
Name	3.2	Structure	
Name Type	3.2 , 9.1.3.2.10	Enumeration	
Name Value	3.2	Text String	
Object Group	3.28	Text String	
Object Type	3.3 , 9.1.3.2.11	Enumeration	
Offset	4.4 , 4.7	Interval	
Opaque Data Type	2.2.8 , 9.1.3.2.9	Enumeration	
Opaque Data Value	2.2.8	Octet String	
Opaque Object	2.2.8	Structure	
Operation	6.2 , 9.1.3.2.26	Enumeration	
Operation Policy Name	3.13	Text String	
P	2.1.7	Big Integer	
Padding Method	3.6 , 9.1.3.2.14	Enumeration	
Prime Exponent P	2.1.7	Big Integer	
Prime Exponent Q	2.1.7	Big Integer	
Prime Field Size	2.2.5	Big Integer	
Private Exponent	2.1.7	Big Integer	
Private Key	2.2.4	Structure	
Private Key Template-Attribute	2.1.8	Structure	
Private Key Unique Identifier	4.2	Text String	
Process Start Date	3.20	Date-Time	
Protect Stop Date	3.21	Date-Time	
Protocol Version	6.1	Structure	
Protocol Version Major	6.1	Integer	
Protocol Version Minor	6.1	Integer	
Public Exponent	2.1.7	Big Integer	
Public Key	2.2.3	Structure	
Public Key Template-Attribute	2.1.8	Structure	
Public Key Unique Identifier	4.2	Text String	
Put Function	5.2 , 9.1.3.2.25	Enumeration	

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Object	Defined	Type	Notes
Q	2.1.7	Big Integer	
Q String	2.1.7	Octet String	
Query Function	4.24 , 9.1.3.2.23	Enumeration	
Recommended Curve	2.1.7 , 9.1.3.2.5	Enumeration	
Replaced Unique Identifier	5.2	Text String	
Request Header	7.2 , 7.3	Structure	
Request Message	7.1	Structure	
Request Payload	4 , 5 , 7.2 , 7.3	Structure	
Response Header	7.2 , 7.3	Structure	
Response Message	7.1	Structure	
Response Payload	4 , 7.2 , 7.3	Structure	
Result Message	6.11	Text String	
Result Reason	6.10 , 9.1.3.2.28	Enumeration	
Result Status	6.9 , 9.1.3.2.27	Enumeration	
Revocation Message	3.26	Text String	
Revocation Reason	3.26	Structure	
Revocation Reason Code	3.26 , 9.1.3.2.18	Enumeration	
Role Type	3.6 , 9.1.3.2.16	Enumeration	
Salt	4.5	Octet String	
Secret Data	2.2.7	Structure	
Secret Data Type	2.2.7 , 9.1.3.2.8	Enumeration	
Serial Number	3.9	Text String	
Server Information	4.24	Structure	contents vendor-specific
Split Key	2.2.5	Structure	
Split Key Method	2.2.5 , 9.1.3.2.7	Enumeration	
Split Key Parts	2.2.5	Integer	
Split Key Threshold	2.2.5	Integer	
State	3.17 , 9.1.3.2.17	Enumeration	
Storage Status Mask	4.8 , 9.1.3.3.2	Integer	Bit mask
Symmetric Key	2.2.2	Structure	
Template	2.2.6	Structure	
Template-Attribute	2.1.8	Structure	
Time Stamp	6.5	Date-Time	
Transparent*	2.1.7	Structure	
Unique Identifier	3.1	Text String	
Unique Batch Item ID	6.4	Octet String	
Usage Limits	3.16	Structure	
Usage Limits Byte Count	3.16	Big Integer	

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Object	Defined	Type	Notes
Usage Limits Object Count	3.16	Big Integer	
Usage Limits Total Bytes	3.16	Big Integer	
Usage Limits Total Objects	3.16	Big Integer	
Validity Date	4.23	Date-Time	
Validity Indicator	4.23 , 9.1.3.2.22	Enumeration	
Vendor Extension	6.16	Structure	contents vendor-specific
Vendor Identification	4.24 , 6.16	Text String	
Wrapping Method	2.1.5 , 9.1.3.2.4	Enumeration	
X	2.1.7	Big Integer	
Y	2.1.7	Big Integer	

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1887 **Table 252: Tag Cross-reference**

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C. Operation and Object Cross-reference

The following table indicates the types of Managed Object(s) that each Operation accepts as input or provide as output. This table is not normative.

Operation	Managed Objects							
	Certificate	Symmetric Key	Public Key	Private Key	Split Key	Template	Secret Data	Opaque Object
Create	N/A	Y	N/A	N/A	N/A	Y	N/A	N/A
Create Key Pair	N/A	N/A	Y	Y	N/A	N/A	N/A	N/A
Register	Y	Y	Y	Y	Y	Y	Y	Y
Re-Key	N/A	Y	N/A	N/A	N/A	Y	N/A	N/A
Derive Key	N/A	Y	N/A	N/A	N/A	Y	Y	N/A
Certify	Y	N/A	Y	N/A	N/A	Y	N/A	N/A
Re-certify	Y	N/A	N/A	N/A	N/A	Y	N/A	N/A
Locate	Y	Y	Y	Y	Y	Y	Y	Y
Check	Y	Y	Y	Y	Y	N/A	Y	Y
Get	Y	Y	Y	Y	Y	Y	Y	Y
Get Attributes	Y	Y	Y	Y	Y	Y	Y	Y
Get Attribute List	Y	Y	Y	Y	Y	Y	Y	Y
Add Attribute	Y	Y	Y	Y	Y	Y	Y	Y
Modify Attribute	Y	Y	Y	Y	Y	Y	Y	Y
Delete Attribute	Y	Y	Y	Y	Y	Y	Y	Y
Obtain Lease	Y	Y	Y	Y	Y	N/A	Y	N/A
Get Usage Allocation	N/A	Y	Y	Y	N/A	N/A	N/A	N/A
Activate	Y	Y	Y	Y	Y	N/A	Y	N/A
Revoke	Y	Y	N/A	Y	Y	N/A	Y	Y
Destroy	Y	Y	Y	Y	Y	Y	Y	Y
Archive	Y	Y	Y	Y	Y	Y	Y	Y
Recover	Y	Y	Y	Y	Y	Y	Y	Y
Validate	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Query	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Cancel	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Poll	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Notify	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Put	Y	Y	Y	Y	Y	Y	Y	Y

Table 253: Operation and Object Cross-reference

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D. Acronyms

The following abbreviations and acronyms are used in this document:

3DES - Three key Data Encryption Standard
AES - Advanced Encryption Standard specified in FIPS 197
ASN.1 - Abstract Syntax Notation One
CA - Certification Authority
CBC - Cipher Block Chaining
CPU - Central Processing Unit
CRL - Certificate Revocation List
CRT - Chinese Remainder Theorem
DER - Distinguished Encoding Rules
DES - Data Encryption Standard
DH - Diffie-Hellman
DSA - Digital Signature Algorithm specified in FIPS 186-3
DSKPP - Dynamic Symmetric Key Provisioning Protocol
ECB - Electronic Code Book
ECDH - Elliptic Curve Diffie-Hellman
ECDSA - Elliptic Curve Digital Signature Algorithm specified in ANSX9.62
ECMQV - Elliptic Curve Menezes Qu Vanstone
HMAC - Keyed-Hash Message Authentication Code specified in FIPS 198
HTTP - Hyper Text Transfer Protocol
HTTP(S) - Hyper Text Transfer Protocol (Secure socket)
IEEE - Institute of Electrical and Electronics Engineers
IETF - Internet Engineering Task Force
IPsec - Internet Protocol Security
IV - Initialization Vector
KMIP - Key Management Interoperability Protocol
MAC - Message Authentication Code
MD5 - Message Digest 5 Algorithm
PBKDF2 - Password-Based Key Derivation Function 2
PGP - Pretty Good Privacy
PKCS - Public Key Cryptography Standards
POSIX - Portable Operating System Interface
RFC - Request for Comments documents of IETF
RSA - Rivest, Shamir, Adelman (an algorithm)
SHA-1 - Secure Hash Algorithm Revision One
SSL/TLS - Secure Sockets Layer/Transport Layer Security

1929 S/MIME - Secure/Multipurpose Internet Mail Extensions
1930 TCP - Transport Control Protocol
1931 TTLV - Tag, Type, Length, Value
1932 URI - Unique Resource Identifier
1933 UTF - Universal Transformation Format
1934 XML - Extensible Markup Language

E. List of Figures and Tables

Figures

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Tables

F. Acknowledgements

The following individuals have participated in the creation of this specification and are gratefully acknowledged:

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2232 # G. Revision History

Revision	Date	Editor	Changes Made
ed-0.98	2009-04-24	Robert Haas	Initial conversion of input document to OASIS format together with clarifications.
ed-0.98	2009-05-21	Robert Haas	Changes to TTLV format for 64-bit alignment. Appendices indicated as non normative.
ed-0.98	2009-06-25	Robert Haas, Indra Fitzgerald	Multiple editorial and technical changes, including merge of Template and Policy Template.
ed-0.98	2009-07-23	Robert Haas, Indra Fitzgerald	Multiple editorial and technical changes, mainly based on comments from Elaine Barker and Judy Furlong. Fix of Template Name.
ed-0.98	2009-07-27	Indra Fitzgerald	Added captions to tables and figures.
ed-0.98	2009-08-27	Robert Haas	Wording compliance changes according to RFC2119 from Rod Wideman. Removal of attribute mutation in server responses.
ed-0.98	2009-09-03	Robert Haas	Incorporated the RFC2119 language conformance statement from Matt Ball; the changes to the Application-Specific Information attribute from René Pawlitzek; the extensions to the Query operation for namespaces from Mathias Björkqvist; the key roles proposal from Jon Geater, Todd Arnold, & Chris Dunn. Capitalized all RFC2119 keywords (required by OASIS) together with editorial changes.
ed-0.98	2009-09-17	Robert Haas	Replaced Section 10 on HTTPS and SSL with the content from the User Guide. Additional RFC2119 language conformance changes. Corrections in the enumerations in Section 9.
ed-0.98	2009-09-25	Indra Fitzgerald, Robert Haas	New Cryptographic Domain Parameters attribute and change to the Create Key Pair operation (from Indra Fitzgerald). Changes to Key Block object and Get operation to request desired Key Format and Compression Types (from Indra Fitzgerald). Changes in Revocation Reason code and new Certificate Issuer attribute (from Judy Furlong). No implicit object state change after Re-key or Re-certify. New Section 13 on Implementation Conformance from Matt Ball. Multiple editorial changes and new enumerations.

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